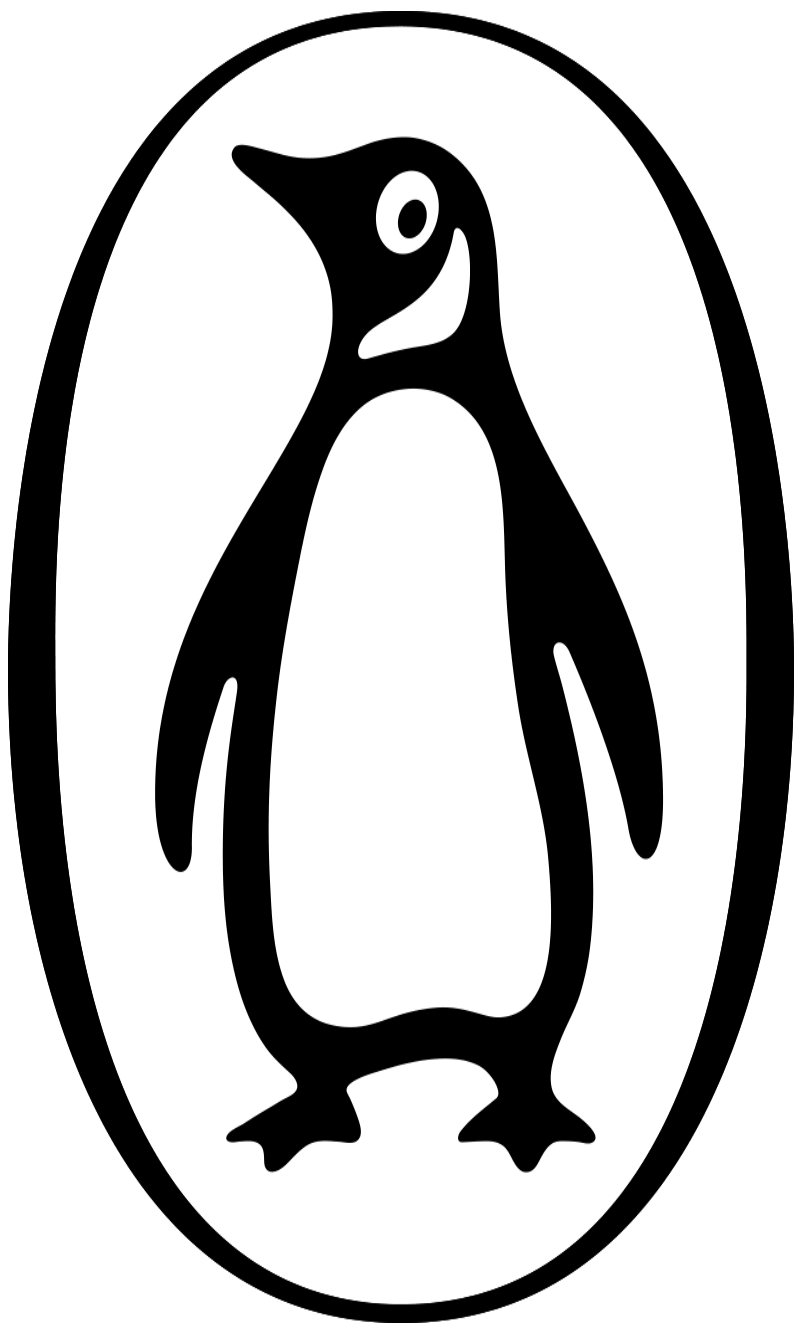


The Enneads

John Dillon

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THE ENNEADS

PLOTINUS (AD 204–70) was the main expositor of Neoplatonism, the last great movement of Classical Greek philosophy. As well as reviving Platonism, Plotinus' work blended Plato, Aristotle and earlier Greek philosophy into a new religious formulation, and his massive work of synthesis, *The Enneads*, is one of the classics of western mysticism.

STEPHEN MACKENNA was born in Liverpool in 1872 and worked in Dublin as a bank clerk before becoming a journalist in London. He then moved to Paris, where he became friendly with the playwright, J. M. Synge, and other political and literary Irish exiles. A visit to Greece to fight the Turks began his lifelong love of Greek literature and philosophy. He became European correspondent of the *New York World* and, while covering the 1905 Revolution in St Petersburg, he discovered *The Enneads* of Plotinus, which he resolved to translate. After giving up his job in disgust at popular journalism and retiring first to Dublin and then, after 1921, to England (where he lived until his death in 1935), he devoted himself to the translation helped by the generosity of an English businessman, Sir Ernest Debenham.

JOHN DILLON was born in 1939 and educated at Downside School and Oriel College, Oxford. From 1966 to 1980 he taught Classics at the University of California, Berkeley, returning from there to become Regius Professor of Greek at Trinity College, Dublin. His publications include *The Middle Platonists* (1977), *A Classical Lexicon to Finnegans Wake* (with Brendan O'Hehir, 1977), *Two Treatises of Philo of Alexandria* (with David Winston, 1983), a translation of Proclus' *Commentary on the Parmenides* (1987), Alcinous' *The Handbook of Platonism* (1993) and two volumes of collected essays, *The Golden Chain* (1991), and *The Great Tradition* (1997).

PLOTINUS

THE ENNEADS



TRANSLATED BY
STEPHEN MacKENNA

ABRIDGED WITH AN INTRODUCTION AND NOTES BY
JOHN DILLON



PENGUIN BOOKS

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Published by the Penguin Group

Penguin Books Ltd, 80 Strand, London WC2R 0RL, England

Penguin Putnam Inc., 375 Hudson Street, New York, New York 10014, USA

Penguin Books Australia Ltd, 250 Camberwell Road, Camberwell, Victoria 3124, Australia

Penguin Books Canada Ltd, 10 Alcorn Avenue, Toronto, Ontario, Canada M4V 3B2

Penguin Books India (P) Ltd, 11 Community Centre, Panchsheel Park, New Delhi – 110 017, India

Penguin Books (NZ) Ltd, Cnr Rosedale and Airborne Roads, Albany, Auckland, New Zealand

Penguin Books (South Africa) (Pty) Ltd, 24 Sturdee Avenue, Rosebank 2196, South Africa

Penguin Books Ltd, Registered Offices: 80 Strand, London WC2R 0RL, England

www.penguin.com

First published by the Medici Society 1917–1930

Revised edition published by Faber & Faber 1956

This abridged edition published in Penguin Books 1991

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EISBN: 978-0-141-91335-3

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Scoilín macEanna.



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STEPHEN MACKENNA: A BIOGRAPHICAL SKETCH¹

Stephen MacKenna was born on 15 January 1872, son of a flamboyant and improvident Irish officer in the Indian Army who actually deserted his regiment in India in the 1860s to go and fight with Garibaldi in Italy. After many exciting adventures there, Captain Stephen Joseph MacKenna returned to England in 1869, married a girl of mixed Irish and English blood, Elizabeth Deane, and settled down, without any resources to speak of, to start a family.

His adventures in India and Italy had yielded him nothing but romantic memories, and he tried to make a living from turning these into romantic fiction for the young. His efforts were not blessed by much success, and some of Stephen's earliest memories were of rejection slips coming in the post. To make matters worse, in 1883, having by now fathered ten children, Captain MacKenna died of malaria, leaving his family almost entirely unprovided for. Two of the boys, Stephen and Robert, were taken in by two maiden aunts. Stephen was sent to a small boarding school in Leicestershire, Ratcliffe College. He was a rather strange, quiet, child, physically awkward, but precociously interested in both literature and politics, and specifically in the politics of Irish nationalism. He excelled at Classics and English, but was hopeless at mathematics – later, in his *Journal* for February 1908, he described algebra in particular as 'not only loathsome, but even in principle unintelligible'.

It was decided, therefore, that he should take a Classical degree at London University. However, he unexpectedly failed the

examination in English – an astonishing result for one who was even then a passionate stylist, but it may have been that he displeased the examiners by being ‘too clever’, or otherwise perverse. This strange development, at any rate, ended at a stroke his chances of formal higher education, and contributed powerfully to a certain fatal diffidence, or ‘chip on the shoulder’, which manifested itself repeatedly in his later career, and which really denied MacKenna a good deal of the success as a writer he might otherwise have had.

After a brief brush with the religious life, he was next found a position by his aunts in a bank. They had by this time returned to live in Dublin, in the eminently respectable suburb of Rathmines, so it was in Dublin that he spent the next five or six years as a bank clerk, an activity for which he was profoundly ill-suited, and which made him increasingly restless. Two elements of his makeup, however, manifested themselves in this period: a sympathy with Irish nationalism, even in its most extreme forms, and a gift for translating. Even at school, a contemporary remembers his beautifully-turned renderings of Virgil and Sophocles. Now, in 1896, he produced an English version of the *Imitatio Christi*, and had it accepted by a Dublin publisher.

He was still only 24, but he now decided to break loose from respectability, in the form of the bank. He resolved to become a writer. His eldest brother Theobald was a journalist with the *Daily Chronicle* in London, and he helped Stephen to get a job on a London newspaper. He reported events such as fires and accidents for a year, while living with his family in Brixton, using up most of his money buying books, and most of his spare time reading them. He also joined a number of Irish patriotic societies, and flattered himself that he had attracted the notice of the Brixton police.

There was here, perhaps, an element of swashbuckling inherited from his father, though much sublimated. MacKenna was never a man of violence, on any practical level. A reflection in his journal for 27 June 1907 illustrates nicely his feeling of being an outsider:

‘There is deep down in me something lawless. Always, whether my mind wills it or not, I find myself on the side not of the weeder but of the weeds.’

In the winter of 1896, he moved to Paris, as the Paris correspondent for an English Catholic journal. This began a particularly formative period of his life. He became a close friend of the playwright J. M. Synge, and of a number of other Irish exiles, political or aesthetic, and they starved happily together, frequenting the literary cafés of the time. MacKenna supplemented his Irish nationalism by developing broader sympathies for oppressed races elsewhere, particularly the Armenians and the Greeks.

In the spring of 1897, war broke out between Greece and Turkey, and MacKenna’s romantic philhellenism was fired. When he heard that the son of Garibaldi had organized an international brigade to help the Greeks, he resolved to join it, and set off for Athens. Despite many exciting, and some amusing, adventures, he never got involved in actual battle with the Turks, and by late autumn was back in Paris, quite penniless. In an article he wrote for the *Dublin Weekly Freeman* on the war that October, he makes some sharp, though affectionate, comments on Greece and the Greek character:

It is a land of speeches, and you need never be afraid of delivering richly coloured orations which could make you ridiculous in these colder-blooded countries... Almost every plunge which you make into the realms of the ridiculous brings you closer and closer into the core of their hearts.

In the midst of all these adventures, MacKenna was developing a philosophy, or view of life, which already brings him close to Plotinus. In a commonplace book which he began to keep in December 1897, we find such things as the following:

Behind and above the thinking and feeling and willing soul, or souls, is the real Man – the unalloyed soul, which studies and judges the others. It does not so much feel as see that the inferior souls of him, the outer husk of the spirit, feels. This is the calm, lovely thing, really untroubled by the vagaries of life, which presides over all...

or this, on Art:

The art of expression in poetry and philosophy is the art of descent: it is limiting and cabining the wide vision of the Spirit: it is telling a truth so as to be understood, not so as to be true; it is materialising the spiritual and losing much in the decanting.

MacKenna now entered on a period of three or four years of mere survival, during which he journeyed to London, Dublin and even New York, but always back to Paris, doing a little journalism, but often more menial jobs. Finally, in 1900, he came to the notice of Gordon Bennett, who edited the *New York Herald* in Paris, and Bennett put him to work as an interviewer, in which capacity he questioned such disparate figures as J. D. Rockefeller and Rodin (which led to a friendship with the latter).

At some point in 1902, he met at a friend's studio a young American girl of Irish ancestry named Mary Bray, and they appear to have fallen immediately in love. They were married in London in January 1903, and not long after that MacKenna's fortunes took a decided turn for the better. Indeed, between 1903 and 1907 he briefly attained a prosperity and a success to which he never again rose.

What happened was that his work for the *Herald* brought him to the notice of Joseph Pulitzer, owner and editor of the *New York World*, which led to Pulitzer appointing him continental representative of the *World*, with a large salary, an office in Paris, and a staff of assistants. In this capacity he travelled widely about Europe, and covered many notable events. The most significant of these, however, in the present context was the 1905 revolution in Russia. In the course of covering this event (which produced many memorable incidents, including visiting Tolstoy, in company with the Irish nationalist leader Michael Davitt), he was brought first into contact with Plotinus in St Petersburg, where he chanced upon a copy of Creuzer's Oxford edition of the *Enneads*, which he then began to read while confined temporarily in his hotel room. One can only wonder how much progress he made, but by the beginning

of 1907, as we can see from an entry in his journal for 29 March, he had already formed the idea of translating Plotinus into English. The idea grew on him, and later, on 5 December, he writes: 'It seems to me that I must be born for him, and that somehow, some day, I must have nobly translated him.'

At this time also, as an antidote to a strong feeling that assailed him on his thirty-fifth birthday that he had achieved nothing of substance (which was, after all, true), he began to keep a powerfully introspective journal, which he maintained for about two and a half years, to June 1909. Its contents illustrate strikingly the importance that the project of translating Plotinus came to have in his life, and also makes painfully clear the streak of futility in his character which would certainly, as we shall see presently, have prevented the fulfilment of his ambition of translating the *Enneads*, had he not been kept relentlessly at it by others, in particular Sir Ernest Debenham.

Shortly after beginning the journal, he had a row with his employer, Joseph Pulitzer, and resigned his post on 4 May. The occasion for the row was absurd (Pulitzer had highhandedly ordered him to deliver some chickens and ducklings to the Gare de Lyon, for despatch to his yacht on the Riviera), but the reasons for the break ran deeper. MacKenna was really not comfortable doing anything so vulgar as making money. He rather despised journalism, although he was inextricably involved with it, and longed for a chance to do some 'pure' writing. The journal entry for 29 March 1907 is significant:

There is something high fantastical in the thought that if every day of my life I had a good hot piece of gossip, about some millionaire fool or some powerful businessman at play, to cable to New York, I should be well off and considered from New Year to Christmas; but if I put comely English about Plotinus and give him for the first time – and perhaps for all time – entire and clear and pleasantly readable to America and Australia and England, I shall certainly go about in old clothes and shrink from facing a post-office clerk. When I did very little and that better left wholly undone, I was a fatted bourgeois: work begins only when the 'dear little cheques' that paid me for an ugly idleness cease to flutter in.

There is a grimly prophetic quality about this entry, as we shall see. After his resignation, he and his wife Marie (as she now preferred to be called) stayed for a few months in their cottage at Clamart, and then in July moved to London. Marie actually had an income of her own, on which they could live modestly, but MacKenna did not wish to be dependent on this, so he continued to write for the *Freeman's Journal* (the leading Irish nationalist daily), and in the summer of 1908 they moved back to Dublin, which was to be his home, with one interval, for the next sixteen years.

In literary theory, MacKenna was in some ways ahead of his time, and if he had come to maturity in the 1920s he might have become a successful avant-garde novelist; but his literary ideals were out of tune with Edwardian sensibility, which called for tightly-constructed plots and concrete detail, whereas he looked forward to a day when 'plot will go by the board and real life will be taboo... the matter of books will be delicately critical, piercingly "psychological", or wholly fantastic: we shall have the novel of the otherworld and of the deeper man' (from a journal entry which Dodds quotes (*Journal and Letters of Stephen MacKenna*, p. 34), but does not for some reason include in his edition). This is indeed remarkably prescient (though there is no evidence that MacKenna much appreciated Joyce when he came along), but one may still doubt whether he would have had the stamina, without external compulsion, to complete such a novel.

During 1908, besides reading voluminously and brooding about problems of style, he essayed a specimen of Plotinus translation, producing the essay *On Beauty* (*Enn.* I. 6), with Bullen as publisher. This first effort, printed in a limited edition of 300 copies, sold out (though without much profit to its author). MacKenna later came to regard it as unsatisfactory, but it was a tangible start. In November, he joined the *Freeman's Journal* on a permanent basis as a leader writer, and this gave him an occupation. He turned to learning Irish (another lifelong enthusiasm), and became involved in nationalist politics, literary and otherwise. Dublin was then, and for long

afterwards, a great centre of conversation, and MacKenna was a superb practitioner of the art, in company with such friends as the poet and mystic A. E. (George Russell), the art critic and poet Thomas Bodkin, the Celtic scholar Osborne Bergin, the historian Edmund Curtis, and the young poets Padraic Colum, Thomas MacDonagh (later to be one of the leaders of the 1916 Insurrection), and James Stephens. From 1908 to 1913, the MacKennas' house was a meeting place, on Saturday evenings, for all these and others, and the talk was brilliant.

E. R. Dodds's description of his first encounter with MacKenna at about this time gives a most vivid and characteristic picture of him:

Entering what had been the drawing-room of some Georgian hostess, I saw a long lean man with grizzled hair and liquid brown eyes remote and melancholy as a peatbog; he was walking with a peculiar grace of movement very softly up and down the twilight room, swerving now and again in his course to avoid a jutting piece of furniture or a heap of books on the floor; his face, upturned and serious, wore the illuminated look of an El Greco saint; and as he walked he played upon a concertina.

He did not interrupt his stride or his music for our entrance, but as the tune ended his grave mouth suddenly wrinkled into a grin of welcome. I gaped, uncertain if what I had seen were pose or passion. Doubtless, like much of MacKenna's behaviour, it was both – passion inviting you to laugh at it as pose, in the secret fear that you might laugh at it as passion.

Nothing further, however, was done with Plotinus. MacKenna had brought with him from Paris drafts of considerable portions of the *Enneads*, and originally had every hope of getting the whole project polished off in a few years. However, what gradually dawned on him (unassisted as he was by any trained Greek scholar) was both how fearfully difficult the syntax and word usage of Plotinus really is, and how bad a state the text was still in. The texts on which he was dependent, the 1883 Teubner edition of Richard Volkmann, and the earlier (1835) Oxford edition of Friedrich Creuzer, were – from the perspective of the present day, with the splendid Henry and Schwyzer edition (which took twenty-two years, indeed, from 1951 to 1973, to complete), and the Loeb edition of A. H. Armstrong (dependent on, but also improving on, Henry and Schwyzer) – grossly inadequate tools, in places making no sense at all. As for translations, the only respectable one was that of H. F.

Müller into German (1878–80), and that, though competent, did no more, in obscure passages, than reproduce the obscurities of the original. What was needed was an *interpretative* translation, which would boldly tease out all the nuances of Plotinus' crabbed and condensed language, and reproduce it in English of proper nobility. Such a task was something that no professional scholar would dare to take on, being all too aware of the obstacles. Of these MacKenna was initially blissfully ignorant.

In January 1912 a remarkable development occurred, which jogged Mac-Kenna's elbow at a critical time. He received a letter from a certain Ernest Debenham, a wealthy British industrialist (founder of Debenham's Stores) with literary and philosophical interests. Debenham had read and admired the translation of *On Beauty*, and now ventured to enquire when the complete Plotinus might be expected. This put MacKenna on the spot. He wrote back a rather waffling letter about working very slowly, and not letting any piece of the translation out of his hands before it was perfect. Debenham was intrigued by the discovery that MacKenna was not a Classical scholar, but a journalist without even a university degree, and actually offered to subsidize him, to give him leisure to complete the translation. This proposal MacKenna initially refused, partly through high-mindedness, no doubt, but partly, one must suspect, because he realized that this would nail him down to a task which he by this time might have preferred to leave in the realm of unrealized dreams, together with so many others of his projects. However, Debenham persisted, and outwitted him by arranging an 'advance payment' from the prospective publisher, Philip Lee Warner (publisher to the Medici Society). This MacKenna was prepared to accept. It was not until the first volume of the translation appeared in 1917 that MacKenna discovered that it was in fact Debenham who was paying, and by that time he was fairly launched on the great enterprise.

Before that, however, many complications supervened. In 1913, MacKenna became ill, initially with a mastoid, which required an operation, but then with neurasthenia, which caused headaches and

dizzy spells, as well as debilitating bouts of depression and futility, such as were to afflict him for the rest of his life. These symptoms probably had a considerable psychosomatic element, brought on by the strain of being faced at last with the challenge of delivering on his long-standing dream, or having his bluff called.

In the autumn of 1913, MacKenna was persuaded to go to London for treatment, so he and Marie gave up their house in Dublin, and moved to a flat in Kensington. Here too there were interesting people to talk with, but MacKenna continued to be unwell and miserable. The outbreak of war found him profoundly ambivalent as to what Ireland's role should be, but he did not favour the enthusiastic support of Britain being extended by the leader of the Irish Parliamentary Party, John Redmond. In the autumn of 1914, the MacKennas retired to Hove, where proximity to the sea revived him somewhat, but he was still unable, as he confessed in letters to Debenham, to face Plotinus without it bringing on fierce headaches, though he still saw it as his life's work. In spring 1915 they returned to Hampstead, London, and then in early autumn, feeling homesick, to Dublin.

In Dublin, however, MacKenna would not resume work as a leader writer for the *Freeman's Journal*, since he disapproved of its support for the War. He contributed only occasional articles (which were consequently ill paid), and devoted his energies to Plotinus. The Insurrection of Easter 1916 came as a complete surprise to him, despite his sympathies in that direction (his friend MacDonagh had told him nothing), and he even made an attempt to join the rebels in the General Post Office, an offer that was courteously declined by the leadership. He wrote, a year or so later, under an assumed name, a pamphlet commemorating the leaders who died, entitled *Memories of the Dead*.

In the face of these distractions, however, the translating of Plotinus went forward and, despite the endless squabbles with his publisher, Lee Warner, the first of the five splendid volumes appeared in 1917, containing the introductory material (most of

which is reproduced in this edition), the *Life of Plotinus* by Porphyry, and the First Ennead. The format was more luxurious than MacKenna would have wished. He had hoped (unrealistically) for a popular format (such as Penguin Books is now providing!), which would make Plotinus available to all. The book received respectful praise from its few reviewers (it was warmly commended by Dean Inge, which pleased MacKenna greatly), but it was appearing at a bad time for such ventures, and sales were disappointingly small. MacKenna was hurt and defiant. In a letter to Amy Drucker some time after its publication he is uncompromising:

Delightful to hear from you, and the article very welcome though the poor man who did it exhibited himself, as most of them do, no more capable of noticing a philosophical book than the soldier-monkey of an organ-grinder. I hope if you do me the honour of looking into my book you will not stick at the first tractate as most people do, sticking *in* it: Plotinus was no yellow-journalist; he puts uppermost the dreariest and least tempting of his wares². Believe me, there are many fine and succulent things as you go on: I'd skip the first tract <and> read 'Evil', 'Happiness', and the 'Preller-Ritter' Extracts³ which combine, as I fondly imagine, passages of great beauty and moving power – though these Ritter-Preller things have not received my *dernière main*. Your old lady at the library irritates me with her 'best translation' she had read: there's no other: there's only the pretence of one other man, Taylor,⁴ who 'mar yeah' as we say (i.e. *soi-distant*) translated a good many treatises – not by any means all, but all vilely, neither English nor fidelity to the Greek nor understanding of the sequence of thought. I will imitate the frankness of artists and say boldly 'I am unique'. There is one Plotinus and one MacKenna his *fidus Achates* and only nursing mother.

This is rousing stuff, but he was very much disappointed with the general reception. Nevertheless, he turned doggedly to the final revision of the second Ennead. One might usefully quote here, I think, an extract from an earlier letter to Debenham (January 1916?), which gives a good idea of his aims in translation:

I notice from a former letter of yours I just turned up that you are anxious about cadence. If it were not for two things, I could have printed a year ago: they are perfect clearness and expressive cadence: perfect clearness to those, *bien entendu*, who will take the trouble to understand the terms and what the whole is about; cadence that shall help to clearness, and that shall further be a satisfaction in itself. I am labouring more cadence than in the famous Christmas Card:⁵ the

Card itself is rewritten mainly for cadence' sake. I think the new cadence far better than the old; others may like it less; on that point I can say nothing except that I will permanently, continuously, disagree with them. I like pebbles in my brooks and little bends in my roads and raggedy edges to my clouds, and I don't like Noah's Ark trees or wooden legs and regular spots of my cows. This is my testament littéraire.

This is obviously an important passage. To see what it translates into in practice, perhaps one may take a short extract from the rewritten version of the tractate *On Beauty* (I. 6, 8), and compare it with the more prosaic version of A. H. Armstrong:⁶

But what must we do? How lies the path? How come to visions of the inaccessible Beauty, dwelling as if in consecrated precincts, apart from the common ways where all may see, even the profane?

He that has the strength, let him arise and withdraw into himself, forgoing all that is known by the eyes, turning away forever from the material beauty that once made his joy. When he perceives those shapes of grace in bodies, let him not pursue: he must know them for copies, vestiges, shadows, and hasten away towards That they tell of.

And now Armstrong – himself a great Plotinian scholar, and a pretty fair stylist:

But how shall we find the way? What method shall we devise? How can one see the 'inconceivable beauty'⁷ which stays within the holy sanctuary and does not come out where the profane may see it? Let him who can, follow and come within, and leave outside the sight of his eyes and not turn back to the bodily splendours which he saw before.

MacKenna's version certainly has a distinctive cadence, and even pebbles and little bends and raggedy edges (as, after all, has Plotinus' Greek), but it has to be said that Armstrong's is truer to the original on the literal level. What MacKenna is doing, like many great translators, is creating a work of art in its own right, rising out of the original.

But to return to the thread of the narrative. MacKenna turned straight away to the preparation of the second volume, but later in 1917 he suffered a recurrence of his nervous symptoms, and before the end of the year his wife was struck down by the mysterious, but very real, illness which was to cause her death some five years later

(in July 1923). At this point Plotinus might very well have gone by the board, had not Sir Ernest Debenham intervened, and persuaded MacKenna to accept an advance of £250 in respect of each projected volume.

This, while being a magnanimous gesture which relieved the MacKennas' acute financial embarrassment, also proved a shrewd move which very probably saved the whole project. The thought of his indebtedness nagged at MacKenna frequently in the years ahead, but it kept his nose to the grindstone. His letters to Debenham over the next few years, besides reflecting his deep concern with the struggle for Irish nationhood, are a litany of his miseries. A letter written in July 1919 is representative:

I have bitterly deplored my ever entering into a contract, and I abhor myself for having taken your money, not only that personal subsidy but also the expenses of publication. Even in journalism I was never bound before... I had arranged with my wife that once she got over the danger point we were to take no more of this money; but there's the past and there's the contract. And there are people who bought the first volume on the pledge of the rest to come duly.

The next few years, between the increasing savagery of the struggle against the British and his wife's lingering illness, were worse still. He could work only in bits and snatches, and his nerves seemed on the point of collapsing altogether. His friends worried constantly about his mental and physical state, as many letters of James Stephens, both to him and to others, attest. His wife's death in July 1923 was initially a partial release, much though he had loved her, but in the months after that he became more than ever depressed. He now saw the whole enterprise of translating Plotinus as a hideous blunder. He wrote at the time to E. R. Dodds: 'It is not through my own fault that I am, in the fact, what I think I loathe most of all things, a humbug in scholarship. I took up, honestly, what was beyond my powers; I was taken up by others, and am caught in a net.'

But Ernest Debenham, a benevolent tyrant, was not going to let him escape. In February 1924, after the death of his remaining aunt in Dublin, MacKenna proposed to use the small legacy he had now come into to hire a professional scholar (ideally Dodds) to complete the work, and himself retire to an Irish-speaking village in

Connemara, there to pursue another only half-realized ambition, the mastery of the Gaelic tongue. He wanted to put an announcement to this effect in the third volume, which appeared in this year.

But Debenham would have none of this backsliding. He summoned MacKenna over to England, and sent him for a four-month holiday in the Dorset countryside. This rest actually pulled MacKenna through, and he was ever afterwards grateful for it. It changed the basis of his life. He was to live for ten more years, six of them spent wrestling with Plotinus, but henceforth he knew comparative peace.

He left Ireland now for ever, as it turned out. Various influences conspired to bring this about: personal memories, his detestation for the compromise represented by the Irish Free State (he always remained a non-violent, but unbending Republican), and also a desire to break publicly with the Catholic Church. He had long been deeply dissatisfied with many of the Church's attitudes and dogmas, as many entries in his Journal for 1907–8 testify, but he had been content to remain nominally within the fold. 'To be a bad Catholic,' he liked to maintain, 'is the best religion in the world.'

But by 1924 he had decided that he could no longer compromise with a Church which itself had compromised with British imperialism by backing the Free State. It was time to become a non-denominational Christian Platonist, and that was easier to do out of Ireland. 'Since the Bishops intoned their *credo in unum Johannem Bullium omnipotentem*,' he wrote to his friend Thomas Bodkin at this time, 'I've become a Christian, and read my Bible like George Moore and Mr. Wesley.' This also, as he told Debenham at the same time, made it easier for him to be 'a good Plotinian'.

Not that he was absolutely uncritical of Plotinus either. The lack of social concern in the rather rarefied Neoplatonist world-view bothered him. As he remarked to another friend, the Celtic scholar Osborne Bergin, '[Plotinus] builds the soul a fairy palace. Enchanted, you follow him through the lovely labyrinthine

structure; you mount, breathless, by successive stairways of the spirit, each more pure, more tenuous, more aspiring than the last – but sooner or later comes a time when you ask yourself where the W.C. is.’

By the autumn of 1924 MacKenna was sufficiently restored to start work again, and to accept Debenham’s subsidy for the next volume. He had great expenses arising from his wife’s long illness, but he now had an income of about £150 a year from his aunt’s legacy, and this, with Debenham’s subsidies, provided just enough to live on. He settled in lodgings on the outskirts of Bournemouth, and made good progress with the translation during the winter. By the spring, however, his nervous troubles were recurring, and the fourth volume did not appear till 1926. In that year, his mother (whom he had been supporting from his tiny income) died, relieving him of yet another tie, and he bought himself a little cottage at Wallis Down, outside Bournemouth, which he named Vinecot. This cottage, overlooking the moors, remained his home for only eighteen months, when financial problems forced him to sell it, and move into two rooms in a cottage in the village of Ringwood.

A young lady who became a valued friend of his for the last years of his life, Margaret Nunn, remembers Vinecot as ‘from floor to ceiling in each room lined with books, except where in spaces hung musical instruments, mostly stringed ones, guitars, mandolines, balalaika; there were also clarinets and squeegees (concertinas). And there were Lord Buddhas of all shapes, sizes and postures, and these were perched quaintly in corners where they caught the eye as you entered or left a room.’ This description reminds us of MacKenna’s great love of music, and his valiant attempts to master, in particular, the guitar and the concertina (on which he used to have frequent correspondence with James Stephens, in particular). It is probable that attempting to play these instruments helped to keep him sane in very difficult times.

At this period he became much interested in Unitarianism, under

the influence of a clergyman of unusual intelligence and broadmindedness named Henry Hall, who was the local Unitarian minister. It was indeed the most suitable Christian creed for a man of MacKenna's interests, but he was never a very orthodox Unitarian, and when Hall left to take up another appointment he became disillusioned. 'Unitarianism,' he wrote in 1931 in a letter to his brother Robert, 'is of course a lost cause – largely, I think, because like the concertina (a most noble instrument of the most astonishing capabilities) it has fallen into the hands of idiots and never been given a fair chance.' In a purified, non-institutional form, though, he saw it as the religion of the future.

The two rooms in Ringwood, predictably, soon bulged with books and Buddhas (MacKenna had a personal reverence for the Buddha, without ever wishing to become a Buddhist). He even got hold of a disused corrugated-iron Catholic chapel, had it re-erected in the garden, and made that into a further work-room, serviceable at least in the summer. Here he held court for occasional visitors and a number of interested and interesting neighbours, including the local rector, who had an interest in Plotinus, and the Anglo-Irish scholar and essayist W. K. Magee ('John Eglinton'), who had left the Irish Free State for opposite reasons to those of MacKenna, but who got on with him quite well none the less.

Even this regime did not last, though, and by the autumn of 1929, after having his finances once again straightened out by Sir Ernest, MacKenna moved to a little house in Harrow, 'Eldene', where once again a circle formed around him, meeting on Saturdays mainly, for talk, tea and guitar-playing. During all this time, through these three moves, the last and most troublesome volume of Plotinus was being laboriously ground out. Already in 1928, E. R. Dodds had received a despairing plea for help. 'I'm in agonies over the Sixth, and not the difficulter parts', MacKenna wrote. "'Tis all too difficult for me and I wish I were dead – tho' even that has its risks: I figure myself sometimes flying down the corridors of Hades pursued by Plotty and him roaring.' Dodds did

not feel able himself to render the large-scale assistance required, but he introduced MacKenna to a young scholar named B. S. Page who, in the event, relieved him (with Debenham's grudging permission) of the translation of *Ennead* VI. 1–3, a particularly rebarbative tractate containing Plotinus' criticism of Aristotle's system of Categories, and (in VI. 2) a presentation of an alternative system of his own.⁸

In May 1930 the last proof-sheets went to the printer, and MacKenna's life's work was over. He was not entirely satisfied, feeling that 'a few more decades could well be spent on bringing it up to a really fine polish', as he said to Debenham, but he was content to leave it so. With its completion, he entered on four years of comparative calm and happiness, despite the grinding poverty that was always his lot. Living largely on milk, eggs and brown bread, he devoted himself to such long-standing hobbies as music and Irish, though with the usual indifferent success. An attempt to turn Sophocles' *Antigone* into Irish many years before had foundered when his friend, the Gaelic short-story writer Padraic O Conaire, told him that 'it didn't mean anything, least of all anything Irish'. An attempt to translate Epictetus into Irish met the same fate. At the time of his death, he was at work trying to put Irish on Horace's *Satires* and *Epistles*.

But he was having fun now. He had made the acquaintance of a kindred spirit in the person of the Classicist George Thomson, who combined an interest in Greek with both Marxism and a love for Gaelic, which led him for a time in the 1930s to go off to teach in University College, Galway, and while there arrange the publication of one of the 'classics' of modern Irish literature, the memoirs of Muiris O Súilleabháin, *Fiche Blian ag Fás* ('Twenty Years A-Growing'). MacKenna was tempted to follow him to Connemara, but he was now too old and too ill.

Poverty eventually compelled him to sell the little house in Harrow, and he made what turned out to be his final move to a labourer's cottage in a Cornish mining village, Reskadinnick, where

he was cut off, except by mail, from all his friends, but made friends among the villagers, especially the children. In the early summer of 1933 he had an expensive and futile operation, and at the beginning of 1934 he entered a London hospital for a further operation. He survived it, but had lost his will to live (he had no fear of dying, discoursing on this freely to Dodds), and on 8 March he passed peacefully away, still in hospital, at the age of 62.

MacKenna had declared that to translate Plotinus was ‘worth a life’, and indeed he largely gave his life to that task, with much blood, sweat and tears. Was it worth it, or can such a question properly be asked? It seems fitting on this point to quote his friend E. R. Dodds:⁹

Whether in fact MacKenna’s *Plotinus* is worth the enormous price that was paid for it not only in effort and suffering, but in the sacrifice of other potentialities that lay in his rich natural endowment – is a question which I will not attempt to answer. But two things are certain: it is a noble monument to an Irishman’s courage, an Englishman’s generosity, and the idealism of both; and it is one of the very few great translations of our day.



EXTRACTS FROM THE EXPLANATORY MATTER IN THE FIRST EDITION

I. THE TEXT

The text on which this translation has been made is that of Richard Volkmann (Teubner, Leipzig, 1883): occasionally a reading has been adopted from the text variations or spacious commentary given in the three-volume edition of Friedrich Creuzer (Oxford, 1835): very rarely the translator has been driven to venture an emendation of his own.

II. PREVIOUS TRANSLATIONS

The present translation has been scrupulously compared, clause by clause, over and over again, with those undermentioned:

THE LATIN OF FICINO (in Creuzer's edition).

THE FRENCH OF M. N. BOUILLET (3 vols., Paris, 1875, &c).

A complete version; often inaccurate, often only vaguely conveying the meaning; furnished with the most copious and fascinating notes and commentary. To the elucidation of Plotinus' general themes Bouillet brings illustrations from the entire range of religious and mystical thought, beginning with the earliest thinkers, minutely comparing Plato, borrowing from the Fathers of the Church, from works of the Eastern mysticism, from the Kabbalah, from the medieval theologians, from Malebranche, Spinoza, Leibnitz, Bossuet, Thomassin, &c. He also uses Macrobius very effectively.

THE GERMAN OF HERMANN FRIEDRICH MUELLER (2 vols., Berlin:

Weidmann, 1878–80).

This valuable translation is described by its author as ‘literal, but scarcely palatable unless taken in conjunction with the Greek text’: both statements are true: in parts the version is even meaningless without a close study of the original.

THE GERMAN OF OTTO KIEFER (2 vols., Diederichs: Jena and Leipzig, 1905).

This is a book of selections, very extensive, purporting, indeed, to omit only what is judged to be out of date, futile, or incomprehensible in the original: it is substantially a Mueller made very much more readable with often improvement in sense and sometimes, it is to be feared, a deterioration.

[The translator upon reading some of the treatises translated into English by Thomas Taylor decided, for reasons mainly literary, that the work of this devoted pioneer would not be helpful in the present undertaking: it has, therefore, not been used in any part of this work except possibly by indirect suggestion from the quotations made occasionally in the commentaries of Bouillet and Creuzer.]

III. METHOD OF THE PRESENT TRANSLATION

Inevitably the present translator has sometimes differed from all his predecessors, as they have sometimes differed each from all the others: he hopes it will not be thought an insolence in him to remark that his rendering of any given passage is not to be tested finally by the authority of any of these scholars, still less by any preconceived idea of Plotinus’ meaning or by any hasty memory of controversy and decisions as to the peculiar uses of words in Plato or Aristotle. The text of the *Enneads* may be taken to be very fairly well established, but it would be absurd to suppose that as yet Plotinus, so little cautious or consistent in verbal expression, yields his precise meaning, or full content, as Plato, for example, may be supposed now to do after the scholarly scrutiny of generations. It may, indeed, be said with a rough truth that Plotinus’ terms, shifting at best and depending upon context and again upon the

context of the context, are never to be more carefully examined than when they seem to be most true to the Platonic or Aristotelian uses: the confusion is a constant pitfall: Plotinus was pouring a quite new wine into very old bottles. Plotinus is often to be understood rather by swift and broad rushes of the mind – the mind trained to his methods – than by laborious word-racking investigation: we must know him through and through before we can be quite sure of his minuter meanings anywhere: there must be many a scholar at work yet, many an order of mind, before we can hope to have a perfectly true translation of the Enneads in any language. The present worker must have made mistakes, some perhaps that to himself will one day appear inexcusable: his one consolation is that the thing he will that day welcome from other hands has most certainly passed through his own, and been deliberately rejected. Where he appears most surely to have sinned against the light, it is most sure that he has passed through an agony of hesitation.

People seem always anxious to know whether a work of translation is what they call literal; the important question is rather whether it is faithful: the present work pretends to be faithful – and, if we must be precise, literary rather than literal. This is not to say that it is a paraphrase.

Probably every translator from the classic tongues sets out gaily in the firm purpose of achieving the impossible, of making a crib that shall also be a piece of sound and flowing idiomatic writing; and certainly many critics demand the miracle. Some years ago, on the publication of a preliminary specimen of this present venture, one very highly accomplished scholar wrote complaining with utter seriousness of an English past tense which had dared to translate a ‘frequentative aorist’ of the Greek original; he had apparently never asked himself whether an English past may not be as frequentative as any Greek aorist: in any case, readers who desire their translations to serve as an unfailing treasury of illustrations to ‘X. on Greek Idioms’ are not asked to like this version.

Again, various arbitrary principles, laid down by translators of a formally precise school, have been quite ignored here. For example,

it has been decreed that ‘one word must translate one word’, and this in a double application:

- 1 That if, for example, the word φύσις is once translated Nature, φύσις must stand as Nature at every repetition, never Kind or Essence, or Being or any other word which happens, in a particular context, to be equally clear and precise or even imperative in English to the sense and connexion of thought.
- 2 That φύσις, for example, may never be translated by such a double as ‘Nature or Hypostasis’, δόξα, for example, never by such a double as ‘Opinion or Seeming-Knowledge’, still less, as several times here, by ‘Ordinary Mentation’, with or without an alternative or an addition.

All such bans have been treated as belonging to the childish pedantry of a game of skill, not to the serious task of conveying to the reader a grave body of foreign thought. Probably in every writer – certainly in Plotinus – such a word as φύσις, such a word as θεός, or again θεϊός, may carry in connotation not merely two but three or four or more notions, any one of which may at a given moment be the dominant, though not necessarily to the utter exclusion of the others. Plotinus has some score of words, technical terms, which he uses in very varying applications where no single fixed English word or even combination of words would always carry his meaning. The translator has in this whole matter adopted the principle of using such a variety of terms, single or double or upon occasion triple, as will exactly cover or carry the idea which appears in the original; he has arrogated to himself almost the entire freedom of a philosophic writer in English who uses his words with an absolute loyalty, of course, to his thought but with never a moment’s scruple as to the terms in which he happened to convey or indicate a given notion five pages back. In other words the present translator has not thought of his probable readers as glossary-bound pedants but as possessed of the living vision which can follow a stream of thought by the light of its vivid movement.

Other theorists of translation desire that a version should

represent the style of the original writer: this notion is tempting and may often be safely achieved but not, the present writer ventures to say, in the case of Plotinus, or perhaps in the case of any writer whose main preoccupation is less with artistic expression than with the enunciation of cardinal and very gravely important ideas. Longinus, as may be learned from Porphyry's Life-sketch of Plotinus, so little grasped Plotinus' manner of expression as to judge ruinously erroneous the most faithful transcripts that could be: a version which should reproduce such a style as disconcerted and misled the most widely read contemporary critic of Greek letters would not be a translation in any useful sense of the word, or at least would not be English or would not be readable.

The present translation, therefore, has been executed on the basic ideal of carrying Plotinus' thought – its strength and its weakness alike – to the mind of the reader of English; the first aim has been the utmost attainable clearness in the faithful, full, and unalloyed expression of the meaning; the second aim, set a long way after the first, has been the reproduction of the splendid soaring passages with all their warmth and light. Nothing whatever has been, consciously, added or omitted with such absurd purpose as that of heightening either the force of the thought or the beauty of the expression – except in so far as force and beauty demand a clarity which sometimes must be, courageously, imposed upon the most negligent, probably, of the great authors of the world.

[*Added in volume 2:* In simple honesty to such readers as do not consult the original, the translator feels obliged to state that he does not pretend to be perfectly satisfied that he has himself understood every passage of which he has been obliged to present a rendering: he has in no case passed for publication any passage or phrase which does not appear to him to carry a clear sense in English and a sense possible in view at once of the text and of Plotinus' general thought; he has been scrupulous in frankly committing himself; but there are at least three or four places in which he feels himself to be as probably wrong as right, places in

which either the text is disordered or Plotinus, as often, was inattentive to the normal sequence, or even – verbally at least – to the general consistency, of his thought.

For the present it appears that the best service to Plotinian studies is to dare to be tentative and to beg critics to collaborate in the clearing of dark passages: the notices the first volume of this series received were more flattering than helpful. Modifications suggested by such comment will be noted in the final volume.

Readers are reminded that ‘we read’ translates ‘he says’ of the text, and always indicates a reference to Plato, whose name does not appear in the translation except where it was written by Plotinus: and that all matter shown in brackets is added by the translator for clearness’ sake, and therefore is not canonical. Nothing but what is judged to be quite obviously present in the text appears without this warning sign.]

IV. TERMINOLOGY

The six Enneads – six sets of Nine treatises – do not constitute or include a formal step-by-step statement or demonstration of the Plotinian doctrine: the entire system is assumed in each of the separate treatises, which take the form of special developments or demonstrations of significant points, not chapters in one work of consecutive exposition.

Hence, failing a previous knowledge of the main doctrines, almost any of the treatises must appear incomprehensible or, worse, be radically misunderstood; the terminology, simple enough in itself, becomes dishearteningly mysterious or gravely misleading.

A serious misapprehension may be caused, to take one instance among several, by incautiously reading into terms used by Plotinus meanings or suggestions commonly conveyed by those words in the language of modern philosophy or religion; on the other hand, there is in places almost a certainty of missing these same religious or philosophical implications or connotations where to the initiate the phrase of Plotinus conveys them intensely.

Thus it is not easy, without knowledge and the training of habit, to quiver with any very real rapture over the notion of becoming ‘wholly identified with the Intellectual-Principle’; when it is understood and at each moment deeply realized that ‘The Intellectual-Principle’ is the highest accessible ‘Person’ of the Godhead, is very God, is the Supreme Wisdom immanent within the human soul and yet ineffably superior to all the Universe besides, then perhaps we may feel the great call to the devotion that has such a reward.

We must, then, learn at the very beginning what are the main lines of the Plotinian explanation of the Heavens and the Earth and the Human-Being if we are to obtain from our author, our temporary Master, the depth of his philosophical meaning and the warmth of his religious fervour.

It is not possible to cram the Plotinian system unhurt into a confined space: to be brief is necessarily to be inaccurate: what follows is merely a rough chart intended to give the first essential orientation, to indicate the great highways in their main course and to name the commanding landmarks: it is the natural and necessary introduction to the Terminology, nothing more.

The Divine Names

The system of Plotinus is a system of necessary EMANATION, PROCESSION, or IRRADIATION accompanied by necessary ASPIRATION or REVERSION-TO-SOURCE: all the forms and phases of Existence flow from the Divinity and all strive to return THITHER and to remain THERE.

This Divinity is a graded Triad.

Its three Hypostases – or in modern religious terminology, ‘Persons’ – are, in the briefest description:

- 1 The ONE, or First Existent.
- 2 The DIVINE MIND, or First Thinker and Thought.
- 3 The ALL-SOUL, or First and Only Principle of Life.

‘Of all things the governance and the existence are in these Three.’

1. *The One*

The First Hypostasis of the Supreme Divine Triad is variously named: often it is simply ‘THE FIRST’. Envisaged logically, or dialectically, it is THE ONE. Morally seen, it is THE GOOD; in various other uses or aspects it is THE SIMPLE, THE ABSOLUTE, THE TRANSCENDENCE, THE INFINITE, THE UNCONDITIONED; it is sometimes THE FATHER.

It is unknowable: its nature – or its Super-Nature, its Supra-Existence – is conveyed theoretically by the simple statement that it transcends all the knowable, practically most often by negation of all Quality: thus if we call it the GOOD, we do not intend any formal affirmation of a quality within itself; we mean only that it is the Goal or Term to which all aspires. When we affirm existence of it, we mean no more than that it does not fall within the realm of non-existents; it transcends even the quality of Being.

It is not the Creator: it is scarcely even to be rightly called the First-Cause: its lonely majesty rejects all such predication of action: in this realm of the unknowable the First-Cause is, strictly, a lower principle than THE FIRST, which is not to be spoken of in any terms of human thought.

We may utter no more of it – and then under infinite reserve, appealing always to a deep sense behind the words – than that in an ineffable Supra-Existence it exists, that in an ineffable Super-Act it acts, that it is everywhere in the sense that without its Supra-Existence nothing could be, that it is nowhere in that it is loftily alien from all else. In so far as language and all the unconquerable force of human thought drive us to speak of it as a Cause, we must keep in mind that it is so only in that its Perfection implies an Act, a production, or, in a metaphor basic with Plotinus, a ‘generation’ of something other than Itself: for Existence or Supra-Existence comports expressive Act. The most perfect form of expressive Act is Thought or Intellection: the Divine Existence, or Supra-Existence,

produces, therefore, a Divine-Thought or Intellection.

2. *The Intellectual-Principle*

This Divine-Thought is, of course, a Real-Being, the first ‘thing’ of whom existence may, if only in some vaguer sense, be affirmed: it is an Intelligence, or rather the Universal-Intelligence. As the act, offspring, and image of The First, it is a sort of mediation to us of the Unknowable ONE. It is in the Greek named ὁ νοῦς, which has often, perhaps not very happily, been translated DIVINE-MIND, sometimes DIVINE INTELLIGENCE or DIVINE-INTELLECTION: in the present translation it is most often conveyed by the rather clumsy term, found in practice expressive and convenient, ‘THE INTELLECTUAL-PRINCIPLE’.

In the English, it must be noted, as in the Greek, the same term is used for the parallel Principle and Act in man: in both realms, the divine and human, the Intellectual-Principle connotes the highest really knowable: often therefore to absorb the full mystical or religious suggestion of a passage the reader will find it expedient to re-translate, i.e. to substitute temporarily for the term ‘Intellectual-Principle’, the term SPIRIT, or despite the awkward clash, even the term ‘Supreme-Soul’.

With this νοῦς, or Divine-Mind or Divine-Intellection, or Divine-Intellectual-Principle, begins the existence of Plurality or Complexity, or Multiplicity: the Divine Mind contains, or rather is, τὰ νοητά = the Intellectual-Universe or Intelligible Universe, often known as The Intelligible or The Intelligibles.

The Intellectual or Intelligible Universe is the Totality of the Divine-Thoughts, generally known, in the phrase familiar in Platonism, as The Ideas.

The Ideas, or Divine-Thoughts, are Real-Beings, Intelligences, Powers: they are the eternal Originals, Archetypes, Intellectual-Forms of all that exists in the lower spheres. In certain aspects this sphere of the Intelligibles would be best named The Spiritual Universe: Caird agrees with Whittaker in finding it closely like Dante’s conception of the circle of angels and blessed spirits

gathered in contemplation and service round the throne of God.

The Intellectual or Intelligible Universe contains, or even in some sense is, all particular minds or intelligences and these in their kinds are images, representations, phantasms, 'shadows' of this Universal or Divine Mind. All the phases of existence – down even to Matter, the ultimate, the lowest faintest image of Real-Being – all are 'ideally' present from eternity in this Realm of the divine Thoughts, this Totality of the Supreme Wisdom or 'Mentation'.

The Supreme Intellectual-Principle cannot be unproductive: accompanying its Act of Thought there is what we may, coarsely, indicate as an Act of Act: the Divine-Thinking 'engenders a power apt to the realization of its Thought', apt that is to 'Creation': this engendered power is the Third Hypostasis of the Divine Triad.

3. The All-Soul

The Third Hypostasis of the Divine-Triad is, then, the ALL-SOUL, or UNIVERSAL SOUL or SOUL OF THE ALL: it is the eternal emanation and image of the Second Hypostasis, the Intellectual-Principle.

As the Divine-Intellectual-Principle has, to our own view, two Acts – that of upward contemplation of The One and that of 'generation' towards the lower – so the All-Soul has two Acts: it at once contemplates the Intellectual-Principle and 'generates' in the bounty of its own perfection the lower possible. Thus we have often in the *Enneads* a verbal partition of the All-Soul; we hear of the Leading-Principle of the Soul, or the Celestial Soul, concentrated in contemplation of its superior, and the Lower Soul, called also the Nature-Looking and Generative Soul, whose operation it is to generate or fashion the lower, the material Universe upon the model of the Divine-Thoughts, the 'Ideas' laid up within the Divine-Mind: this lower principle in the Soul is sometimes called the Logos of the Universe, or the 'Reason-Principle' of the Universe. The All-Soul is the mobile cause of movement as well as of Form: more directly than the two superior or 'earlier' Hypostases of the Divine-

Triad it is the eternal cause of the existence, eternal existence, of the COSMOS, or 'WORLD', or material or sense-grasped Universe, which is the Soul's Act and emanation, image and 'shadow'. It is the Creator, therefore, and the Vital-Principle of all that is lower, or 'later' than the Divine-Triad. In a sense that need not be here minutely elaborated the All-Soul includes, and is, All-the-Souls: for the first rough practical purposes of the average reader, it may be conveniently indicated in a stanza, by Richard Watson Dixon:

There is a soul above the soul of each,
A mightier soul, which yet to each belongs:
There is a sound made of all human speech,
And numerous as the concourse of all songs:
And in that soul lives each, in each that soul,
Tho' all the ages are its life-time vast;
Each soul that dies, in its most sacred whole
Receiveth life that shall for ever last.

The Divine-Triad as a Unity

The Three Hypostases of the Supreme-Being are, of course, quite frequently spoken of collectively as one transcendent Being or one Divine Realm: sometimes, even, where one of the Three is definitely named, the entire context shows that the reference is not to the Hypostasis actually named but to the Triad collectively or to one of the two not named: thus where the All-Soul is specified in a moral connexion the reference may really be to The First, to The Good; and where the connexion is rather intellectual than moral or merely dynamic, the All-Soul may be used as a comprehensive term for the Godhead with a real reference to the Second Hypostasis, to Divine-Mind.

The Triad, it must never under any stress be forgotten, is The Divinity, and each Hypostasis is Divine: the All-Soul, as Jules Simon well remarks, is the expression of the outgoing energy of the Divinity as the Intellectual-Principle is the expression of the Godhead's self-pent Thought or Vision.

The Divinity is communicated and approached by the channel of any one of the three Hypostases. The Intellectual-Principle has its

Act about The First, towards Which it 'looks' in eternal 'contemplation', while, of its lavishness, it engenders the Vital-Principle or Soul; similarly the All-Soul ceaselessly 'looks' towards the Intellectual-Principle, while, of its lavish energy, it engenders or creates all the lower, down to the lowest form of being in the visible universe. Thus the Divinity is communicated to all things. Now this action within the Divine-Circle is reflected by a parallel action in the lower Cosmos. All 'Nature', even in the lowest, is in ceaseless CONTEMPLATION and ASPIRATION: while every being, until the ultimate possible is reached, tends to engender an image of itself, it tends also to rejoin the next highest, of which it is itself a shadow or lower manifestation: even MATTER, all but outcast from the sphere of Being and unable to engender, has the power of receiving form and is, thereby, tending feebly towards Authentic-Existence, towards Soul and Mind, and so is linked, distantly, with the Divine.

The Gods and Daimones

'The Gods' are frequently mentioned in the Enneads: the words are generally little more than a fossil survival, an accident of language not a reality of thought. Where, however, Plotinus names Ouranos (Caelus), Kronos (Saturn), Zeus (Jupiter), he indicates the three Hypostases of the Divine-Being: this is part of his general assumption that all his system is contained already in the most ancient knowledge of the world.

Where we meet 'The Gods' without any specification we are to understand, according to the context: sometimes the entire Divine Order; sometimes the Divine-Thoughts, The Ideas or Archetypes; sometimes exalted Beings vaguely understood to exist above man as ministers of the Supreme; sometimes the stars and earth, thought of, at least in their soul-part, as Divine-Beings; sometimes the words indicate, vaguely, the souls of lofty men; sometimes there is some vague, sleepy acceptance of the popular notion of the Olympian personalities.

The DAIMONES are, strictly speaking, lofty powers beneath the

‘Gods’: in practice they are often confounded with the Gods: the same word is translated here, according to context and English connotation, by ‘Supernals’, Celestials, Divine Spirits, Blessed Spirits.

Man: His Nature, Powers, and Destiny

Porphyry’s arrangement of the Enneads has, at least, this one advantage that Plotinus’ work opens for us with a tract dealing mainly – and not inadequately or, on the whole, obscurely – with the Nature of Man: here then we may be very summary.

The Third Hypostasis of the Divinity – the All-Soul, the Universal Life-Principle – includes, and is, all the souls: the human soul is, therefore, the All-Soul: but it is the All-Soul set into touch with the lower: it is the All-Soul particularized for the space, at least, of the mortal life of man.

This particularization is necessarily a limitation: it sets bounds: it comports a provisory application to this rather than that; we may, therefore, discern phases of the All-Soul in us. These phases or images of the Divine-Soul are found to be three; they are:

- 1 The Intellective-Soul, or Intuitive, Intellectual, or Intelligent Soul, or the Intellectual-Principle of the Soul.
- 2 The Reasoning-Soul.
- 3 The Unreasoning-Soul.

1. The Intellective-Soul is impassible, all but utterly untouched by Matter, forever in the nature of things separated from the body: its Act is the act of Intellection, or Intuition, or True-Knowing of Real Existences: it has its being in eternal Contemplation of the Divine: this Act of the Intellective-Soul, identical with the Intellectual-Principle in Man, is, however, not perceived by the Man except when, by a life of philosophical morality (Sanctity or Proficiency), he has identified his entire being with this his highest principle.

2. The Reasoning-Soul is the principle of the characteristic human life: to live by the First Soul, the Intellectual-Principle, is to live as a

God; in this second Soul we have the principle that constitutes the normal nature of man. This Reasoning-Soul is separable from the body but not separated. Its Act is 'Discursive-Reasoning'; it knows, not in the instantaneous, unmeditated, entirely adequate True-Knowing of the First Soul but step by step, arriving by the way of doubt and of logic at a knowledge which is even at best imperfect: in its lower action we have as its result 'doxa', the untranslatable word usually rendered 'Opinion' – in this translation represented according to context, by 'Surface-Knowledge', by 'Ordinary Mentation', by Sense-Knowing or Sense-Knowledge, or the like.

This second phase of the human soul also possesses the three faculties known as Will, Intellectual-Imagination, and Intellectual-Memory. The Intellectual-Imagination and Intellectual-Memory, distinct from the lower Imagination and Memory, deal with the intellectual element of sensation, presenting sensations, as it were, to the higher faculty for judgement and for the uses of the semi-divine life of philosophic Man.

3. The last phase of the Soul, the Unreasoning-Soul, is the Principle of Animal-Life: it constitutes, in conjunction with the body, the Animal as distinct from the Man; here for reasons of emotional connotation or clearness this phase of the soul conjoined with the body has been said to produce not 'The Animal' but 'The Animate' or 'The Animate-Entity'. This conjunction is also called by Plotinus the 'Two-together', usually translated here as the Couplement.

The faculties of this 'Unreasoning-Soul' or of the 'Couplement' are the Sensible (or sense-grasping) imagination and sensible Memory, the appetites rooted in the flesh, passivity or the faculty of sensation, and the vegetative, nutritive, and generative faculties.

This last soul, or phase of the All-Soul, represents in man the very lowest 'strength' of the Divinity except for the Matter which is organized by the All-Soul into the form of the body: this last soul, in other words, represents the bare fact of life, going as low as the life of the plant.

The word Soul used of man often conveys, in Plotinus' practice, the idea of the highest in man, what we should be apt to call Spirit;

sometimes, where the notion is mainly of intellectual operation, Mind will be the nearest translation; very often 'Life-Principle' is the nearest.

Matter

As in Man before the organization or shaping by the All-Soul, so everywhere else there is Matter, always the same: there is a certain tendency to think of Matter as being 'material', e.g. in man as flesh or clay, in the world at large as some sort of powdery beginning or residue of things: this misconception must be carefully guarded out. 'Matter', says Jules Simon, 'is rather a demand of thought than a reality of existence': this is perhaps to state the case rashly, but it is certainly nearer to the true conception than is the notion the word conveys to the uninstructed mind.

Matter is the last, lowest, and least emanation of the creative power of the All-Soul, or rather it is a little lower than that even: it is, to speak roughly, the point at which the creative or generative power comes to a halt; it is the Ultimate Possible, it is almost Non-Being; it would be Non-Being except that Absolute Non-Being is non-existent, impossible in a world emanating from the bounty of Being: often no doubt it is called Non-Being but this is not in strict definition but as a convenient expression of its utter, all-but-infinite remoteness from the Authentic-Existence to which, in the long line of descent, it owes its origin.

We are to think of it – as is indicated in the tract on Evil (I. 8) – as invisible, imperceptible to any sense, unknowable by any reach of the mind except by its negation of all that the mind can however feebly grasp, as utterly outside the realm of form except in so far as feebly it stretches towards some determination in the universal pining of all things towards the Goodness and Wisdom from which however remotely all have sprung.

Evil

In so far as Evil exists, the root of evil is in Matter; but Evil does not exist; all that exists, in a half-existence, is the last effort of The

Good, the point at which The Good ceases because, so to speak, endlessness has all but faded out to an end. If this seem too violent a paradox to be even mentioned amongst us, we must remember that it is to some degree merely metaphorical, like so much in Plotinus: it is the almost desperate effort to express a combined idea that seems to be instinctive in the mind of man, the idea that Good is all-reaching and yet that it has degrees, that an infinitely powerful Wisdom exists and operates and casts an infinite splendour on all its works while we ourselves can see, or think we see, its failures or the last and feeblest rays of its light.

Morality

The existence, or half-existence, of Matter brings about the necessity of morality. The Divine perfection is above morality, is 'unmoral'; the purely material is below morality; morality is for man; man – being divine at his topmost pitch and 'human' at the mean, and brute below that and merely vegetative below that and merely Matter in the lowest range of his nature – man, if he is to reach his good, the desired of every being, must 'what in him is dark illumine, what is low raise and support', if he is to rise to the height of his great argument, become what his highest is, attain his eternally destined Term.

The Term and the Way

His Way is indicated in many sumptuous passages of the Enneads – it is coldly charted for him in the tractate on Dialectic, I. 3. The Term is more richly described in the famous sixth tract of the same First Ennead: the main need, the cry, of man's nature is to become actually, as he is always potentially, Divine: all his faculties, images each of its next highest, culminate in the Intellectual-Principle or Intellective-Principle, the Intuitional or True-knowing Faculty; and his duty, or rather his happiness, his blessedness, his deepest inner voice, is to labour his entire being into identification with this, the Divine in him: through this inner Divine, in an ecstasy away from all the lower and, first, from all that links him to Matter, he may even in this life attain to the 'possession' of the God-head in an ineffable act of identification, becoming UNIATE, one with God, actually God, and foretasting the blessedness of the final Return after which he is for all the space of eternity to be with the

Godhead, to be Divine, or to be God.

MINOR POINTS OF TERMINOLOGY

Authentic-Existent, -Existents, -Existence represent what is usually conveyed by the English philosophical term Real-Being. This choice was made, mainly, on considerations of literary convenience: an original writer can so play with his sentence-construction as to avoid the awkward clash between the noun and participle; a translator works more freely when there is no possibility of this clash.

It happens, moreover, that the adopted term is in itself better, at least for Plotinian uses: Real-Being carries some undesirable suggestion of the purely abstract; 'The Authentic-Existent' comports something of the notion of Person or Individuality in an august sense and, so, is often, though not by any means always, nearer to the Plotinian notion. The need of some such departure from the customary term was suggested by Mr Meade's use of the emphatic 'That which is' for the same notion; Mr Meade's term was rejected only because it sounds a little grandiose, does not pack conveniently into every sentence, and has no handy plural.

As for Plotinus' use of the idea, it must be pointed out that it represents most often the very superlative of altitude but sometimes is employed in a derogatory sense: the Sphere of Existence is often The Intellectual-and-Intelligible-Cosmos, Divine Mind, or in general The Divine; sometimes, however, it means the realm of process or of 'Becoming', as opposed to the stately immobility of the Divine Beings, then considered as collectively Supra-Existents.

SENSATION and SENSE-PERCEPTION are used, almost indifferently, for any action or passive-state by which man experiences the material world or any of its manifestations or representations.

ACT, with the capital, usually translates the difficult word ἐνέργεια and stands for the Expression of the Identity of any being or for its characteristic function, an expression and function which may, often, be entirely within, the very reverse of any operation

upon the outer.

In general, Capitalization implies some more or less important technical use of a word.

‘THERE’ – ‘IN THE SUPREME’ – ‘IN THE BEYOND’ and other similar words or phrases translate at convenience the work ἐκεῖ used by Plotinus for the Divine Sphere, the Intelligible World.

THE PROFICIENT translates ὁ σπουδαῖος, and means the achieved Mystic, the Adept, almost the ‘Uniate’, the human being who has become ‘wholly the Divine’.

PHILOSOPHY in Plotinus often means not Metaphysics but the Act or State of the Uniate: it might, often, without much fault of tone, be taken as the equivalent of 1, Sanctity, and 2, the Mystic Way.

EARLIER and LATER refer to order of emanation and therefore convey the rank of nearness or farness with regard to the Divine.

‘WE READ’ represents the ‘He says’ with which Plotinus, like the Pythagoreans referring to their own Master, quotes or paraphrases Plato. Where Plato is mentioned by name the name appears in this translation. It has not been judged necessary to give chapter and verse for the Platonic references since the passages are invariably those which have most entered into controversy or into literary allusion.

‘ELSEWHERE’ and similar phrases may puzzle the reader: it must be remembered that we are reading the treatises in the order not of Plotinus’ writing but of Porphyry’s editing: an allusion or demonstration referred to in this First Ennead may be contained in the Sixth.



THE PLACE OF PLOTINUS IN THE HISTORY OF THOUGHT

PAUL HENRY, S.J.

Plotinus holds a very important place in the history of thought – important in philosophy, more important in theology and in the development of mysticism.

Heir to the great philosophies of the ancient world, those of Plato, Aristotle, and the Stoics, he borrowed from all of them the insights which he needed, but without surrendering at any point the dominant influence of Platonism. Eclectic in appearance but powerfully unified by the strength of a single pervading impulse, his system has, by various channels often obscure and often indirect, come to be and remained one of the guiding forces in the thought of the West, whether Christian or secular, from Augustine and Scotus Eriugena to Dean Inge and Bergson. He is the last great philosopher of antiquity, and yet in more than one respect, and notably in the stress which he places on the autonomy of spirit, he is a precursor of modern times.

He is in the West the founder of that speculative mysticism which expresses in intellectual or rather supra-intellectual and ‘negative’ categories the stages and states of union with the Absolute. It is a mysticism wholly philosophical, transposed into a new key which is specifically Plotinian; and it differs very greatly from the mysticism of St Paul or St John with which through the centuries it runs parallel or combines, often almost unconsciously,

though at times also it is in conflict with the Gospel mysticism.

Porphyry published the works of his master Plotinus (204–70) at the beginning of the fourth century (in 301¹) – that is to say, just when Christianity was about to become under Constantine the official religion of the Empire and when, above all, Christian thought was about to reflect in the full light of day, through its theologians and in its Councils, upon the Biblical revelation and to set itself the task, while remaining faithful to that revelation, of expressing it in new terms.

Ten centuries of the Middle Ages, though knowing nothing of the *Enneads* of Plotinus, remained paradoxically enough, if only through the mediation of St Augustine and the pseudo-Dionysius, closely dependent upon his thought. Of St Thomas Aquinas Dean Inge could write, not without exaggeration but with some plausibility, that he was nearer to Plotinus than to the real Aristotle.

The Renaissance, in the person of Marsilio Ficino, rediscovered his works and was enthralled by his teaching. Later, such religious thinkers as the Cambridge Platonists, such philosophers as Berkeley and Hegel, such poets as Novalis and Goethe interested themselves in him and contributed by this interest towards the creation of an atmosphere in which his works, having been edited, translated, and explained, are no more obscure than those of the many-sided Aristotle or of their common master, the ‘divine’ Plato.

It is not the aim of this Introduction to discuss for their own sake the themes, even the essential themes, of Plotinus’ thought: the thing has been excellently done many times already.² The most we can do is to seize upon certain controversial but characteristic points which may help us to determine his proper historical setting. It may, in other words, be of interest to consider him as a link in an unbroken chain which extends from Plato to Bergson, as a thinker inspired by his predecessors and by ‘the god who is in him’ and in turn inspiring many of those who came after him. At the risk of often simplifying extremely complex problems – yet καλός ό

κίνδυνος³ – and without being able always to exhibit the detailed reasons in support of opinions sometimes summarily expressed, we have to try to uncover the time-honoured themes which were inherited from Greece and above all from Plato, were transmuted by the prevailing interests of Plotinus' own epoch and by his personal genius, and which went on to impress themselves deeply upon certain abiding traditions of Western thought.

If he had been able to foresee and to measure in advance his influence on the Christian or dechristianized West, he would have attributed it entirely to the exceptional, and indeed, in his view, unique value of the authentic Platonism of Plato – a Plato not transmuted and transposed but rediscovered and revitalized. It is not rare for great philosophers to claim the authority of an illustrious predecessor and to ensure his survival through the power of their own creative genius acting in a spirit of loyalty without servility: Aristotelianism itself, in a sense the most formidable adversary of Plato and of his 'disciple' Plotinus, lives on in large areas of Western thought and notably in Western theology through the influence of St Thomas Aquinas, who, it may be added, was perhaps more strongly tinged with Platonism than he often himself realized. Perhaps it is a characteristic of certain great philosophers of originality and power to associate themselves closely with a great predecessor whose work they can recapture without merely reproducing it and can transcend while never abandoning it. It is perhaps this creative loyalty which gives to the term *philosophia perennis* whatever meaning it may possess. Plotinus, Augustine, and St Thomas occupy in this respect similar positions in the history of thought, and perhaps we may include in their company Plato himself if we remember his obscure but well-attested relationship with the Pythagoreans and Socrates.

Since it is largely through Christian thought that Plotinus, like Aristotle, has influenced the thought of the West, we shall expect not only to underline the continuity of Plotinus' Hellenism with Christian thought but also to indicate where, in crucial matters,

they part company. It will then be seen, even though the point cannot be elaborated, how in certain respects Christian values, secularized and detached from their original context, sometimes revert to the pure rationalism or the mystical rationalism of Plotinus' Platonism, and sometimes, as in certain types of phenomenology and existentialism, remain, on the philosophical plane, nearer to the Aristotelian and Judaeo-Christian tradition than to the Greek 'idealism' of Plato and Plotinus.

I. SOCRATES AND THE SOUL

It is not easy to state precisely what Plotinus owes to Socrates. Socrates' teaching is difficult to reconstitute, and Plotinus, even more than ourselves, knew it only through the *Dialogues* of Plato, so that his debt to Socrates is a debt to Plato. Moreover, he scarcely refers to him and never invokes his authority.

If it is true that 'Socrates was perhaps the first man in Europe who had a clear and coherent conception of the soul as we understand it, that is as the moral and intellectual personality, the responsible agent in knowing and acting rightly or wrongly',⁴ then Plotinus, along with almost the whole of Greek philosophy and with Plato in particular, owes to Socrates the very centre of his thought. At the beginning of his career, in his treatise on the *Immortality of the Soul* (IV. 7), he observes that, whether we adhere to the Platonic tradition in which the body is the soul's instrument or to the Aristotelian in which the soul is the body's form or act, the essential human being as identified with what we should nowadays call his 'ego' or 'self' (αὐτός) is never the composite (body-soul) but always the soul.⁵ Thus, at one stroke, Plotinus is in opposition to the Biblical and Judaeo-Christian conception which declines to recognize any fundamental opposition, even a logical one, between soul and body.⁶

The conception of the soul as the seat of the personality prepares the way for Christianity, and the fact that Plotinus, following Socrates and Plato, concentrates his teaching on this cardinal point

goes perhaps some way to explain the deep-seated influence which he exercised on the first Christian thinkers and on the mystical tradition of the West. Nevertheless, this very idealism, this spiritual egotism accentuates the difference between even a Hellenized form of Christianity and Hellenism pure and simple. Neither Socrates nor Plato took sufficient note of the will-factor. In their teaching there is no place – as moreover there is none in Aristotle – for sin and plenary responsibility. In this matter Plotinus strove – unsuccessfully, as he realized – to bring harmony into the contradictory affirmations of Plato:⁷ on the one hand, the soul is free, self-impelled, responsible in its ‘fall’ and in the desire to belong to itself, isolated from the whole and from its source; on the other hand, its descent is necessary for ensuring the government, life, and ordering of the universe. Briefly, we have the categorical assertion, without any adequate explanation, of the αὐτός and the κόσμος the ego and the universal order.

The central doctrine of Socrates is that virtue is knowledge. Plotinus agrees.

The Intellect, with which the soul in the higher phase of its life is identified, is without sin and strictly incapable of sinning. ‘Vice is not a perversion of intelligence, but a condition in which this activity is absent or dormant. Wrongdoing is not so much rebellion and defiance as bewilderment and weariness’.⁸ Where wrongdoing simply does not exist,⁹ there can of course be no place either for pardon and expiation or for salvation. Such notions or values imply an absolute freedom which Plotinus denies to the soul and denies to the divinity, whether as identified with the One which is his Absolute or with the Intellect and the Universal Soul. ‘Penance and repentance give place to forgetfulness. The contrast with the Biblical revelation and with certain lines of thought in the Ancient Near East is complete’.¹⁰ Where the Christian sees tragic contradiction, the Neoplatonist diagnoses a weakness or incapacity. It is significant that Plotinian mysticism, although so negative and so demanding, acknowledges neither disquiet nor anguish nor ‘the darkness’ nor the ‘night of the spirit’ of Paul, of Gregory of Nyssa, of Augustine, of Teresa of Avila, of John of the Cross. Denudation is not sacrifice. ‘For the Alexandrian’ – as for Socrates – ‘complete

attention and perfect consent far from being the conditions of sin, make any offence impossible.’¹¹ Spirit cannot sin. ‘Wrongdoing in Plotinus is perhaps allied to the Buddhist conception of wrongdoing or to Spinoza’s doctrine of the inadequate idea.’¹²

Furthermore, despite a number of Stoicizing affirmations to the contrary and the adoption of the doctrine of universal ‘sympathy’, man is for Plotinus fundamentally isolated. He is not, as he is for Aristotle and perhaps even for Plato in the *Laws*, a ‘political animal’. There are not in the *Enneads* ‘deux sources de la morale et de la religion’. In the pursuit of happiness, in the search for God, society has no place. The sage is a monad, basically unrelated to any other monad. No solidarity exists of man with man, whether in good or in evil. How different from Judaism and Christianity, in which the doctrine of original sin, so difficult for Greek rationalism, plays a fundamental part!

Finally, salvation is not to be achieved. It is achieved. For its realization it is enough that the individual should become conscious of what he is already in his inmost nature, where Intellect which is beyond the virtues identifies itself with true being and with the idea which one forms of the self, of the world, and of God. The anchoritism of the soul and of God excludes at once all sacramentalism and all true history of becoming. The latent actuality of salvation and the cold transcendence of God make it impossible, in terms of Plotinian Socraticism, to conceive of any genuine doctrine of grace.¹³

This sort of outlook, which is really not so much arrogant as individualist and intellectualist, has strongly influenced – and in view of the Gospel message, perhaps unduly – some Christian ascetics who, following in the footsteps of Plotinus, have sought salvation in flight and union with God in solitude.

By and large, however, that Socratic heritage which is the conviction of the existence and supreme dignity of the human soul as a traveller in eternity and an amphibian hovering between two worlds – the heritage which was rethought by Plato and transposed

by Plotinus into a mysticism more rational or rationalistic than religious – was on the way to cementing a centuries-long alliance with the Gospel revelation and, through it, with virtually all the great philosophies of the West – philosophies which, though often freed from theological tutelage, were nevertheless born on Christian soil – the philosophies, let us say, of Thomas Aquinas and of Spinoza, of Descartes and of Kant. It is only in our own day that we see influential schools of empiricism and behaviourism, Marxism, logical positivism, existentialism all deviating from the Platonic tradition and from Christian intellectualism – a deviation which is perhaps their only common denominator.

II. THE ‘IDEAS’ AND THE ‘GOD’ OF PLATO

Plotinus would have been surprised at being thought of as the founder of a new school, Neoplatonism. He considered himself a Platonist pure and simple, without prefix or qualification – in other words, as an interpreter and follower of Plato.¹⁴ Plato, in his view, possessed the truth, the whole truth. In his polemic against the Gnostics he accuses them either of plagiarizing Plato or, when they abandon him to ‘split hairs’ and invent new doctrines, of ‘departing from the truth’ (II, 9. 6). That Plotinus’ claim was sincere is not in doubt, however much it may astonish us, finding as we do a different system implicit in the *Enneads* and also a different spirit from that of the *Dialogues*. Where Plato presents us with the stages of a thought for ever inquiring and for ever moving beyond itself, Plotinus finds achieved results. Dialectic becomes metaphysics; what was dynamic takes on the garb of fixity, though the breath of mystical aspiration which dominates the *Enneads* confers its own powerful impulse upon the whole. A small number of texts, almost always the same, are torn from their context, erected into axioms – $\varphi\eta\sigma\acute{\iota}\nu$ which normally has Plato for its subject is almost an $\alpha\upsilon\tau\omicron\varsigma\ \acute{\epsilon}\varphi\alpha$ – and then, strung together often fancifully, are organized to form a body of doctrine. On occasion, however, Plotinus, falling back into the role of interpreter, can recognize in Plato obscurities, hesitations, contradictions,¹⁵ though only in rare cases is he willing or able to strike out on a line of his own.

Three essential points of doctrine in Plato are essential also for

Plotinus. Subject to important corrections and amplifications, they remain fundamental in the philosophical tradition of the West, whether this tradition has remained Christian or become secularized. If Plato lives on, it is largely in a Plotinian context and therefore with a new accent. If Plato and Plotinus are still alive, it is in a great measure because Christianity, finding a natural ally in Platonic idealism, has taken over its principal doctrines, though not without rethinking them.

First, there is the clear distinction between the world of eternity and the world of time, between the Ideas and the sensible, between here and beyond. This relaxed dualism, which is different from radical dualism, whether Gnostic or Manichaeian, is later to enter the lists against, but through the doctrine of creation to achieve a fusion with, the relaxed monism, different from pantheism, of Semitic and Biblical thought.

Then there is the doctrine, going back to Socrates, of the immateriality and immortality of the soul. Here again Christian reflection is almost as much opposed to Plato and Plotinus as it is inspired by them.

Finally, there is the doctrine of the absolute transcendence of God, located beyond even the Ideas and being.

On these three 'dogmas' Christianity and Platonism at once agree and disagree, with a tension between them which would be unthinkable without the existence of a deep-rooted affinity.

1. *The two worlds.* The distinction and opposition between the 'intelligible world'¹⁶ and the 'sensible world',¹⁷ which are nevertheless bound together by 'participation', is an axiom which Plotinus feels no need to demonstrate but which he stresses many times over.¹⁸ The intelligible world, with the three principal hypostases which mark the grades in its structure, represents for Plotinus the sphere of the divine realities.¹⁹ All the idealism, all the essentialism of the Christian tradition of philosophy and of the secular metaphysical systems which derive from it is here found in embryo, and neither the creationist doctrine of the East, nor the dogma of the Incarnation and of Sacramentalism, nor the

rediscovery of Aristotle who brought the forms back into things, will ever prevent this schema from remaining fundamental and inspiring reflection, asceticism, and speculative mysticism. Would it be going too far to suggest that Kant's distinction between the 'phenomenon' and the 'noumenon', in spite of significant differences, descends from this tradition?

2. *The immateriality of the soul.* The belief that the soul is immaterial was far from being shared by all the Greeks. In this respect Plato, Aristotle, and Plotinus are fairly isolated from the main Greek tradition and a long way from that rarefied materialism which Armstrong calls 'the pneumatic type of thought' and which, envisaging the soul as tenuous matter, has representatives through the whole range of Greek thought from Homer to the Stoics, not to mention the Manichaeans who were not Greeks at all, and which lingers on in Tertullian.

The Socrates of the *Phaedo* had taken up the cause of the immortality of the soul. In Plotinus' very first treatise, *On Beauty* (1.6) – one of the simplest and most attractive and undoubtedly the most read of all his works – he follows Plato in locating the essence of beauty, even of sensible beauty, not in symmetry of parts, as the Stoics had done, but in a non-material principle, in participation in the ideal beauty of the intelligible world, and by this device the theme of idealism becomes associated with that of relaxed dualism. His second treatise *On the immortality of the soul* (IV.7) re-employs, once more against the Stoics but also against the Pythagorean doctrine of the soul as a harmony and the Aristotelian theory of the soul as an entelechy, the essential theme of the *Phaedo* which it justifies by a scholastic (almost Scholastic) discussion of the utter immateriality of the soul – a discussion which contains, as Bréhier observes, 'a storehouse of arguments destined to be used by every future spiritualist'.²⁰

Without going so far as to include Aristotle among the materialists, Plotinus attacks him vigorously on two grounds. He rejects his doctrine of the soul as the body's form (entelechy, i.e. act or actuality of the body) and emphasizes by implication the radical

difference between spiritual and physical – a difference which was to dominate very largely the ethical and metaphysical teaching of the Western world up to the time of St Thomas and the integration of Aristotelian psychology, together with a good deal of Aristotelian ethics, into Christian thought. Along with Plato, Plotinus clings firmly to the personal individuality of souls and their survival after death, at least in the sense that, separated from the body, a soul can receive punishments and rewards in the afterlife. Aristotle, immaterialist though he is, is far from being equally clear and categorical on this point, as medieval and modern controversies have shown plainly enough. Christian thought will follow Plato and Plotinus in this matter, without however accepting the doctrine of metempsychosis, which is bound up with a particular conception of time and eternity, of creation and of history which the Biblical revelation found it difficult to assimilate. Similarly, Christianity, like Platonism, will look to absolute standards, indeed often to God Himself, for the laws of conduct, whereas Aristotelian empiricism, unless corrected, is inclined to lead towards relativism and scientific humanism.²¹

A vital role is assigned by Plotinus to the inner experience, the return upon oneself, which is described in the wonderful opening of the treatise IV. 8: ‘Often I awaken to myself and escape from the body...’ In the equation between contemplation and action lies the very centre of Plotinus’ metaphysics; here beats ‘the very heart of his system’.²²

No doubt ‘the warm feeling of inwardness’,²³ which marks the essay *On Contemplation* (III. 8), is common at this period to Neoplatonism, to Gnosticism, and to Christianity, whose affinities have been more and more closely observed in our day, only to make us increasingly aware of their irreducible antagonism.²⁴ Plotinus is always nearer to Plato than to Aristotle; his metaphysics therefore is not so much meta-physics as meta-psychology, and his theodicy leads not from the movement of the spheres to the unmoved mover, but from the soul’s desire to that One which alone

can satisfy it. His starting-point is not nature but soul. The soul, an 'amphibian'²⁵ and a traveller, re-ascends through the power of dialectic to Intellect, and then by a process of purification, of utter simplification, arrives at the point of contact with the pure and simple Absolute, the One. It is multivalent in its nature, and without leaving the intelligible world it makes a constant passage to and fro, and so again descends to consciousness and the world of experience. In contrast with the Gospel, Plotinus does not go so far as to conceive the soul in opposition to the world of sin (since in point of fact he recognizes neither sin nor salvation), but on the other hand he is without awareness of any true eschatology, and is no more concerned with a doctrine of the resurrection of the body than the Areopagites addressed by St Paul.²⁶ He pours scorn on the Gnostics and by implication on the Christians, who in this respect were their allies, for making man the centre of the universe and the subject of a redemption, but he stands with the Gnostics against the Christians in maintaining that the soul must rely on its own unaided efforts to reach the goal of its destiny.

Plotinus' system is never explicit; it is not articulated into theorems as is the case with Proclus, or into questions as with St Thomas, but is throughout implicitly present as a totality in each particular theme. Its characteristic feature is the intimate conjunction, amounting to fusion, of two problems, the religious and the philosophical – the problem of the soul, of its 'actuality', its states and its experiences, and the problem of the world, its objects and their rational explanation. What is new, even in the doctrine, which is usually considered typically Plotinian, of the three hypostases, the One, Intellect, and Soul, is not the letter, but the spirit: it is the notion of making the 'Ideas' states of being of the Intellect and no longer distinct objects, of bringing the very subject of thought into the intelligible world, of considering the hypostases less as entities than as spiritual attitudes.²⁷ His theology is a synthesis of cosmogony (kosmos = world) and psychogony (psyche = soul). Without ceasing to obey the commands of reason, Plotinus

is in his most philosophical passages constantly borne along by a deep mystical impulse; as a result 'his religious thought is as much opposed to ordinary representations of the universe in the salvation religions as his philosophical thought is to Greek rationalism'.²⁸ He hardly ever appeals to his own personal experience,²⁹ and though he may sometimes adopt the style of the devotional diatribe, we are far removed from the pulsating *Confessions* of St Augustine, in which philosophical problems and problems of the inner life are inextricably interwoven. The last words of Plotinus, which are not, as has been believed through the centuries, a disclosure of his own dying thoughts but a maxim bequeathed to the living as a legacy,³⁰ sum up and express with his customary perspicuity and tact the one concern of the philosopher and the spiritual director: 'Strive to bring back the god in yourselves to the Divine in the universe.'³¹

3. *The transcendence of God.* Plotinus identifies as a matter of course³² the Good of the *Republic*³³ and the absolute One of the first hypothesis of the *Parmenides*.³⁴ This identification which, in the words of Plato, situates the Good 'beyond being' and which denies to the One all multiplicity – be it only virtual and logical, a multiplicity of names, attributes, forms, or aspects – constitutes the basis of the 'negative theology' which, in Plotinus and in his disciples, plays so great a part in the doctrine of God and of the mystical experience.

The problem of sources is here particularly difficult. The true thought of Plato on the nature of his god and of his 'religion' is still a matter of controversy. Moreover, the philosophers who form the connecting links between Plato and Plotinus are very imperfectly known, especially the middle Platonists whose works, read by Plotinus, have since perished. We do not even know what he owed to the inspiration of Ammonius Saccas, his teacher. The problem is none the less of considerable importance. The reply which we give to the question of the origin of the Plotinian doctrine of the three hypostases, the One, Intellect, and Soul, largely determines the significance and bearing of this doctrine in the *Enneads* and consequently the extent of Plotinus' real originality and his place in

the history of ideas.

The question may take either of two forms, which must be carefully distinguished from each other. To whom did Plotinus believe himself to be indebted for his doctrine? To whom was he in fact indebted – in other words, what is the true source of the theory of the three hypostases and of the transcendent One?

The answer to the first question is perfectly clear.³⁵ In one of his very first treatises and one of the most revealing of all, *On the three principal hypostases* (V. I), which Porphyry placed somewhat astutely at the beginning of the ‘theological’ *Ennead*³⁶ and which is the treatise most often quoted by the Fathers of the Church,³⁷ Plotinus explicitly connects the distinction between the One, Intellect, and Soul with the three ‘ones’ of the first three hypotheses of the *Parmenides* and asserts emphatically that his doctrine is not new and that it is in complete agreement with Plato, who, he adds, is ‘more precise’ than the *Parmenides* of history.³⁸ Furthermore, he associates the doctrine thus interpreted with an obscure passage in Plato’s *Second Letter*, which he often quotes, and indeed once misquotes, and in which reference is made to three ranks of precedence among the higher realities. By combining these passages, no doubt unwarrantably, with others in the *Timaeus* and with the well-known passage in the *Republic* (VI. 509b),³⁹ he obtains his hierarchy of the intelligible world.

Plotinus remains consistently faithful to this interpretation and this systematization. In fact, a series of key-phrases in the *Enneads* recall, by their close and almost word-for-word parallelism, phrases of the first two hypotheses of the *Parmenides* (though not the third), and the God of Plotinus, in contrast with the Intellect, is therefore described, with whatever justice to Plato’s genuine thought, in terms which are Platonic.

The One is the One and nothing else, and even to assert that it ‘is’ or that it is ‘One’ is false,⁴⁰ since it is beyond being or essence.⁴¹ No ‘name’ can apply to it; it eludes all definition, all knowledge;⁴² it can neither be perceived nor thought.⁴³ It is not in

movement, nor is it at rest.⁴⁴ It is infinite, without limits, and since it has no parts, it is without structure and without form.⁴⁵

The second hypostasis, as distinct from the first which transcends it, is extracted exegetically from the second hypothesis of the *Parmenides*, and it is to this that the predicates of being and thought belong, predicates often contrary but ‘dialectically’ combined. The same expressions return, but they are influenced by a new factor. In contradistinction from the One *par excellence*, Intellect is a One-in-Many⁴⁶ (the Soul being in turn a One-and-Many),⁴⁷ it is at once in movement and at rest;⁴⁸ infinite like the One but infinite in a different way and for the reason that its essence is broken up into an infinity of parts⁴⁹ which are each identical with Intellect as a whole and which have nevertheless the power of remaining severally distinct.⁵⁰

One absolute, three hypostases. In the same treatise, V. I, Plotinus attacks Aristotle’s assertion that the first principle, transcendent and intelligible, thinks itself – an assertion which in his view is tantamount to abrogating its primacy.⁵¹ Here Plotinus goes back directly to Parmenides⁵² and to his dictum that ‘to think and to be are the same thing’, and he is thus able to establish, beneath the One which is their cause, Intellect, the Intelligible, Being, and Essence, all on the same level.⁵³ If he fails to find the identity of Intellect and Being explicitly affirmed by Plato, he can on the other hand infer from the *Republic* that the Ideas are essences,⁵⁴ can identify the ‘animal-in-itself of the *Timaeus*⁵⁵ with his own Intellect, and, proceeding along this road, can read into the *Dialogues* what is specifically an Aristotelian doctrine. For, in the last resort, it is without a doubt to Aristotle rather than to Plato⁵⁶ that he owes the fundamental principle that the thought *par excellence* is self-thought, in which intelligence and intelligible coincide.⁵⁷ We have here, as is well known, one of the most characteristic descriptions of the unmoved First Mover, Aristotle’s Absolute,⁵⁸ which is as indifferent to, and as distant and detached from, the world of man as is the One of the *Enneads*.

If we recall that the soul of the world, the third hypostasis, while

being transcendent to the sensible world, is yet the seat of Providence and that it exhibits certain features which remind us of the immanent God of the Stoics,⁵⁹ we may be tempted to say that the three Plotinian hypostases are, roughly, the three Gods or Absolutes of the three great philosophies which preceded him, Platonism, Aristotelianism, Stoicism, though always transposed into a Platonic key and connected, rightly or wrongly, with entities in the *Dialogues*. The One, on this assumption, would be the God of Plato, the Good of the *Republic* identified with the absolute One of the *Parmenides*. The thought which thinks itself and in which Being and Intellect coincide would be the first principle of Aristotle. Lastly, the soul of the world would conjure up certain features of the Absolute of the Stoics, the vital principle immanent in the world.

Dean Inge is in error, historically, philosophically, and theologically, when he implies⁶⁰ that there are in the *Enneads* virtually three gods and three absolutes: the soul of the world being the God to whom we pray for our temporal needs; the Intellect, which is the God of spiritual progress, of eternal life, and of celestial happiness; the One, which is the ineffable divinity (Godhead rather than God) of the mystics rapt into ecstasy. It seems extremely difficult to discover this separation of a single and identical Goodness, of a single and identical Absolute, either in the writings of the great religious thinkers or in the souls of simple men of faith. It is clear also that the One is alone the Absolute for Plotinus, that it corresponds with whatever or whoever we call God, whether we are philosophers, theologians, or miners. Nevertheless, it is true that the attributes which Christianity concentrates on a single being, its Triune God with three equal persons, are distributed by Plotinus among three hypostases which are at once distinct and unequal, the One being the source of all things, the Intellect the seat of self-thought and of the unchanging Ideas, the Soul of the world the seat of Providence, though it is far less a personal and voluntary power than an immanent and

necessary order in the evolution of beings and events.

III. ARISTOTLE AND THE STOICS⁶¹

Of Plotinus we are told by his pupil Porphyry that ‘his writings are full of concealed Stoic and Peripatetic doctrines; Aristotle’s *Metaphysics*, in particular, is concentrated in them’.⁶² This statement is borne out by the facts, and critics are becoming more and more alive to its accuracy. The ‘latent’ (*λανθάνοντα*) influence of non-Platonic doctrines on a system which professes to be wholly and exclusively Platonic might indeed seem paradoxical but for the fact that the ‘Platonic’ tradition before Plotinus was already strongly, if often unconsciously, Peripatetic and Stoic, and other philosophers, including Plotinus’ own master, Ammonius Saccas (if Hierocles is to be trusted), had set out to demonstrate the essential agreement (*ὁμοδοξία*) of Plato and Aristotle.⁶³

We do not know what major Stoic treatises Plotinus may have studied, if any at all. It seems unlikely that he had read them in the classroom, for, if so, Porphyry would surely have told us. It is improbable that he had direct access to the works of Zeno, Cleanthes, and Chrysippus or even to those of Posidonius (140–46 BC). When in one of his first treatises, *On the Immortality of the Soul* (IV. 7), refuting their materialism, he proves that he has a detailed knowledge of their tenets, he might only be following an Aristotelian textbook very similar to Alexander of Aphrodisias’ refutation of the Stoics: his arguments are more Aristotelian than Platonic.⁶⁴

Of Aristotle’s works Plotinus had a direct and intimate knowledge. He writes a long and textually minute discussion of the *Categories* (VI. 1, cf. VI. 3), and examines with firm precision the doctrine of the soul as entelechy (IV. 7, 8).⁶⁵ It has been tentatively suggested that, even when the Aristotelian commentaries of Alexander were (as Porphyry tells us) read in his class as a starting-point for Plotinus’ own exposition, he went back to the original Aristotelian text.⁶⁶

All critics agree that Plotinus owes his identification of object

and subject in the process of thought to the influence of Aristotle and particularly to his doctrine of the Prime Mover as self-thinking thought. But, with Armstrong,⁶⁷ we must distinguish two phases of this identification which are often confused. On the one hand, there is the demiurgic principle that the ideas or prototypes of this world are the thoughts of God the Creator, and this is a purely Platonic development which seems to go back at least to Antiochus of Ascalon and whose first clear witness is Philo.⁶⁸ The other phase, purely noetic, ontological, and epistemological, was a subject of controversy in the Platonic school itself, as we know from Porphyry's difficulty⁶⁹ in accepting Plotinus' doctrine that 'the intelligible objects are interior to, and identical with, the intellectual subject'. This is the precise theme and title of the treatise V. 5 (32 in the chronological sequence). The doctrine obviously owes much to Aristotle, although the object of thought for Aristotle's Prime Mover is himself alone and not the archetypes of the world which, moreover, he does not create. We have therefore a blend of Peripateticism and Platonism. Albinus⁷⁰ seems to have been the first to have transferred the Forms as ideas of God to the First and non-demiurgic Intellect, and so far as this same doctrine is held by Plotinus, Armstrong thinks that the commentaries of Alexander, whom Plotinus studied, may have played an important part.⁷¹

What Plotinus rejects in the doctrine of Albinus and Aristotle and practically all his predecessors, apart, as he believes, from his master Plato, is the notion that the Supreme has any kind of consciousness or knowledge of self, as represented in Aristotle's doctrine of the Absolute; and he rejects it because he fears to introduce into the One even a merely virtual or logical duality.⁷² It is significant that in one of his most beautiful treatises, *On Contemplation* (III. 8), Plotinus does *not* say that the One is contemplation ($\theta\epsilon\omega\pi\acute{\iota}\alpha$). Although he agrees with Aristotle in rating contemplation above action, as elsewhere he disagrees with him in preferring undifferentiated power to definite act,⁷³ he has

serious reservations about the value of consciousness.⁷⁴ The One is not reached by thought or any noetic method; the ultimate goal of the soul's ascent and conversion is best stated in terms of presence, contact, and, best of all, unity.⁷⁵ Something similar is to be found in Bergson's philosophy with its preference for 'intuition' and 'élan vital' over a conceptual and conscious, clear-cut and clear-cutting process.

The impact of Stoicism upon the doctrine of the *Enneads*, although very different, is no less considerable: it is indeed increased by the fact that Stoicism presents itself in clothes borrowed from the Middle Platonists, and thus is taken over, as it were, in disguise. Innumerable parallels can be and have been drawn, especially by Theiler,⁷⁶ between classic tenets of the Stoics and certain theories of Plotinus. Yet this dominant influence operates not so much by way of definite themes derived from predecessors as by a sort of osmosis giving a particular emphasis to his thought. This is all the more surprising since Plotinus is constantly attacking the 'materialism' of the Stoics, as when he discusses their theories of perception, of memory, and in general of the soul. The influence is great on two levels, and on both Plotinus has no doubt that he is following the strict letter of Platonism.

The Stoics are convinced, and Plotinus with them, not only that the world is one, but also that it is harmonious and good: hence the doctrine of Providence, which Plotinus locates not in the Intellect, but in the All-Soul. This third Plotinian hypostasis, as has already been suggested,⁷⁷ possesses many characteristics of the Stoic divine and absolute principle, fire, logos, power, which holds together 'in sympathy' all the parts of the physical universe. For Plotinus, however, the All-Soul, though immanent in the world, is, of course, never wholly immersed in it; for him, as for Plato, it is also transcendent; only the individual souls 'fall', and even they can always re-ascend.

This closely wrought harmony of life, being, and reason, which, in relation to this world, is emphasized by the Stoics and by Plotinus alike, is furthermore transferred by him to the upper

world. If not in origin, at least in its immediate setting pantheistic and materialistic, this doctrine is spiritualized, Platonized, and shorn of all pantheism, if only by the simple fact that the One, the metaphysical Absolute and the end of the soul's quest, transcends both the intelligible world of being – with its many Stoic traits – and the physical world of becoming.

Richard Harder⁷⁸ rightly warns us to beware here of the dangers which apply to all *Quellenforschung* and not least when the source is Stoicism and the *Forscher*, the scholar, lives in a world of thought very similar to that of the pre-Plotinian Stoics, with its emphasis on the dignity of man ('measure of all things'), the unity and rationality of the world, the tendency to reduce psychological and spiritual experiences to naturalistic phenomena. The all-pervading rational dynamism which was the Stoics' main 'hypothesis' of research and explanation is not unlike the present-day doctrine of evolution, except that, instead of admitting, rightly or wrongly, a progress from the lower and less developed to the higher and more developed, they would prefer to say that all stages and states of being are already present in a perfect and complete world. Nothing could be further removed from the essential intuition of Plotinus. He, too, presents the all-powerful dynamism, the single δύναμις, but whereas for the Stoics, as for many of our contemporary thinkers, the power is *diffused* throughout the universe, for Plotinus it is *concentrated* in one supreme reality, the One, an Absolute having nothing in common with what comes after or below it, not even with the intelligible world, however beautiful, of the Middle Platonic tradition. Therefore, if the modern scholar traces, as he undoubtedly can, the doctrine of the Plotinian power or δύναμις to the Stoics, he runs the risk of misunderstanding completely the philosophy of the *Enneads*. The antidote is to be found in Plato's *Dialogues*, and so it was for Plotinus.

IV. THE MIDDLE PLATONISTS

It is not easy to trace the filiation of Plotinus from the group of writers of the second and third centuries AD. whom the critics call Middle Platonists,⁷⁹ such as Plutarch of Chaeronea, Atticus, Gaius, with his disciples Albinus and Apuleius of Madaura, and Maximus of Tyre. The difficulty is threefold. In the first place, we know very little about many of these writers; often we have only fragments of their writings; and in general it seems that, with the exception of Atticus, the more certain we are that Plotinus read them, the less of their works we possess. While there is no evidence that he read the works of Plutarch or of Maximus, which are extant, no works survive of Gaius, Cronius, and Severus, whose commentaries, with those of Atticus, Porphyry⁸⁰ cites as having been used in the classroom as the starting-point for Plotinus' own observations. The second difficulty is that the labels attached to these men do not precisely fit them. Even earlier Antiochus of Ascalon, who was officially an Academic philosopher, may well have been much nearer Stoicism, while, on the other hand, Posidonius, a Stoic, could in some respects pass as a Platonist. Atticus, a fundamentalist among Platonists, is thoroughly dependent on the Stoic philosophy, and so also, on different lines, is Albinus. Celsus was probably not read by Plotinus because he was commonly rated as an Epicurean, and Epicureanism was one philosophy that Plotinus did not even bother to oppose; and yet he might also be counted among the Middle Platonists,⁸¹ and with as much right as Albinus. All these authors are properly called 'eclectics'. This leads us to the third difficulty: if there are in Plotinus, as in Plato and Aristotle, inconsistencies and great problems left unsolved, how much less coherence must we expect in the minor authors unsupported by the urge of a unifying intuition! We shall see an illustration of this point when we come to Numenius.

A few remarks may suffice on two of these immediate predecessors of Plotinus, both Platonists, both strongly influenced by Stoicism: Atticus,⁸² who was violently anti-Aristotelian, and Albinus,⁸³ in whose works Peripateticism is clearly in evidence.

Atticus had little to offer Plotinus, and it is doubtful whether even a minor theme could be found which owes anything to his inspiration. His doctrine is a combination of ethics, metaphysics, and devout religion – the word πίστις ('faith') is not infrequent – but it would be incorrect to call him a mystic. Against Aristotle, whom he constantly abuses, he upholds the Platonic doctrine of the transcendent intelligibles, but without seeming to identify them with either soul or intellect.⁸⁴ Passionately defending the immortality of the soul, and always opposing Aristotle who separates mind, on the one hand, from soul and body, both perishable, on the other, he contends that 'Plato affirms that mind cannot exist without soul'⁸⁵ – a statement which, applied to the second and third hypostases, Plotinus could not have accepted without misgiving, since it would have imperilled the independence of the intellect.

According to Proclus,⁸⁶ Atticus points out that for Plato the supreme Good is ἀγαθόν, neuter, and not ἀγαθός, masculine, and this is an observation which Plotinus could have made in theory, though his practice of frequently using masculine pronouns for the primary hypostasis is scarcely an endorsement. The same passage tells us that Atticus identified the Demiurge with the Good – an identification which Plotinus most carefully avoids – and shows him reluctant to situate the παράδειγμα or world-prototype in the Demiurge for fear of positing multiplicity in the first principle: again Plotinus would have found this kind of reasoning objectionable. Atticus insists on Platonic views about transmigration of souls into new bodies and about knowledge as reminiscence – views which receive no prominence in the philosophy of Plotinus who apparently considers them *dépassé*

The contrast between the two writers comes out with particular vividness in their theories of the creation of the world. Three major points are involved. Both hold with Plato that what was later to be called 'primary matter' (the third principle in the doxographers' summing-up of Platonism) is eternal, but while Atticus never thinks

of deriving matter from the first principle or God, Plotinus tends to do so,⁸⁷ possibly under the influence of that Neopythagoreanism which permitted the indefinite dyad to issue from the monad,⁸⁸ and indeed the whole character of his ‘emanationism’ points in this direction. More important is their exegesis, which is not only different but contradictory, of the same passages in the *Timaeus* which describe creation. Atticus takes these passages, with their temporal reference, literally:⁸⁹ the world had a beginning in time. Plotinus explains them away, and repeatedly affirms, not only that chaotic matter, but also that the ordered cosmos is eternal and has neither beginning nor end.⁹⁰ And lastly, Atticus gives, in the best fundamentalist tradition, a picture of the Demiurge thinking the world out, planning it and then keeping it in existence by the omnipotence of his will;⁹¹ not only is he a voluntarist, but his views are almost as naïvely anthropomorphic as those of the Book of Genesis. For Plotinus, on the other hand, emanation or creation or procession, or whatever the right word may be, is a natural and necessary process, involving no reflection, no δίδωαι⁹² (notwithstanding Plato’s δαοήθμ) and certainly no will: if description is needed, we would rather call it a noetic progression, remembering that the higher never inclines⁹³ to the lower either in will or thought: the principle of ‘undiminished giving’⁹⁴ precludes it.

Thus, although Atticus was read in Plotinus’ class, they turn out to have relatively little in common. It is, indeed, interesting to observe what very different interpretations and reconstructions can result from two such attempts to present Platonism pure and undefiled.

Albinus is a more interesting figure, more original probably than the majority of critics are inclined to admit; it would be most unwise to consider his *Didaskalikos* as merely a systematized reflection of the doctrines of his teacher Gaius,⁹⁵ since Apuleius, another disciple, makes different points and interests himself in different problems. What they share is what is common to many

doxographers of the second century, such as the classical ‘Platonic’ doctrine of the three causes God, the Ideas, and Matter⁹⁶ – though in fact there are only two, as the Ideas are Ideas of God, whether on the level of the first or the second God (or Intellect). Albinus reminds us of Porphyry in his scholasticism and the ease with which he incorporates in his system whole tracts of Aristotelian philosophy: an example is the doctrine of the categories which he tacitly assumes to have been invented by Plato, quite forgetting the Platonic ‘most important kinds’ (μέγιστα γένη) outlined in the *Sophist*, which are constantly operative in Plotinus’ description of the intelligible world and perhaps even an essential factor in the two-way dynamism whereby all things are derived from the Absolute and returned to the Absolute through Identity and Difference, Rest and Movement.⁹⁷

Plotinus read Gaius: whether he read Albinus, we do not know. With Armstrong⁹⁸ I am inclined to think that he did. At any rate there are in Albinus doctrines which point the way, though others, entirely Platonic, are absent.⁹⁹

In keeping with the then prevailing tendency to exalt the transcendence of the first principle, Albinus posits a ‘primary mind’ or ‘primary God’, thus re-introducing, but in a different context of thought, ‘three principles of the world’ where the identity of Ideas and God has reduced them to two. In a still more different context Plotinus also posits ‘three primary hypostases’.

The ‘First Intellect’ in Albinus’ trinity is identified with the sun (i.e. the Good) of Plato’s *Republic*, but also with the First Mover of Aristotle’s *Metaphysics*¹⁰⁰ and, as such, is ‘thinking for ever upon itself’ and is identical with its own ideas, though not perhaps with the Platonic forms. Not only is it the final cause; it is also the efficient cause, ‘the cause and father of all things’, ‘awakening the celestial mind’, itself ‘supra-celestial’.¹⁰¹

The second God or ‘universal Intellect’ (ὀνράνιος νοῦς), identical with its ideas or patterns, is really the creator of the universe and intimately linked, as in Plato, even possibly identified

with, the world-soul or living principle.

Though there is evidence here of a strong movement towards a supracosmic and unknowable Absolute, the two realities are very far from corresponding to Plotinus' first and second principles. The primary Intellect (always νοῦς and not ἐν) not only thinks but 'can be apprehended by the mind alone' (νῶ μόνῳ ληπτόν), the adjective 'alone', like the term 'ineffable' (ἄρρητος) used elsewhere, showing that we have to do with 'la fine pointe de l'esprit'. Not only is it thought and object of thought (as also is the second Intellect), but it is act (ἐνέργεια), as it is for Aristotle, rather than power (δύναμις), as it is for Plotinus. Perhaps the most surprising fact is that nowhere is any appeal made to those famous Platonic texts, *Republic* vi. 509b (the Good beyond essence) or *Epistle* ii. 312e (the three spheres of reality), which are the Plotinian foundations of the doctrine of the One, the Intellect, and the Soul, with distinctions between the three as clear and sharp in the *Enneads* as they are blurred in the *Didaskalikos*.

In Albinus, moreover, the mystical element is totally absent. It is possible to find some kind of mystical *ethos* in the religious and moral fervour of Atticus or in the *Hymn to Zeus* of Cleanthes; some critics have discovered it in one fragment of Numenius (frag. 11), while others would characterize this mysticism, supposing it to exist, as oriental; no one, however, has even tried to bring Albinus into the mystical tradition of Hellenism.¹⁰²

V. THE Gnostics

The importance of Plotinus' Gnostics lies in their being not exactly a source (however remote, unconscious, or attenuated) of his thought, but a decisive factor in his intellectual development, bringing to the fore and accentuating, in his violent reaction to their doctrine, what in his own may have been at first only implicit or proposed with diffidence. He thought them so dangerous to Platonic and Hellenic philosophy that he encouraged his two best

pupils, Amelius and Porphyry, to write lengthy treatises against their numerous so-called *Books of Revelation*. If we are to believe Harder¹⁰³ and if III. 8, V. 8, V. 5, and II. 9 (*Against the Gnostics*) are really one treatise divided into four sections by the third and last of the original editors, Plotinus himself must have devoted many classes and the writing of many pages to answering them, and, as always, he went beyond the varieties and differences of their various schools and vigorously struck at the very heart of the system.¹⁰⁴

Precisely for this reason – because he attacks only what is of the essence of all alike – the Gnostics of Plotinus are difficult to identify. Porphyry mentions them in his biography,¹⁰⁵ and it is probable, though by no means certain, that they belonged to some Christian sect.

Porphyry mentions five Gnostic works known to the Roman Neoplatonic school. Up to 1945, except for a few allusions, these were mere names. Since the exciting discovery of a Coptic library containing about forty Gnostic treatises (some of them in more than one copy) at Nag Hammadi in Egypt, three of the five *Revelations*¹⁰⁶ mentioned by Porphyry have not only been identified but recovered; but all three are unpublished, as though the ancient discipline of secrecy were still binding upon scholars who interest themselves in Gnosticism!

In codex II, as in Porphyry, two of the *Revelations* are closely connected, the Coptic Allogenes Hypsistos and a work in which Messos is a central figure. The third treatise (codex VI), in dialogue form, is attributed to Zostrianus and is probably identical with the *Revelation* under that name which Amelius refuted ‘in forty books’. Those are the three, and perhaps Zoroaster may yet appear; as in Porphyry, so in a cryptographic colophon of codex IX, Zostrianus and Zoroaster are linked as joint or (more probably) distinct authors of a work called *The Word of Truth*, which is also unpublished.¹⁰⁷

If we find no trace of Nicotheus in the Coptic documents,

Plotinus in the treatise II. 9 has four typical expressions – on the same page – to which close parallels are to be found in the anonymous Bruce manuscript.¹⁰⁸ First there is the expression ‘New Earth’ (5, 24); more significantly, in the opening lines of chapter 6, the obviously technical terms ‘Exiles, Impressions, and Repentings’, and these also figure on one and the same page of the Bruce manuscript.¹⁰⁹ These terms and the general character of the work in which they occur have led some critics, such as Reitzenstein, Schmidt, and Festugière, to think that the Gnostics described in II. 9 may have belonged to the sects of Sethians and Archontics and that they were thus pagan Gnostics. Puech, however, has shown that the first two lines of chapter 16 of Porphyry’s biography would on a normal interpretation refer to Christians;¹¹⁰ he further observes that the Fathers¹¹¹ considered the Sethians as ‘heretics’, which would imply that they were Christians. For Epiphanius, Seth is the *Allogènes*, or *the Stranger* to our world of sense and evil. Moreover, study of the Gnostic doctrine refuted in II. 9, and especially of its philosophical and Platonic character,¹¹² points to Valentinus, commonly called a Platonist, who taught at Rome about AD 140–60 and whose school seems to have been still open and active there at the beginning of the third century.¹¹³ We shall probably never know exactly who the Gnostics of Plotinus were, whether Christian or pagan, Valentinians or Archontics, but it is not in itself a matter of great consequence.

As in the case of the Stoics, the other great adversaries of Plotinus, so with these Gnostics, their significance resides in the fact that in so many respects he is quite close to them: he readily acknowledges their Platonism and even calls some of them, who attended his lectures, ‘our friends’.¹¹⁴ For a long time he seems to have lived on terms of peace and harmony with them, but once controversy was kindled, it flared up and in the process shed vivid light on some of the most profound characteristics of his own doctrine. I will select only three of these for brief comment:

(a) The Gnostics are dualists, so is Plotinus; but, once he came to

realize the severity of their dualism and their pessimism, he deflected his own doctrine in the direction of a relaxed dualism. In the seventeen years during which he wrote all his treatises he does not seem to have effectively modified his central intuitions, but the emphasis is palpably different. In his first treatise, for instance, he tends to see in matter absolute evil, because it is absolute non-being; but after his fierce encounter with Gnosticism (the four treatises combined by Harder are 30–33 in the chronological sequence) matter is considered rather as a final mirror-image or a feeble shadow (σκιά) of being.¹¹⁵ Moreover, from the very beginning of his career as teacher and writer, in IV. 8 (chron. 6), 8, 18–23, even matter, whatever its origin, has some participation (μετασχεῖν) in the Good and is not completely separated from it (οὐ χωρίς) The physical world Plotinus at no time regards as wholly bad, but, along with the Stoics and in opposition to the Gnostics, sees it as an harmonious whole, a theatre in which the least virtuous characters are necessary to the drama. For him, as for Plato, it is ‘a beautiful visible God’.¹¹⁶ He may be a dualist, but his dualism is tempered, and with the years, in the last treatises that he wrote, ill and alone, his fundamental optimism asserts itself.

(b) Plotinus shows a marked contempt for the multitude of intermediaries or hypostases accepted by the Gnostics.¹¹⁷ There can, he maintains, be only ‘three principal hypostases’,¹¹⁸ the One, the Intellect, the Soul, subsisting in themselves or rather each in the one above it, but corresponding also to the successive stages of simplification or unification of the self.¹¹⁹ As we shall shortly see in studying Numenius,¹²⁰ who is in this respect very close to the Gnostics, Plotinus peremptorily refuses to distinguish within the Intellect a quiescent phase from an active or conscious phase.¹²¹ To apply here the Aristotelian polarity of act and potency is completely out of place;¹²² so are the Platonic categories of movement and repose,¹²³ apart from their dialectical combination countenanced by Plato; to distribute these pairs among different subjects, as do the Gnostics, is to acknowledge no end to the ever-proliferating

process of intellectual life. Again, a great step in the development of Plotinus' thought towards closer rationalization and more stringent economy in the use of mean terms and ultimate causes is largely due to the pressure of this luxuriant and over-imaginative Gnosticism which he abhorred.

(c) Like many of his immediate predecessors and contemporaries Plotinus accepts a doctrine of salvation of the self from the world. More than once he calls it a flight, an escape.¹²⁴ But he scoffs at the presumption of the Gnostics which sees in man or in his soul something of greater value than the incorruptible stars and the eternal universe.¹²⁵ Man for him is not the centre of the universe; it is rather the universe, including the transcendent One, which is the centre of man. He accepts salvation by philosophy,¹²⁶ but has no use for a Saviour who 'comes down' to liberate man, or even for a Supreme Being which would in any way concern itself with man or with the world except by remaining apart as the ultimate goal of man's or the world's desire. In this respect, the radical opposition of Plotinus to Gnosticism is at the same time a radical opposition to Christianity, which emphasizes the value of man's individual destiny and affirms the necessary mediation of Christ and his loving and redeeming grace.

VI. NUMENIUS AND AMMONIUS

In the long and complex process which gradually turned Platonism into Neoplatonism, Plato himself stands out as the one dominant figure and, in Plotinus' own view, the exclusive source of his doctrine and inspiration. At the other end of the chain, among his immediate predecessors, two philosophers are of outstanding importance, both curiously mentioned in one breath with Plotinus by Nemesius of Emesa,¹²⁷ a fourth-century compiler closely dependent on Porphyry.¹²⁸ In his own lifetime colleagues in Greece accused Plotinus of 'plagiarizing' (ὑποβάλλεσθαι) Numenius of Apamea.¹²⁹ To these contemporaries therefore, the teaching of the

two philosophers must have appeared fairly similar. Only an expert and devotee of both, such as Amelius, also of Apamea, ‘who transcribed and collected all the works of Numenius and was not far from having most of them off by heart’¹³⁰ and who for twenty-four years was the assistant of Plotinus,¹³¹ could show with authority, in a treatise dedicated to Porphyry, the ‘difference’ (διαφορά) in the doctrines¹³² and so vindicate his master’s originality. For eleven years (232–43) at Alexandria Plotinus was an enthusiastic pupil of Ammonius Saccas and thenceforward ‘carried on the spirit of Ammonius (τὸν Ἀμμόνιον νοῦν) in his own research’.¹³³ Along with Plato, these two men, according to reliable witnesses, are therefore the sources of Plotinus *par excellence*.¹³⁴

Numenius, fortunately, we know fairly well. Eusebius of Caesarea and others have handed down fragments numerous and significant enough to allow us to reconstruct the outlines of his doctrine.¹³⁵ He considers himself a Pythagorean,¹³⁶ and that is what the earliest witnesses call him;¹³⁷ for Iamblichus¹³⁸ and Proclus,¹³⁹ however, he is a Platonist, and with this description also he would hardly have disagreed, since according to him ‘Plato pythagorizes’.¹⁴⁰

Plotinus, who certainly read and studied Numenius, seems to have borrowed from him some key expressions such as ‘the alone to the alone’ (VI. 9, 11, 50), the Good ‘poised upon the Intellect’ (I. 1, 8, 9), the poetic word ἀγλαΐα (‘splendour’ VI. 9, 4, 18) to describe the primary Intellect, the rare use of βολή in the sense of a ‘glance’ (I. 6, 2, 2).¹⁴¹ In fragment 11 of Numenius, in which all these expressions occur, Festugière,¹⁴² for whom Plato himself is a mystic, inclines to see (though only in this context) a mystical trend, whereas Armstrong,¹⁴³ with most other critics, finds in his fragments ‘no trace of mystical devotion’; both scholars agree that his thought is fundamentally Greek.

Among the main doctrines which they have in common, three at least are carefully formulated by both and are essential to their systems. The first, based ultimately on the *Sophist* (248e) and used

alike by Numenius and Plotinus against the Stoics, is the principle of participation, according to which ‘all things are in each thing, but in each according to its own (οἰκείως) way of being’.¹⁴⁴ In Plotinus the participation is stressed, while there is perhaps less emphasis upon the ‘predominant mode of being’.¹⁴⁵ The principle becomes later one of the structural laws of the philosophy and theology of Marius Victorinus (c. AD 360) who employs it in formulating the trinity of being, life, and thought.¹⁴⁶

The second doctrine, also finally traceable to Plato (*Timaeus* 42e), but, as Dodds has shown, developed by the Middle Stoa,¹⁴⁷ is the principle of ‘undiminished giving’, a corner-stone in their two systems and the counterpart of the doctrine of emanation: ‘the prior in its being will remain unalterably in the native seat’¹⁴⁸ and loses nothing of what it gives. And Plotinus here is more radical than Numenius,¹⁴⁹ for the higher reality in its existence and activity is, at every level, completely independent of and unconcerned with the lower.

Their third common principle is, I think, the most important of all, as it is the ground of all ‘theistic’ mysticism.¹⁵⁰ According to Iamblichus, Numenius ‘seems to affirm the union and indistinguishable identity of the soul with its grounds’¹⁵¹ he holds that it contains within itself ‘the intelligible world, the gods and daemones, the good and all the prior kinds of being’.¹⁵² For Plotinus, similarly, ‘each of us is the intelligible world’,¹⁵³ and the three divine realities, although objective and belonging to the system of nature, are also subjective, ‘with us’ (παρ’ ἡμῖν) and belonging to what Plato (*Republic*, 589a) calls the ‘interior man’.¹⁵⁴ Here we have the perfect coincidence of metaphysics and mysticism, of rational explanation and spiritual experience – the key, as Brehier has shown, to the whole religious philosophy of Plotinus. The soul’s successive stages of internalization and simplification – a process more aptly called ‘envelopment’ than development – correspond to and identical with the ‘three principal hypostases’ governing the structure of all reality.¹⁵⁵

If Dodds is right, Plotinus may well owe to Numenius, after Plato, his doctrine of the three supreme principles, the One, the Intellect, and the All-Soul, whereas in his predecessors generally, as we have seen, there are but two clearly marked higher levels of being: the first and the second Intellect. The accusation of plagiarism is thus not difficult to understand. It is well known that Numenius postulates ‘three Gods’, the father, the creator, and the creation (ποίημα),¹⁵⁶ but this last is apparently the physical world, not a transcendent reality. According to another report, however, also of Proclus,¹⁵⁷ Numenius found in a famous passage of the *Timaeus* (39e) a doctrine of three transcendent realities: in the ‘veritable living being’ (ὁ ἔστι ζῶον) he found his first intellect (or first God); in Plato’s contemplating principle (νοῦς καθορᾷ) his second intellect; his third God is the planning principle (τὸ δ᾿ἰσνοοῦμενον, middle in sense). In an early, tentative, and obscure essay Plotinus follows very closely the Numenian interpretation of this Platonic passage and tends to see three quite distinct entities,¹⁵⁸ though he already clearly thinks that the first principle cannot be intellectual subject, but only intellectual object.¹⁵⁹ Later, he emphatically rejects the Numenian exegesis and refuses to differentiate two intellects, the one in repose (νοῦς ἐν ἡσυχίᾳ) and the other contemplating (θεωρῶν) and in movement (κινούμενος).¹⁶⁰ At one blow he assails both Numenius and the Gnostics, simplifies and rationalizes the Platonic *auctoritas* and brings it into keeping with his own mature and sober doctrine of the three (and three only) transcendent hypostases – a rare but clear instance of development in Plotinus’ thought¹⁶¹ and due largely to his opposition to Gnosticism.

Nevertheless, Dodds, breaking new ground, thinks that the original form of the ‘three transcendent hypostases’ is actually to be found in Numenius. ‘In both systems... the first principle is the Good, which is also pure unity’ (μονάς, not ἔν, in Numenius), ‘transcends Being and Form, and transcends all “works”. In both, the second principle is characterized by νόησις, the third by

διάνοια.’¹⁶² ‘These three levels of consciousness have as their objective correlates three grades of reality. The highest grade of οὐσία is σύμφυτον with the First God (who is thus αὐτόον); we are warned against identifying it with ἡ ἰδέα, which is “junior” to the First God and caused by him. To the Second God corresponds a different which includes its own ἰδέα and presumably all the ἰδέαι. The objective correlate of the Third God is the physical cosmos which “imitates” the second οὐσία but is itself γένεσις; hence Numenius could apply the term ποίημα to the Third God.’¹⁶³

How then can we describe the ‘difference’ between the doctrine of Plotinus and that of Numenius? Plotinus, as we have seen, repudiates his predecessor’s extreme dualism, and with it goes the doctrine of a second, evil, soul. From his own first principle he rejects every trace of the Aristotelian influence which had led Numenius, in common with the Middle Platonists, to identify his primary hypostasis with an active intellect. Further, in keeping with a more consistent application of the principle of ‘undiminished giving’ he frees both the Good and the Intellect from all use (πρόσχρησις) of a lower principle in the process of active production. For Numenius the Good ‘uses’ the Intellect to think, and the Intellect also uses the soul.;¹⁶⁴ for Plotinus the higher principle remains aloof, completely independent and unconcerned with what follows from it. This is a radical difference.

There is perhaps another. The Numenian universe appears to lack the throbbing dynamism, whereby every single stage of reality is essentially constituted by a gradual flowing-out (πρόοδος) from the One and a gradual regression and conversion (άνοδος, ἐπιστροφή) to the One.¹⁶⁵ This two-fold dynamism, in which metaphysics and mysticism coincide, the soul becoming spiritually what it is ontologically, is the core of existence.

Ammonius Saccas, with whom Plotinus stayed on as pupil so long into his mature years, must have been a very remarkable man. But to us he is and will probably always remain, as Theiler aptly calls him, ‘the great shadow’.¹⁶⁶ That he was merely ‘a Pythagorean

Wundermann and ecstatic’¹⁶⁷ seems unlikely. He must have had some specific teachings, but our knowledge is indirect and dubious. He may, in accordance with the testimony of Hierocles,¹⁶⁸ have attempted to stress the essential agreement between Plato and Aristotle. He may, as *Nemesius*¹⁶⁹ explicitly tells us, have held rather similar views on the soul to those of Numenius. The best way, perhaps, but a hazardous one, to get some idea of his system is to try, as *de Jong*¹⁷⁰ and others have tried, to discover what his two disciples, Plotinus and Origen the Christian, may have in common. All we can really say is that his influence on Plotinus was incalculable, and that to him Plotinus presumably owed part of his mystical doctrine.

After this brief account of some authors who are known to have influenced Plotinus directly or indirectly, his greatness and originality are all the more evident.

His sources are many, and the fountain-head is Plato, to whom his debt is overwhelming and ever-present in his mind: yet it is a ‘Plato dimidiatus’,¹⁷¹ and a Plato transformed.

From all his adversaries, Peripatetics, Stoics, Middle-Platonist eclectics, even from the Gnostics, he borrows what he is perhaps overconfident in thinking that he can accommodate within his own restrained and concordant system. Major inconsistencies in such matters as the descent of the individual soul – whether it is free and a ‘fall’ and a breaking-away from unity or rather a necessary fulfilment and unifying force in the fabric of the universe – he himself traces back to the *Dialogues* (IV. 8, 1).

The *Enneads* present us with a closely knit rational system, not perhaps systematically expounded, but systematically thought out in the light of the transcendent One and the unity of all things under and in virtue of the One. Thus Plotinus is not only historically but also logically the culmination of Greek philosophy. Where the Middle Platonists, following the Doxographers, counted three ‘principles’ or causes, Intellect, Ideas, and Matter, or at least two, he reduced the principles to one alone, the One in every

meaning of the word. In this respect, by refusing to be carried away by mere enthusiasm and imagination, by adhering to rational argument, by uniting object and subject in the cause of unity and therefore intelligibility, he is purely a Greek, the product of Greek thought and the last of the great Greek philosophers.

At the same time he is a mystic, and as such perhaps a greater inspiration for Western philosophy and for the Christian religion than even Plato himself. His whole *oeuvre* is infused with the powerful dynamism of 'the desire of the soul for God'. This he may owe to the strong religious *ethos* of the time, partly to Near-Eastern influence, partly again to the pantheistic and 'devout' trends in Stoicism: yet, even this main characteristic of his philosophy, his mysticism, he attributes to Plato, who in fact seems to have been more interested in social and political thought and its philosophical foundations than in speculative mysticism. It was left to the Christian Church, the authentic heir to what is best in Plotinus' teaching, to combine harmoniously in reflective thought the Biblical revelation, Plato's interest in man as a member of society, and Plotinus' interest in him as a person proceeding from God and striving towards oneness with the One.

VII. STRUCTURE AND VOCABULARY OF THE MYSTICAL EXPERIENCE

To describe the path which leads to the mystical union Plotinus uses three metaphysical metaphors and a thought-pattern which is not metaphorical.

1. The road is an ascent, a movement upwards from below. The increase of intensity and of concentration is a rise; the dispersion and diminution of the experience is a fall. This is clear from the first lines of the treatise on Dialectic (I. 3). The manner of speech is common to almost all the mystics and even penetrates the language of everyday; spatial terms like 'above' and 'below', 'high' and 'low' express not spatial relations, not even bare reality, but a scale of

values. When he speaks of voices, he establishes an equivalence between 'the best of sounds' and 'the sounds from on high' (V. 1, 12). Plotinus is perfectly aware that this 'movement' is not local, but metaphysical and moral. Olympio-dorus, citing the most celebrated words of Plotinus which had been cited by Ambrose and Augustine before him and which place the matter in the right focus, adds tersely: 'not in space but through one's life'.¹⁷² When to describe the mystical union Plotinus has recourse to the notion of 'presence' – one of the most fundamental notions in the mystic's vocabulary – he writes: 'Thus the Supreme as containing no otherness is ever present with us; we with it when we put otherness away. It is not that the Supreme reaches out to us seeking our communion; we reach towards the Supreme; it is we that become present' (VI. 9, 8, 33–36). The supreme presence is at the summit of the ascent; but this ascent is psychological and moral. It is also within, since to be present to the Other is to be at the centre of oneself.

2. The second metaphor, spatial also but without the emphasis on value, contrasts the 'external' with the 'internal'. The two schemes are combined in the opening of the treatise IV. 8 on the Soul's Descent: 'Lifted out of the body into myself; becoming external to all things and self-centred;... yet, there comes the moment of descent from intellection to reasoning....'¹⁷³ If the judgement of value is not expressed in the terminology itself, it is frequently associated with it, as at the end of V. 8, 13, 21–22: 'Our self-knowledge is our beauty; in self-ignorance we are ugly.' The equation between 'inferior' and 'interior', 'knowledge of oneself' and 'knowledge of God' – a mystical development of the idea of 'know thyself' – is not peculiar to Plotinus; it is found, for example, in Clement of Alexandria:¹⁷⁴ 'if a man knows himself, he shall know God'. Augustine¹⁷⁵ is thinking of Plotinus (I. 6, 9, 7) when he writes: 'Thus invited to retreat into myself, I penetrated to the inmost part of my being... and I saw shining above my spirit an unchangeable light.'¹⁷⁶ Through Augustine the theme continued to

dominate all Christian mysticism;¹⁷⁷ Richard of St. Victor expresses it with great force: 'In the spirit of man the "summit" is one with the inmost recess... through the ecstasy of the spirit we are transported beyond (*supra*) ourselves or within (*intra*) ourselves into the contemplation of things divine.'¹⁷⁸

In the two famous passages (I. 6 fin., VI. 9 fin.) which describe the summit of the ecstasy in the vivid language of the mystery-religions, the theme of inwardness is presented in terms of a progressive penetration into the interior of the sanctuary, and here again penetration and elevation go together: 'He has risen beyond beauty; he has overpassed even the choir of the virtues; he is like one who, having penetrated the inner sanctuary, leaves the temple images behind him.... When the soul begins to mount, it comes not to something alien but to its very self (VI. 9, 11, 17–20, 38–39).

The corollary of this conception dominates the whole Plotinian doctrine of mystical purification. To purify is to remove what has attached itself *from outside* to the inmost self. In I. 6, 9 the initiate is invited to polish the statue which represents his true being, and to do this by removing all that is superfluous, adventitious, external (also called 'difference' and 'otherness' in relation to the logical order of the one and the many). 'When you know that you have become the perfect work, when you are self-gathered in the purity of your own being, nothing now remaining that can shatter that inner unity, nothing from without clinging to the authentic man,... now call up all your confidence, move upwards (ἀναβιβηκώς) yet a step – you need a guide no longer – strain and see.' The whole 'method, technique, training' (I. 3, 1, 1), 'device and manner' (I. 6, 8, 1) is summarized in the categorical imperative of Plotinian mysticism: 'Cut away everything' – the last words of the treatise V. 3. And this 'everything' includes equally the sensible and corporeal realities which are exterior and inferior, and the multiplicity of concepts and ideas, also conceived as exterior and inferior to the pure unity of self-with-self and self-with-God. Arnou¹⁷⁹ makes the profound observation that while 'purification might be conceived either as a material separation or as a detachment of the will,

Plotinus, by assigning to the will a thoroughly unobtrusive role, is reduced to a conception which, in spite of denials, comes very near to a material separation’.

A further result of this same conception is that salvation is not something to be achieved, but is achieved once and for all.¹⁸⁰ Once the external has been removed and the inferior left behind, once the ‘difference’ has been resolved, union is attained.

3. The third metaphor is that of a return to one’s origins. This metaphor is closely bound up with Plotinian metaphysics and bears the characteristic hallmark of his system. The flight is an Odyssey, a return to father and fatherland (I. 6, 8, 16). The fatherland is the place from which we come and to which we return (8, 21). The father is he from whom we take our leave, and the conception of father in Plotinus carries, it would seem, none of the emotional or religious connotations which the Christian world is accustomed to associate with it, but is rigorously synonymous with such exclusively metaphysical terms as ‘principle’, ‘cause’, or even ‘source’ and ‘root’ (VI. 9, 9, 1–2; cf. 9, 18–19).

The metaphysical equivalence of the first two metaphors, elevation and introversion, is accepted by Christian mysticism. The combination of these two with that of the ‘return’ is not – except in the very wide sense that God is the creator and the origin of the human soul. In Plotinus it is quite a different matter. Every being is constituted by means of a two-way dynamism which is dialectically simultaneous, the departure from the principle immediately prior and superior and the return to that same principle. As a result any being, whether the universal Intellect or the individual soul, while it is not actually identical with its principle – this would be excluded by the law of diminishing causality – nevertheless exists in its self-identity only in the measure in which it is in an immediate relationship of union with and dependence upon its principle. In consequence ‘the being which knows itself will know also that from which it comes’ (VI. 9, 7). Introversion is in the strictest sense reversion (or return upon one’s principle), and since

the principle is always superior to the product, which derives from it and depends upon it, introversion is also elevation. The three metaphors coincide in a technical term whose significance is at once metaphysical and mystical – the term ‘conversion’ (ἐπιτροπή). On the subject of Intellect Plotinus writes: ‘Its conversion upon itself is a conversion upon the Principle.’¹⁸¹ This in fact constitutes its supreme mystical experience, because it is the foundation of its metaphysical structure: ‘There is no other way of stating Intellectual-Principle than as that which, holding itself in the presence of the Good and First and looking towards That, is self-present also, self-knowing and knowing itself as All-Being’ (VI. 9, 2, 40).

Only the One escapes this triple movement, since it is the end of the movement. It cannot rise upwards, because it is already at the summit; neither can it descend in the emanation of those beings which go forth from it; it remains where it is, in itself. It cannot go inwards since it is the centre of all inwardness.¹⁸² It is, finally, incapable of conversion,¹⁸³ in other words of return upon its origin, since it is without origin, being by definition the Principle from which all proceeds and to which all returns.

4. The fourth pattern of thought which underlies the whole of Plotinian mysticism and in particular the ecstatic union of the soul with the One is not metaphorical but purely dialectical: it is the antithesis of the many and the one. This final pattern is superimposed upon the other three and gives them their metaphysical consistency.

The union with God is unity, and it is a double unity: (a) unity of the being with itself by means of the return inwards and the eradication of all that belongs ‘below’, all that is ‘external’; (b) unity of the being with its first principle, the One, in which all duality – even the logical duality of subject and object in the self-thinking being – has necessarily disappeared. Numerous passages, including all the main descriptions of the mystical ecstasy, insist on the fact that these two unifications coincide. We may cite a passage whose

general meaning is clear and significant enough, though its highly involved construction makes any translation of it hazardous: 'This Highest cannot be divided and allotted, must remain intangible but not bound to space; it may be present at many points, wheresoever there is anything capable of accepting one of its manifestations: thus a centre is an independent unity; everything within the circle has its term in the centre; and to the centre the radii bring each their own. Within our nature is such a centre by which we grasp and are linked and held; and those of us are firmly in the Supreme, whose collective tendency is There' (V, 1, 11, 7–15).

A final passage will show how the four fundamental themes interlace around the metaphor of the centre, one of the most characteristic metaphors of Plotinian metaphysics and mysticism: 'Every soul that knows its history is aware, also, that its movement, unthwarted, is not that of an outgoing line; its natural course may be likened to that in which a circle turns *not upon some external* but upon its own centre, the point to which it owes *its rise*. The soul's movement will be about its *source*; to this it will hold, poised intent towards that *unity* to which all souls should move and the divine souls always move, divine in virtue of that movement; for to be god is to be integral with the Supreme; what stands away is man still multiple, or beast' (VI, 9, 8, 1–10; cf. 10, 11–20).

The subsequent sentence shows that if the unity of the soul with itself goes *pari passu* with the unity of the soul with the One, the soul in the ecstasy does not for that reason lose its identity in the One – in other words, that Plotinian mysticism is not pantheistic: 'Is then the "centre" of our souls the Principle for which we are seeking? We must look yet further: we must admit a Principle in which all these centres coincide.'

The vocabulary of the mystical union is rich and varied. Plotinus continues to call it 'vision' and 'contemplation', terms derived from the vocabulary of knowledge, but prefers either terms deriving from the theme of unity¹⁸⁴ or those which indicate presence and contact.¹⁸⁵

The terms used in the treatment of the unity-theme are bold and challenging, as Plotinus recognizes (VI. 9, 10, 13, and 11, 12): ‘the two are one’; the subject becomes, so to say, another; is no longer itself; ceases to belong to itself. On the same theme we find a host of variations upon identity (VI. 9, 8, 28), upon absence of difference and otherness (8, 32), upon tranquillity (11, 13–14) and stability (11, 15), upon simplification (11, 24), upon solitude (11, 13). It is significant that the *Enneads*, as we read them in the arrangement of Porphyry, end with the words: ‘the passing of the solitary to the solitary’.

The theme of presence and contact is also frequent. In one page alone (VI. 9, 8) each of these terms is used half a dozen times. Actually we have here a variant of the fundamental theme of unity, but it is noteworthy that a Greek philosopher should prefer, in describing the mystical union, expressions which are more appropriate to the sense of touch (ἅφῃ, VI. 9, 11, 24) than to the sense of vision. To the same concrete and tactile phraseology belong two groups of complementary expressions, ‘the giving of the self’ (11, 23), which marks the activity and tension of the subject, and the terms ‘rapture’ (ἁππασθεΐς) and ‘enthusiasm’ (11, 12), which mark his relative passivity, a passivity at least which does not imply any corresponding initiative or activity on the part of the object of contemplation. It is doubtful whether we ought to apply to this ‘rapture’ the term *ἔκστασις*, which is very rarely found in Plotinus and does not necessarily bear the sense which it bore for Philo and which it will later receive from the Christian mystics, the sense of ‘ecstasy’: MacKenna prudently translates, ‘a going forth from the self’, in which the voluntary tension is emphasized at the expense of the passivity and malleability.

All these terms and all these themes have been taken over by the great speculative mystics of the Western world, on whom the influence direct and indirect of Plotinus and his school has been considerable. Two profound differences, perceptible even in their vocabulary, nevertheless separate Christian from Plotinian

mysticism: the doctrine of grace, with the cognate doctrine of prayer, and the doctrine of anguish and of the mystical 'darkness'.

While Plotinus often describes the ecstasy in terms of 'vision' and makes frequent use of the metaphor of light, especially to mark the immediacy of the vision¹⁸⁶ and so to express once again the unity of the subject and the object of contemplation, his use of the terms 'apparition' and 'manifestation' is rare. In a passage which is imaginative rather than exact, the One 'appears' as the king at the end of an advancing procession: he appears 'suddenly', an expression borrowed from Plato¹⁸⁷ and applied more than once to the supreme vision (V. 3, 17, 29; V. 5, 7, 35; VI. 7, 36, 18). It would be possible to look in these two terms for an indication of the idea of grace¹⁸⁸ and of self-giving on the part of the One; but the idea is utterly foreign to Plotinus' thought. If the vision is 'sudden', the reason is that it comes at the end of a dialectical process in which the One itself plays no part; if it 'appears', it is not in the sense of revealing itself. It remains within itself, extraneous and indifferent to all that comes after it.

Plotinus sometimes speaks of prayer, and can even do so in a mystical context describing the relationship of the soul with the One; but, as the passage itself proves,¹⁸⁹ 'prayer' is a tension of the soul, the final leap in the dialectical process; it is not an appeal, not an expectation; it is neither the effect nor the occasion of a movement of grace or inclination on the part of God.

In the passage of Augustine's *Confessions* which is most directly inspired by the *Enneads* and in which the parallelism of movement, ideas, and vocabulary is particularly close and constant, the words of Plotinus are: 'Now call up all your confidence; you need a guide no longer; strain and see.' And Augustine, quoting from the Psalm, writes: 'I entered even into my inward self, Thou being my Guide, and able I was, for Thou wert become my Helper' (tr. Pusey).¹⁹⁰ In this version of a thought essential to Plotinus lies all the distance between Neoplatonic and Christian mysticism.

Linked with the doctrine of sin and of grace (though it is not

possible here to give the detailed evidence) is the doctrine of 'anguish' and of the mystical 'darkness' which will dominate the great contemplatives of the West from Gregory of Nyssa and the pseudo-Dionysius to the *Cloud of Unknowing*, to Nicholas of Cusa's *Docta Ignorantia*, to the *Dark Night of the Soul* of John of the Cross.

Plotinus does indeed speak of 'anguish' and 'travail', but if the soul is 'multiple' and consequently in some sense divided, it is certainly not divided against itself. There is nothing in the *Enneads* to recall chapter 7 of the Epistle to the Romans with its insistence that man can fail in what he wills. The soul, for Plotinus, is able by purification, by the cutting away of everything, to choose at any time the level on which it will live.

The absence of the notion of 'darkness'¹⁹¹ is more significant still, because more unexpected. It seems to be called for by the logic of the system as another aspect of the negative theology,¹⁹² and it seems also to be presupposed by the very abundance of mystical imagery drawn from the field of light. Yet it is simply not there. The fact is that if for Plotinus the One is truly transcendent (and no one doubts that it is) the pagan philosopher did not know the specifically religious attitude of adoration; if some characteristics of his God belong to the category of *the fascinosum*, none belongs to that of the *tremendum*. The One is within reach of the philosopher not so much because it is interior to man's mind¹⁹³ as because the union does not presuppose either the One's spontaneous movement of love, grace, and mercy or man's consciousness of his sinful and divided self.

If the influence of Plotinus on the Christian mysticism of the West and of the East was incalculable, it remains true nevertheless that the principal and specific source of Christian mysticism is the Biblical revelation.



PLOTINUS: AN INTRODUCTION

I. LIFE

On this subject we are, on the one hand, most fortunate in having what we have for no other of the great philosophers of antiquity: a personal memoir written by a pupil, and one which must rank very high among the surviving specimens of late antique biography. On the other hand, however, there is no denying that Porphyry's *Life* leaves a host of questions unanswered. I propose to raise some of them here.

Porphyry, it must be said, does labour under certain disadvantages, which it is only fair to mention, since they help to explain at least some of the omissions of which we might complain. Broadly speaking, there are three types of omission in his narrative, of each of which I shall consider some examples. First, there are details of which he is simply ignorant, such as Plotinus' early life; secondly, there are facts that he expects us to know, and so omits as obvious, such as, I would suggest, the economic and social background to Plotinus' life in Rome; and thirdly, there are certain episodes over which he might wish to draw a discreet veil, such as the details of his displacing of Amelius as Plotinus' heir apparent, and the exact reasons why the Platonopolis proposal fell through.

For Plotinus' early life, Porphyry has no other source available to him, it seems, than Plotinus himself, and Plotinus, it seems, would not discuss the subject. Only one detail does he reveal about his first twenty-eight years, and that incidentally: that he went on suckling his nurse until he was eight years of age, at which time she suddenly told him that it was disgusting, and he stopped (*VP* 3). Practitioners of psycho-history could probably make something out

of this, but I am unable to derive from it more than the conclusion that Plotinus seems to have led a rather sheltered and pampered childhood, probably in a prosperous household – and even this may be unwarranted conjecture. Porphyry does not even tell us where Plotinus was born (though he was able to work out approximately *when* – AD 204/5, *VP* 2). It is actually the later historian Eunapius who tells us that he came from Lycopolis (mod. Assiut), in Upper Egypt. Eunapius is not a source on whom one cares to rely, and if we accept this, it is because we can see no reason for not doing so. But what was Plotinus' family background? Can his name tell us anything?

The name Plotinus is a Latin cognomen, and should indicate that the bearer is a Roman citizen. The name is not borne by any distinguished family, however, except that of the Emperor Hadrian's wife Plotina, in the previous century. Normally, a provincial who becomes a citizen takes the name of a Roman who has had some connexion with him, either through being a provincial governor in his area, or, in some cases (such as that of Plutarch, for example), being a personal friend. No such connexion is obvious in Plotinus' case, but that does not mean that it did not exist. As we shall see, Plotinus was not without connexions in high places.

We can safely assume, then, that he was of good family, but that does not get us very far. The next problem is what he was doing for the first twenty-eight years of his life. As far as Porphyry is concerned (apart from the incident with his nurse), his life begins when, at the age of twenty-eight, 'he was caught by a passion for philosophy'. This seems to imply that he had been doing something else before that. Whatever that was, it can hardly have been anything rhetorical or literary, since Plotinus shows blessedly little trace of the overblown style that would have been the inevitable outcome of such pursuits in the late antique world, though he is by no means ignorant of literature. Porphyry's description of Plotinus' style in ch. 14 is enlightening in this connexion: 'concise, dense

with thought, terse, more lavish of ideas than of words, most often expressing himself with a fervid inspiration'. I would conjecture that he was simply engaged in the management of his ancestral property (he shows a certain degree of practical skill in household management later, in Rome, as we shall see), while exploring philosophy for himself at home in Lycopolis, from a well-stocked library. His coming to Alexandria need not have been the first brush with philosophy that Porphyry presents it as. Plotinus, when he arrived in the big city, was a man who knew what he wanted, and he was not easily satisfied.

One of one's chief complaints against Porphyry must be, I think, the recurring lack of causal nexus provided between events in Plotinus' life, which gives it the appearance of series of brilliantly-lit cameos, with darkness in between. The circumstances of his finding Ammonius, and the details of what he studied with him, are sketchy in the extreme (ch. 3), but involve no problems of a specifically causal nature. His joining of the Emperor Gordian's expedition against the Persians, however, in the spring of 243, his escape after Gordian's assassination in February 244, and his arrival in Rome, probably in the autumn of that year, raise many unanswered questions. No doubt, as Porphyry tells us, he wished to visit the Magi and the Brahmins; we would still like to know how he became attached to the expedition, and whether he joined as a humble foot-soldier, or as a member of the Emperor's staff. To judge from the information that 'it was only with great difficulty' that he escaped to Antioch after the assassination, one might, I think, conclude the latter, since there was no danger from the Persians, and only someone fairly intimately connected with the murdered Emperor could reasonably conceive himself to be in danger from his successor and murderer, the Praetorian Prefect Philip the Arab.

I would conclude from this adventure, and its sequel, that Plotinus had friends in high places. The missing link might be some imperial official, a friend of the family, who was also on the

Emperor's staff, or had friends there. But of all this Porphyry will tell us nothing. Perhaps the interesting figure of the doctor Zethos (cf. VP 7) has some role to play here. He was an Arab by birth, a lifelong friend of Plotinus (presumably from Alexandrian days, since he was married to the daughter of one Theodosius, who had been a pupil of Ammonius), and he had an interest in politics (from which Plotinus continually tried to dissuade him). But if so, Porphyry gives no hint of it.

Again, Plotinus' arrival in Rome (why not Athens?) is presented without background or explanation: 'At forty, in the reign of Philip, he settled in Rome' (ch. 3). Despite the circumstances of his departure from the Persian expedition, Plotinus seems to have had no hesitation about coming to Rome more or less contemporaneously with Philip himself, and setting up as a philosopher. The only thing Porphyry tells us about his initial arrival is the curious tale of the pact of secrecy about Ammonius' doctrines which he entered into with two fellow-pupils. How one proposes to set up as a teacher of philosophy with that as one's guiding principle is not clear, but then it is not clear how much secrecy the pact involved, and in any case it was soon broken. When we are first allowed to meet Plotinus, however, he is already comfortably installed in the house of the lady Gemina, managing a large household, including a number of orphans who had been entrusted to his care, and surrounded by a select company of distinguished followers, including a number of senators, of whom at least Sabinillus was *consul ordinarius* for 266 (as colleague of the Emperor Gallienus), and Rogatianus probably the C. Julius Volusenna Rogatianus who was proconsul of Asia in 254.

It was presumably Sabinillus who introduced Plotinus to Gallienus himself and his wife Salonina, but again we receive no background information on this from Porphyry, nor much either on the remarkable, though abortive, proposal to establish a city in Campania to be ruled according to the laws of Plato (ch. 12). It was, perhaps, a cracked idea, but we would be glad to know more

of the 'jealousy or spite' at court which proved fatal to it. Was there perhaps an 'Athens lobby', fearful of any tendency by the Emperor to create a rival centre of higher learning on the Italian peninsula?

One could go on complaining in this vein for some time, but we must not lose sight of the fact that our complaints arise from the very richness of the information which Porphyry has provided. We must remind ourselves that Porphyry is presenting the *Life* simply as an introduction to his edition of the works (which accordingly takes a prominent place in the narrative). He is concerned to present a portrait of Plotinus as he knew him, without dwelling at any length on how he came to be what he was, in the interest of explaining how the works came to be written. As I remarked earlier, many of the details that we might long for would be regarded by Porphyry as superfluous or irrelevant to the interests of the audience for whom he was writing. That said, we may proceed.

One issue that has provoked some comment is Plotinus' relationship to magic. Porphyry tells us (ch. 10) a number of stories (which admittedly occurred before his time, and thus are based on hearsay rather than personal knowledge) which involve magical practices, in one of which Plotinus is involved involuntarily, but the second of which he takes part in with a will. We must appreciate, however, that magic was an accepted part of life in the ancient world, and Plotinus himself recognizes a place for it, at least as touching the lower part of the soul and the physical world, in *Enn.* IV 4, 40–45. As to whether the incidents described took place I am unwilling to make a judgement, but Porphyry does not say that he *witnessed* them. They therefore remain on the level of pious gossip.

A matter on which Porphyry is not inclined to be explicit, as I have suggested, is the means by which he supplanted Amelius as Plotinus' chief pupil (if that is what he in fact became – such a position would be informal at best). Certainly he became Plotinus' literary executor (ch. 24), but he did not necessarily supplant anyone in that role, although Amelius had made voluminous notes of Plotinus' seminars, and had made copies of many of his treatises

(ch. 3 and 20), and another companion, the doctor Eustochius, also seems to have produced an edition of Plotinus' works (see note on *Enn.* IV. 4, 30), at some time prior to Porphyry's own – which, it must be said, was a long time in coming (not until AD 305, over thirty years after Plotinus' death). Porphyry always speaks affectionately of Amelius (though occasionally with some irony, as in ch. 10 and 21), but there must have been considerable tension between them at times. Amelius finally conceded the field to Porphyry, and went off to Apamea in Syria in 269, but Porphyry himself had departed to Lilybaeum in Sicily to recover from his depression shortly before that, in the spring of 268 (ch. 2 and 11), so that he did not have much time to enjoy his triumph, if triumph it was. In fact, the impression one derives of Plotinus' last years is that the school was falling apart. When Plotinus died, after a longish and rather unpleasant illness, in 270, only his doctor Eustochius was with him (at Zethos' estate in Minturnae), though the faithful Castrius Firmus was at least in Rome.

This brings me to a final, large question on which Porphyry is very little help, and that is the nature of Plotinus' school. Plainly it was a very informal group, by modern, or even mediaeval standards, but then so were most philosophical schools in antiquity. A teacher would establish himself in a town, and a group of disciples would find their way to him. Sometimes one might inherit one's own teacher's position, but that would mean little more than the 'goodwill' attached to his school, and perhaps a collection of books, or even a house. In Plotinus' case, he had to set up on his own, in circumstances of which we know nothing, but very probably with the help of introductions to people with Alexandrian, or even Lycopolitan connexions. He was given the use of a house by the wealthy widow Gemina (ch. 9), and of country villas in Campania for the summer by Zethos and Castrius Firmus (ch. 2). Zethos at least, as I have suggested above, may have known him from Alexandrian days. Being an Arab, Zethos may even have been acquainted with Philip, the new Emperor. At any rate, by the time

we come to know him, Plotinus is well established in a circle which includes many figures of senatorial rank, and which was at least on the fringes of the court itself, under the next emperor, Gallienus.

The question is, however, was Plotinus' school in any sense a *public* institution? The only real indication that it might have been lies in a reference by the philosopher Longinus, in a work from which Porphyry quotes (ch. 20), where he speaks of 'Plotinus and his friend Amelius *demosieuo*ntes in Rome', a verb which normally implies publicly-supported activity, as of doctors on a salary from a town. But if this is so, there is no hint of it in Porphyry's *Life*, except perhaps in a remark which he makes in ch. 5, *à propos* his own arrival, where he says that, when he arrived (in the summer of 263), Plotinus was taking his summer holiday (*therinai argoi*), and 'engaging merely in conversation with his friends', which would seem to imply some structured and formal activity *from* which he was taking a holiday. Had the Emperor Gallienus, perhaps, who 'greatly honoured and venerated him' (ch. 12), given him an official position of some sort, with some instructional duties? In ch. 7, Porphyry speaks of Plotinus as having 'a large following' (*akroatai polloi*), as distinguished from 'the more zealous students, really devoted to philosophy', whom he then proceeds to list. It sounds as if we have here a facet of Plotinus' life which Porphyry forbears to dwell on, as being either beneath his notice, or too well known to his readers to be worth mentioning. The *akroatai*, then, would be young noblemen coming to him to complete their education by taking in a little philosophy before going on to the courts or the public service, very much as Aulus Gellius had done in Athens with Calvenus Taurus in the previous century.

In general, it is worth noting, in connexion with the structure of the school, what Porphyry does *not* comment on. He notes Plotinus' remarkable method of instruction, his Socratic, or Wittgensteinian, habit of teasing out the intricacies of a question in common with his hearers, rather than pontificating in set discourses (ch. 13). That, therefore, was distinctive. *Not* distinctive, we may conclude,

was the simplicity of the structure of the school, the relation of the disciples to the master, living with or around him in close personal contact, and the absence of any other assistant professors. We may conclude from this, I think, that the 'official' Platonic school which Porphyry had left behind in Athens was not very much more elaborate than that of Plotinus himself.

I have not been concerned here to duplicate what can be found in Porphyry's *Life*, but rather to set it in perspective by raising a number of questions about his subject which we would still like to have answered. There are many gaps in Porphyry's narrative, as we have seen, but he still presents us with a delightfully vivid portrait of a most remarkable man, and we must be grateful for that.

II. PHILOSOPHICAL SYSTEM

Introductory

This account of Plotinus' philosophy may be viewed as complementary to the introductory remarks of both Stephen MacKenna and Paul Henry, both of which I have thought it worth while to preserve, since they say much that is true, while presenting a more traditional view of Plotinus. The Plotinus I wish to present here is a less familiar figure, but one that is becoming more clearly recognized by the present generation of scholars, a Plotinus with an open-ended, 'aporetic' approach to philosophy, a mystic who is also a rationalist, for whom the intelligible world is more real than the physical, but who is confident that its contours and functions can be established by reasoned argument. The first principle of all, the One, is admittedly beyond rational discourse, but at least the necessity for its existence, and some notion of its mode of production of everything else, can be arrived at by dialectic.

In pursuit of this view of Plotinus I will not present a comprehensive survey of his philosophical system, but wish rather to concentrate on certain key issues which tend to bring out the distinctive quality of his mind. What we must recognize first of all is that Plotinus saw himself as a faithful interpreter of Plato, and as

the heir to a centuries-long tradition of interpretation of his philosophy, in which, despite all the work that had been done before him, he still finds some areas of obscurity. It is these 'loose ends' that he proceeds to worry away at, and tease out the contradictions in. I will take them in what seems a logical order.

1. Two General Questions

a. How far is Plotinus' view of reality hierarchical?

The first thing generally emphasized about Plotinus' metaphysical system is that it presents a hierarchical system of reality. The One is superior to, 'above', the Intellect, and Intellect is similarly superior to Soul; at the bottom of the scale comes the physical world and Matter. This is certainly a valid view. It is a feature of the system which he inherited from Plato, and which had been elaborated over the years by generations of Platonists. The contrast between a realm of immaterial, intelligible Being and a realm of material, sensible Becoming is basic to Platonism, and a further distinction had become accepted, at least from the first centuries AD but perhaps going back to Xenocrates in the Old Academy, between a supreme principle or god, who would be a transcendent, self-thinking Intellect, and a secondary divinity, a World-Soul, or *Logos*, (best rendered, perhaps, as 'reason-principle') which is at least partly immanent in the physical world, though itself immaterial. On this scheme Plotinus actually imposes a further layer, in the shape of the One, above Intellect and Being.

Hierarchy is, then, a palpable feature. But we must also bear in mind that Plotinus, in many places, shows that he sees this hierarchy not only as vertical layers, so to speak, but as also somehow concentric. The One is actually at the core of reality, like the centre of a circle (an image of which Plotinus is very fond, e.g. IV. 3, 17, 12; VI. 5, 5, 8–18). The important treatise VI. 4–5 (*On the Integral Omnipresence of the Authentic Existent*,) is an extended meditation on this theme. In this Plotinus is much more penetratingly analytical than his predecessors, even perhaps than Plato himself, and it profoundly affects his view of the realm of Forms, as can be seen particularly in the first part (ch. 1–15) of

Enn. VI. 7. There is just one universe, but we can either consider it superficially, as a congeries of physical objects, or we can see in it the workings of Soul, or we can penetrate to its Being, as a system of Forms, or ultimately we can apprehend it, mystically and ecstatically, as Absolute Unity. Plotinus' philosophical quest can be seen as an unending search for that vision, to which he tries, again and again, to lead us also. A fact making our apprehension of this structure easier is that, for Plotinus, these three 'hypostases', or levels of being, are present in us also, so that the centre of the universe in a way coincides with the 'inmost' part of our being (cf. for example, IV. 8 [6], 1; V. 1 [10], 10–12 and vi. 9 [9], 9–11).

b. Emanation or Illumination?

Stephen MacKenna, in his introduction (p. xxxi), speaks, as would have been natural in his day, of Plotinus' system as 'a system of necessary Emanation, Procession or Irradiation, accompanied by necessary Aspiration or Reversion-to-Source'. This requires slight modification, in that modern authorities on Plotinus would demur at the use of the term 'emanation' to describe his doctrine, by reason of its rather Stoic connotations. For Plotinus, the creative process is a consequence rather of *illumination*, or irradiation, from a higher principle, without loss of its essence, causing, in each case, first an indefinite and unformed projection of itself, and then a reversion upon it as source, which causes the hypostasis in question, Intellect, Soul, or (the quasi-hypostasis) Nature to define itself, and be productive in its turn – all this taking place, of course, not in any temporal sequence, but eternally.¹ Only at the lowest level, that of Nature projecting itself, is there a failure to return, resulting in the phenomenon of 'evil', the term for the unproductive, though *necessary*, residue being 'Matter'. The relationship between Intellect and Soul, especially, but also between Soul and Nature, is conducted by the outpouring of *logoi*, or, collectively, of *Logos*, from the higher principle, and *eros*, 'loving', upwards from the lower principle (a process given special attention in *Enn.* III. 5). The dynamism and fluidity of this whole process needs to be emphasized, as against the impression of a static hierarchy of principles which might strike the reader at first sight.

But let us look now at some particular issues.

2. *The First Principle: One or Intellect?*

One major problem which Plotinus inherited from previous Platonism was a contradiction between the Platonic-Pythagorean doctrine of the first principle as a radical unity – One, or a monad – and the belief, enunciated most notably by Aristotle (but going back to Anaxagoras) that the first principle was an intellect (*nous*), and specifically an intellect thinking itself. That the first principle was both a monad and an intellect was accepted already by Xenocrates in the Old Academy (Frs. 15, 16 Heinze) – though not, we may note, by his predecessor Speusippus – and became the accepted position in Middle Platonism, no contradiction being apparently observed between absolute unity and self-intellection. However, even in the Middle Platonic period, certain tensions in this conception did become apparent.

These tensions may best be viewed in the form in which they surface in Numenius (*fl.c.* AD 140), a thinker who had a particularly strong influence on Plotinus. Plato had bequeathed to his successors both the intimation, at least, of a supreme principle, the Good of *Republic* VI–VII, manifested in various other forms in other dialogues (and thought, at least in later times, to be the subject of the first hypothesis of the *Parmenides*), which is the goal of all striving, and in some way a cause of all being; and an active creator god, the Demiurge of the *Timaeus* (with whom the ruling figure in the myth of the *Statesman* could be connected), who, if one took him seriously, was plainly not a supreme principle, since he was contemplating a pre-existent model according to which he was creating the physical world, and had a ‘hands-on’ relationship to that world which the Good did not.

As they contemplated this legacy, some Platonists concluded that the Demiurge should be de-personalized, and assimilated to the Stoic *Logos*, or God’s creative principle, the Platonic Forms being its contents, but others chose to see the Demiurge as a second God, intermediate between the One or Good on the one hand, and Soul² and the physical world on the other, both of the latter of which it created from pre-existent Matter.

Numenius took this latter line, propounding a sequence of three gods: the Father, who, while still an intellect, is described as ‘at rest’ and ‘free from all labours’ (Fr. 12 Des Places), as opposed to the Demiurge, or ‘Son’, who is ‘in motion’, and is the creator of the world (ibid.), and lastly, the world itself, viewed as an immanent world-soul. Numenius’ first god, however, is still an intellect. It is explicitly identified with Plato’s Good (Fr. 16), and is described as the creator of Being, even as the Demiurge is the creator of Becoming (*genesis*). If one is *creator* of Being, however, one should not strictly *be* in the same sense as that which one creates, and indeed Numenius states further on in Fr. 16 that ‘the being of the primal (god) is different from that of the second’ (who is already identified with true Being).

We can see from this (and we must appreciate that we have only fragments of Numenius’ work) that the Platonist concept of the first god as an intellect was already under strain when Plotinus (whatever about his master Ammonius) came to analyse it. His general doctrine of the One has been adequately described by both MacKenna and Henry. What I wish to do here is to draw attention to two particularly contentious questions concerning it, that of the internal life of the One, and that of its relation to Intellect.

As has been well said,³ negative theology can become a ‘mental crutch’, if allowed to congeal into a rigid intellectual position. Plotinus certainly emphasizes the transcendence and otherness of the One, its superiority to Being and Intellect, and its unknowability by any normal faculty of cognition, but in a number of passages, most notably V. 4 [7], 2, VI. 7 [38], 38–39, and VI. 8 [39], 16, he makes some attempt to explore what sort of apprehension the One might have of itself. For Plotinus, after all, the One is not really a negativity; indeed, it is boiling with activity. The problem is how to express this without assimilating it to the self-intellection of Intellect, or any other sort of activity of lower entities. In V. 4, 2, he actually speaks of the One as follows:

The intellectual object (i.e. the One) is self-gathered, and is not deficient as the seeing and knowing principle (i.e. Intellect) must be – deficient, I mean, as needing an object – it is therefore no unconscious thing: all its content and accompaniment are its possession; it is self-distinguishing throughout; it is the seat of life as of all things; it is, itself, that self-intellection which takes place in eternal repose, that is to say, in a mode other than that of the Intellectual-Principle.

This passage is from an ‘early’ tractate, and is therefore sometimes dismissed or downplayed for this reason, as if Plotinus were still under the influence of ‘Numenian’ formulations. But we must bear in mind that no tractate of a man who began writing in his fifties can really be considered ‘early’. It is more plausible to argue that he became more cautious in his language on this subject as he came to write more, but he was always anxious to avoid the impression that the One was some sort of blank or negativity. In the fully mature essay VI. 8, where he is concerned to argue that the One is neither constrained by necessity nor yet random or ‘accidental’, we find the passage, in ch. 16:

Again; if He [sc. the One] pre-eminently is because He holds firmly, so to speak, towards Himself, looking towards Himself, so that what we must call his being is this self-looking, He must again, since the word is inevitable, make Himself: thus, not ‘as He happens to be’ is He, but as He Himself wills to be.

3. *Intellect: Some Problems*

With this ‘inner life’ of the One is connected its relation to Intellect (*Nous*). The first stage or ‘moment’ of Intellect on proceeding from the One is a sort of indefiniteness (cf. V. 4, 2; V. 1, 7), which Plotinus is prepared on occasion to identify with the Indefinite Dyad of Platonic oral tradition, and with intelligible Matter.⁴ (In II. 4, 1–5, we find the concept of intelligible Matter being used to explain the common element in Intellect which serves as a substratum for individual forms, but this is a different application of the concept.) In V. 1, 7, 24 ff., he speaks of the initial product of the One as follows: ‘Now even in the Divine the engendered could not be the very highest; it must be a lesser, an image; it will be

undetermined (*aoriston*), as its progenitor was, but will receive determination, and, so to speak, its shaping from the progenitor.’ It is the reversion upon its superior principle (a tendency characteristic of all lower principles) that first makes intellect properly *Intellect*.

Plotinus is not here being wilfully obscure. He is wrestling, rather, with troublesome logical problems. The One is not an intellect, and it is not intentionally generating anything. What proceeds from it cannot initially be an intellect either. The reflective, self-conscious aspect which creates intellect is a logically secondary stage in this process.

Another troublesome aspect of the realm of *Nous* is the relation of Intellect as a whole and its component parts, the Forms, which are also intellects; and, allied to this, the problem of the nature of these Forms. The question of the relation of Intellect to its contents arises from later Platonic speculation on the relation of the Demiurge (of the *Timaeus*) to the ‘paradigm’, which is the model according to which, in the myth, he fashions the physical world, and later Peripatetic speculation (particularly by Alexander of Aphrodisias) as to the contents of the Aristotelian *Nous*. Plotinus raises these enquiries to a new level of sophistication, especially in the first part (ch. 1–15) of the great treatise VI. 7 (but also in such a treatise as V. 9), though without solving all the problems. For him, every individual Form mirrors the whole of Intellect, but from its own individual perspective. These Forms are best seen, I think, as a system of quasi-mathematical formulae, which project themselves on Matter to produce the multiplicity of the physical world. In some sense, all aspects of this world, even earth, stones, the lower animals, mud and hair, are anticipated in the intelligible world.

The role of number as a structuring principle of the intelligible world is actually discussed in most detail in *Enn.* VI. 6, which I have regretfully decided to omit from this edition,⁵ but the topic is discussed briefly at V. 5, 4–5, where ‘essential number’ is presented as ‘the unfailing provider of Substance to the divine Intellection’.

A particular topic of considerable interest and continuing controversy is whether Plotinus actually believed in Forms of individuals, a proposition he appears to accept in *Enn.* V.7. Such a notion seems to fly in the face of the traditional theory of Forms, which were necessarily of classes and general concepts, rather than particulars, and V. 7, if read carefully, emerges as more dialectical than dogmatic in its approach, but I am inclined to think that Plotinus did wish to find a place at the level of Soul, at least, if not of Intellect, for the individual soul, viewed as a kind of unique particular corresponding to a Form. How this relates to the doctrine of reincarnation, however, in which he also believed, is not clear.

4. Soul – Linchpin of the Universe

Plotinus normally distinguishes Soul from Intellect pretty clearly, in ways specified well enough by MacKenna in his introductory remarks (p. xxxiii). In III. 7, for instance, Time is presented as the ‘life’ of the Soul, in contrast to Eternity, which is the mode of existence of Intellect. However, Soul is an entity which spans various levels of reality, and we find on occasion the highest aspect, at least, of Soul largely assimilated to intellect. In VI. 4–5, for example, where Plotinus is concerned primarily with the omnipresence in body of incorporeal being as a whole, very little distinction is made between Soul, Intellect and even the One.⁶ Indeed, the distinction between Soul in its undescended aspect and Intellect is something of a logical problem, since what makes Soul distinctively Soul is its ‘descending’ or ‘unrolling’ from Intellect, a process that makes it a temporal and discursive entity (cf. III. 7, 45), but this merely emphasizes once again the degree of continuity that there is between the various hypostases in Plotinus’ system.

The relation between the hypostasis Soul, the World-Soul, and the individual soul is another vexed question, which Plotinus addresses most acutely, perhaps, in the early sections of *Enn.* IV. 3. He is there concerned to counter the Stoic view that our souls are simply *parts* of an all-embracing World-Soul. For him, the relationship is much more complicated than that. The World-Soul and the individual souls both proceed from Soul the hypostasis, so

that we are, rather, junior partners of the World-Soul, in a much more precarious relationship with our bodies than it is, and not 'parts' or offshoots of it (MacKenna discusses this in his Introduction, p. xxxvi).

A further problem is the degree to which the individual soul (or indeed the World-Soul) descends into Matter.⁷ In III. 6, 1–5, Plotinus is concerned to emphasize that Soul cannot descend into Matter in such a way as to be affected by it, nor indeed (ch. 6–19) can Matter itself be affected, with the result that the dim area in which we exist, the realm of Nature (*physis*), is inhabited only by ghostly projections or illuminations from Soul. Nature itself is presented, strangely, in V. 2, 1, as 'another hypostasis' generated by Soul in its downward tendency, but that is not to be taken, I think, as meaning an hypostasis in the technical sense. However, there is an area of tension here in Plotinus' thought, bound up with the complementary problem of the reasons for the 'fall' of the soul, in so far as it does fall.

That the soul 'falls' from a higher condition (and thereby constitutes itself as *soul*) is a notion which Plotinus inherits from Plato himself and the Platonic tradition, but which, as usual, he probes more deeply than his predecessors. Certainly, the soul 'falls', in that it declines from identity with Intellect, through a desire for self-identity (V. 1, 1; IV. 3, 12–13), and this striving actually generates Time, as I have mentioned (III. 7, 11), and the mode of existence in which we and the physical world have our being, but how are we to characterize this development from a 'moral' point of view? In IV. 8, Plotinus makes clear that, though such a fall may be a misfortune for the individual soul, the process was necessary for the completion of the universe, and is thus ultimately a good thing. Also, the World-Soul, and the highest part of the individual soul, cannot be said to descend in the strong sense. A notable statement of this latter doctrine, which Plotinus is quite emphatic about (e.g. IV. 8, 8; V. 1, 10), as if conscious that it was controversial, occurs at III. 4, 3:

For the Soul is many things, is all, is the Above and the Beneath to the totality of life: and each of us is an Intellectual Cosmos, linked to the world by what is lowest in us, but, by what is the highest, to the Divine Intellect: by all that is intellective we are permanently in that higher realm, but at the fringe of the intellectual we are fettered to the lower; it is as if we gave forth from it some emanation towards that lower, or rather some Act, which however leaves our diviner part not in itself diminished.

This insistence that some part of the soul remains 'above' doubtless springs from Plotinus' own experience, but its philosophical justification is that it preserves the soul's truly central role in the universe, while exempting it from contamination by the material element.

The major treatise IV. 3–4 (*Problems of the Soul*) is a series of enquiries into questions connected with the soul which were subjects of dispute or uncertainty in the tradition (see the summary prefixed to that treatise). A question of particular interest which may be mentioned here is that of the survival of the personality after death (IV. 3, 25–4, 12), a topic which is introduced through an examination of memory and imagination. What can we be conceived of as remembering when we are free of the body? Can we have memory at all without a faculty of imagination or representation (*phantasia*)? Is memory a faculty proper to truly eternal beings, such as even the planetary gods? The large question which this provokes, but which Plotinus does not address (any more than he does in his speculations about Forms of individuals) is where this leaves the traditional Pythagorean–Platonic doctrine of reincarnation, in which he certainly seems to have believed also.

5. *Man, the Self, Fate and Free Will*

In keeping with Plotinus' deeper analysis of traditional Platonist concepts, such as the higher and lower soul, is his development of a doctrine of the self. As far as we can discern, this is an original contribution of his.⁸ On this topic, some remarks of E. R. Dodds are worth quoting at length:⁹

Plotinus distinguishes sensation from perception more clearly than any previous thinker, and he has noticed that there are sensations which do not reach consciousness (IV. 4, 8 and V. 1, 12), thus anticipating the ‘petites perceptions’ of Leibniz. He also recognises in one place (IV. 8, 8) the existence of unconscious desire (and here he begins to approach Freud). And again, in the discussion of memory he has a curious passage (IV. 4, 4, 11) implying that those memories of which we are not aware are sometimes more powerful in their influence on our conduct than the memories of which we are conscious – ‘not knowing what we have within us, we are liable to be what we have’. That is a profound observation for a pre-Freudian thinker. Finally, is not Plotinus the first to have clearly distinguished the concepts of soul (*psyche*) and ego (*hemeis*)? For him the two terms are not co-extensive. Soul is a continuum extending from the summit of the individual *psyche*, whose activity is perpetual intellection, through the normal empirical self, right down to the *eidolon*, the faint psychic trace in the organism; but the ego is a *fluctuating spotlight of consciousness* [my italics].

A major text for the analysis of this ‘floating spotlight’ is the late tractate I. I, which Porphyry chooses to place first in his edition, but the question of the self also arises in such a tractate as III. 4, *On our Tutelary Spirit*, and indeed in the first part of VI. 7. Plotinus is in fact particularly interested in the status of the ‘we’ (*hemeis*), as he calls it, even to the extent of causing difficulties for the traditional Platonic doctrine of the soul (as the seat of the personality – the doctrine of Plato’s *Alcibiades I*), and of reincarnation. These difficulties he does not really resolve, as is often the case, but it is in his exploration of such difficulties that a good deal of his greatness lies.

Another problem not resolved, but which he wrestles with most productively, is that of free will, dealt with most extensively in *Enn.* III. 2–3. Plotinus, as a Platonist, is committed to the autonomy of at least the highest part of the soul (taking his guidance from the Myth of Er in *Republic X*, and in particular the striking phrase, ‘Virtue owns no master’, at X 617E), but he had also to take cognizance, as did his predecessors, of the powerful Stoic challenge to theories of free will laid down by Chrysippus in particular – ‘no

effect without a cause'. Plotinus' predecessors had produced various rather facile formulations, sidestepping rather than meeting the Stoic challenge. Plotinus does not shirk the difficulties, but does not really resolve the problems. If anything, he comes down on the Stoic side, in the process producing some observations that appear to us distinctly harsh. People are generally responsible, in one way or another, he feels, for the misfortunes that befall them, even perhaps through sins in a previous existence; and yet evil-doers too are responsible for their crimes. A passage from III. 2, 8 illustrates his attitude:

A gang of lads, morally neglected, and in that respect inferior to the intermediate class, but in good physical training, attack and throw another set, trained neither physically nor morally, and make off with their food and their dainty clothes. What more is called for than a laugh?

This takes a pretty tough line with the 'innocent' victims of violence and injustice, but that is a consequence of Plotinus' contempt for the accidents of the sublunar world. The philosopher will be armed against these little problems by having convinced himself that what concerns only one's body or one's possessions is ultimately trivial. This is essentially a Stoic attitude, but with a Platonist belief in an immortal rational soul superimposed.

Even for the rational soul, however, free will in the usual sense is not regarded as an ideal. The ideal is rather to liken oneself to God, and to act in accordance with the divine. In the important tractate VI. 8, *On Free Will and the Will of the One*, Plotinus makes it clear that free will, in the sense of choosing between alternative courses of action, is not characteristic of the gods or higher beings, let alone of the One, and that our exercise of 'free will' is simply an index of our ignorance and imperfection. If one knows the best, which is what we are striving for, then one can only act in accordance with it, not out of any external compulsion, but by the operation of one's own will. And so it is with the One.¹⁰ This is only an application of the doctrine of Socrates himself, that 'no man

does wrong willingly’.

6. *Matter and Evil*

On this topic the main area of tension in Plotinus’ thought is the question how far Matter is evil and the source of evil. In I. 8 (*The Nature and Source of Evil*), the source of evil is identified firmly as, not body, nor yet any form of soul, but Matter. On the other hand, Matter can be evil in no positive or purposive sense, since it is absolutely non-existent and formless; it is also necessary for the completion of the universe. It is the concept of ‘evil’ (*kakon*) that must be probed further, if we are to uncover Plotinus’ meaning. *Kakon* is just that element in our world which causes things to fall short of perfection, whether this results in mass murder, drought, plague, or merely a shoelace breaking at a critical moment. It is an inevitable concomitant of soul’s procession to its lowest level, which produces an emanation which can only imperfectly revert upon its source – more like Murphy’s Law, one might say, than the Devil of Christian or other dualist systems.

A question of particular interest in connexion with Matter is the precise manner of its generation (since Matter, in so far as it exists, is dependent, like everything else, ultimately on the One).¹¹ In one way, Matter is generated by Soul, or rather by that aspect of Soul which descends, or at least illuminates what is below it; but in another way it can be seen as ungenerated. The darkness at the edge of this illumination, so to speak, is what we regard as ‘matter’, and it comes into being (in so far as it does) as a by-product of the illumination. On the other hand, as a potentiality of illumination, it was always there. Plotinus is in a difficulty here, which is reflected in various apparently inconsistent accounts which he gives of Matter’s situation. A description of it in I. 8, 14 has been taken to imply that it is ungenerated.¹² His treatise on Matter (II. 4) complicates the issue by discussing Matter at the intelligible level as well as at the physical, but in fact, I think, we should see Matter at all levels as essentially the same phenomenon, absolute Otherness, absolute Not-Being, but yet an essential component of the infinite variety of the universe.

This survey of problematic topics is not intended, as I have said, to give a complete conspectus of Plotinus' philosophy, but rather to emphasize what it is that makes him a great thinker within his tradition, his restless questioning of the dogmas which he inherited. Each of these topics merits more extended treatment than space permits in the present context; my aim has been at least to indicate the areas within the fabric of Platonism where Plotinus is making his most distinctive intellectual contributions.



PORPHYRY: ON THE LIFE OF PLOTINUS AND THE ARRANGEMENT OF HIS WORK

1. Plotinus, the philosopher our contemporary, seemed ashamed of being in the body.

So deeply rooted was this feeling that he could never be induced to tell of his ancestry, his parentage, or his birthplace.

He showed, too, an unconquerable reluctance to sit to a painter or a sculptor, and when Amelius¹ persisted in urging him to allow of a portrait being made he asked him, 'Is it not enough to carry about this image in which nature has enclosed us? Do you really think I must also consent to leave, as a desirable spectacle to posterity, an image of the image?'

In view of this determined refusal Amelius brought his friend Carterius, the best artist of the day, to the Conferences, which were open to every comer, and saw to it that by long observation of the philosopher he caught his most striking personal traits. From the impressions thus stored in mind the artist drew a first sketch; Amelius made various suggestions towards bringing out the resemblance, and in this way, without the knowledge of Plotinus, the genius of Carterius gave us a lifelike portrait.

2. Plotinus was often distressed by an intestinal complaint, but declined clysters, pronouncing the use of such remedies unbecoming in an elderly man: in the same way he refused such medicaments as contain any substance taken from wild beasts or reptiles: all the more, he remarked, since he could not approve of eating the flesh of animals reared for the table.

He abstained from the use of the bath, contenting himself with a daily massage at home: when the terrible epidemic carried off his masseurs he renounced all such treatment: in a short while he contracted malignant diphtheria.

During the time I was about him there was no sign of any such malady, but after I sailed for Sicily the condition grew acute: his intimate, Eustochius,² who was with him till his death, told me, on my return to Rome, that he became hoarse, so that his voice quite lost its clear sonorous note, his sight grew dim and ulcers formed on his hands and feet.³

As he still insisted on addressing everyone by word of mouth, his condition prompted his friends to withdraw from his society: he therefore left Rome for Campania, retiring to a property which had belonged to Zethos, an old friend of his at this time dead. His wants were provided in part out of Zethos' estate, and for the rest were furnished from Minturnae, where Castricius' property lay.

Of Plotinus' last moments Eustochius has given me an account.

He himself was staying at Puteoli and was late in arriving: when he at last came, Plotinus said: 'I have been a long time waiting for you; I am striving⁴ to give back the Divine in myself to the Divine in the All.' As he spoke a snake crept under the bed on which he lay and slipped away into a hole in the wall: at the same moment Plotinus died.

This was at the end of the second year of the reign of Claudius (AD 270), and, as Eustochius tells me, Plotinus was then sixty-six. I myself was at Lilybaeum at the time, Amelius at Apamea in Syria, Castricius at Rome; only Eustochius was by his side.

Counting sixty-six years back from the second year of Claudius, we can fix Plotinus' birth at the thirteenth year of Severus (AD 204–5); but he never disclosed the month or day. This was because he did not desire any birthday sacrifice or feast; yet he himself sacrificed on the traditional birthdays of Plato and of Socrates, afterwards giving a banquet at which every member of the circle

who was able was expected to deliver an address.

3. Despite his general reluctance to talk of his own life, some few details he did often relate to us in the course of conversation.

Thus he told how, at the age of eight, when he was already going to school, he still clung about his nurse and loved to bare her breasts and take suck: one day he was told he was a ‘perverted imp’, and so was shamed out of the trick.

At twenty-seven he was caught by the passion for philosophy: he was directed to the most highly reputed professors to be found at Alexandria; but he used to come from their lectures saddened and discouraged. A friend to whom he opened his heart divined his temperamental craving and suggested Ammonius,⁵ whom he had not yet tried. Plotinus went, heard a lecture, and exclaimed to his comrade: ‘This was the man I was looking for.’

From that day he followed Ammonius continuously, and under his guidance made such progress in philosophy that he became eager to investigate the Persian methods and the system adopted among the Indians. It happened that the Emperor Gordian was at that time preparing his campaign against Persia; Plotinus joined the army and went on the expedition. He was then thirty-eight, for he had passed eleven entire years under Ammonius. When Gordian was killed in Mesopotamia, it was only with great difficulty that Plotinus came off safe to Antioch.

At forty, in the reign of Philip, he settled in Rome.

Erennius, Origen,⁶ and Plotinus had made a compact not to disclose any of the doctrines which Ammonius had revealed to them. Plotinus kept faith, and in all his intercourse with his associates divulged nothing of Ammonius’ system. But the compact was broken, first by Erennius and then by Origen following suit: Origen, it is true, put in writing nothing but the treatise *On the Spirit-Beings*, and in Gallienus’ reign that entitled *The King the Sole Creator*. Plotinus himself remained a long time without writing, but

he began to base his Conferences on what he had gathered from his studies under Ammonius. In this way, writing nothing but constantly conferring with a certain group of associates, he passed ten years.

He used to encourage his hearers to put questions, a liberty which, as Amelius told me, led to a great deal of wandering and futile talk.

Amelius had entered the circle in the third year of Philip's reign, the third, too, of Plotinus' residence in Rome, and remained about him until the first year of Claudius, twenty-four years in all. He had come to Plotinus after an efficient training under Lysimachus: in laborious diligence he surpassed all his contemporaries; for example, he transcribed and arranged nearly all the works of Numenius, and was not far from having most of them off by heart. He also took notes of the Conferences and wrote them out in something like a hundred treatises which he has since presented to Hostilianus Hesychius of Apamea, his adopted son.

4. I myself arrived from Greece in the tenth year of Gallienus' reign, accompanied by Antonius of Rhodes, and found Amelius an eighteen-years' associate of Plotinus, but still lacking the courage to write anything except for the notebooks, which had not reached their century. Plotinus, in this tenth year of Gallienus, was about fifty-nine: when I first met him I was thirty.

From the first year of Gallienus Plotinus had begun to write upon such subjects as had arisen at the Conferences: when I first came to know him in this tenth year of the reign he had composed twenty-one treatises.

They were, as I was able to establish, by no means given about freely. In fact the distribution was still grudging and secret; those that obtained them had passed the strictest scrutiny.

Plotinus had given no titles to these treatises; everybody headed them for himself: I cite them here under the titles which finally

prevailed, quoting the first words of each to facilitate identification.⁷

- 1 On Beauty (I. 6).
- 2 On the Immortality of the Soul (IV. 7).
- 3 On Fate (III. 1).
- 4 On the Essence of the Soul (IV. 2).
- 5 On the Intellectual-Principle, on the Ideas, and on the Authentic-Existent (V. 9).
- 6 On the Descent of the Soul into Bodies (IV. 8).
- 7 How the Post-Primal derives from the Primal; and on The One (V. 4).
- 8 Whether all the Souls are One (IV. 9).
- 9 On the Good or the One (VI. 9).
- 10 On the Three Primal Hypostases (V. 1).
- 11 On the Origin and Order of the Post-Primals (V. 2).
- 12 On the Two Orders of Matter (II. 4).
- 13 Various Questions (III. 9).
- 14 On the Circular Movement (II. 2).
- 15 On our Tutelary Spirit (III. 4).
- 16 On the Reasoned Dismissal (I. 9).
- 17 On Quality (II. 6).
- 18 Whether there are Ideas even of Particulars (V. 7).
- 19 On the Virtues (I. 2).

20 On Dialectic (I. 3).

21 Why the Soul is described as Intermediate between the
Existent having parts and the undisparted Existent (IV. 1).

These are the twenty-one treatises which, as I have said, Plotinus had already written, by his fifty-ninth year, when I first came to him.

5. I had been, it is true, in Rome a little before this tenth year of Gallienus, but at that time Plotinus was taking a summer holiday, engaging merely in conversation with his friends. After coming to know him I passed six years in close relation with him. Many questions were threshed out in the Conferences of those six years and, under persuasion from Amelius and myself, he composed two treatises to establish:

22, 23 That the Authentic-Existent is universally an integral, self-identical Unity (VI. 4, 5)

In immediate succession to these he composed two more: one is entitled:

24 That there is no Intellectual Act in the Principle which transcends the Authentic-Existent; and on the Nature that has the Intellectual Act Primally and that which has it Secondarily (V. 6);

The other:

25 On Potentiality and Actuality (II. 5).

After these come the following twenty:

26 On the Impassibility of the Bodiless (III. 6).

27 On the Soul, First (IV. 3).

28 On the Soul, Second (IV. 4).

29 On the Soul, Third; or, How We See (IV. 5).

30 On Contemplation (III. 8).

- 31 On Intellectual Beauty (V. 8).
- 32 That the Intelligibles are not outside the Intellectual-Principle;
and on the
Good (V. 5).
- 33 Against the Gnostics (II. 9).
- 34 On Numbers (VI. 6).
- 35 Why Distant Objects appear Small (II. 8).
- 36 Whether Happiness depends upon Extension of Time (I. 5).
- 37 On Coalescence (II. 7).
- 38 How the Multitude of Ideas Exists; and on the Good (VI. 7).
- 39 On Free-Will (VI. 8).
- 40 On the World (II. 1).
- 41 On Sensation and Memory (IV. 6).
- 42 On the Kinds of Being, First (VI. 1).
- 43 On the Kinds of Being, Second (VI. 2).
- 44 On the Kinds of Being, Third (VI. 3).
- 45 On Eternity and Time (III. 7).

Thus we have twenty-four treatises composed during the six years of my association with him and dealing, as the titles indicate, with such problems as happened to arise at the Conferences; add the twenty-one composed before my arrival, and we have accounted for forty-five treatises.

6. The following five more Plotinus wrote and sent to me while I was living in Sicily, where I had gone about the fifteenth year of Gallienus:

- 46 On Happiness (I. 4).
- 47 On Providence, First (III. 2).
- 48 On Providence, Second (III. 3).
- 49 On the Conscious Hypostases and the All-Transcending (V. 3).
- 50 On Love (III. 5).

These five he sent me in the first year of Claudius: in the early months of the second year, shortly before his death, I received the following four:

- 51 On Evil (I. 8).
- 52 Whether the Stars have Causal Operation (II. 3).
- 53 On the Animate (I. 1).
- 54 On Happiness (I. 7).

Adding these nine to the forty-five of the first and second sets we have a total of fifty-four treatises.

According to the time of writing – early manhood, vigorous prime, worn-out constitution – so the tractates vary in power. The first twenty-one pieces manifest a slighter capacity, the talent being not yet matured to the fullness of nervous strength. The twenty-four produced in the mid-period display the utmost reach of the powers and, except for the short treatises among them, attain the highest perfection. The last nine were written when the mental strength was already waning, and of these the last four show less vigour even than the five preceding.

7. Plotinus had a large following. Notable among the more zealous students, really devoted to philosophy, was Amelius of Tuscany, whose family name was Gentilianus. Amelius preferred to call himself Amerius, changing L for R, because, as he explained, it suited him better to be named from Amereia, Unification, than from Ameleia, Indifference.

The group included also one Paulinus, a doctor of Scythopolis, whom Amelius used to call Mikkalos in allusion to his blundering habit of mind.

Among closer personal friends was Eustochius of Alexandria, also a doctor, who came to know Plotinus towards the end of his life, and attended him until his death: Eustochius consecrated himself exclusively to Plotinus' system and became a veritable philosopher.

Then there was Zoticus, at once critic and poet, who has amended the text of Antimachus' works and is the author of an

exquisite poem upon the Atlantis story: his sight failed, and he died a little before Plotinus, as also did Paulinus.

Another friend was Zethos, an Arabian by descent, who married a daughter of Ammonius' friend Theodosius. Zethos, too, was a doctor: Plotinus was deeply attached to him and was always trying to divert him from the political career in which he stood high. Plotinus was on the most familiar terms with him, and used to stay with him at his country place, six miles from Minturnae, a property which had formerly belonged to Castricius Firmus.

Castricius was excelled by none of the group in appreciation of the finer side of life: he venerated Plotinus; he devoted himself in the most faithful comradeship to Amelius in every need, and was in all matters as loyal to myself as though I were his own brother.

This was another example of a politician venerating the philosopher. There were also among Plotinus' hearers not a few members of the Senate, amongst whom Marcellus Orontius and Sabinillus showed the greatest assiduity in philosophical studies.

Another Senator, Rogatianus, advanced to such detachment from political ambitions that he gave up all his property, dismissed all his slaves, renounced every dignity, and, on the point of taking up his praetorship, the lictors already at the door, refused to come out or have anything to do with the office. He even abandoned his own house, spending his time here and there at his friends' and acquaintances', sleeping and eating with them and taking, at that, only one meal every other day. He had been a victim of gout, carried in a chair, but this new régime of abstinence and abnegation restored his health: he had been unable to stretch out his hands; he came to use them as freely as men living by manual labour. Plotinus took a great liking to Rogatianus and frequently praised him very highly, holding him up as a model to those aiming at the philosophical life.

Then there was Serapion, an Alexandrian, who began life as a professional orator and later took to the study of philosophy, but

was never able to conquer the vices of avarice and usury.

I myself, Porphyry of Tyre, was one of Plotinus' very closest friends, and it was to me he entrusted the task of revising his writings.

8. Such revision was necessary: Plotinus could not bear to go back on his work even for one re-reading; and indeed the condition of his sight would scarcely allow it: his handwriting was slovenly; he misjoined his words; he cared nothing about spelling; his one concern was for the idea: in these habits, to our general surprise, he remained unchanged to the very end.

He used to work out his design mentally from first to last: when he came to set down his ideas, he wrote out at one jet all he had stored in mind as though he were copying from a book.

Interrupted, perhaps, by someone entering on business, he never lost hold of his plan; he was able to meet all the demands of the conversation and still keep his own train of thought clearly before him; when he was free again, he never looked over what he had previously written – his sight, it has been mentioned, did not allow of such re-reading – but he linked on what was to follow as if no distraction had occurred.

Thus he was able to live at once within himself and for others; he never relaxed from his interior attention unless in sleep; and even his sleep was kept light by an abstemiousness that often prevented him taking as much as a piece of bread, and by this unbroken concentration upon his own highest nature.

9. Several women were greatly attached to him, amongst them Gemina, in whose house he lived, and her daughter, called Gemina, too, after the mother, and Amphiclea, the wife of Ariston, son of Iamblichus; all three devoted themselves assiduously to philosophy.

Not a few men and women of position, on the approach of death, had left their boys and girls, with all their property, in his

care, feeling that with Plotinus for guardian the children would be in holy hands. His house therefore was filled with lads and lasses, amongst them Potamon, in whose education he took such interest as often to hear the boy recite verses of his own composition.⁸

He always found time for those that came to submit returns of the children's property, and he looked closely to the accuracy of the accounts: 'Until the young people take to philosophy,' he used to say, 'their fortunes and revenues must be kept intact for them.' And yet all this labour and thought over the worldly interests of so many people never interrupted, during waking hours, his intention towards the Supreme.

He was gentle, and always at the call of those having the slightest acquaintance with him. After spending twenty-six years in Rome, acting, too, as arbiter in many differences, he had never made an enemy of any citizen.

10. Among those making profession of Philosophy at Rome was one Olympius, an Alexandrian, who had been for a little while a pupil of Ammonius.

This man's jealous envy showed itself in continual insolence, and finally he grew so bitter that he even ventured sorcery, seeking to crush Plotinus by star-spells. But he found his experiments recoiling upon himself, and he confessed to his associates that Plotinus possessed 'a mighty soul, so powerful as to be able to hurl every assault back upon those that sought his ruin'. Plotinus had felt the operation and declared that at that moment Olympius' 'limbs were convulsed and his body shrivelling like a money-bag pulled tight'. Olympius, perceiving on several attempts that he was endangering himself rather than Plotinus, desisted.

In fact Plotinus possessed by birth something more than is accorded to other men. An Egyptian priest who had arrived in Rome and, through some friend, had been presented to the philosopher, became desirous of displaying his powers to him, and

he offered to evoke a visible manifestation of Plotinus' presiding spirit. Plotinus readily consented and the evocation was made in the Temple of Isis, the only place, they say, which the Egyptian could find pure in Rome.

At the summons a Divinity appeared, not a being of the spirit-ranks, and the Egyptian exclaimed: 'You are singularly graced; the guiding-spirit within you is not of the lower degree but a God.' It was not possible, however, to interrogate or even to contemplate this God any further, for the priest's assistant, who had been holding the birds to prevent them flying away, strangled them, whether through jealousy or in terror. Thus Plotinus had for indwelling spirit a Being of the more divine degree, and he kept his own divine spirit unceasingly intent upon that inner presence. It was this preoccupation that led him to write his treatise upon *Our Tutelary Spirit*, an essay in the explanation of the differences among spirit-guides.

Amelius was scrupulous in observing the day of the New-Moon and other holy-days, and once asked Plotinus to join in some such celebration: Plotinus refused: 'It is for those Beings to come to me, not for me to go to them.'⁹

What was in his mind in so lofty an utterance we could not explain to ourselves and we dared not ask him.

11. He had a remarkable penetration into character.

Once a valuable necklace was stolen from Chione, who was living in honourable widowhood with her children in the same house as Plotinus: the servants were called before him: he scrutinized them all, then indicated one: 'This man is the thief.' The man was whipped but for some time persisted in denial: finally, however, he confessed, and restored the necklace.

Plotinus foretold also the future of each of the children in the household: for instance, when questioned as to Polemon's character and destiny he said: 'He will be amorous and short-lived'; and so it

proved.

I myself at one period had formed the intention of ending my life; Plotinus discerned my purpose; he came unexpectedly to my house where I had secluded myself, told me that my decision sprang not from reason but from mere melancholy and advised me to leave Rome. I obeyed and left for Sicily, which I chose because I heard that one Probus, a man of scholarly repute, was living there not far from Lilybaeum. Thus I was induced to abandon my first intention but was prevented from being with Plotinus between that time and his death.

12. The Emperor Gallienus¹⁰ and his wife Salonina greatly honoured and venerated Plotinus, who thought to turn their friendly feeling to some good purpose. In Campania there had once stood, according to tradition, a City of Philosophers, a ruin now; Plotinus asked the Emperor to rebuild this city and to make over the surrounding district to the new-founded state; the population was to live under Plato's laws;¹¹ the city was to be called Platonopolis; and Plotinus undertook to settle down there with his associates. He would have had his way without more ado but that opposition at court, prompted by jealousy, spite, or some such paltry motive, put an end to the plan.

13. At the Conferences he showed the most remarkable power of going to the heart of a subject, whether in exposition or in explanation, and his phrasing was apt; but he made mistakes in certain words; for example, he said 'anamnemis-ketai' for 'anamimnesketai' – just such errors as he committed in his writing.

When he was speaking his intellect visibly illuminated his face: always of winning presence, he became at these times still more engaging: a slight moisture gathered on his forehead; he radiated benignity.

He was always as ready to entertain objections as he was

powerful in meeting them. At one time I myself kept interrogating him during three days as to how the soul is associated with the body, and he continued explaining; a man called Thaumasius entered in the midst of our discussions; the visitor was more interested in the general drift of the system than in particular points, and said he wished to hear Plotinus expounding some theory as he would in a set treatise, but that he could not endure Porphyry's questions and answers: Plotinus asked, 'But if we cannot first solve the difficulties Porphyry raises what could go into the treatise?'

14. In style Plotinus is concise, dense with thought, terse, more lavish of ideas than of words, most often expressing himself with a fervid inspiration. He followed his own path rather than that of tradition, but in his writings both the Stoic and Peripatetic doctrines are sunk; Aristotle's *Metaphysics*, especially, is condensed in them, all but entire.

He had a thorough theoretical knowledge of Geometry, Mechanics, Optics, and Music, though it was not in his temperament to go practically into these subjects.

At the Conferences he used to have treatises by various authors read aloud – among the Platonists it might be Severus or Cronius, Numenius, Gaius, or Atticus; and among the Peripatetics Aspasius, Alexander, Adrastus, or some such writer, at the call of the moment.¹² But it was far from his way to follow any of these authors blindly; he took a personal, original view, applying Ammonius' method to the investigation of every problem.

He was quick to absorb; a few words sufficed him to make clear the significance of some profound theory and so to pass on. After hearing Longinus' ¹³ work *On Causes* and his *Antiquary*, he remarked: 'Longinus is a man of letters, but in no sense a philosopher.'

One day Origen came to the conference-room; Plotinus blushed

deeply and was on the point of bringing his lecture to an end; when Origen begged him to continue, he said: 'The zest dies down when the speaker feels that his hearers have nothing to learn from him.'

15. Once on Plato's feast I read a poem, 'The Sacred Marriage'; my piece abounded in mystic doctrine conveyed in veiled words and was couched in terms of enthusiasm; someone exclaimed: 'Porphyry has gone mad'; Plotinus said to me so that all might hear: 'You have shown yourself at once poet, philosopher and hierophant.'

The orator Diophanes one day read a justification of the Alcibiades of Plato's Banquet and maintained that the pupil, for the sake of advancement in virtue, should submit to the teacher without reserve, even to the extent of carnal commerce: Plotinus started up several times to leave the room but forced himself to remain; on the breaking up of the company he directed me to write a refutation. Diophanes refused to lend me his address and I had to depend on my recollection of his argument; but my refutation, delivered before the same audience, delighted Plotinus so much that during the very reading he repeatedly quoted: 'So strike and be a light to men.'¹⁴

When Eubulus, the Platonic Successor, wrote from Athens, sending treatises on some questions in Platonism, Plotinus had the writings put into my hands with instructions to examine them and report to him upon them.

He paid some attention to the principles of Astronomy though he did not study the subject very deeply on the mathematical side. He went more searchingly into Horoscopy; when once he was convinced that its results were not to be trusted he had no hesitation in attacking the system frequently both at the Conferences and in his writings.¹⁵

16. Many Christians of this period – amongst them sectaries who had abandoned the old philosophy, men of the schools of Adelphius

and Aquilinus – had possessed themselves of works by Alexander of Libya, by Philocomus, by Demostratus, and by Lydus, and exhibited also Revelations bearing the names of Zoroaster, Zostrianus, Nicotheus, Allogenes, Mesus, and others of that order.¹⁶ Thus they fooled many, themselves fooled first; Plato, according to them, had failed to penetrate into the depth of Intellectual Being.

Plotinus frequently attacked their position at the Conferences and finally wrote the treatise which I have headed *Against the Gnostics*: he left to us of the circle the task of examining what he himself passed over. Amelius proceeded as far as a fortieth treatise in refutation of the book of Zostrianus: I myself have shown on many counts that the Zoroastrian volume is spurious and modern, concocted by the sectaries in order to pretend that the doctrines they had embraced were those of the ancient sage.

17. Some of the Greeks began to accuse Plotinus of appropriating the ideas of Numenius.¹⁷

Amelius, being informed of this charge by the Stoic and Platonist Trypho, challenged it in a treatise which he entitled *The Difference between the Doctrines of Plotinus and Numenius*. He dedicated the work to me, under the name of Basileus (or King). This really is my name; it is equivalent to Porphyry (Purple-robed) and translates the name I bear in my own tongue; for I am called Malchos, like my father, and ‘Malchos’ would give ‘Basileus’ in Greek. Longinus, in dedicating his work *On Impulse* to Cleodamus and myself, addressed us as ‘Cleodamus and Malchos’, just as Numenius translated the Latin ‘Maximus’ into its Greek equivalent ‘Megalos’.

Here follows Amelius’ letter:

‘Amelius to Basileus, with all good wishes.

‘You have been, in your own phrase, pestered by the persistent assertion that our friend’s doctrine is to be traced to Numenius of Apamea.

‘Now, if it were merely for those illustrious personages who

spread this charge, you may be very sure I would never utter a word in reply. It is sufficiently clear that they are actuated solely by the famous and astonishing facility of speech of theirs when they assert, at one moment, that he is an idle babbler, next that he is a plagiarist, and finally that his plagiarisms are feeble in the extreme. Clearly in all this we have nothing but scoffing and abuse.

‘But your judgement has persuaded me that we should profit by this occasion firstly to provide ourselves with a useful memorandum of the doctrines that have won our adhesion, and secondly to bring about a more complete knowledge of the system – long celebrated though it be – to the glory of our friend, a man so great as Plotinus.

‘Hence I now bring you the promised Reply, executed, as you yourself know in three days. You must judge it with reasonable indulgence; this is no orderly and elaborate defence composed in step-by-step correspondence with the written indictment: I have simply set down, as they occurred to me, my recollections of our frequent discussions. You will admit, also, that it is by no means easy to grasp the meaning of a writer who (like Numenius) now credited with the opinion we also hold, varies in the terms he uses to express the one idea.

‘If I have falsified any essential of the doctrine, I trust to your good nature to set me right: I am reminded of the phrase in the tragedy: A busy man and far from the teachings of our master I must needs correct and recant. Judge how much I wish to give you pleasure. Good health.’

18. This letter seemed worth insertion as showing, not merely that some contemporary judgement pronounced Plotinus to be parading on the strength of Numenius’ ideas, but that he was even despised as a word-spinner.

The fact is that these people did not understand his teaching: he was entirely free from all the inflated pomp of the professor: his

lectures had the air of conversation, and he never forced upon his hearers the severely logical substructure of his thesis.

I myself, when I first heard him, had the same experience. It led me to combat his doctrine in a paper in which I tried to show that the Intelligibles exist outside the Intellectual-Principle. He had my work read to him by Amelius: at the end he smiled and said: 'You must clear up these difficulties, Amelius: Porphyry doesn't understand our position.' Amelius wrote a tract of considerable length *In Answer to Porphyry's Objections*; I wrote a reply to the reply: Amelius replied to my reply; at my third attempt I came, though even so with difficulty, to grasp the doctrine: then only, I was converted, wrote a recantation, and read it before the circle. From that time on I was entrusted with Plotinus' writings and sought to stir in the master himself the ambition of organizing his doctrine and setting it down in more extended form. Amelius, too, under my prompting, was encouraged in composition.

19. Longinus'¹⁸ estimate of Plotinus, formed largely upon indications I myself had given him in my letters, will be gathered from the following extract from one of his to me. He is asking me to leave Sicily and join him in Phoenicia, and to bring Plotinus' works with me. He says:

'And send them at your convenience or, better, bring them; for I can never cease urging you to give the road towards us the preference over any other. If there is no better reason – and what intellectual gain can you anticipate from a visit to us? – at least there are old acquaintances and the mild climate which would do you good in the weak state of health you report. Whatever else you may be expecting, do not hope for anything new of my own, or even for the earlier works which you tell me you have lost; for there is a sad dearth of copyists here. I assure you it has taken me all this time to complete my set of Plotinus, and it was done only by calling off my scribe from all his routine work, and keeping him steadily to this one task.

‘I think that now, with what you have sent me, I have everything, though in a very imperfect state, for the manuscript is exceeding faulty. I had expected our friend Amelius to correct the scribal errors, but he evidently had something better to do. The copies are quite useless to me; I have been especially eager to examine the treatises *On the Soul*¹⁹ and *On the Authentic-Existent*,²⁰ and these are precisely the most corrupted. It would be a great satisfaction to me if you would send me faithful transcripts for collation and return – though again I suggest to you not to send but to come in person, bringing me the correct copies of these treatises and of any that Amelius may have passed over. All that he brought with him I have been careful to make my own: how could I be content not to possess myself of all the writings of a man so worthy of the deepest veneration?

‘I repeat, what I have often said in your presence and in your absence, as on that occasion when you were at Tyre, that while much of the theory does not convince me, yet I am filled with admiration and delight over the general character of the work, the massive thinking of the man, the philosophic handling of problems; in my judgement investigators must class Plotinus’ work with that holding the very highest rank.’

20. This extended quotation from the most acute of the critics of our day – a writer who has passed judgement on nearly all his contemporaries – serves to show the estimate he came to set upon Plotinus of whom, at first, misled by ignorant talk, he had held a poor opinion.

His notion, by the way, that the transcripts he acquired from Amelius were faulty sprang from his misunderstanding of Plotinus’ style and phraseology; if there were ever any accurate copies, these were they, faithful reproductions from the author’s own manuscript.

Another passage from the work of Longinus, dealing with Amelius, Plotinus, and other metaphysicians of the day, must be

inserted here to give a complete view of the opinion formed upon these philosophers by the most authoritative and most searching of critics. The work was entitled *On the End: in Answer to Plotinus and Gentilianus Amelius*. It opens with the following preface:

‘In our time, Marcellus, there have been many philosophers – especially in our youth – for there is a strange scarcity at present. When I was a boy, my parents’ long journeys gave me the opportunity of seeing all the better-known teachers; and in later life those that still lived became known to me as my visits to this and that city and people brought me where they happened to live.

‘Some of these undertook the labour of developing their theories in formal works and so have bequeathed to the future the means of profiting by their services. Others thought they had done enough when they had convinced their own immediate hearers of the truth of their theories.

‘First of those that have written.

‘Among the Platonists there are Euclides, Democritus, Proclinus the philosopher of the Troad, and the two who still profess philosophy at Rome, Plotinus and his friend Gentilianus Amelius. Among the Stoics there are Themistocles and Phoibion and the two who flourished only a little while ago, Annius and Medius. And there is the Peripatetic, Heliodorus of Alexandria.

‘For those that have not written, there are among the Platonists Ammonius and Origen, two teachers whose lectures I myself attended during a long period, men greatly surpassing their contemporaries in mental power; and there are the Platonic Successors at Athens, Theodotus and Eubulus.²¹

‘No doubt some writing of a metaphysical order stands to the credit of this group: Origen wrote *On Spirit-Beings*, Eubulus *On the Philebus and Gorgias*, and the objections urged by Aristotle to Plato’s *Republic*; but this is not enough to class either of them with systematic authors. This was side-play; authorship was not in the main plan of their careers.

‘Among Stoic teachers that refrained from writing we have Herminius and Lysimachus, and the two living at Athens, Musonius and Athenaeus; among Peripatetics, Ammonius and Ptolemaeus.

‘The two last were the most accomplished scholars of their time, Ammonius especially being unapproached in breadth of learning; but neither produced any systematic work; we have from them merely verses and duty-speeches; and these I cannot think to have been preserved with their consent; they did not concern themselves about formal statement of their doctrine, and it is not likely they would wish to be known in after times by compositions of so trivial a nature.

‘To return to the writers; some of them, like Euclides, Democritus, and Proclinus, confined themselves to the mere compilation and transcription of passages from earlier authorities. Others diligently worked over various minor points in the investigations of the ancients, and put together books dealing with the same subjects. Such were Annius, Medius, and Phoibion, the last especially choosing to be distinguished for style rather than for systematic thinking. In the same class must be ranked Heliodorus; his writings contribute nothing to the organization of the thought which he found to his hand in the teaching of earlier workers.

‘Plotinus and Gentilianus Amelius alone display the true spirit of authorship; they treat of a great number of questions and they bring a method of their own to the treatment.

‘Plotinus, it would seem, set the principles of Pythagoras and of Plato in a clearer light than anyone before him; on the same subjects, Numenius, Cronius, Moderatus, and Thrasyllus²² fall far short of him in precision and fullness. Amelius set himself to walk in Plotinus’ steps and adopted most of Plotinus’ opinions; his method, however, was diffuse and, unlike his friend, he indulges in an extravagance of explanation.

‘Only these two seem to me worth study. What profit can anyone expect from troubling the works of any of the others to the neglect

of the originals on which they drew? They bring us nothing of their own, not even a novel argument, much less a leading idea, and are too unconcerned even to set side by side the most generally adopted theories or to choose the better among them.

‘My own method has been different; as for example when I replied to Gentilianus upon Plato’s treatment of Justice and in a review I undertook of Plotinus’ work *On the Ideas*. This latter was in the form of a reply to Basileus of Tyre, my friend as theirs. He had preferred Plotinus’ system to mine and had written several works in the manner of his master, amongst them a treatise supporting Plotinus’ theory of the Idea²³ against that which I taught. I endeavoured, not, I think, unsuccessfully, to show that his change of mind was mistaken.

‘In these two essays I have ranged widely over the doctrines of this school, as also in my *Letter to Amelius* which, despite the simple title with which I contented myself, has the dimensions of a book, being a reply to a treatise he addressed to me from Rome under the title *On Plotinus’ Philosophic Method*.’

21. This Preface leaves no doubt of Longinus’ final verdict: he ranks Plotinus and Amelius above all authors of his time in the multitude of questions they discuss; he credits them with an original method of investigation: in his judgement they by no means took their system from Numenius or gave a first place to his opinions, but followed the Pythagorean and Platonic schools; finally he declares the writings of Numenius, Cronius, Moderatus, and Thrasyllus greatly inferior in precision and fullness to those of Plotinus.

Notice, by the way, that while Amelius is described as following in Plotinus’ footsteps, it is indicated that his temperamental prolixity led him to delight in an extravagance of explanation foreign to his master: in the reference to myself, though I was then only at the beginning of my association with Plotinus – ‘Basileus of Tyre,²⁴ my friend as theirs, who has written a good deal, has taken Plotinus as his model’ – Longinus recognizes that I entirely avoided

Amelius' unphilosophical prolixity and made Plotinus' manner my standard.

Such a pronouncement upon the value of Plotinus' work, coming from so great an authority, the first of critics then as now, must certainly carry weight, and I may remark that if I had been able to confer with him, during such a visit as he proposed, he would not have written to combat doctrines which he had not thoroughly penetrated.

22. But why talk, to use Hesiod's phrase, 'About Oak and Rock'?²⁵
If we are to accept the evidence of the wise – who could be wiser than a God? And here the witness is the same God that said with truth:

'I have numbered the sands and taken the measure of the sea; I understand the dumb and hear where there has been no speech.'²⁶

Apollo was consulted by Amelius, who desired to learn where Plotinus' soul had gone. And Apollo, who uttered of Socrates that great praise, 'Of all men, Socrates the wisest' – you shall hear what a full and lofty oracle Apollo rendered upon Plotinus.

I raise an undying song, to the memory of a gentle friend, a hymn of praise woven to the honey-sweet tones of my lyre under the touch of the golden plectrum.

The Muses, too, I call to lift the voice with me in strains of many-toned exultation, in passion ranging over all the modes of song:

even as of old they raised the famous chant to the glory of Aeacides in the immortal ardours of the Homeric line.

Come, then, Sacred Chorus, let us intone with one great sound the utmost of all song, I Phoebus, Bathychaites,²⁷ singing in the midst.

Celestial! Man at first but now nearing the diviner ranks! the bonds of human necessity are loosed for you and, strong of heart, you beat your eager way from out the roaring tumult of the fleshly life to the shores of that wave-washed coast free from the thronging of the guilty, thence to take the grateful path of the sinless soul:

where glows the splendour of God, where Right is throned in the stainless place, far from the wrong that mocks at law.

Oft-times as you strove to rise above the bitter waves of this blood-drenched life, above the sickening whirl, toiling in the mid-most of the rushing flood and

the unimaginable turmoil, oft-times, from the Ever-Blessed, there was shown to you the Term still close at hand:

Oft-times, when your mind thrust out awry and was like to be rapt down unsanctioned paths, the Immortals themselves prevented, guiding you on the straightgoing way to the celestial spheres, pouring down before you a dense shaft of light that your eyes might see from amid the mournful gloom.

Sleep never closed those eyes: high above the heavy murk of the mist you held them; tossed in the welter, you still had a vision; still you saw sights many and fair not granted to all that labour in wisdom's quest.

But now that you have cast the screen aside, quitted the tomb that held your lofty soul, you enter at once the heavenly consort:

where fragrant breezes play, where all is unison and winning tenderness and guileless joy, and the place is lavish of the nectar-streams the unfailing Gods bestow, with the blandishments of the Loves, and delicious airs, and tranquil sky:

where Minos and Rhadamanthus dwell, great brethren of the golden race of mighty Zeus; where dwell the just Aeacus, and Plato, consecrated power, and stately Pythagoras and all else that form the Choir of Immortal Love, that share their parentage with the most blessed spirits, there where the heart is ever lifted in joyous festival.

O Blessed One, you have fought your many fights; now, crowned with unfading life, your days are with the Ever-Holy.

Rejoicing Muses, let us stay our song and the subtle windings of our dance; thus much I could but tell, to my golden lyre, of Plotinus, the hallowed soul.

23. Good and kindly, singularly gentle and engaging: thus the oracle presents him, and so in fact we found him. Sleeplessly alert – Apollo tells – pure of soul, ever striving towards the divine which he loved with all his being, he laboured strenuously to free himself and rise above the bitter waves of this blood-drenched life: and this is why to Plotinus – God-like and lifting himself often, by the ways of meditation and by the methods Plato teaches in the Banquet,²⁸ to the first and all-transcendent God – that God appeared, the God who has neither shape nor form but sits enthroned above the Intellectual-Principle and all the Intellectual-Sphere.

‘There was shown to Plotinus the Term ever near’: for the Term, the one end, of his life was to become Uniate, to approach to the God over all: and four times, during the period I passed with him, he achieved this Term, by no mere latent fitness but by the ineffable Act.

To this God, I also declare, I Porphyry, that in my sixty-eighth year I too was once admitted and entered into Union.

We are told that often when he was leaving the way, the Gods set him on the true path again, pouring down before him a dense shaft of light; here we are to understand that in his writing he was overlooked and guided by the divine powers.

‘In this sleepless vision within and without,’ the oracle says, ‘your eyes have beheld sights many and fair not vouchsafed to all that take the philosophic path’: contemplation in man may sometimes be more than human, but compare it with the True-Knowing of the Gods and, wonderful though it be, it can never plunge into the depths their divine vision fathoms.

Thus far the Oracle recounts what Plotinus accomplished and to what heights he attained while still in the body: emancipated from the body, we are told how he entered the celestial circle where all is friendship, tender delight, happiness, and loving union with God, where Minos and Rhadamanthus and Aeacus, the sons of God, are enthroned as judges of souls – not, however, to hold him to judgement but as welcoming him to their consort to which are bidden spirits pleasing to the Gods – Plato, Pythagoras, and all the people of the Choir of Immortal Love, there where the blessed spirits have their birth-home and live in days filled full of ‘joyous festival’ and made happy by the Gods.

24. I have related Plotinus’ life; something remains to tell of my revision and arrangement of his writings. This task he himself had imposed upon me during his lifetime and I had pledged myself to him and to the circle to carry it out.

I judged that in the case of treatises which, like these, had been issued without consideration of logical sequence it was best to disregard the time-order.

Apollodorus, the Athenian, edited in ten volumes the collected works of Epicharmus, the comedy writer; Andronicus,²⁹ the

Peripatetic, classified the works of Aristotle and of Theophrastus according to subject, bringing together the discussions of related topics: I have adopted a similar plan.

I had fifty-four treatises before me: I divided them into six sets of nine, an arrangement which pleased me by the happy combination of the perfect number six with the nines: to each such ennead I assigned matter of one general nature, leading off with the themes presenting the least difficulty.

The FIRST ENNEAD, on this method, contains the treatises of a more ethical tendency:

- 1 On the Animate and the Man.
- 2 On the Virtues.
- 3 On Dialectic.
- 4 On Happiness.
- 5 Whether Happiness depends on Extension of Time.
- 6 On Beauty.
- 7 On the Primal Good and Secondary forms of Good.
- 8 On Evil.
- 9 On the Reasoned Withdrawal from Life.

The SECOND ENNEAD, following the more strictly ethical First, is physical, containing the disquisitions on the world and all that belongs to the world:

- 1 On the World.
- 2 On the Circular Movement.
- 3 Whether the Stars have Causal Operation.
- 4 On the Two Orders of Matter.
- 5 On Potentiality and Actuality.
- 6 On Quality and Form.
- 7 On Coalescence.
- 8 Why Distant Objects appear Small.
- 9 Against those Declaring the Creator of the World, and the World itself, to be Evil.

The THIRD ENNEAD, still keeping to the World, discusses the philosophical implications of some of its features:

- 1 On Fate.
- 2 The First Treatise on Providence.
- 3 The Second Treatise on Providence.
- 4 On Our Tutelary Spirit.
- 5 On Love.
- 6 On the Impassibility of the Bodiless.
- 7 On Eternity and Time.
- 8 On Nature, Contemplation, and The One.
- 9 Various Questions.

25. These first three Enneads constitute in my arrangement one self-contained section.

The treatise on *Our Tutelary Spirit* is placed in the Third Ennead because this Spirit is not discussed as it is in itself, and the essay by its main content falls into the class dealing with the origin of man. Similar reasons determined the inclusion in this set of the treatise on *Love*. That on *Eternity and Time* is placed in this Third Ennead in virtue of its treatment of Time: that *On Nature, Contemplation, and The One*, because of the discussion of Nature contained in it.

Next to the two dealing with the world comes the FOURTH ENNEAD containing the treatises dealing with the Soul:

- 1 On the Essence of the Soul (I).
- 2 On the Essence of the Soul (II).
- 3 Questions referring to the Soul (I).
- 4 Questions referring to the Soul (II).
- 5 Questions referring to the Soul (III); or, On Vision.
- 6 On Sensation and Memory.
- 7 On the Immortality of the Soul.
- 8 On the Descent of the Soul into Bodies.
- 9 Whether all Souls are One.

The FIFTH ENNEAD – following upon that dealing with the Soul – contains the treatises upon the Intellectual-Principle, each of which has also some reference to the All-Transcending and to the Intellectual-Principle in the Soul, and to the Ideas:

- 1 On the three Primal Hypostases.
- 2 On the Origin and Order of the Post-Primals.
- 3 On the Conscious Hypostases and the All-Transcending.
- 4 How the Post-Primal derives from the Primal, and on the One.
- 5 That the Intelligibles are not outside the Intellectual-Principle, and on the Good.
- 6 That there is no Intellectual Act in the Principle which transcends the Authentic-Existent; and on the Nature that has the Intellectual Act Primally and that which has it Secondly.
- 7 Whether there are Ideas even of Particulars.
- 8 On Intellectual Beauty.
- 9 On the Intellectual-Principle, on the Ideas, and on the Authentic-Existent.

26. These Fourth and Fifth Enneads, again, I have arranged in the form of one distinct section.

The last Ennead, the Sixth, constitutes one other section, so that we have the entire work of Plotinus in three sections, the first containing three Enneads, the second two, the third one Ennead.

The content of the third section, that is of the SIXTH ENNEAD, is as follows:

- 1, 2, 3 On the Kinds of Being.
- 4, 5 That the Authentic-Existent, one and identical, is everywhere present, integrally.
- 6 On Numbers.
- 7 How the Multitude of Ideas Exists; and on the Good.
- 8 On Free-Will and the Will of The One.
- 9 On The Good, or The One.

Thus, in sum, I have arranged the sixty-four treatises,

constituting Plotinus' entire work, into six sets of nine: to some of the treatises I have further added commentaries – irregularly, as friends asked for enlightenment on this or that point; finally for all the treatises, except that on Beauty, which was not to hand, I have written Summaries which follow the chronological order: in this department of my work besides the Summaries will be found Developments;³⁰ the numbering of these also adopts the chronological order.

Now I have only to go once more through the entire work, see to the punctuation, and correct any verbal errors; what else has solicited my attention, the reader will discover for himself.



PREFACE

It is a particular privilege for me as an Irishman to present this version of Stephen MacKenna's great translation of Plotinus to the world as a Penguin Classic. It is a tribute which his achievement deserves, and its consequent accessibility to a wider audience is something which would have pleased him greatly, concerned as he was at the rather sumptuous format in which it first appeared (and even the Faber edition, on which the present one is based, was hardly within the reach of everyone).¹ However, there are a number of aspects of this edition that might seem to call for, if not apology, at least explanation.

First of all, it might be said that MacKenna's translation, even as revised by B. S. Page, must be regarded now as dated, not least because it relied on a text of Plotinus significantly inferior to what is now available to us. Why not produce a totally new translation? In reply I would say that MacKenna's translation, though a trifle orotund and even fuzzy in places, is very rarely incorrect,² while on the other hand it is indisputably a great monument of English prose – far better English, in general, than the Greek of Plotinus' original. Furthermore, it happens that we have now in English, in the Loeb Classical Library series, from the pen of one of the greatest of contemporary Plotinian scholars, A. H. Armstrong, a very accurate translation which would make a further attempt at a translation of Plotinus into English a task both daunting and superfluous; yet Armstrong's version, though excellent as a 'working' translation, does not in general rival MacKenna in point of stylistic elegance.

Second, while Penguin Books was nobly prepared to save MacKenna's translation from relative oblivion when the copyright lapsed by producing it in paperback for the first time, yet their

enterprise has had to be tempered by realism, and the consequence was that I was given the delicate task of cutting down the text, if possible by one third, in order to bring the whole into the compass of one manageable volume, while adding short introductions and notes. This I have chosen to do, not by excising portions from individual tractates (that would have brought the text dangerously near to being a mere anthology), but rather by omitting certain tractates which my own judgement, reinforced by some statistical sampling of their quotation by the major authorities in the field, told me were the least utilized in the corpus.

The largest omission has been *Enneads* VI. 1–3, the treatise *On the Genera of Being*, a part of Plotinus' oeuvre of so rebarbative a nature (it is largely a critique of the Aristotelian and Stoic systems of categories) that MacKenna himself could not face it, and delegated it to Page to translate. Of this, VI. 2 contains positive doctrine of some importance, but the substance of it can be found elsewhere, and it seemed possible to sacrifice it along with the rest, in order to save other tractates of equal or greater interest. Again, *Ennead* VI. 6, *On Numbers*, while a tractate of some importance, does not figure much in accounts of Plotinus' philosophy, and its doctrine of number as the structuring principle of the intelligible world can be found elsewhere. Moreover, VI. 6 has recently (1980) received a comprehensive treatment in the form of a text, translation and commentary from a team of French scholars, Janine Berber and others, and VI. 1–3 will soon have an authoritative commentary and English translation from Professor Frede of Princeton University (in the Cambridge Later Ancient Philosophy Series).

Apart from these, I have omitted a series of smaller treatises, mainly concerning questions of physics and logic, topics on which Plotinus' contributions, though always acute, are not of central importance to his philosophy.³ A full list of the omitted tractates is as follows: I. 5 [36], *Whether Happiness depends on Extension of Time*; I. 7 [54], *On the Primal Good and the Secondary forms of Good*;

II. 1 [40], *On the World*; II. 2 [14], *On the Circular Movement*; II. 5 [25], *On Potentiality and Actuality*; II. 6 [17], *On Quality and Form*; II. 7 [37], *On Coalescence*; II. 8 [35], *Why Distant Objects Appear Small*; III. 1 [3], *On Fate*; III. 9 [13], *Various Questions*; IV. 1 [21], *On the Essence of the Soul (I)*; IV. 2 [4], *On the Essence of the Soul (II)*; IV. 5 [29], *Questions referring to the Soul (III), or, On Vision*; IV. 6 [41], *On Sensation and Memory*; IV. 7 [2], *The Immortality of the Soul*; IV. 9 [8], *All Souls One*; V. 6 [24], *That there is no Intellectual Act in the Principle which transcends the Authentic-Existent*; VI. 1–3 [42–44], *On the Kinds of Being*; VI. 6 [34], *On Numbers*.

The great majority of these tractates are quite short. A number of them (IV. 7, III. 1, IV. 2, IV. 9, III. 9, II. 2), are ‘early’ essays, the content of which is better developed elsewhere. Most of the others concern topics on which Plotinus has not much of great originality to say, though matters of interest can be found in all of them. However, if *something* had to go, and, regrettably, this was the case, these seemed the most expendable – though I recognize that this may not be the view of all scholars.

On the positive side, I have contributed short summaries of the subject matter of each tractate, and such notes as the format allowed, mainly elucidating references to Plato and other sources, and giving cross-references to other relevant passages in Plotinus.⁴ MacKenna himself included only minimal references, and even what I have been able to provide will often not do a great deal to shed light on Plotinus’ meaning. For that I have no easy recipe, other than perseverance in the reading of the text, and recourse to one or other of the good accounts of his thought that now exist.⁵ In approaching the text itself, I would recommend following, not Porphyry’s arrangement into Enneads, which MacKenna follows (as do most other modern editions), but rather the chronological order, which Porphyry also reveals to us (*Life of Plotinus*, chs. 4–6),⁶ and even before that, reading, perhaps, such tractates as V. I, I. 6, VI. 9 and IV. 8, and the group III. 8–V. 8–V. 5–II. 9 (the so-called *Grossschrift*), for reasons which will become apparent if one turns to them. Plotinus nowhere sets out formally an account of his

philosophical system, but in V. 1, especially, he comes near to it (he, of course, would not have admitted to *having* a system; as far as he was concerned, he was a faithful follower of Plato).

MacKenna did provide a philosophical introduction to his translation, and this I have decided to preserve, as it explains a good deal about his terminological usage as well as being a useful account of Plotinus' system in its main lines. I have also preserved the essay of Fr Paul Henry, composed for the Faber edition, as being a valuable personal testimony, from the pen of a great Plotinian scholar, to his view of Plotinus. My own introduction is composed with these two essays in mind, and concentrates, therefore, on aspects of Plotinus of particular interest to me, to which they do not pay much attention. I hope that the result creates, not confusion, but rather something in the nature of a panorama of this complex thinker.

I am indebted variously to A. H. Armstrong, John Rist, Henry Blumenthal and Steven Strange for help and advice in the preparation of this volume, but they are of course in no way responsible for its shortcomings (Steven Strange in particular will not be pleased with the omission of VI. 1–3). I am also most grateful to Mr Michael Atkinson for checking over the notes and introductions, and making many helpful comments. In Paul Keegan of Penguin Books I have found a most patient and good-humoured editor. Lastly, I owe a profound debt of thanks to The Institute for Advanced Study at Princeton for providing an ideal environment in the second semester of 1987/8 for the preparation of this edition.



THE FIRST ENNEAD

FIRST TRACTATE



THE ANIMATE AND THE MAN [53]

SUMMARY

Actually one of Plotinus' latest writings, but placed here by Porphyry because it is an enquiry into the nature of personal identity, the proper first subject of study in Platonic philosophy. Plotinus here bases himself on Aristotle's De Anima (esp. I.4 from which the treatise begins), but goes beyond Aristotle in the subtlety of his analysis of the concept of the Self. Special problems that arise are the impassibility of the higher soul (ch. 3–7), the consequences of transmigration of souls (ch. 11), and judgement after death – what is judged, if the higher soul remains sinless (ch. 12)?

1. Pleasure and distress, fear and courage, desire and aversion, where have these affections and experiences their seat?¹

Clearly, either in the Soul alone, or in the Soul as employing the body, or in some third entity deriving from both. And for this third entity, again, there are two possible modes: it might be either a blend or a distinct form due to the blending.

And what applies to the affections applies also to whatsoever acts, physical or mental, spring from them.

We have, therefore, to examine discursive-reason and the ordinary mental action upon objects of sense, and inquire whether these have the one seat with the affections and experiences, or perhaps sometimes the one seat, sometimes another.

And we must consider also our acts of Intellection, their mode and their seat.

And this very examining principle, which investigates and decides in these matters, must be brought to light.

Firstly, what is the seat of Sense-Perception? This is the obvious beginning since the affections and experiences either are sensations of some kind or at least never occur apart from sensation.

2. This first inquiry obliges us to consider at the outset the nature of the Soul – that is whether a distinction is to be made between Soul and Essential Soul (between an individual Soul and the Soul-Kind in itself).

If such a distinction holds, then the Soul (in man) is some sort of a composite and at once we may agree that it is a recipient and – if only reason allows – that all the affections and experiences really have their seat in the Soul, and with the affections every state and mood, good and bad alike.

But if Soul (in man) and Essential Soul are one and the same,² then the Soul will be an Ideal-Form unreceptive of all those activities which it imparts to another Kind but possessing within itself that native Act of its own which Reason manifests.

If this be so, then, indeed, we may think of the Soul as an immortal – if the immortal, the imperishable, must be impassive, giving out something of itself but itself taking nothing from without except for what it receives from the Existents prior to itself, from which Existents, in that they are the nobler, it cannot be sundered.

Now what could bring fear to a nature thus unreceptive of all the outer? Fear demands feeling. Nor is there place for courage: courage implies the presence of danger. And such desires as are

satisfied by the filling or voiding of the body, must be proper to something very different from the Soul, to that only which admits of replenishment and voidance.

And how could the Soul lend itself to any admixture? An essential is not mixed. Or to the intrusion of anything alien? If it did, it would be seeking the destruction of its own nature. Pain must be equally far from it. And Grief – how or for what could it grieve? Whatever possesses Existence is supremely free, dwelling, unchangeable, within its own peculiar nature. And can any increase bring joy, where nothing, not even anything good, can accrue? What such an Existent is, it is unchangeably.

Thus assuredly Sense-Perception, Discursive-Reasoning, and all our ordinary mentation are foreign to the Soul: for sensation is a receiving – whether of an Ideal-Form or of a bodily affection – and reasoning and all ordinary mental action deal with sensation.

The question still remains to be examined in the matter of the intellections – whether these are to be assigned to the Soul – and as to Pure-Pleasure (pleasure apart from sense), whether this belongs to the Soul in its solitary state.

3. We may treat of the Soul as in the body – whether it be set above it or actually within it – since the association of the two constitutes the one thing called the living organism, the Animate.

Now from this relation, from the Soul using the body as an instrument, it does not follow that the Soul must share the body's experiences: a man does not himself feel all the experiences of the tools with which he is working.

It may be objected that the Soul must, however, have Sense-Perception since its use of its instrument must acquaint it with the external conditions, and such knowledge comes by way of sense. Thus, it will be argued, the eyes are the instrument of seeing, and seeing may bring distress to the Soul: hence the Soul may feel sorrow and pain and every other affection that belongs to the body;

and from this again will spring desire, the Soul seeking the mending of its instrument.

But, we ask, how, possibly, can these affections pass from body to Soul? Body may communicate qualities or conditions to another body: but – body to Soul? Something happens to A; does that make it happen to B? As long as we have agent and instrument, there are two distinct entities; if the Soul uses the body it is separate from it.

But apart from the philosophical separation how does Soul stand to body?

Clearly there is a combination. And for this several modes are possible. There might be a complete coalescence: Soul might be interwoven³ through the body: or it might be an Ideal-Form detached or an Ideal-Form in governing contact like a pilot: or there might be part of the Soul detached and another part in contact, the disjoined part being the agent or user, the conjoined part ranking with the instrument or thing used.

In this last case it will be the double task of philosophy to direct this lower Soul towards the higher, the agent, and except in so far as the conjunction is absolutely necessary, to sever the agent from the instrument, the body, so that it need not forever have its Act upon or through this inferior.

4. Let us consider, then, the hypothesis of a coalescence.

Now if there is a coalescence, the lower is ennobled, the nobler degraded; the body is raised in the scale of being as made participant in life; the Soul, as associated with death and unreason, is brought lower. How can a lessening of the life-quality produce an increase such as Sense-Perception?

No: the body has acquired life, it is the body that will acquire, with life, sensation and the affections coming by sensation. Desire, then, will belong to the body, as the objects of desire are to be enjoyed by the body. And fear, too, will belong to the body alone; for it is the body's doom to fail of its joys and to perish.

Then again we should have to examine how such a coalescence could be conceived: we might find it impossible: perhaps all this is like announcing the coalescence of things utterly incongruous in kind, let us say of a line with whiteness.

Next for the suggestion that the Soul is interwoven through the body: such a relation would not give woof and warp community of sensation: the interwoven element might very well suffer no change: the permeating soul might remain entirely untouched by what affects the body – as light goes always free of all its floods – and all the more so, since, precisely, we are asked to consider it as (not confined to any one part but) diffused throughout the entire frame. Under such an interweaving, then, the Soul would not be subjected to the body's affections and experiences.

Let us then suppose Soul to be in body as Ideal-Form in Matter. Now if – the first possibility – the Soul is an essence, a self-existent, it can be present only as a separable form and will therefore all the more decidedly be the Using-Principle (and therefore unaffected).

Suppose, next, the Soul to be present like axe-form on iron:⁴ here, no doubt, the form is all important but it is still (not the one member but) the axe, the couplement of iron and form, that effects whatever is effected by the iron thus modified: on this analogy, therefore, we are even more strictly compelled to assign all the experiences of the combination to the body: yet the body is of a particular kind – ‘a natural body, having organs (or faculty-instruments), and the potential recipient of life’.

Compare the passage where we read that ‘it is absurd to suppose that the Soul weaves’; equally absurd to think of it as desiring, grieving. All this is rather in the province of something which we may call the Animate.

5. Now this Animate might be merely the body as having life: it might be the Couplement of Soul and body: it might be a third and different entity formed from both.⁵

The Soul in turn – apart from the nature of the Animate – must be either impassive, merely causing Sense-Perception in its yoke-fellow, or sympathetic; and, if sympathetic, it may have identical experiences with its fellow or merely correspondent experiences: desire for example in the Animate may be something quite distinct from the accompanying movement or state in the desiring faculty.

The body, the live-body as we know it, we will consider later.

Let us take first the Couplement of body and Soul. How could suffering, for example, be seated in this Couplement?

It may be suggested that some unwelcome state of the body produces a distress which reaches to a Sensitive-Faculty which in turn merges into Soul. But this account still leaves the origin of the sensation unexplained.

Another suggestion might be that all is due to an opinion or judgement:⁶ some evil seems to have befallen the man or his belongings and this conviction sets up a state of trouble in the body and in the entire Animate. But this account leaves still a question as to the source and seat of the judgement: does it belong to the Soul or to the Couplement? Besides, the judgement that evil is present does not involve the feeling of grief: the judgement might very well arise and the grief by no means follow: one may think oneself slighted and yet not be angry; and the appetite is not necessarily excited by the thought of a pleasure. We are, thus, no nearer than before to any warrant for assigning these affections to the Couplement.

Is it any explanation to say that desire is vested in a Faculty-of-desire and anger in the Irascible-Faculty and, collectively, that all tendency is seated in the Appetitive-Faculty? Such a statement of the facts does not help towards making the affections common to the Couplement; they might still be seated either in the Soul alone or in the body alone. On the one hand, if the appetite is to be stirred, as in the carnal passion, there must be a heating of the blood and the bile, a well-defined state of the body; on the other

hand, the impulse towards The Good cannot be a joint affection, but, like certain others too, it would belong necessarily to the Soul alone.

Reason, then, does not permit us to assign all the affections to the Couplement.

In the case of carnal desire, it will certainly be the Man that desires, and yet, on the other hand, there must be desire in the Desiring-Faculty as well. How can this be? Are we to suppose that, when the man originates the desire, the Desiring-Faculty moves to the order? How could the Man have come to desire at all unless through a prior activity in the Desiring-Faculty? Then it is the Desiring-Faculty that takes the lead? Yet how, unless the body be first in the appropriate condition?

6. It may seem reasonable to lay down as a law that when any powers are contained by a recipient, every action or state expressive of them must be the action or state of that recipient, they themselves remaining unaffected as merely furnishing efficiency.

But if this were so, then, since the Animate is the recipient of the Causing-Principle (i.e. the Soul) which brings life to the Couplement, this Cause must itself remain unaffected, all the experiences and expressive activities of the life being vested in the recipient, the Animate.

But this would mean that life itself belongs not to the Soul but to the Couplement; or at least the life of the Couplement would not be the life of the Soul; Sense-Perception would belong not to the Sensitive-Faculty but to the container of the faculty.

But if the sensation is a movement traversing the body and culminating in Soul, how can the Soul lack sensation? The very presence of the Sensitive-Faculty must assure sensation to the Soul.

Once again, where is Sense-Perception seated?

In the Couplement.

Yet how can the Couplement have sensation independently of

action in the Sensitive-Faculty, the Soul left out of count and the Soul-Faculty?

7. The truth lies in the consideration that the Couplement subsists by virtue of the Soul's presence.

This, however, is not to say that the Soul gives itself as it is in itself to form either the Couplement or the body.

No; from the organized body and something else, let us say a light, which the Soul gives forth from itself, it forms a distinct Principle, the Animate; and in this Principle are vested Sense-Perception and all the other experiences found to belong to the Animate.

But the 'We'? How have We Sense-Perception?

By the fact that We are not separate from the Animate so constituted, even though certainly other and nobler elements go to make up the entire many-sided nature of Man.

The faculty of perception in the Soul cannot act by the immediate grasping of sensible objects, but only by the discerning of impressions printed upon the Animate by sensation: these impressions are already Intelligibles, while the outer sensation is a mere phantom of the other (of that in the Soul) which is nearer to Authentic-Existence as being an impassive reading of Ideal-Forms.

And by means of these Ideal-Forms, by which the Soul wields single lordship over the Animate, we have Discursive-Reasoning, Sense-Knowledge, and Intellection. From this moment we have peculiarly the We: before this there was only the 'Ours';⁷ but at this stage stands the We (the authentic Human-Principle) loftily presiding over the Animate.

There is no reason why the entire compound entity should not be described as the Animate or Living-Being – mingled in a lower phase, but above that point the beginning of the veritable man, distinct from all that is kin to the lion, all that is of the order of the multiple brute.⁸ And since The Man, so understood, is essentially

the associate of the reasoning Soul, in our reasoning it is this 'We' that reasons, in that the use and act of reason is a characteristic Act of the Soul.

8. And towards the Intellectual-Principle what is our relation? By this I mean, not that faculty in the soul which is one of the emanations from the Intellectual-Principle, but The Intellectual-Principle itself (Divine-Mind).

This also we possess as the summit of our being. And we have It either as common to all or as our own immediate possession: or again we may possess It in both degrees, that is in common, since It is indivisible – one, everywhere and always Its entire self – and severally in that each personality possesses It entire in the First-Soul (i.e. in the Intellectual as distinguished from the lower phase of the Soul).

Hence we possess the Ideal-Forms also after two modes: in the Soul, as it were unrolled and separate; in the Intellectual-Principle, concentrated, one.

And how do we possess the Divinity?⁹

In that the Divinity is poised upon the Intellectual-Principle and Authentic-Existence; and We come third in order after these two, for the We is constituted by a union of the supreme, the undivided Soul – we read – and that Soul which is divided among (living) bodies.¹⁰ For, note, we inevitably think of the Soul, though one and undivided in the All, as being present to bodies in division: in so far as any bodies are Animates, the Soul has given itself to each of the separate material masses; or rather it appears to be present in the bodies by the fact that it shines into them: it makes them living beings not by merging into body but by giving forth, without any change in itself, images or likenesses of itself like one face caught by many mirrors.

The first of these images is (the faculty of) Sense-Perception seated in the Couplement; and from this downwards all the

successive images are to be recognized as phases of the Soul in lessening succession from one another, until the series ends in the faculties of generation and growth and of all production of offspring – offspring efficient in its turn, in contradistinction to the engendering Soul which (has no direct action within matter but) produces by mere inclination towards what it fashions.

9. That Soul, then, in us, will in its nature stand apart from all that can cause any of the evils which man does or suffers; for all such evil, as we have seen, belongs only to the Animate, the Couplement.

But there is a difficulty in understanding how the Soul can go guiltless if our mentation and reasoning are vested in it: for all this lower kind of knowledge is delusion and is the cause of much of what is evil.

When we have done evil it is because we have been worsted by our baser side – for a man is many – by desire or rage or some evil image: the misnamed reasoning that takes up with the false, in reality fancy, has not stayed for the judgement of the Reasoning-Principle: we have acted at the call of the less worthy, just as in matters of the sense-sphere we sometimes see falsely because we credit only the lower perception, that of the Couplement, without applying the tests of the Reasoning-Faculty.

The Intellectual-Principle either apprehends its object or does not: error is impossible. The same, we must admit, applies to ourselves: either we do or we do not put ourselves in touch with what is object to the Intellectual-Principle, or, more strictly, with the Intellectual-Realm within ourselves: for it is possible at once to possess and not to use.¹¹

Thus we have marked off what belongs to the Couplement from what stands by itself: the one group has the character of body and never exists apart from body, while all that has no need of body for its manifestation belongs peculiarly to Soul: and the Understanding, as passing judgement upon Sense-Impressions, is at the point of the

vision of Ideal-Forms, seeing them as it were with an answering sensation (i.e. with consciousness); this last is at any rate true of the Understanding in the Veritable Soul. For Understanding, the true, is the Act of the Intellections: in many of its manifestations it is the assimilation and reconciliation of the outer to the inner.

Thus in spite of all, the Soul is at peace as to itself and within itself: all the changes and all the turmoil we experience are the issue of what is subjoined to the Soul, and are, as we have said, the states and experiences of this elusive 'Couplement'.

10. It will be objected, that if the Soul constitutes the We (the personality) and We are subject to these states, then the Soul must be subject to them, and similarly that what We do must be done by the Soul.

But it has been observed that the Couplement, too – especially before our emancipation – is a member of this total We, and in fact what the body experiences we say We experience. This We, then, covers two distinct notions; sometimes it includes the brute-part, sometimes it transcends the brute. Brute means body touched to life; the true man is the other, going pure of the body, natively endowed with the virtues which belong to the Intellectual-Activity, virtues whose seat is the Separate Soul, the Soul which even in its dwelling here may be kept apart. (This Soul constitutes the human being) for when it has wholly withdrawn, that other Soul which is a radiation (or emanation) from it withdraws also, drawn after it.

Those virtues, on the other hand, which spring not from contemplative wisdom but from custom or practical discipline¹² belong to the Couplement: to the Couplement, too, belong the vices; they are its repugnances, desires, sympathies.

And Friendship?

This emotion belongs sometimes to the lower part, sometimes to the interior man.

11. In childhood the main activity is in the Couplement, and there is but little irradiation from the higher principles of our being: but when these higher principles act but feebly or rarely upon us their action is directed towards the Supreme; they work upon us only when they stand at the mid-point.

But does not the We include that phase of our being which stands above the mid-point?

It does, but on condition that we lay hold of it: our entire nature is not ours at all times but only as we direct the mid-point upwards or downwards, or lead some particular phase of our nature from potentiality or native character into act.

And the animals, in what way or degree do they possess the Animate?

If there be in them, as the opinion goes, human Souls that have sinned,¹³ then the Animating-principle in its separable phase does not enter directly into the brute; it is there but not there to them; they are aware only of the image of the Soul (only of the lower Soul) and of that only by being aware of the body organized and determined by that image.

If there be no human Soul in them, the Animate is constituted for them by a radiation from the All-Soul.

12. But if Soul is sinless, how come the expiations?¹⁴ Here surely is a contradiction; on the one side the Soul is above all guilt; on the other, we hear of its sin, its purification, its expiation; it is doomed to the lower world, it passes from body to body.

We may take either view at will: they are easily reconciled.

When we tell of the sinless Soul we make Soul and Essential-Soul one and the same: it is the simple unbroken Unity.

By the Soul subject to sin we indicate a groupment, we include that other, that phase of the Soul which knows all the states and passions: the Soul in this sense is compound, all-inclusive: it falls under the conditions of the entire living experience: this compound

it is that sins, it is this, and not the other, that pays penalty.

It is in this sense that we read¹⁵ of the Soul: 'We saw it as those others saw the sea-god Glaukos.' 'And,' reading on, 'if we mean to discern the nature of the Soul we must strip it free of all that has gathered about it, must see into its love of wisdom, examine with what Existences it has touch and by kinship to what Existences it is what it is.'

Thus the life and activities of the Soul are not those of the Expiator. The retreat and sundering, then, must be not from this body only, but from every alien accrument. Such accrument takes place at birth; or rather birth is the coming-into-being of that other (lower) phase of the Soul. For the meaning of birth has been indicated elsewhere; it is brought about by a descent of the Soul, something being given off by the Soul and coming down in the declension.

Then the Soul has let this image fall? And this declension, is it not certainly sin?

If the declension is no more than the illuminating of an object beneath, it constitutes no sin: the shadow is to be attributed not to the luminary but to the object illuminated; if the object were not there, the light could cause no shadow.

And the Soul is said to go down, to decline, only in that the object it illuminates lives by its life. And it lets the image fall only if there be nothing near to take it up; and it lets it fall, not as a thing cut off, but as a thing that ceases to be: the image has no further being when the whole Soul is looking toward the Supreme.

The poet, too, in the story of Hercules,¹⁶ seems to give this image separate existence; he puts the shade of Hercules in the lower world and Hercules himself among the gods: treating the hero as existing in the two realms at once, he gives us a twofold Hercules.

It is not difficult to explain this distinction. Hercules was a hero of practical virtue. By his noble serviceableness he was worthy to

be a God. On the other hand, his merit was action and not the Contemplation which would place him unreservedly in the higher realm. Therefore while he has place above, something of him remains below.

13. And the principle that reasons out these matters? Is it We or the Soul? We, but by the Soul.

But how ‘by the Soul’? Does this mean that we reason by the fact of possessing Soul?

No; by the fact of being Soul. Its Act subsists without movement;¹⁷ or any movement that can be ascribed to it must be utterly distinct from all corporal movement and be simply the Soul’s own life.

And Intellection in us is twofold: since the Soul is intellective, and Intellection is the highest phase of life, we have Intellection both by the characteristic Act of our Soul and by the Act of the Intellectual-Principle upon us – for this Intellectual-Principle is part of us no less than the Soul, and towards it we are ever rising.

SECOND TRACTATE



THE VIRTUES [19]

SUMMARY

A relatively early tractate, composed before Porphyry's arrival. Taking his start from Theaetetus 176A and the Platonist ideal of 'likeness to God', Plotinus enquires what it is in the practice of virtue that makes us godlike, since the gods themselves would not possess the moral virtues in the normal sense. Basing himself on the different descriptions of the virtues in the Phaedo (69 BC) and in Republic IV, he propounds a doctrine of two levels of virtue, the 'civic' and the 'purificatory', only the latter of which leads the soul to a higher life, free of the body.

1. Since Evil is here, 'haunting this world by necessary law', and it is the Soul's design to escape from Evil, we must escape hence.

But what is this escape?

'In attaining Likeness to God,' we read.¹⁸ And this is explained as 'becoming just and holy, living by wisdom', the entire nature grounded in Virtue.

But does not Likeness by way of Virtue imply Likeness to some being that has Virtue? To what Divine Being, then, would our Likeness be? To the Being – must we not think? – in Which, above all, such excellence seems to inhere, that is to the Soul of the Cosmos and to the Principle ruling within it, the Principle endowed with a wisdom most wonderful. What could be more fitting than that we, living in this world, should become Like to its ruler?

But, at the beginning, we are met by the doubt whether even in this Divine-Being all the virtues find place – Moral-Balance (Sophrosyny), for example; or Fortitude where there can be no danger since nothing is alien; where there can be nothing alluring whose lack could induce the desire of possession.¹⁹

If, indeed, that aspiration towards the Intelligible which is in our nature exists also in this Ruling-Power, then we need not look elsewhere for the source of order and of the virtues in ourselves.

But does this Power possess the Virtues?

We cannot expect to find There what are called the Civic Virtues, the Prudence which belongs to the reasoning faculty; the Fortitude which conducts the emotional and passionate nature; the Sophrosyny which consists in a certain pact, in a concord between the passionate faculty and the reason; or Rectitude which is the due application of all the other virtues as each in turn should command or obey.²⁰

Is Likeness, then, attained, perhaps, not by these virtues of the social order but by those greater qualities known by the same general name? And if so do the Civic Virtues give us no help at all?

It is against reason utterly to deny Likeness by these while admitting it by the greater: tradition at least recognizes certain men of the civic excellence as divine, and we must believe that these too had in some sort attained Likeness: on both levels there is virtue for us, though not the same virtue.

Now, if it be admitted that Likeness is possible, though by a varying use of different virtues and though the civic virtues do not suffice, there is no reason why we should not, by virtues peculiar to our state, attain Likeness to a model in which virtue has no place.

But is that conceivable?

When warmth comes in to make anything warm, must there needs be something to warm the source of the warmth?

If a fire is to warm something else, must there be a fire to warm that fire?

Against the first illustration it may be retorted that the source of the warmth does already contain warmth, not by an infusion but as an essential phase of its nature, so that, if the analogy is to hold, the argument would make Virtue something communicated to the Soul but an essential constituent of the Principle from which the Soul attaining Likeness absorbs it.

Against the illustration drawn from the fire, it may be urged that the analogy would make that Principle identical with virtue, whereas we hold it to be something higher.

The objection would be valid if what the Soul takes in were one and the same with the source, but in fact virtue is one thing, the source of virtue is quite another. The material house is not identical with the house conceived in the intellect, and yet stands in its likeness: the material house has distribution and order while the pure idea is not constituted by any such elements; distribution, order, symmetry are not parts of an idea.

So with us: it is from the Supreme that we derive order and distribution and harmony, which are virtues in this sphere: the Existences There, having no need of harmony, order, or distribution, have nothing to do with virtue; and, none the less, it is by our possession of virtue that we become like to Them.

Thus much to show that the principle that we attain Likeness by virtue in no way involves the existence of virtue in the Supreme. But we have not merely to make a formal demonstration: we must persuade as well as demonstrate.

2. First, then, let us examine those good qualities by which we hold Likeness comes, and seek to establish what is this thing which, as we possess it, in transcription, is virtue, but as the Supreme possesses it, is in the nature of an exemplar or archetype and is not virtue.

We must first distinguish two modes of Likeness.

There is the likeness demanding an identical nature in the

objects which, further, must draw their likeness from a common principle: and there is the case in which B resembles A, but A is a Primal, not concerned about B and not said to resemble B. In this second case, likeness is understood in a distinct sense: we no longer look for identity of nature, but on the contrary, for divergence, since the likeness has come about by the mode of difference.

What, then, precisely is Virtue, collectively and in the particular? The clearer method will be to begin with the particular, for so the common element by which all the forms hold the general name will readily appear.

The Civic Virtues, on which we have touched above, are a principle of order and beauty in us as long as we remain passing our life here: they ennoble us by setting bound and measure to our desires and to our entire sensibility, and dispelling false judgement – and this by sheer efficacy of the better, by the very setting of the bounds, by the fact that the measured is lifted outside of the sphere of the unmeasured and lawless.

And, further, these Civic Virtues – measured and ordered themselves and acting as a principle of measure to the Soul which is as Matter to their forming – are like to the measure reigning in the over-world, and they carry a trace of that Highest Good in the Supreme; for, while utter measurelessness is brute Matter and wholly outside of Likeness, any participation in Ideal-Form produces some corresponding degree of Likeness to the formless Being There. And participation goes by nearness: the Soul nearer than the body, therefore closer akin, participates more fully and shows a godlike presence, almost cheating us into the delusion that in the Soul we see God entire.

This is the way in which men of the Civic Virtues attain Likeness.

3. We come now to that other mode of Likeness which, we read, is the fruit of the loftier virtues: discussing this we shall penetrate

more deeply into the essence of the Civic Virtue and be able to define the nature of the higher kind whose existence we shall establish beyond doubt.

To Plato, unmistakably, there are two distinct orders of virtue, and the civic does not suffice for Likeness: 'Likeness to God', he says, 'is a flight from this world's ways and things':²¹ in dealing with the qualities of good citizenship he does not use the simple term Virtue but adds the distinguishing word civic: and elsewhere he declares all the virtues without exception to be purifications.

But in what sense can we call the virtues purifications, and how does purification issue in Likeness?

As the Soul is evil by being interfused with the body and by coming to share the body's states and to think the body's thoughts, so it would be good, it would be possessed of virtue, if it threw off the body's moods and devoted itself to its own Act – the state of Intellection and Wisdom – never allowed the passions of the body to affect it – the virtue of Sophrosyny – knew no fear at the parting from the body – the virtue of Fortitude – and if reason and the Intellectual-Principle ruled without opposition – in which state is Righteousness. Such a disposition in the Soul, become thus intellective and immune to passion, it would not be wrong to call Likeness to God; for the Divine, too, is pure and the Divine-Act is such that Likeness to it is Wisdom.

But would not this make virtue a state of the Divine also?

No: the Divine has no states; the state is in the Soul. The Act of Intellection in the Soul is not the same as in the Divine: of things in the Supreme, one (the Intellectual-Principle) has a different mode of intellection (from that of Soul), the other (the Absolute One) has none at all.

Then yet again, the one word, Intellection, covers two distinct Acts?

Rather there is primal Intellection and there is Intellection deriving from the Primal and of other scope.

As speech is the echo of the thought in the Soul, so thought in the Soul is an echo from elsewhere: that is to say, as the uttered thought is an image of the soul-thought, so the soul-thought images a thought above itself and is the interpreter of the higher sphere.

Virtue, in the same way, is a thing of the Soul: it does not belong to the Intellectual-Principle or to the Transcendence.

4. We come, so, to the question whether Purification is the whole of this human quality, virtue, or merely the forerunner upon which virtue follows? Does virtue imply the achieved state of purification or does the mere process suffice to it, Virtue being something of less perfection than the accomplished pureness which is almost the Term?

To have been purified is to have cleansed away everything alien: but Goodness is something more.

If before the impurity entered there was Goodness, the cleansing suffices; but even so, not the act of cleansing but the cleansed thing that emerges will be The Good. And it remains to establish what (in the case of the cleansed Soul) this emergent is.

It can scarcely prove to be The Good: The Absolute Good cannot be thought to have taken up its abode with Evil. We can think of it only as something of the nature of good but paying a double allegiance and unable to rest in the Authentic Good.

The Soul's true Good is in devotion to the Intellectual-Principle, its kin; evil to the Soul lies in frequenting strangers. There is no other way for it than to purify itself and so enter into relation with its own; the new phase begins by a new orientation.

After the Purification, then, there is still this orientation to be made? No: by the purification the true alignment stands accomplished.

The Soul's virtue, then, is this alignment? No: it is what the alignment brings about within.

And this is...?

That it sees; that, like sight affected by the thing seen, the Soul admits the imprint, graven upon it and working within it, of the vision it has come to.²²

But was not the Soul possessed of all this always, or had it forgotten?

What it now sees, it certainly always possessed, but as lying away in the dark, not as acting within it: to dispel the darkness, and thus come to the knowledge of its inner content, it must thrust towards the light.

Besides, it possessed not the originals but images, pictures; and these it must bring into closer accord with the verities they represent. And, further, if the Intellectual-Principle is said to be a possession of the Soul, this is only in the sense that It is not alien and that the link becomes very close when the Soul's sight is turned towards It: otherwise, ever-present though It be, It remains foreign, just as our knowledge, if it does not determine action, is dead to us.

5. So we come to the scope of the purification: that understood, the nature of Likeness becomes clear. Likeness to what principle? Identity with what God?

The question is substantially this: how far does purification dispel the two orders of passion – anger, desire, and the like, with grief and its kin – and in what degree the disengagement from the body is possible.

Disengagement means simply that the Soul withdraws to its own place.

It will hold itself above all passions and affections. Necessary pleasures and all the activity of the senses it will employ only for medicament and assuagement lest its work be impeded. Pain it may combat, but, failing the cure, it will bear meekly and ease it by refusing to assent to it. All passionate action it will check: the suppression will be complete if that be possible, but at worst the Soul will never itself take fire but will keep the involuntary and

uncontrolled outside its own precincts and rare and weak at that. The Soul has nothing to dread, though no doubt the involuntary has some power here too: fear therefore must cease, except so far as it is purely monitory. What desire there may be can never be for the vile; even the food and drink necessary for restoration will lie outside the Soul's attention, and not less the sexual appetite: or if such desire there must be, it will turn upon the actual needs of the nature and be entirely under control; or if any uncontrolled motion takes place, it will reach no further than the imagination, be no more than a fleeting fancy.

The Soul itself will be inviolately free and will be working to set the irrational part of the nature above all attack, or if that may not be, then at least to preserve it from violent assault, so that any wound it takes may be slight and be healed at once by virtue of the Soul's presence; just as a man living next door to a Proficient would profit by the neighbourhood, either in becoming wise and good himself or, for sheer shame, never venturing any act which the nobler mind would disapprove.

There will be no battling in the Soul: the mere intervention of Reason is enough: the lower nature will stand in such awe of Reason that for any slightest movement it has made it will grieve, and censure its own weakness, in not having kept low and still in the presence of its lord.

6. In all this there is no sin – there is only matter of discipline – but our concern is not merely to be sinless but to be God.

As long as there is any such involuntary action, the nature is twofold, God and Demi-God, or rather God in association with a nature of a lower power: when all the involuntary is suppressed, there is God unmingled, a Divine Being of those that follow upon The First.²³

For, at this height, the man is the very being that came from the Supreme. The primal excellence restored, the essential man is

There: entering this sphere, he has associated himself with a lower phase of his nature but even this he will lead up into likeness with his highest self, as far as it is capable, so that if possible it shall never be inclined to, and at the least never adopt, any course displeasing to its over-lord.

What form, then, does each virtue take in one so lofty?

Wisdom and understanding consist in the contemplation of all that exists in the Intellectual-Principle, and the Intellectual-Principle itself apprehends this all (not by contemplation but) as an immediate presence.

And each of these has two modes according as it exists in the Intellectual-Principle and in the Soul: in the Soul it is Virtue, in the Supreme not Virtue.

In the Supreme, then, what is it?

Its proper Act and Its Essence.

That Act and Essence of the Supreme, manifested in a new form, constitute the virtue of this sphere. For the Ideal-Form of Justice or of any other virtue is not itself a virtue, but, so to speak, an exemplar, the source of what in the Soul becomes virtue: for virtue is dependent, seated in something not itself; the Ideal-Form is self-standing, independent.

But taking Rectitude to be the due ordering of faculty,²⁴ does it not always imply the existence of diverse parts?

No: there is a Rectitude of Diversity appropriate to what has parts, but there is another, not less Rectitude than the former though it resides in a Unity. And the authentic Absolute-Rectitude is the Act of a Unity upon itself, of a Unity in which there is no this and that and the other.

On this principle, the supreme Rectitude of the Soul is that it direct its Act towards the Intellectual-Principle: its Restraint (Sophrosyny) is its inward bending towards the Intellectual-Principle; its Fortitude is its being impassive in the likeness of That towards Which its gaze is set, Whose nature comports an

impassivity which the Soul acquires by virtue and must acquire if it is not to be at the mercy of every state arising in its less noble companion.

7. The virtues in the Soul run in a sequence²⁵ correspondent to that existing in the over-world, that is among their exemplars in the Intellectual-Principle.

In the Supreme, Intellection constitutes Knowledge and Wisdom; self-concentration is Sophrosyny; Its proper Act is Its Dutifulness; Its Immateriality, by which It remains inviolate within Itself, is the equivalent of Fortitude.

In the Soul, the direction of vision towards the Intellectual-Principle is Wisdom and Prudence, soul-virtues not appropriate to the Supreme where Thinker and Thought are identical. All the other virtues have similar correspondences.

And if the term of purification is the production of a pure being, then the purification of the Soul must produce all the virtues; if any are lacking, then not one of them is perfect.

And to possess the greater is potentially to possess the minor, though the minor need not carry the greater with them.

Thus we have indicated the dominant note in the life of a Proficient; but whether his possession of the minor virtues be actual as well as potential, whether even the greater are in Act in him or yield to qualities higher still, must be decided afresh in each several case.

Take, for example, Contemplative-Wisdom.²⁶ If other guides of conduct must be called in to meet a given need, can this virtue hold its ground even in mere potentiality?

And what happens when the virtues in their very nature differ in scope and province? Where, for example, Sophrosyny would allow certain acts or emotions under due restraint and another virtue would cut them off altogether? And is it not clear that all may have to yield, once Contemplative-Wisdom comes into action?

The solution is in understanding the virtues and what each has to give: thus the man will learn to work with this or that as every several need demands. And as he reaches to loftier principles and other standards these in turn will define his conduct: for example, Restraint in its earlier form will no longer satisfy him; he will work for the final Disengagement; he will live, no longer, the human life of the good man – such as Civic Virtue commends – but, leaving this beneath him, will take up instead another life, that of the Gods.

For it is to the Gods, not to the good, that our Likeness must look: to model ourselves upon good men is to produce an image of an image: we have to fix our gaze above the image and attain Likeness to the Supreme Exemplar.

THIRD TRACTATE



DIALECTIC [20]

SUMMARY

Contemporary with the previous tractate, and indeed following on from it, this short essay discusses Platonic dialectic as an ideal method of ascent to intelligible reality, basing itself on the Phaedrus myth and Diotima's speech in the Symposium. The last two chapters then describe dialectic, drawing on Republic VII, Phaedrus, and Sophist, and claim its superiority to Aristotelian and Stoic systems of logic.

1. What art is there,²⁷ what method, what discipline to bring us there where we must go?

The Term at which we must arrive we may take as agreed: we have established elsewhere, by many considerations, that our journey is to the Good, to the Primal-Principle; and, indeed, the very reasoning which discovered the Term was itself something like an initiation.

But what order of beings will attain the Term?

Surely, as we read,²⁸ those that have already seen all or most things, those who at their first birth have entered into the life-germ from which is to spring a metaphysician, a musician, or a born lover, the metaphysician taking to the path by instinct, the musician and the nature peculiarly susceptible to love needing outside guidance.

But how lies the course? Is it alike for all, or is there a distinct

method for each class of temperament?

For all there are two stages of the path, as they are making upwards or have already gained the upper sphere.

The first degree is the conversion from the lower life; the second – held by those that have already made their way to the sphere of the Intelligibles, have set as it were a footprint there but must still advance within the realm – lasts until they reach the extreme hold of the place, the Term attained when the topmost peak of the Intellectual realm is won.²⁹

But this highest degree must bide its time: let us first try to speak of the initial process of conversion.

We must begin by distinguishing the three types. Let us take the musician first and indicate his temperamental equipment for the task.

The musician we may think of as being exceedingly quick to beauty, drawn in a very rapture to it: somewhat slow to stir of his own impulse, he answers at once to the outer stimulus: as the timid are sensitive to noise so he to tones and the beauty they convey; all that offends against unison or harmony in melodies or rhythms repels him; he longs for measure and shapely pattern.

This natural tendency must be made the starting-point to such a man; he must be drawn by the tone, rhythm, and design in things of sense: he must learn to distinguish the material forms from the Authentic-Existent which is the source of all these correspondences and of the entire reasoned scheme in the work of art: he must be led to the Beauty that manifests itself through these forms; he must be shown that what ravished him was no other than the Harmony of the Intellectual world and the Beauty in that sphere, not some one shape of beauty but the All-Beauty, the Absolute Beauty; and the truths of philosophy must be implanted in him to lead him to faith in that which, unknowing it, he possesses within himself. What these truths are we will show later.

2. The born lover, to whose degree the musician also may attain – and then either come to a stand or pass beyond – has a certain memory of beauty but, severed from it now, he no longer comprehends it: spellbound by visible loveliness he clings amazed about that. His lesson must be to fall down no longer in bewildered delight before some one embodied form; he must be led, under a system of mental discipline, to beauty everywhere and made to discern the One Principle underlying all, a Principle apart from the material forms, springing from another source, and elsewhere more truly present. The beauty, for example, in a noble course of life and in an admirably organized social system may be pointed out to him – a first training this in the loveliness of the immaterial – he must learn to recognize the beauty in the arts, sciences, virtues;³⁰ then these severed and particular forms must be brought under the one principle by the explanation of their origin. From the virtues he is to be led to the Intellectual-Principle, to the Authentic-Existent; thence onward, he treads the upward way.

3. The metaphysician, equipped by that very character, winged already³¹ and not, like those others, in need of disengagement, stirring of himself towards the supernal but doubting of the way, needs only a guide. He must be shown, then, and instructed, a willing wayfarer by his very temperament, all but self-directed.

Mathematics, which as a student by nature he will take very easily, will be prescribed to train him to abstract thought and to faith in the unembodied; a moral being by native disposition, he must be led to make his virtue perfect; after the Mathematics he must be put through a course in Dialectic and made an adept in the science.

4. But this science, this Dialectic essential to all the three classes alike, what, in sum, is it?³²

It is the Method, or Discipline, that brings with it the power of

pronouncing with final truth upon the nature and relation of things – what each is, how it differs from others, what common quality all have, to what Kind each belongs and in what rank each stands in its Kind and whether its Being is Real-Being, and how many Beings there are, and how many non-Beings to be distinguished from Beings.

Dialectic treats also of the Good and the not-Good, and of the particulars that fall under each, and of what is the Eternal and what the not-Eternal – and of these, it must be understood, not by seeming-knowledge ('sense-knowledge') but with authentic science.

All this accomplished, it gives up its touring of the realm of sense and settles down in the Intellectual Cosmos and there plies its own peculiar Act: it has abandoned all the realm of deceit and falsity, and pastures the Soul in the 'Meadows of Truth':³³ it employs the Platonic division to the discernment of the Ideal-Forms, of the Authentic-Existence, and of the First-Kinds (or Categories of Being): it establishes, in the light of Intellection, the affiliations of all that issues from the Firsts, until it has traversed the entire Intellectual Realm: then, by means of analysis, it takes the opposite path and returns once more to the First Principle.

Now it rests: instructed and satisfied as to the Being in that sphere, it is no longer busy about many things: it has arrived at Unity and it contemplates: it leaves to another science³⁴ all that coil of premisses and conclusions called the art of reasoning, much as it leaves the art of writing: some of the matter of logic, no doubt, it considers necessary – to clear the ground – but it makes itself the judge, here as in everything else; where it sees use, it uses; anything it finds superfluous, it leaves to whatever department of learning or practice may turn that matter to account.

5. But whence does this science derive its own initial laws?

The Intellectual-Principle furnishes standards, the most certain for any soul that is able to apply them. What else is necessary

Dialectic puts together for itself, combining and dividing, until it has reached perfect Intellection. 'For', we read,³⁵ 'it is the purest (perfection) of Intellection and Contemplative-Wisdom.' And, being the noblest method and science that exists it must needs deal with Authentic-Existence, The Highest there is: as Contemplative-Wisdom (or true-knowing) it deals with Being, as Intellection with what transcends Being.

What, then, is Philosophy?

Philosophy is the supremely precious.

Is Dialectic, then, the same as Philosophy?

It is the precious part of Philosophy. We must not think of it as the mere tool of the metaphysician: Dialectic does not consist of bare theories and rules: it deals with verities; Existences are, as it were, Matter to it, or at least it proceeds methodically towards Existences, and possesses itself, at the one step, of the notions and of the realities.

Untruth and sophism it knows, not directly, not of its own nature, but merely as something produced outside itself, something which it recognizes to be foreign to the verities laid up in itself; in the falsity presented to it, it perceives a clash with its own canon of truth. Dialectic, that is to say, has no knowledge of propositions – collections of words – but it knows the truth and, in that knowledge, knows what the schools call their propositions: it knows above all the operation of the Soul, and, by virtue of this knowing, it knows, too, what is affirmed and what is denied, whether the denial is of what was asserted or of something else, and whether propositions agree or differ; all that is submitted to it, it attacks with the directness of sense-perception and it leaves petty precisions of process to what other science may care for such exercises.

6. Philosophy has other provinces, but Dialectic is its precious part: in its study of the laws of the universe, Philosophy draws on

Dialectic much as other studies and crafts use Arithmetic,³⁶ though, of course, the alliance between Philosophy and Dialectic is closer.

And in morals, too, Philosophy uses Dialectic: by Dialectic it comes to contemplation, though it originates of itself the moral state or rather the discipline from which the moral state develops.

Our reasoning faculties employ the data of Dialectic almost as their proper possession, for their use of these data commonly involves Matter as well as Form.

And while the other virtues bring the reason to bear upon particular experiences and acts, the virtue of Wisdom (i.e. the virtue peculiarly induced by Dialectic) is a certain super-reasoning much closer to the Universal; for it deals with (such abstract ideas as) correspondence and sequence, the choice of time for action and inaction, the adoption of this course, the rejection of that other: Wisdom and Dialectic have the task of presenting all things as Universals and stripped of matter for treatment by the Understanding.

But can these inferior kinds of virtue exist without Dialectic and philosophy?

Yes – but imperfectly, inadequately.

And is it possible to be a Proficient, a Master in Dialectic, without these lower virtues?

It would not happen: the lower will spring either before or together with the higher. And it is likely that everyone normally possesses the natural virtues from which, when Wisdom steps in, the perfected virtue develops. After the natural virtues, then, Wisdom, and so the perfecting of the moral nature. Once the natural virtues exist, both orders, the natural and the higher, ripen side by side to their final excellence: or as the one advances it carries forward the other towards perfection.

But, ever, the natural virtue is imperfect in vision and in strength – and to both orders of virtue the essential matter is from what principles we derive them.

FOURTH TRACTATE



HAPPINESS³⁷ [46]

SUMMARY

This is a late treatise, devoted to the fundamental purpose of Plotinus' philosophy, the nature of the good life, a subject taking on special urgency in his last years. It falls into two parts, the first (ch. 1–4) concerned with establishing the Platonic ideal of the exercise of the Intellect against Aristotelian, Epicurean and Stoic alternatives; while the latter (ch. 5–16) discourses on true well-being in the manner of a Cynic—Stoic diatribe, with, in consequence, much Stoic coloration, though based on the Platonist principle of a separable, immaterial soul.

1. Are we to make True Happiness one and the same thing with Welfare or Prosperity³⁸ and therefore within the reach of the other living beings as well as ourselves?

There is certainly no reason to deny well-being to any of them as long as their lot allows them to flourish unhindered after their kind.

Whether we make Welfare consist in pleasant conditions of life, or in the accomplishment of some appropriate task, by either account it may fall to them as to us. For certainly they may at once be pleasantly placed and engaged about some function that lies in their nature: take for an instance such living beings as have the gift of music; finding themselves well off in other ways, they sing, too, as their nature is, and so their day is pleasant to them.

And, if, even, we set Happiness in some ultimate Term³⁹ pursued by inborn tendency, then on this head, too, we must allow it to

animals from the moment of their attaining this Ultimate: the nature in them comes to a halt, having fulfilled its vital course from a beginning to an end.

It may be a distasteful notion, this bringing-down of happiness so low as to the animal world – making it over, as then we must, even to the vilest of them and not withholding it even from the plants, living they too and having a life unfolding to a Term.

But, to begin with, it is surely unsound to deny that good of life to animals only because they do not appear to man to be of great account. And as for plants, we need not necessarily allow to them what we accord to the other forms of life, since they have no feeling. It is true people might be found to declare prosperity possible to the very plants: they have life, and life may bring good or evil; the plants may thrive or wither, bear or be barren.

No: if Pleasure be the Term,⁴⁰ if here be the good of life, it is impossible to deny the good of life to any order of living things; if the Term be inner-peace,⁴¹ equally impossible; impossible, too, if the good of life be to live in accordance with the purpose of nature.

2. Those that deny the happy life to the plants⁴² on the ground that they lack sensation are really denying it to all living things.

By sensation can be meant, only, perception of state, and the state of well-being must be a Good in itself quite apart from the perception: to be a part of the natural plan is good whether knowingly or without knowledge: there is good in the appropriate state even though there be no recognition of its fitness or desirable quality – for it must be in itself desirable.

This Good exists, then; is present: that in which it is present has well-being without more ado: what need then to ask for sensation into the bargain?

Perhaps, however, the theory is that the Good of any state consists not in the condition itself but in the knowledge and perception of it.

But at this rate the Good is nothing but the mere sensation, the bare activity of the sentient life. And so it will be possessed by all that feel, no matter what. Perhaps it will be said that two constituents are needed to make up the Good, that there must be both feeling and a given state felt: but how can it be maintained that the bringing together of two neutrals can produce the Good?

They will explain, possibly, that the state must be a state of Good and that such a condition constitutes well-being on the discernment of that present good; but then they invite the question whether the well-being comes by discerning the presence of the Good that is there, or whether there must further be the double recognition that the state is agreeable and that the agreeable state constitutes the Good.

If well-being demands this recognition, it depends no longer upon sensation but upon another, a higher faculty; and well-being is vested not in a faculty receptive of pleasure but in one competent to discern that pleasure is the Good.

Then the cause of the well-being is no longer pleasure but the faculty competent to pronounce as to pleasure's value. Now a judging entity is nobler than one that merely accepts a state: it is a principle of Reason or of Intellection: pleasure is a state: the reasonless can never be closer to the Good than reason is. How can reason abdicate and declare nearer to good than itself something lying in a contrary order?

No: those denying the good of life to the vegetable world, and those that make it consist in some precise quality of sensation, are in reality seeking a loftier well-being than they are aware of, and setting their highest in a more luminous phase of life.

Perhaps, then, those are in the right who found happiness not on the bare living or even on sensitive life but on the life of Reason?⁴³

But they must tell us why it should be thus restricted and why precisely they make Reason an essential to the happiness in a living being:

‘When you insist on Reason, is it because Reason is resourceful, swift to discern and compass the primal needs of nature; or would you demand it, even though it were powerless in that domain?’

‘If you call it in as a provider, then the reasonless, equally with the reasoning, may possess happiness after their kind, as long as, without any thought of theirs, nature supplies their wants: Reason becomes a servant; there is no longer any worth in it for itself and no worth in that consummation of reason which, we hold, is virtue.

‘If you say that reason is to be cherished for its own sake and not as supplying these human needs, you must tell us what other services it renders, what is its proper nature, and what makes it the perfect thing it is.’

For, on this admission, its perfection cannot reside in any such planning and providing: its perfection will be something quite different, something of quite another class: Reason cannot be itself one of those first needs of nature; it cannot even be a cause of those first needs of nature or at all belong to that order: it must be nobler than any and all of such things: otherwise it is not easy to see how we can be asked to rate it so highly.

Until these people light upon some nobler principle than any at which they still halt, they must be left where they are and where they choose to be, never understanding what the Good of Life is to those that can make it theirs, never knowing to what kind of beings it is accessible.

3. What then is happiness?⁴⁴ Let us try basing it upon Life.

Now if we draw no distinction as to kinds of life, everything that lives will be capable of happiness, and those will be effectively happy who possess that one common gift of which every living thing is by nature receptive. We could not deny it to the irrational whilst allowing it to the rational. If happiness were inherent in the bare being-alive, the common ground in which the cause of happiness could always take root would be simply life.

Those, then, that set happiness not in the mere living but in the reasoning life seem to overlook the fact that they are not really making it depend upon life at all: they admit that this reasoning faculty, round which they centre happiness, is a property (not the subject of a property): the subject, to them, must be the Reasoning-Life since it is in this double term that they find the basis of the happiness: so that they are making it consist not in life but in a particular kind of life – not, of course, a species formally opposite but, in our terminology, standing as an ‘earlier’ to a ‘later’ in the one Kind.

Now in common use this word ‘Life’ embraces many forms which shade down from primal to secondary and so on, all massed under the common term – life of plant and life of animal – each phase brighter or dimmer than its next: and so it evidently must be with the Good-of-Life. And if thing is ever the image of thing, so every Good must always be the image of a higher Good.

If mere Being is insufficient, if happiness demands fullness of life, and exists, therefore, where nothing is lacking of all that belongs to the idea of life, then happiness can exist only in a being that lives fully.

And such a one will possess not merely the good, but the Supreme Good if, that is to say, in the realm of existents the Supreme Good can be no other than the authentically living, no other than Life in its greatest plenitude, life in which the good is present as something essential not as something brought in from without, a life needing no foreign substance called in from a foreign realm to establish it in good.

For what could be added to the fullest life to make it the best life? If anyone should answer ‘The nature of Good’ (The Good, as a Divine Hypostasis), the reply would certainly be near our thought, but we are not seeking the Cause but the main constituent.

It has been said more than once that the perfect life and the true life, the essential life, is in the Intellectual Nature beyond this

sphere, and that all other forms of life are incomplete, are phantoms of life, imperfect, not pure, not more truly life than they are its contrary: here let it be said succinctly that since all living things proceed from the one principle but possess life in different degrees, this principle must be the first life and the most complete.

4. If, then, the perfect life is within human reach, the man attaining it attains happiness: if not, happiness must be made over to the gods, for the perfect life is for them alone.

But since we hold that happiness is for human beings too, we must consider what this perfect life is. The matter may be stated thus:

It has been shown elsewhere that man when he commands not merely the life of sensation but also Reason and Authentic Intellection, has realized the perfect life.

But are we to picture this kind of life as something foreign imported into his nature?

No: there exists no single human being that does not either potentially or effectively possess this thing which we hold to constitute happiness.

But are we to think of man as including this form of life, the perfect, after the manner of a partial constituent of his entire nature?

We say, rather, that while in some men it is present as a mere portion of their total being – in those, namely, that have it potentially – there is, too, the man, already in possession of true felicity, who is this perfection realized, who has passed over into actual identification with it. All else is now mere clothing about the man, not to be called part of him since it lies about him unsought, not his because not appropriated to himself by any act of the will.

To the man in this state, what is the Good?

He himself by what he has and is.

And the author and principle of what he is and holds is the

Supreme, which within Itself is the Good but manifests Itself within the human being after this other mode.

The sign that this state has been achieved is that the man seeks nothing else.

What indeed could he be seeking? Certainly none of the less worthy things; and the Best he carries always within him.

He that has such a life as this has all he needs in life.

Once the man is a Proficient, the means of happiness, the way to good, are within, for nothing is good that lies outside him.

Anything he desires further than this he seeks as a necessity, and not for himself but for a subordinate, for the body bound to him, to which since it has life he must minister the needs of life, not needs, however, to the true man of this degree. He knows himself to stand above all such things, and what he gives to the lower he so gives as to leave his true life undiminished.

Adverse fortune does not shake his felicity: the life so founded is stable ever. Suppose death strikes at his household or at his friends; he knows what death is, as the victims, if they are among the wise, know too. And if death taking from him his familiars and intimates does bring grief, it is not to him, not to the true man, but to that in him which stands apart from the Supreme, to that lower man in whose distress he takes no part.

5. But what of sorrows, illnesses, and all else that inhibits the native activity?

What of the suspension of consciousness which drugs or disease may bring about? Could either welfare or happiness be present under such conditions? And this is to say nothing of misery and disgrace, which will certainly be urged against us, with undoubtedly also those never-failing 'Miseries of Priam'.⁴⁵

'The Proficient', we shall be told, 'may bear such afflictions and even take them lightly but they could never be his choice, and the happy life must be one that would be chosen. The Proficient, that

is, cannot be thought of as simply a proficient soul, no count being taken of the bodily-principle in the total of the being.' Our critics will in fact profess to accept our teaching readily, provided that we make the body's appeals come up before the inner man, and, conversely, his longings and loathings depend upon the body. 'And since' (they will continue) 'pleasure must be counted in towards the happy life, how can one that thus knows the misery of ill fortune or pain be happy, however proficient he be? Such a state, of bliss self-contained, is for the Gods; men, because of the less noble part subjoined in them, must needs seek happiness throughout all their being and not merely in some one part; if the one constituent be troubled, the other, answering to its associate's distress, must perforce suffer hindrance in its own activity. There is nothing but to cut away the body or the body's sensitive life and so secure that self-contained unity essential to happiness.'

6. Now if happiness did indeed require freedom from pain, sickness, misfortune, disaster, it would be utterly denied to anyone confronted by such trials: but if it lies in the fruition of the Authentic Good, why turn away from this Term and look to means, imagining that to be happy a man must need a variety of things none of which enter into happiness? If, in fact, felicity were made up by heaping together things that are at once desirable and necessary – or perhaps even things that are called desirable without being necessary – we must bid for them all. But if the Term must be one and not many; if in other words our quest is of a Term and not of Terms; that only can be elected which is ultimate and noblest, that which calls to the tenderest longings of the Soul.

The quest and will of the Soul are not pointed directly towards freedom from this sphere: the reason which disciplines away our concern about this life has no fundamental quarrel with things of this order; it merely resents their interference; sometimes, even, it must seek them; essentially all the aspiration is not so much away from evil as towards the Soul's own highest and noblest: this

attained, all is won and there is rest – and this is the veritably willed state of life.

There can be no such thing as ‘willing’ the acquirement of necessities, if Will is to be taken in its strict sense, and not misapplied to the mere recognition of need.

It is certain that we shrink from the unpleasant, and such shrinking is assuredly not what we should have willed; to have no occasion for any such shrinking would be much nearer to our taste; but the things we seek tell the story as soon as they are ours. For instance, health and freedom from pain; which of these has any great charm? As long as we possess them we set no store upon them.

Anything which, present, has no charm and adds nothing to happiness, which when lacking is desired because of the presence of an annoying opposite, may reasonably be called a necessity but not a Good.

Such things can never make part of our final object: our Term must be such that though these pleasanter conditions be absent and their contraries present, it shall remain, still, intact.

7. Then why are these conditions sought and their contraries repelled by the man established in happiness?

Here is our answer:

These more pleasant conditions cannot, it is true, add any particle towards the Proficient’s felicity: but they do serve towards the integrity of his being, while the presence of the contraries tends against his being or complicates the Term: it is not that the Proficient can be so easily deprived of the Term achieved but simply that he that holds the highest good desires to have that alone, not something else at the same time, something which, though it cannot banish the Good by its incoming, does not take place by its side.

In any case if the man that has attained felicity meets some turn

of fortune that he would not have chosen, there is not the slightest lessening of his happiness for that. If there were, his felicity would be veering or falling from day to day; the death of a child⁴⁶ would bring him down, or the loss of some trivial possession. No: a thousand mischances and disappointments may befall him and leave him still in the tranquil possession of the Term.

But, they cry, great disasters, not the petty daily chances!

What human thing, then, is great, so as not to be despised by one who has mounted above all we know here, and is bound now no longer to anything below?

If the Proficient thinks all fortunate events, however momentous, to be no great matter – kingdom and the rule over cities and peoples, colonizations and the founding of states, even though all be his own handiwork – how can he take any great account of the vacillations of power or the ruin of his fatherland? Certainly if he thought any such event a great disaster, or any disaster at all, he must be of a very strange way of thinking. One that sets great store by wood and stones, or, Zeus! by mortality among mortals cannot yet be the Proficient, whose estimate of death, we hold, must be that it is better than life in the body.

But suppose that he himself is offered a victim in sacrifice?

Can he think it an evil to die beside the altars?

But if he go unburied?

Wheresoever it lie, under earth or over earth, his body will always rot.

But if he has been hidden away, not with costly ceremony but in an unnamed grave, not counted worthy of a towering monument?

The littleness of it!

But if he falls into his enemies' hands, into prison?

There is always the way towards escape,⁴⁷ if none towards well-being.

But if his nearest be taken from him, his daughters and

daughters-in-law dragged away to captivity?⁴⁸

What then, we ask, if he had died without witnessing the wrong? Could he have quitted the world in the calm conviction that nothing of all this could happen? He must be very shallow. Can he fail to see that it is possible for such calamities to overtake his household, and does he cease to be a happy man for the knowledge of what may occur? In the knowledge of the possibility he may be at ease; as, too, when the evil has come about.

He would reflect that the nature of this All is such as brings these things to pass and man must bow the head.

Besides in many cases captivity will certainly prove an advantage; and those that suffer have their freedom in their hands: if they stay, either there is reason in their staying, and then they have no real grievance, or they stay against reason, when they should not, and then they have themselves to blame. Clearly the absurdities of his neighbours, however near, cannot plunge the Proficient into evil: his state cannot hang upon the fortunes good or bad of any other men.

8. As for violent personal sufferings, he will carry them off as well as he can; if they overpass his endurance they will carry him off.

And so in all his pain he asks no pity: there is always the radiance in the inner soul of the man, untroubled like the light in a lantern when fierce gusts beat about it in a wild turmoil of wind and tempest.

But what if he be put beyond himself? What if pain grow so intense and so torture him that the agony all but kills? Well, when he is put to torture he will plan what is to be done: he retains his freedom of action.

Besides we must remember that the Proficient sees things very differently from the average man; neither ordinary experiences nor pains and sorrows, whether touching himself or others, pierce to the inner hold. To allow them any such passage would be a

weakness in our soul.

And it is a sign of weakness, too, if we should think it gain not to hear of miseries, gain to die before they come: this is not concern for others' welfare but for our own peace of mind. Here we see our imperfection: we must not indulge it, we must put it from us and cease to tremble over what perhaps may be.

Anyone that says that it is in human nature to grieve over misfortune to our household must learn that this is not so with all, and that, precisely, it is virtue's use to raise the general level of nature towards the better and finer, above the mass of men. And the finer is to set at nought what terrifies the common mind.

We cannot be indolent: this is an area for the powerful combatant holding his ground against the blows of fortune, and knowing that, sore though they be to some natures, they are little to his, nothing dreadful, nursery terrors.

So, the Proficient would have desired misfortune?

It is precisely to meet the undesired when it appears that he has the virtue which gives him, to confront it, his passionless and unshakeable soul.

9. But when he is out of himself, reason quenched by sickness or by magic arts?

If it be allowed that in this state, resting as it were in a slumber, he remains a Proficient, why should he not equally remain happy? No one rules him out of felicity in the hours of sleep; no one counts up that time and so denies that he has been happy all his life.

If they say⁴⁹ that, failing consciousness, he is no longer the Proficient, then they are no longer reasoning about the Proficient: but we do suppose a Proficient, and are inquiring whether, as long as he is the Proficient, he is in the state of felicity.

'Well, a Proficient let him remain,' they say; 'still, having no sensation and not expressing his virtue in act, how can he be happy?'

But a man unconscious of his health may be, none the less, healthy: a man may not be aware of his personal attraction, but he remains handsome none the less: if he has no sense of his wisdom, shall he be any the less wise?

It may perhaps be urged that sensation and consciousness are essential to wisdom and that happiness is only wisdom brought to act.

Now, this argument might have weight if prudence, wisdom, were something fetched in from outside: but this is not so: wisdom is, in its essential nature, an Authentic-Existence, or rather is The Authentic-Existent – and this Existent does not perish in one asleep or, to take the particular case presented to us, in the man out of his mind: the Act of this Existent is continuous within him; and is a sleepless activity: the Proficient, therefore, even unconscious, is still the Proficient in Act.

This activity is screened not from the man entire but merely from one part of him: we have here a parallel to what happens in the activity of the physical or vegetative life in us which is not made known by the sensitive faculty to the rest of the man: if our physical life really constituted the ‘We’, its Act would be our Act: but, in the fact, this physical life is not the ‘We’; the ‘We’ is the activity of the Intellectual-Principle so that when the Intellective is in Act we are in Act.

10. Perhaps the reason this continuous activity remains unperceived is that it has no touch whatever with things of sense. No doubt action upon material things, or action dictated by them, must proceed through the sensitive faculty which exists for that use: why should there not be an immediate activity of the Intellectual-Principle and of the soul that attends it, the soul that antedates sensation or any perception? For, if Intellection and Authentic-Existence are identical,⁵⁰ this ‘Earlier-than-perception’ must be a thing having Act.

Let us explain the conditions under which we become conscious of this Intellective-Act.

When the Intellect is in upward orientation that (lower part of it) which contains (or, corresponds to) the life of the Soul, is, so to speak, flung down again and becomes like the reflection resting on the smooth and shining surface of a mirror; in this illustration, when the mirror is in place the image appears but, though the mirror be absent or out of gear, all that would have acted and produced an image still exists; so in the case of the Soul; when there is peace in that within us which is capable of reflecting the images of the Rational and Intellectual-Principles these images appear. Then, side by side with the primal knowledge of the activity of the Rational and the Intellectual-Principles, we have also as it were a sense-perception of their operation.

When, on the contrary, the mirror within is shattered through some disturbance of the harmony of the body, Reason and the Intellectual-Principle act unpictured: intellection is unattended by imagination.

In sum we may safely gather that while the Intellective-Act may be attended by the Imagining Principle,⁵¹ it is not to be confounded with it.

And even in our conscious life we can point to many noble activities, of mind and of hand alike, which at the time in no way compel our consciousness. A reader will often be quite unconscious when he is most intent: in a feat of courage there can be no sense either of the brave action or of the fact that all that is done conforms to the rules of courage. And so in cases beyond number.

So that it would even seem that consciousness tends to blunt the activities upon which it is exercised, and that in the degree in which these pass unobserved they are purer and have more effect, more vitality, and that, consequently, the Proficient arrived at this state has the truer fullness of life, life not spilled out in sensation but gathered closely within itself.

11. We shall perhaps be told that in such a state the man is no longer alive: we answer that these people show themselves equally unable to understand his inner life and his happiness.

If this does not satisfy them, we must ask them to keep in mind a living Proficient and, under these terms, to inquire whether the man is in happiness: they must not whittle away the man and then look for the happiness of a man: once they allow that the Proficient lives within, they must not seek him among the outer activities, still less look to the outer world for the object of his desires. To consider the outer world to be a field to his desire, to fancy the Proficient desiring any good external, would be to deny Substantial-Existence to happiness; for the Proficient would like to see all men prosperous and no evil befalling anyone; but though it prove otherwise, he is still content.

If it be admitted that such a desire would be against reason, since evil cannot cease to be, there is no escape from agreeing with us that the Proficient's will is set always and only inward.

12. The pleasure demanded for the Proficient's life cannot be in the enjoyments of the licentious or in any gratifications of the body – there is no place for these, and they stifle happiness – nor in any violent emotions – what could so move the Proficient? – it can be only such pleasure as there must be where Good is, pleasure that does not rise from movement and is not a thing of process, for all that is good is immediately present to the Proficient and the Proficient is present to himself: his pleasure, his contentment, stands, immovable.

Thus he is ever cheerful, the order of his life ever untroubled: his state is fixedly happy and nothing whatever of all that is known as evil can set it awry – given only that he is and remains a Proficient.

If anyone seeks for some other kind of pleasure in the life of the Proficient, it is not the life of the Proficient he is looking for.

13. The characteristic activities are not hindered by outer events but merely adapt themselves, remaining always fine, and perhaps all the finer for dealing with the actual. When he has to handle particular cases and things he may not be able to put his vision into act without searching and thinking, but the one greatest principle⁵² is ever present to him, like a part of his being – most of all present, should he be even a victim in the much-talked-of Bull of Phalaris.⁵³ No doubt, despite all that has been said, it is idle to pretend that this is an agreeable lodging; but what cries in the Bull is the thing that feels the torture; in the Proficient there is something else as well, something which associates with that other, but which, so long as the association is not of its own choice, can never be robbed of the vision of the All-Good.

14. For man, and especially the Proficient, is not the Couplement of Soul and body: the proof is that man can be disengaged from the body and disdain its nominal goods.

It would be absurd to think that happiness begins and ends with the living-body: happiness is the possession of the good life: it is centred therefore in Soul, is an Act of the Soul – and not of all the Soul at that: for it certainly is not characteristic of the vegetative soul, the soul of growth; that would at once connect it with the body.

A powerful frame, a healthy constitution, even a happy balance of temperament, these surely do not make felicity; in the excess of these advantages there is, even, the danger that the man be crushed down and forced more and more within their power. There must be a sort of counter-pressure in the other direction, towards the noblest: the body must be lessened, reduced, that the veritable man may show forth, the man behind the appearances.

Let the earth-bound man be handsome and powerful and rich, and so apt to this world that he may rule the entire human race: still there can be no envying him, the fool of such lures. Perhaps such splendours could not, from the beginning even, have gathered

to the Proficient; but if it should happen so, he of his own action will lower his state, if he has any care for his true life; the tyranny of the body he will work down or wear away by inattention to its claims; the rulership he will lay aside. While he will safeguard his bodily health, he will not wish to be wholly untried in sickness, still less never to feel pain: if such troubles should not come to him of themselves, he will wish to know them, during youth at least: in old age, it is true, he will desire neither pains nor pleasures to hamper him; he will desire nothing of this world, pleasant or painful; his one desire will be to know nothing of the body. If he should meet with pain he will pit against it the powers he holds to meet it; but pleasure and health and ease of life will not mean any increase of happiness to him nor will their contraries destroy or lessen it.

When in the one subject a positive can add nothing, how can the negative take away?

15. But suppose two wise men, one of them possessing all that is supposed to be naturally welcome, while the other meets only with the very reverse: do we assert that they have an equal happiness?

We do, if they are equally wise.

What though the one be favoured in body and in all else that does not help towards wisdom, still less towards virtue, towards the vision of the noblest, towards being the highest, what does all that amount to? The man commanding all such practical advantages cannot flatter himself that he is more truly happy than the man without them: the utmost profusion of such boons would not help even to make a flute-player.

We discuss the happy man after our own feebleness; we count alarming and grave what his felicity takes lightly: he would be neither wise nor in the state of happiness if he had not quitted all trifling with such things and become as it were another being, having confidence in his own nature, faith that evil can never touch him. In such a spirit he can be fearless through and through; where

there is dread, there is not perfect virtue; the man is some sort of a half-thing.

As for any involuntary fear rising in him and taking the judgement by surprise, while his thoughts perhaps are elsewhere, the Proficient will attack it and drive it out; he will, so to speak, calm the refractory child within him, whether by reason or by menace, but without passion, as an infant might feel itself rebuked by a glance of severity.

This does not make the Proficient unfriendly or harsh: it is to himself and in his own great concern that he is the Proficient: giving freely to his intimates of all he has to give, he will be the best of friends by his very union with the Intellectual-Principle.

16. Those that refuse to place the Proficient aloft in the Intellectual Realm but drag him down to the accidental, dreading accident for him, have substituted for the Proficient we have in mind another person altogether; they offer us a tolerable sort of man and they assign to him a life of mingled good and ill, a case, after all, not easy to conceive. But admitting the possibility of such a mixed state, it could not be deserved to be called a life of happiness; it misses the Great, both in the dignity of Wisdom and in the integrity of Good. The life of true happiness is not a thing of mixture. And Plato rightly taught⁵⁴ that he who is to be wise and to possess happiness draws his good from the Supreme, fixing his gaze on That, becoming like to That, living by That.

He can care for no other Term than That: all else he will attend to only as he might change his residence, not in expectation of any increase to his settled felicity, but simply in a reasonable attention to the differing conditions surrounding him as he lives here or there.

He will give to the body all that he sees to be useful and possible, but he himself remains a member of another order, not prevented from abandoning the body, and necessarily leaving it at

nature's hour, he himself always the master to decide in its regard.

Thus some part of his life considers exclusively the Soul's satisfaction; the rest is not immediately for the Term's sake and not for his own sake, but for the thing bound up with him, the thing which he tends and bears with as the musician cares for his lyre, as long as it can serve him: when the lyre fails him, he will change it, or will give up lyre and lyring, as having another craft now, one that needs no lyre, and then he will let it rest unregarded at his side while he sings on without an instrument. But it was not idly that the instrument was given him in the beginning: he has found it useful until now, many a time.

SIXTH TRACTATE



BEAUTY [1]

SUMMARY

One of Plotinus' earliest treatises, and perhaps his best-known, it is a good statement (together with the later Ennead V. 8) of his aesthetics. Based broadly on Diotima's speech in the Symposium and on the myth of the Phaedrus, it seeks the source of physical beauty in the intelligible, and the source of that in the Good itself.

1. Beauty addresses itself chiefly to sight; but there is a beauty for the hearing too, as in certain combinations of words and in all kinds of music, for melodies and cadences are beautiful; and minds that lift themselves above the realm of sense to a higher order are aware of beauty in the conduct of life, in actions, in character, in the pursuits of the intellect; and there is the beauty of the virtues. What loftier beauty there may be, yet, our argument will bring to light.

What, then, is it that gives comeliness to material forms and draws the ear to the sweetness perceived in sounds, and what is the secret of the beauty there is in all that derives from Soul?

Is there some One Principle from which all take their grace, or is there a beauty peculiar to the embodied and another for the bodiless? Finally, one or many, what would such a Principle be?

Consider that some things, material shapes for instance, are gracious not by anything inherent but by something communicated, while others are lovely of themselves, as, for example, Virtue.

The same bodies appear sometimes beautiful, sometimes not; so

that there is a good deal between being body and being beautiful.

What, then, is this something that shows itself in certain material forms? This is the natural beginning of our inquiry.

What is it that attracts the eyes of those to whom a beautiful object is presented, and calls them, lures them, towards it, and fills them with joy at the sight? If we possess ourselves of this, we have at once a standpoint⁵⁵ for the wider survey.

Almost everyone⁵⁶ declares that the symmetry of parts towards each other and towards a whole, with, besides, a certain charm of colour, constitutes the beauty recognized by the eye, that in visible things, as indeed in all else, universally, the beautiful thing is essentially symmetrical, patterned.

But think what this means.

Only a compound can be beautiful, never anything devoid of parts; and only a whole; the several parts will have beauty, not in themselves, but only as working together to give a comely total. Yet beauty in an aggregate demands beauty in details: it cannot be constructed out of ugliness; its law must run throughout.

All the loveliness of colour and even the light of the sun, being devoid of parts and so not beautiful by symmetry, must be ruled out of the realm of beauty. And how comes gold to be a beautiful thing? And lightning by night, and the stars, why are these so fair?

In sounds also the simple must be proscribed, though often in a whole noble composition each several tone is delicious in itself.

Again since the one face, constant in symmetry, appears sometimes fair and sometimes not, can we doubt that beauty is something more than symmetry, that symmetry itself owes its beauty to a remoter principle?

Turn to what is attractive in methods of life or in the expression of thought; are we to call in symmetry here? What symmetry is to be found in noble conduct, or excellent laws, in any form of mental pursuit?

What symmetry can there be in points of abstract thought?

The symmetry of being accordant with each other? But there may be accordance or entire identity where there is nothing but ugliness: the proposition that honesty is merely a generous artlessness⁵⁷ chimes in the most perfect harmony with the proposition that morality means weakness of will; the accordance is complete.

Then again, all the virtues are a beauty of the Soul, a beauty authentic beyond any of these others; but how does symmetry enter here? The Soul, it is true, is not a simple unity, but still its virtue cannot have the symmetry of size or of number: what standard of measurement could preside over the compromise or the coalescence of the Soul's faculties or purposes?

Finally, how by this theory would there be beauty in the Intellectual-Principle, essentially the solitary?

2. Let us, then, go back to the source, and indicate at once the Principle that bestows beauty on material things.

Undoubtedly this Principle exists; it is something that is perceived at the first glance, something which the Soul names as from an ancient knowledge and, recognizing, welcomes it, enters into unison with it.

But let the Soul fall in with the Ugly and at once it shrinks within itself, denies the thing, turns away from it, not accordant, resenting it.

Our interpretation is that the Soul – by the very truth of its nature, by its affiliation to the noblest Existents in the hierarchy of Being – when it sees anything of that kin, or any trace of that kinship, thrills with an immediate delight, takes its own to itself, and thus stirs anew to the sense of its nature and of all its affinity.

But, is there any such likeness between the loveliness of this world and the splendours in the Supreme? Such a likeness in the particulars would make the two orders alike: but what is there in common between beauty here and beauty There?

We hold that all the loveliness of this world comes by communion in Ideal-Form.

All shapelessness whose kind admits of pattern and form, as long as it remains outside of Reason and Idea, is ugly by that very isolation from the Divine-Thought. And this is the Absolute Ugly: an ugly thing is something that has not been entirely mastered by pattern, that is by Reason, the Matter not yielding at all points and in all respects to Ideal-Form.

But where the Ideal-Form has entered, it has grouped and co-ordinated what from a diversity of parts was to become a unity: it has rallied confusion into co-operation: it has made the sum one harmonious coherence: for the Idea is a unity and what it moulds must come to unity as far as multiplicity may.

And on what has thus been compacted to unity, Beauty enthrones itself, giving itself to the parts as to the sum: when it lights on some natural unity, a thing of like parts, then it gives itself to that whole. Thus, for an illustration, there is the beauty, conferred by craftsmanship, of all a house with all its parts, and the beauty which some natural quality may give to a single stone.

This, then, is how the material thing becomes beautiful – by communicating in the thought (Reason, Logos) that flows from the Divine.

3. And the Soul includes a faculty peculiarly addressed to Beauty – one incomparably sure in the appreciation of its own, when Soul entire is enlisted to support its judgement.

Or perhaps the Soul itself acts immediately, affirming the Beautiful where it finds something accordant with the Ideal-Form within itself, using this Idea as a canon of accuracy in its decision.

But what accordance is there between the material and that which antedates all Matter?

On what principle does the architect, when he finds the house standing before him correspondent with his inner ideal of a house,

pronounce it beautiful? Is it not that the house before him, the stones apart, is the inner idea stamped upon the mass of exterior matter, the indivisible exhibited in diversity?

So with the perceptive faculty: discerning in certain objects the Ideal-Form which has bound and controlled shapeless matter, opposed in nature to Idea, seeing further stamped upon the common shapes some shape excellent above the common, it gathers into unity what still remains fragmentary, catches it up and carries it within, no longer a thing of parts, and presents it to the Ideal-Principle as something concordant and congenial, a natural friend: the joy here is like that of a good man who discerns in a youth the early signs of a virtue consonant with the achieved perfection within his own soul.

The beauty of colour is also the outcome of a unification: it derives from shape, from the conquest of the darkness inherent in Matter by the pouring-in of light, the unembodied, which is a Rational-Principle and an Ideal-Form.

Hence it is that Fire itself is splendid beyond all material bodies, holding the rank of Ideal-Principle to the other elements, making ever upwards, the subtlest and sprightliest of all bodies, as very near to the unembodied;⁵⁸ itself alone admitting no other, all the others penetrated by it: for they take warmth but this is never cold; it has colour primally; they receive the Form of colour from it: hence the splendour of its light, the splendour that belongs to the Idea. And all that has resisted and is but uncertainly held by its light remains outside of beauty, as not having absorbed the plenitude of the Form of colour.

And harmonies unheard in sound create the harmonies we hear and wake the Soul to the consciousness of beauty, showing it the one essence in another kind: for the measures of our sensible music are not arbitrary but are determined by the Principle whose labour is to dominate Matter and bring pattern into being.

Thus far of the beauties of the realm of sense, images and

shadow-pictures, fugitives that have entered into Matter – to adorn, and to ravish, where they are seen.

4. But there are earlier and loftier beauties than these. In the sense-bound life we are no longer granted to know them, but the Soul, taking no help from the organs, sees and proclaims them. To the vision of these we must mount, leaving sense to its own low place.

As it is not for those to speak of the graceful forms of the material world who have never seen them or known their grace – men born blind, let us suppose – in the same way those must be silent upon the beauty of noble conduct and of learning and all that order who have never cared for such things, nor may those tell of the splendour of virtue who have never known the face of Justice and of Moral-Wisdom beautiful beyond the beauty of Evening and of Dawn.⁵⁹

Such vision is for those only who see with the Soul's sight – and at the vision, they will rejoice, and awe will fall upon them and a trouble deeper than all the rest could ever stir, for now they are moving in the realm of Truth.

This is the spirit that Beauty must ever induce, wonderment and a delicious trouble, longing and love and a trembling that is all delight. For the unseen all this may be felt as for the seen; and this the Souls feel for it, every Soul in some degree, but those the more deeply that are the more truly apt to this higher love – just as all take delight in the beauty of the body but all are not stung as sharply, and those only that feel the keener wound are known as Lovers.

5. These Lovers, then, lovers of the beauty outside of sense, must be made to declare themselves.

What do you feel in presence of the grace you discern in actions, in manners, in sound morality, in all the works and fruits of virtue, in the beauty of Souls?⁶⁰ When you see that you yourselves are

beautiful within, what do you feel? What is this Dionysiac exultation that thrills through your being, this straining upwards of all your soul, this longing to break away from the body and live sunken within the veritable self?

These are no other than the emotions of Souls under the spell of love.

But what is it that awakens all this passion? No shape, no colour, no grandeur of mass: all is for a Soul, something whose beauty rests upon no colour, for the moral wisdom the Soul enshrines and all the other hueless splendour of the virtues. It is that you find in yourself, or admire in another, loftiness of spirit; righteousness of life; disciplined purity; courage of the majestic face; gravity, modesty that goes fearless and tranquil and passionless; and, shining down upon all, the light of godlike Intellection.

All these noble qualities are to be revered and loved, no doubt, but what entitles them to be called beautiful?

They exist: they manifest themselves to us: anyone that sees them must admit that they have reality of Being; and is not Real-Being really beautiful?

But we have not yet shown by what property in them they have wrought the Soul to loveliness: what is this grace, this splendour as of Light, resting upon all the virtues?

Let us take the contrary, the ugliness of the Soul, and set that against its beauty: to understand, at once, what this ugliness is and how it comes to appear in the Soul will certainly open our way before us.

Let us then suppose an ugly Soul, dissolute, unrighteous: teeming with all the lusts; torn by internal discord; beset by the fears of its cowardice and the envies of its pettiness; thinking, in the little thought it has, only of the perishable and the base; perverse in all its impulses; the friend of unclean pleasures; living the life of abandonment to bodily sensation and delighting in its deformity.

What must we think but that all this shame is something that has

gathered about the Soul, some foreign bane outraging it, soiling it, so that, encumbered with all manner of turpitude, it has no longer a clean activity or a clean sensation, but commands only a life smouldering dully under the crust of evil; that, sunk in manifold death, it no longer sees what a Soul should see, may no longer rest in its own being, dragged ever as it is towards the outer, the lower, the dark?

An unclean thing, I dare to say; flickering hither and thither at the call of objects of sense, deeply infected with the taint of body, occupied always in Matter, and absorbing Matter into itself; in its commerce with the Ignoble it has trafficked away for an alien nature its own essential Idea.

If a man has been immersed in filth or daubed with mud, his native comeliness disappears and all that is seen is the foul stuff besmearing him: his ugly condition is due to alien matter that has encrusted him, and if he is to win back his grace it must be his business to scour and purify himself and make himself what he was.

So, we may justly say, a Soul becomes ugly – by something foisted upon it, by sinking itself into the alien, by a fall, a descent into body, into Matter. The dishonour of the Soul is in its ceasing to be clean and apart. Gold is degraded when it is mixed with earthy particles; if these be worked out, the gold is left and is beautiful, isolated from all that is foreign, gold with gold alone. And so the Soul; let it be but cleared of the desires that come by its too intimate converse with the body, emancipated from all the passions, purged of all that embodiment has thrust upon it, withdrawn, a solitary, to itself again – in that moment the ugliness that came only from the alien is stripped away.

6. For, as the ancient teaching was,⁶¹ moral-discipline and courage and every virtue, not even excepting Wisdom itself, all is purification.⁶²

Hence the Mysteries with good reason adumbrate the immersion

of the unpurified in filth, even in the Nether-World, since the unclean loves filth for its very filthiness, and swine foul of body find their joy in foulness.

What else is Sophrosyny, rightly so-called, but to take no part in the pleasures of the body, to break away from them as unclean and unworthy of the clean? So too, Courage is but being fearless of the death which is but the parting of the Soul from the body, an event which no one can dread whose delight is to be his unmingled self. And Magnanimity is but disregard for the lure of things here. And Wisdom is but the Act of the Intellectual-Principle withdrawn from the lower places and leading the Soul to the Above.

The Soul thus cleansed is all Idea and Reason, wholly free of body, intellectual, entirely of that divine order from which the wellspring of Beauty rises and all the race of Beauty.

Hence the Soul heightened to the Intellectual-Principle is beautiful to all its power. For Intellection and all that proceeds from Intellection are the Soul's beauty, a graciousness native to it and not foreign, for only with these is it truly Soul. And it is just to say that in the Soul's becoming a good and beautiful thing is its becoming like to God, for from the Divine comes all the Beauty and all the Good in beings.

We may even say that Beauty is the Authentic-Existents and Ugliness is the Principle contrary to Existence: and the Ugly is also the primal evil; therefore its contrary is at once good and beautiful, or is Good and Beauty: and hence the one method will discover to us the Beauty-Good and the Ugliness-Evil.

And Beauty, this Beauty which is also The Good, must be posed as The First: directly deriving from this First is the Intellectual-Principle which is preeminently the manifestation of Beauty; through the Intellectual-Principle Soul is beautiful. The beauty in things of a lower order – actions and pursuits for instance – comes by operation of the shaping Soul which is also the author of the beauty found in the world of sense. For the Soul, a divine thing, a

fragment as it were of the Primal Beauty, makes beautiful to the fullness of their capacity all things whatsoever that it grasps and moulds.

7. Therefore we must ascend again towards the Good, the desired of every Soul. Anyone that has seen This, knows what I intend when I say that it is beautiful. Even the desire of it is to be desired as a Good. To attain it is for those that will take the upward path, who will set all their forces towards it, who will divest themselves of all that we have put on in our descent: so, to those that approach the Holy Celebrations of the Mysteries, there are appointed purifications and the laying aside of the garments worn before, and the entry in nakedness – until, passing, on the upward way, all that is other than the God, each in the solitude of himself shall behold that solitary-dwelling Existence, the Apart, the Unmingled, the Pure,⁶³ that from Which all things depend, for Which all look and live and act and know, the Source of Life and of Intellection and of Being.

And one that shall know this vision – with what passion of love shall he not be seized, with what pang of desire, what longing to be molten into one with This, what wondering delight! If he that has never seen this Being must hunger for It as for all his welfare, he that has known must love and reverence It as the very Beauty; he will be flooded with awe and gladness, stricken by a salutary terror; he loves with a veritable love, with sharp desire; all other loves than this he must despise, and disdain, all that once seemed fair.

This, indeed, is the mood even of those who, having witnessed the manifestation of Gods or Supernals, can never again feel the old delight in the comeliness of material forms: what then are we to think of one that contemplates Absolute Beauty in Its essential integrity, no accumulation of flesh and matter, no dweller on earth or in the heavens – so perfect Its purity – far above all such things in that they are non-essential, composite, not primal but descending from This?

Beholding this Being – the Choragus of all Existence, the Self-Intent that ever gives forth and never takes – resting, rapt, in the vision and possession of so lofty a loveliness, growing to Its likeness, what Beauty can the Soul yet lack? For This, the Beauty supreme, the absolute, and the primal, fashions Its lovers to Beauty and makes them also worthy of love.

And for This, the sternest and the uttermost combat is set before the Souls;⁶⁴ all our labour is for This, lest we be left without part in this noblest vision, which to attain is to be blessed in the blissful sight, which to fail of is to fail utterly.

For not he that has failed of the joy that is in colour or in visible forms, not he that has failed of power or of honours or of kingdom has failed, but only he that has failed of only This, for Whose winning he should renounce kingdoms and command over earth and ocean and sky, if only, spurning the world of sense from beneath his feet, and straining to This, he may see.

8. But what must we do? How lies the path? How come to vision of the inaccessible Beauty, dwelling as if in consecrated precincts, apart from the common ways where all may see, even the profane?

He that has the strength, let him arise and withdraw into himself, foregoing all that is known by the eyes, turning away for ever from the material beauty that once made his joy. When he perceives those shapes of grace that show in body, let him not pursue: he must know them for copies, vestiges, shadows, and hasten away towards That they tell of. For if anyone follow what is like a beautiful shape playing over water – is there not a myth telling in symbol of such a dupe, how he sank into the depths of the current and was swept away to nothingness?⁶⁵ So too, one that is held by material beauty and will not break free shall be precipitated, not in body but in Soul, down to the dark depths loathed of the Intellective-Being, where, blind even in the Lower-World, he shall have commerce only with shadows, there as here.

‘Let us flee then to the beloved Fatherland’:⁶⁶ this is the soundest counsel. But what is this flight? How are we to gain the open sea? For Odysseus is surely a parable to us when he commands the flight from the sorceries of Circe or Calypso – not content to linger for all the pleasure offered to his eyes and all the delight of sense filling his days.

The Fatherland to us is There whence we have come, and There is The Father.

What then is our course, what the manner of our flight? This is not a journey for the feet; the feet bring us only from land to land; nor need you think of coach or ship to carry you away; all this order of things you must set aside and refuse to see: you must close the eyes and call instead upon another vision which is to be waked within you, a vision, the birth-right of all, which few turn to use.

9. And this inner vision, what is its operation?

Newly awakened it is all too feeble to bear the ultimate splendour. Therefore the Soul must be trained – to the habit of remarking, first, all noble pursuits, then the works of beauty produced not by the labour of the arts but by the virtue of men known for their goodness: lastly, you must search the souls of those that have shaped these beautiful forms.

But how are you to see into a virtuous Soul and know its loveliness?

Withdraw into yourself and look. And if you do not find yourself beautiful yet, act as does the creator of a statue that is to be made beautiful: he cuts away here, he smooths there, he makes this line lighter, this other purer, until a lovely face has grown upon his work. So do you also: cut away all that is excessive, straighten all that is crooked, bring light to all that is overcast, labour to make all one glow of beauty and never cease chiselling your statue,⁶⁷ until there shall shine out on you from it the godlike splendour of virtue, until you shall see the perfect goodness surely established in the

stainless shrine.⁶⁸

When you know that you have become this perfect work, when you are self-gathered in the purity of your being, nothing now remaining that can shatter that inner unity, nothing from without clinging to the authentic man, when you find yourself wholly true to your essential nature, wholly that only veritable Light which is not measured by space, not narrowed to any circumscribed form nor again diffused as a thing void of term, but ever unmeasurable as something greater than all measure and more than all quantity – when you perceive that you have grown to this, you are now become very vision: now call up all your confidence, strike forward yet a step – you need a guide no longer – strain, and see.

This is the only eye that sees the mighty Beauty. If the eye that adventures the vision be dimmed by vice, impure, or weak, and unable in its cowardly blenching to see the uttermost brightness, then it sees nothing even though another point to what lies plain to sight before it. To any vision must be brought an eye adapted to what is to be seen, and having some likeness to it. Never did eye see the sun unless it had first become sunlike,⁶⁹ and never can the Soul have vision of the First Beauty unless itself be beautiful.

Therefore, first let each become godlike and each beautiful who cares to see God and Beauty. So, mounting, the Soul will come first to the Intellectual-Principle and survey all the beautiful Ideas in the Supreme and will avow that this is Beauty, that the Ideas are Beauty. For by their efficacy comes all Beauty else, by the offspring and essence of the Intellectual-Being. What is beyond the Intellectual-Principle we affirm to be the nature of Good radiating Beauty before it. So that, treating the Intellectual-Cosmos as one, the first is the Beautiful: if we make distinction there, the Realm of Ideas⁷⁰ constitutes the Beauty of the Intellectual Sphere; and The Good, which lies beyond, is the Fountain at once and Principle of Beauty: the Primal Good and the Primal Beauty have the one dwelling-place and, thus, always, Beauty's seat is There.

EIGHTH TRACTATE



THE NATURE AND SOURCE OF EVIL [51]

SUMMARY

An enquiry into the nature of Evil. Plotinus' problem is that evil must be regarded as something non-existent, but yet he inveighs against as if it were a positive force. He distinguishes between absolute Evil, total formlessness, which is Matter, and bodies, which only are secondarily evil (ch. 1–5). The second part of the treatise (ch. 6–7) contributes a commentary on certain relevant Platonic texts (Theaetetus 176A, Timaeus 47E, 41B), while the third (ch. 8–15) is taken up with a series of particular problems involved in the idea of Matter as Evil.

1. Those inquiring whence Evil enters into beings, or rather into a certain order of beings, would be making the best beginning if they established, first of all, what precisely Evil is, what constitutes its Nature. At once we should know whence it comes, where it has its native seat, and where it is present merely as an accident; and there would be no further question as to whether it has Authentic-Existence.

But a difficulty arises. By what faculty in us could we possibly know Evil?

All knowing comes by likeness. The Intellectual-Principle and the Soul, being Ideal-Forms, would know Ideal-Forms and would have a natural tendency towards them; but who could imagine Evil to be an Ideal-Form, seeing that it manifests itself as the very absence of Good?

If the solution is that the one act of knowing covers contraries, and that as Evil is the contrary to Good the one act would grasp Good and Evil together, then to know Evil there must be first a clear perception and understanding of Good, since the nobler existences precede the baser and are Ideal-Forms while the less good hold no such standing, are nearer to Non-Being.

No doubt there is a question in what precise way Good is contrary to Evil – whether it is as First-Principle to last of things or as Ideal-Form to utter Lack (to Non-entity): but this subject we postpone.

2. For the moment let us define the Nature of the Good as far as the immediate purpose demands.

The Good is that on which all else depends,⁷¹ towards which all Existences aspire as to their source and their need, while Itself is without need, sufficient to Itself, aspiring to no other, the measure and Term of all, giving out from itself the Intellectual-Principle and Existence and Soul and Life and all Intellective-Act.

All until The Good is reached is beautiful; The Good is beyond-beautiful, beyond the Highest, holding kingly state in the Intellectual-Cosmos, that sphere constituted by a Principle wholly unlike what is known as Intelligence in us. Our intelligence is nourished on the propositions of logic, is skilled in following discussions, works by reasonings, examines links of demonstration, and comes to know the world of Being also by the steps of logical process, having no prior grasp of Reality but remaining empty, all Intelligence though it be, until it has put itself to school.

The Intellectual-Principle we are discussing is not of such a kind: It possesses all: It is all: It is present to all by Its self-presence: It has all by other means than having, for what It possesses is still Itself, nor does any particular of all within It stand apart; for every such particular is the whole and in all respects all, while yet not confused in the mass but still distinct, apart to the extent that any

participant in the Intellectual-Principle participates not in the entire as one thing but in whatsoever lies within its own reach.

The Intellectual-Principle is the first Act of The Good and the first Existence; The Good remains stationary within itself, but the Intellectual-Principle acts in relation to It and, as it were, lives about It.

And the Soul, outside, circles around the Intellectual-Principle, and by gazing upon it, seeing into the depths of It, through It sees God.

Such is the untroubled, the blissful, life of divine beings,⁷² and Evil has no place in it; if this were all, there would be no Evil but Good only, the first, the second, and the third Good. All, thus far, is with the King of All, unfailing Cause of Good and Beauty and controller of all; and what is Good in the second degree depends upon the Second-Principle and tertiary Good upon the Third.⁷³

3. If such be the Nature of Beings and of That which transcends all the realm of Being, Evil cannot have place among Beings or in the Beyond-Being; these are good.

There remains, only, if Evil exist at all, that it be situate in the realm of Non-Being, that it be some mode, as it were, of the Non-Being, that it have its seat in something in touch with Non-Being or to a certain degree communicate in Non-Being.

By this Non-Being, of course, we are not to understand something that simply does not exist, but only something of an utterly different order from Authentic-Being: there is no question here of movement or position with regard to Being;⁷⁴ the Non-Being we are thinking of is, rather, an image of Being or perhaps something still further removed than even an image.

Now this (the required faint image of Being) might be the sensible universe with all the impressions it engenders, or it might be something of even later derivation, accidental to the realm of sense, or again, it might be the source of the sense-world or

something entering into it to complete its character.

Some conception of it would be reached by thinking of measurelessness as opposed to measure, of the unbounded against bound, the unshaped against a principle of shape, the ever-needy against the self-sufficing: think of the ever-undefined, the never at rest, the all-accepting but never sated, utter dearth; and make all this character not mere accident in it but its equivalent for essential-being, so that, whatsoever fragment of it be taken, that part is all lawless void, while whatever participates in it and resembles it becomes evil, though not of course to the point of being, as itself is, Evil-Absolute.

In what substantial-form (hypostasis) then is all this to be found – not as accident but as the very substance itself?

For if Evil can enter into other things, it must have in a certain sense a prior existence, even though it may not be an essence. As there is Good, the Absolute, as well as Good, the quality, so, together with the derived evil entering into something not itself, there must be the Absolute Evil.

But how? Can there be Unmeasure apart from an unmeasured object?

Does not Measure exist apart from measured things? Precisely as there is Measure apart from anything measured, so there is Unmeasure apart from the unmeasured. If Unmeasure could not exist independently, it must exist either in an unmeasured object or in something measured; but the unmeasured could not need Unmeasure and the measured could not contain it.

There must, then, be some Undetermination-Absolute, some Absolute Formlessness; all the qualities cited as characterizing the Nature of Evil must be summed under an Absolute Evil; and every evil thing outside of this must either contain this Absolute by saturation or have taken the character of evil and become a cause of evil by consecration to this Absolute.

What will this be?

That Kind whose place is below all the patterns, forms, shapes, measurements, and limits, that which has no trace of good by any title of its own, but (at best) takes order and grace from some Principle outside itself, a mere image as regards Absolute-Being but the Authentic Essence of Evil – in so far as Evil can have Authentic Being. In such a Kind Reason recognizes the Primal Evil, Evil Absolute.

4. The bodily Kind, in that it partakes of Matter, is an evil thing. What form is in bodies is an untrue form: they are without life: by their own natural disorderly movement they make away with each other; they are hindrances to the Soul in its proper Act; in their ceaseless flux they are always slipping away from Being.

Soul, on the contrary, since not every soul is evil, is not an evil Kind.

What, then, is the evil Soul?

It is, we read,⁷⁵ the Soul that has entered into the service of that in which soul-evil is implanted by nature, in whose service the unreasoning phase of the Soul accepts evil – unmeasure, excess, and shortcoming, which bring forth licentiousness, cowardice, and all other flaws of the Soul, all the states, foreign to the true nature, which set up false judgements, so that the Soul comes to name things good or evil not by their true value but by the mere test of like and dislike.

But what is the root of this evil state? how can it be brought under the causing principle indicated?

Firstly, such a Soul is not apart from Matter, is not purely itself. That is to say, it is touched with Unmeasure, it is shut out from the Forming-Idea that orders and brings to measure, and this because it is merged into a body made of Matter.

Then if the Reasoning-Faculty too has taken hurt, the Soul's seeing is baulked by the passions and by the darkening that Matter brings to it, by its decline into Matter, by its very attention no

longer to Essence but to Process – whose principle or source is, again, Matter, the Kind so evil as to saturate with its own pravity even that which is not in it but merely looks towards it.

For, wholly without part in Good, the negation of Good, unmingled Lack, this Matter-Kind makes over to its own likeness whatsoever comes in touch with it.

The Soul wrought to perfection, addressed towards the Intellectual-Principle is steadfastly pure: it has turned away from Matter; all that is undetermined, that is outside of measure, that is evil, it neither sees nor draws near; it endures in its purity, only, and wholly, determined by the Intellectual-Principle.

The Soul that breaks away from this source of its reality, in so far as it is not perfect or primal, is, as it were, a secondary, an image, to the loyal Soul. By its falling-away – and to the extent of the fall – it is stripped of Determination, becomes wholly indeterminate, sees darkness. Looking to what repels vision, as we look when we are said to see darkness, it has taken Matter into itself.

5. But, it will be objected, if this seeing and frequenting of the darkness is due to the lack of good, the Soul's evil has its source in that very lack; the darkness will be merely a secondary cause – and at once the Principle of Evil is removed from Matter, is made anterior to Matter.

No: Evil is not in any and every lack; it is in absolute lack. What falls in some degree short of the Good is not Evil; considered in its own kind it might even be perfect, but where there is utter dearth, there we have Essential Evil, void of all share in Good; this is the case with Matter.

Matter has not even existence whereby to have some part in Good: Being is attributed to it by an accident of words: the truth would be that it has Non-Being.

Mere lack brings merely Not-Goodness: Evil demands the

absolute lack – though, of course, any very considerable shortcoming makes the ultimate fall possible and is already, in itself, an evil.

In fine, we are not to think of Evil as some particular bad thing – injustice, for example, or any other ugly trait – but as a principle distinct from any of the particular forms in which, by the addition of certain elements, it becomes manifest. Thus there may be wickedness in the Soul; the forms this general wickedness is to take will be determined by the environing Matter, by the faculties of the Soul that operate, and by the nature of their operation, whether seeing, acting, or merely admitting impression.

But supposing things external to the Soul are to be counted Evil – sickness, poverty, and so forth – how can they be referred to the principle we have described?

Well, sickness is excess or defect in the body, which as a material organism rebels against order and measure; ugliness is but matter not mastered by Ideal-Form; poverty consists in our need and lack of goods made necessary to us by our association with Matter whose very nature is to be one long want.

If all this be true, we cannot be, ourselves, the source of Evil, we are not evil in ourselves; Evil was before we came to be; the Evil which holds men down binds them against their will; and for those that have the strength – not found in all men, it is true – there is a deliverance from the evils that have found lodgement in the Soul.

The gods of heaven (the star-souls) have Matter, but are free from Evil, free from the vice in men. Not all men are vicious; some overcome vice, some, the better sort, are never attacked by it; and those who master it win by means of that in them which is not material.

6. If this be so, how do we explain the teaching that evils can never pass away but ‘exist of necessity’, that ‘while evil has no place in the divine order, it haunts mortal nature and this place⁷⁶ for ever’?

Does this mean that heaven is clear of evil, ever moving its orderly way, spinning on the appointed path, no injustice there or any flaw, no wrong done by any power to any other but all true to the settled plan, while injustice and disorder prevail on earth, designated as 'the Mortal Kind and this Place'?

Not quite so: for the precept to 'flee hence' does not refer to earth and earthly life. The flight we read of consists not in quitting earth but in living our earth-life 'with justice and piety in the light of philosophy'; it is vice we are to flee, so that clearly to the writer Evil is simply vice with the sequels of vice. And when the disputant in that dialogue says that, if men could be convinced of the doctrine advanced, there would be an end of Evil, he is answered, 'That can never be: Evil is of necessity, for there must be a contrary to good.'

Still we may reasonably ask how can vice in man be a contrary to The Good in the Supernal: for vice is the contrary to virtue and virtue is not The Good but merely the good thing by which Matter is brought to order.

How can there be any contrary to the Absolute Good, when the absolute has no quality?

Besides, is there any universal necessity that the existence of one of two contraries should entail the existence of the other? Admit that the existence of one is often accompanied by the existence of the other – sickness and health, for example – yet there is no universal compulsion.

Perhaps, however, our author did not mean that this was universally true; he is speaking only of The Good.

But then, if The Good is an essence, and still more, if It is that which transcends all existence, how can It have any contrary?

That there is nothing contrary to essence is certain in the case of particular existences – established by practical proof – but not in the quite different case of the Universal.

But of what nature would this contrary be, the contrary to

universal existence and in general to the Primals?

To essential existence would be opposed the non-existence; to the nature of Good, some principle and source of evil. Both these will be sources, the one of what is good, the other of what is evil; and all within the domain of the one principle being opposed, as contrary, to the entire domain of the other, the totals are contrary, and this in a contrariety more violent than any existing between secondary things.

For these last are opposed as members of one species or of one genus, and, within that common ground, they participate in some common quality.

In the case of the Primals or Universals there is such complete separation that what is the exact negation of one group constitutes the very nature of the other; we have diametric contrariety if by contrariety we mean the extreme of remoteness.

Now to the content of the divine order, the fixed quality, the measuredness, and so forth – there is opposed the content of the evil principle, its unfixedness, measurelessness, and so forth: total is opposed to total. The existence of the one genus is a falsity, primarily, essentially, a falseness: the other genus has Essence-Authentic: the opposition is of truth to lie; essence is opposed to essence.

Thus we see that it is not universally true that an Essence can have no contrary.

In the case of fire and water we would admit contrariety if it were not for their common element, the Matter, about which are gathered the warmth and dryness of one and the dampness and cold of the other: if there were only present what constitutes their distinct kinds, the common ground being absent, there would be, here also, essence contrary to essence.

In sum, things utterly sundered, having nothing in common, standing at the remotest poles, are opposites in nature: the contrariety does not depend upon quality or upon the existence of a

distinct genus of beings, but upon the utmost difference, clash in content, clash in effect.

7. But why does the existence of the Principle of Good necessarily comport the existence of the Principle of Evil? Is it because the All necessarily comports the existence of Matter? Yes: for necessarily this All is made up of contraries: it could not exist if Matter did not. The Nature of this Cosmos is, therefore, a blend; it is ‘blended from the Intellectual-Principle and Necessity’:⁷⁷ what comes into it from God is good; evil is from ‘the Ancient Kind’⁷⁸ – a phrase which means the underlying Matter not yet brought to order by the Ideal-Form.

But, since the expression ‘this place’ must be taken to mean the All, how explain the words ‘mortal nature’?

The answer is in the passage⁷⁹ (in which the Father of Gods addresses the Divinities of the lower sphere), ‘Since you possess only a derivative being, you are not immortals – but by my power you shall escape dissolution.’ On this reckoning it can be said with truth that Evil will never perish.

The ‘flight hence’, we read, is not a matter of place, but of acquiring virtue, of disengaging the self from the body; this is the escape from Matter, since association with the body implies association with Matter. Plato explains somewhere how a man frees himself and how he remains bound; and the phrase ‘to live among the gods’ means to live among the Intelligible-Existents, for these are the Immortals.

There is another consideration establishing the necessary existence of Evil.

Given that The Good is not the only existent thing, it is inevitable that, by the outgoing from it or, if the phrase be preferred, the continuous down-going or away-going from it, there should be produced a Last, something after which nothing more can be produced: this will be Evil.

As necessarily as there is Something after the First, so necessarily there is a Last: this Last is Matter, the thing which has no residue of good in it: here is the necessity of Evil.

8. But there will still be some to deny that it is through this Matter that we ourselves become evil.

They will say that neither ignorance nor wicked desires arise in Matter. Even if they admit that the unhappy condition within us is due to the pravity inherent in body, they will urge that still the blame lies not in the Matter itself but with the Form present in it – such Form as heat, cold, bitterness, saltiness, and all other conditions perceptible to sense, or again such states as being full or void – not in the concrete signification but in the presence or absence of just such forms. In a word, they will argue, all particularity in desires and even in perverted judgements upon things can be referred to such causes, so that Evil lies in this Form much more than in the mere Matter.

Yet, even with all this, they can be compelled to admit that Matter is the Evil.

For, the quality (Form) that has entered into Matter does not act as an entity apart from the Matter, any more than axe-shape will cut apart from iron.⁸⁰ Further, Forms lodged in Matter are not the same as they would be if they remained within themselves; they are Reason-Principles materialized, they are corrupted in the Matter, they have absorbed its nature: essential fire does not burn, nor do any of the essential entities effect, of themselves alone, the operation which, once they have entered into Matter, is traced to their action.

Matter becomes mistress of what is manifested through it: it corrupts and destroys the incomer, it substitutes its own opposite character and kind, not in the sense of opposing, for example, concrete cold to concrete warmth, but by setting its own formlessness against the Form of heat, shapelessness to shape,

excess and defect to the duly ordered. Thus, in sum, what enters into Matter ceases to belong to itself, comes to belong to Matter, just as, in the nourishment of living beings, what is taken in does not remain as it came, but is turned into, say, dog's blood and all that goes to make a dog, becomes, in fact, any of the humours of any recipient.

No, if body is the cause of Evil, then there is no escape; the cause of Evil is Matter.

Still, it will be urged, the incoming Idea should have been able to conquer the Matter.

The difficulty is that Matter's master cannot remain pure itself except by avoidance of Matter.

Besides, the constitution determines both the desires and their violence, so that there are bodies in which the incoming idea cannot hold sway: there is a vicious constitution which chills and clogs the activity and inhibits choice; a contrary bodily habit produces frivolity, lack of balance. The same fact is indicated by our successive variations of mood: in times of stress, we are not the same – either in desires or in ideas – as when we are at peace, and we differ again with every several object that brings us satisfaction.

To resume: the Measureless is evil primarily; whatever, either by resemblance or participation, exists in the state of unmeasure, is evil secondarily, by force of its dealing with the Primal – primarily, the darkness; secondarily, the darkened. Now, Vice, being an ignorance and a lack of measure in the Soul, is secondarily evil, not the Essential Evil, just as Virtue is not the Primal Good but is Likeness to The Good, or participation in it.

9. But what approach have we to the knowing of Good and Evil?

And first of the Evil of soul: Virtue we may know by the Intellectual-Principle and by means of the philosophic habit; it recognizes itself: but Vice?

As a ruler marks off straight from crooked, so Vice is known by

its divergence from the line of Virtue.

But are we able to affirm Vice by any vision we can have of it, or is there some other way of knowing it?

Utter viciousness, certainly not by any vision, for it is utterly outside of bound and measure; this thing which is nowhere can be seized only by abstraction; but any degree of evil falling short of The Absolute is knowable by the extent of that falling short.

We see partial goodness; from what is before us we divine that which is lacking to the entire form – that which the completed Kind (Virtue) contains but which does not appear in the present instance; this we call Vice, and leave Virtue's deprivation undetermined. In the same way when we observe what we feel to be an ugly appearance in Matter – left there because the Reason-Principle has not become so completely the master as to cover over the unseemliness – we recognize Ugliness by the falling-short from Ideal-Form.

But how can we identify what has never had any touch of Form?

We utterly eliminate every kind of Form; and the object in which there is none whatever we call Matter: if we are to see Matter we must so completely abolish Form that we take shapelessness into our very selves.

In fact it is another Intellectual-Principle, not the true, this which ventures a vision so uncongenial.

To see darkness the eye withdraws from the light; it is striving to cease from seeing, therefore it abandons the light which would make the darkness invisible; away from the light its power is rather that of not-seeing than of seeing and this not-seeing is its nearest approach to seeing Darkness. So the Intellectual-Principle, in order to see its contrary (Matter), must leave its own light locked up within itself, and as it were go forth from itself into an outside realm, it must ignore its native brightness, and submit itself to the very contradiction of its being.

10. But if Matter is devoid of quality how can it be evil?

It is described as being devoid of quality in the sense only that it does not essentially possess any of the qualities which it admits and which enter into it as into a substratum. No one says that it has no nature; and if it has any nature at all, why may not that nature be evil though not in the sense of quality?

Quality qualifies something not itself: it is therefore an accidental; it resides in some other object. Matter does not exist in some other object but is the substratum in which the accidental resides. Matter, then, is said to be devoid of Quality in that it does not in itself possess this thing which is by nature an accidental. If, moreover, Quality itself be devoid of Quality, how can Matter, which is the unqualified, be said to have it?

Thus, it is quite correct to say at once that Matter is without Quality and that it is evil: it is Evil not in the sense of having Quality but, precisely, in not having it; give it Quality and in its very Evil it would almost be a Form, whereas in truth it is a Kind contrary to Form.

11. 'But,' it may be said,⁸¹ 'the Kind opposed to all Form is Privation or Negation, and this necessarily belongs to something other than itself, it is no Substantial-Existence: therefore if Evil is Privation or Negation it must be lodged in a subject deprived of Form: there will be no Self-Existent Evil.'

This objection may be answered by applying the principle to the case of Evil in the Soul; the Evil, the Vice, will be a Negation and not anything having a separate existence; we come to the doctrine which denies Matter or, admitting it, denies its Evil; we need not seek elsewhere; we may at once place Evil in the Soul, recognizing it as the mere absence of Good. But if the negation is the negation of something that ought to become present, if it is a denial of the Good by the Soul, then the Soul produces vice within itself by the operation of its own nature, and is devoid of good and, therefore,

Soul though it be, devoid of life: the Soul, if it has no life, is soulless; the Soul is no Soul.

No; the Soul has life by its own nature and therefore does not, of its own nature, contain this negation of The Good: it has much good in it; it carries a happy trace of the Intellectual-Principle and is not essentially evil: neither is it primally evil nor is that Primal Evil present in it even as an accidental, for the Soul is not wholly apart from the Good.

12. Perhaps Vice and Evil as in the Soul should be described not as an entire, but as a partial, negation of good.

But if this were so, part of the Soul must possess The Good, part be without it; the Soul will have a mingled nature and the Evil within it will not be unblended: we have not yet lighted on the Primal, unmingled Evil. The Soul would possess the Good as its Essence, the Evil as an Accidental.

13. Perhaps Evil is merely an impediment to the Soul like something affecting the eye and so hindering sight.

But such an evil in the eyes is no more than an occasion of evil, the Absolute Evil is something quite different. If then Vice is an impediment to the Soul, Vice is an occasion of evil but not Evil-Absolute. Virtue is not the Absolute Good, but a co-operator with it; and if Virtue is not the Absolute Good, neither is Vice the Absolute Evil. Virtue is not the Absolute Beauty or the Absolute Good; neither, therefore, is Vice the Essential Ugliness or the Essential Evil.

We have said that Virtue is not the Absolute Good and Beauty, because we know that These are earlier than Virtue and transcend it, and that it is good and beautiful by some participation in them. Now as, going upward from Virtue, we come to the Beautiful and to the Good, so, going downward from Vice, we reach Essential Evil: from Vice as the starting-point we come to vision of Evil, as far as

such vision is possible, and we become evil to the extent of our participation in it. We are become dwellers in the Place of Unlikeness,⁸² where, fallen from all our resemblance to the Divine, we lie in gloom and mud: for if the Soul abandons itself unreservedly to the extreme of viciousness, it is no longer a vicious Soul merely, for mere vice is still human, still carries some trace of good: it has taken to itself another nature, the Evil, and as far as Soul can die it is dead. And the death of Soul is twofold: while still sunk in body to lie down in Matter and drench itself with it; when it has left the body, to lie in the other world until, somehow, it stirs again and lifts its sight from the mud: and this is our 'going down to Hades and slumbering there'.⁸³

14. It may be suggested that Vice is feebleness in the Soul.

We shall be reminded that the Vicious Soul is unstable, swept along from every ill to every other, quickly stirred by appetites, headlong to anger, as hasty to compromises, yielding at once to obscure imaginations, as weak, in fact, as the weakest thing made by man or nature, blown about by every breeze, burned away by every heat.

Still the question must be faced what constitutes this weakness in the Soul, whence it comes.

For weakness in the body is not like that in the Soul: the word weakness, which covers the incapacity for work and the lack of resistance in the body, is applied to the Soul merely by analogy – unless, indeed, in the one case as in the other, the cause of the weakness is Matter.

But we must go more thoroughly into the source of this weakness, as we call it, in the Soul, which is certainly not made weak as the result of any density or rarity, or by any thickening or thinning or anything like a disease, like a fever.

Now this weakness must be seated either in souls utterly disengaged or in souls bound to Matter or in both.

It cannot exist in those apart from Matter, for all these are pure and, as we read,⁸⁴ winged and perfect and unimpeded in their task: there remains only that the weakness be in the fallen souls, neither cleansed nor clean; and in them the weakness will be, not in any privation but in some hostile presence, like that of phlegm or bile in the organs of the body.

If we form an acute and accurate notion of the cause of the fall we shall understand the weakness that comes by it.

Matter exists; Soul exists; and they occupy, so to speak, one place. There is not one place for Matter and another for Soul – Matter, for instance, kept to earth, Soul in the air: the Soul's 'separate place' is simply its not being in Matter; that is, its not being united with it; that is that there be no compound unit consisting of Soul and Matter; that is that Soul be not moulded in Matter as in a matrix; this is the Soul's apartness.

But the faculties of the Soul are many, and it has its beginning, its intermediate phases, its final fringe. Matter appears, importunes,⁸⁵ raises disorders, seeks to force its way within; but all the ground is holy,⁸⁶ nothing there without part in Soul. Matter therefore submits, and takes light: but the source of its illumination it cannot attain to, for the Soul cannot tolerate this foreign thing close by, since the evil of it makes it invisible. On the contrary the illumination, the light streaming from the Soul, is dulled, is weakened, as it mixes with matter which offers Birth to the Soul, providing the means by which it enters into generation, impossible to it if no recipient were at hand.

This is the fall of the Soul, this entry into Matter: thence its weakness: not all the faculties of its being retain free play, for Matter hinders their manifestation; it encroaches upon the Soul's territory and, as it were, crushes the Soul back; and it turns to evil all that it has stolen, until the Soul finds strength to advance again.

Thus the cause, at once, of the weakness of Soul and of all its evil is Matter.

The evil of Matter precedes the weakness, the vice; it is Primal Evil. Even though the Soul itself submits to Matter and engenders it; if it becomes evil within itself by its commerce with Matter, the cause is still the presence of Matter: the Soul would never have approached Matter but that the presence of Matter is the occasion of its earth-life.

15. If the existence of Matter be denied, the necessity of this Principle must be demonstrated from the treatise 'On Matter'⁸⁷ where the question is copiously treated.

To deny Evil a place among realities is necessarily to do away with the Good as well, and even to deny the existence of anything desirable; it is to deny desire, avoidance, and all intellectual act; for desire has Good for its object, aversion looks to Evil, all intellectual act, all Wisdom, deals with Good and Bad, and is itself one of the things that are good.

There must then be The Good – good unmixed – and the Mingled Good and Bad, and the Rather Bad than Good, this last ending with the Utterly Bad we have been seeking, just as that in which Evil constitutes the lesser part tends, by that lessening, towards the Good.

What, then, must Evil be to the Soul?

What soul could contain Evil unless by contact with the lower Kind? There could be no desire, no sorrow, no rage, no fear: fear touches the compounded dreading its dissolution; pain and sorrow are the accompaniments of the dissolution; desires spring from something troubling the grouped being or are a provision against trouble threatened; all impression is the stroke of something unreasonable outside the Soul, accepted only because the Soul is not devoid of parts or phases; the Soul takes up false notions through having gone outside of its own truth by ceasing to be purely itself.

One desire or appetite there is which does not fall under this

condemnation; it is the aspiration towards the Intellectual-Principle: this demands only that the Soul dwell alone enshrined within that place of its choice, never lapsing towards the lower.

Evil is not alone: by virtue of the nature of Good, the power of Good, it is not Evil only: it appears, necessarily, bound around with bonds of Beauty, like some captive bound in fetters of gold; and beneath these it is hidden so that, while it must exist, it may not be seen by the gods, and that men need not always have evil before their eyes, but that when it comes before them they may still be not destitute of Images of the Good and Beautiful for their Remembrance.

NINTH TRACTATE



‘THE REASONED DISMISSAL’ [16]

SUMMARY

This is a slightly mysterious fragment, composed (on Porphyry’s evidence) before his arrival, and so unconnected with Plotinus’ dissuading of him from suicide (cf. Life, ch. 11). A later commentator on Aristotle, Elias (Proleg. Philos. 6), quotes from a larger treatise on Plotinus on the same subject, and various inconclusive suggestions have been made as to the relationship of this with what we have. Plotinus takes his start from what appears to be a quotation from the Chaldaean Oracles, to the effect that any violent separation of soul from body (such as suicide would be) will inevitably cause some corporeal (and thus evil) elements to come away with it.

‘You will not dismiss your Soul lest it go forth taking something with it.’⁸⁸

Your dismissal will ensure that it must go forth taking something (corporeal) with it, and its going forth is to some new place. The Soul will wait for the body to be completely severed from it; then it makes no departure; it simply finds itself free.

But how does the body come to be separated?

The separation takes place when nothing of Soul remains bound up with it: the harmony within the body, by virtue of which the Soul was retained, is broken and it can no longer hold its guest.

But when a man contrives the dissolution of the body, it is he that has used violence and torn himself away, not the body that has

let the Soul slip from it. And in loosing the bond he has not been without passion; there has been revolt or grief or anger, movements which it is unlawful to indulge.

But if a man feel himself to be losing his reason?

That is not likely in the Proficient, but if it should occur, it must be classed with the inevitable, to be welcome at the bidding of the fact though not for its own sake.⁸⁹ To call upon drugs to the release of the Soul seems a strange way of assisting its purposes.

And if there be a period allotted to all by fate, to anticipate the hour could not be a happy act, unless, as we have indicated, under stern necessity.

If everyone is to hold in the other world a standing determined by the state in which he quitted this, there must be no withdrawal as long as there is any hope of progress.



THE SECOND ENNEAD

THIRD TRACTATE



ARE THE STARS CAUSES? [52]

SUMMARY

One of the latest group of treatises. Plotinus here returns to a lifelong preoccupation of his, the way in which the movements of the stars relate to events in our lives. His main concern is to refute the doctrines of astrologers, that stars cause sublunar or human events. His main objections are that this would make the stars causes of evil, changeable, and random in their operation (ch. 1–6). In the latter part of the treatise (ch. 7–18) he discusses the real nature of their influences, and how soul directs the All, together with an explanation of evil as incidental to Soul's administration.

1. That the circuit of the stars indicates definite events to come but without being the cause direct (as the general opinion¹ holds) of all that happens, has been elsewhere affirmed,² and proved by some modicum of argument: but the subject demands more precise and detailed investigation, for to take the one view rather than the other is of no small moment.

The belief is that the planets in their courses actually produce not merely such conditions as poverty, wealth, health, and sickness, but even ugliness and beauty and, gravest of all, vices and virtue and the very acts that spring from these qualities, the definite doings of each moment of virtue or vice. We are to suppose the stars to be annoyed with men – and upon matters in which men,

moulded to what they are by the stars themselves, can surely do them no wrong.

They will be distributing what pass for their good gifts, not out of kindness towards the recipients but as they themselves are affected pleasantly or disagreeably at the various points of their course; so that they must be supposed to change their plans as they stand at their zeniths or are declining.

More absurdly still, some of them are supposed to be malicious and others to be helpful, and yet the evil stars will (in certain positions) bestow favours and the benevolent act harshly: further, their action alters as they see each other or not, so that, after all, they possess no definite nature but vary according to their angles of aspect; a star is kindly when it sees one of its fellows but changes at sight of another: and there is even a distinction to be made in the seeing as it occurs in this figure or in that. Lastly, all acting together, the fused influence is different again from that of each single star, just as the blending of distinct fluids gives a mixture unlike any of them.

Since these opinions and others of the same order are prevalent, it will be well to examine them carefully one by one, beginning with the fundamental question:

2. Are these planets to be thought of as soulless or ensouled?

Suppose them, first, to be without Soul.

In that case they can purvey only heat or cold – if cold from the stars can be thought of³ – that is to say, any communication from them will affect only our bodily nature, since all they have to communicate to us is merely corporeal. This implies that no considerable change can be caused in the bodies affected, since emanations merely corporeal cannot differ greatly from star to star, and must, moreover, blend upon earth into one collective resultant: at most the differences would be such as depend upon local position, upon nearness or farness with regard to the centre of influence. This reasoning, of course, is as valid of any cold

emanation there may be as of the warm.

Now, what is there in such corporeal action to account for the various classes and kinds of men, learned and illiterate, scholars as against orators, musicians as against people of other professions? Can a power merely physical make rich or poor? Can it bring about such conditions as in no sense depend upon the interaction of corporeal elements? Could it, for example, bring a man such and such a brother, father, son, or wife, give him a stroke of good fortune at a particular moment, or make him generalissimo or king?

Next, suppose the stars to have life and mind and to be effective by deliberate purpose.

In that case, what have they suffered from us that they should, in free will, do us hurt, they who are established in a divine place, themselves divine? There is nothing in their nature of what makes men base, nor can our weal or woe bring them the slightest good or ill.

3. Possibly, however, they act not by choice but under stress of their several positions and collective figures?

But if position and figure determined their action each several one would necessarily cause identical effects with every other on entering any given place or pattern.

And that raises the question what effect for good or bad can be produced upon any one of them by its transit in the parallel of this or that section of the Zodiac circle – for they are not in the Zodiacal figure itself but considerably beneath it – especially since, whatever point they touch, they are always in the heavens.

It is absurd to think that the particular grouping under which a star passes can modify either its character or its earthward influences. And can we imagine it altered by its own progression as it rises, stands at centre, declines? Exultant when at centre; dejected or enfeebled in declension; some raging as they rise and growing benignant as they set, while declension brings out the best in one among them; surely this cannot be?

We must not forget that invariably every star, considered in itself, is at centre with regard to some one given group and in decline with regard to another and vice versa; and, very certainly, it is not at once happy and sad, angry and kindly. There is no reasonable escape in representing some of them as glad in their setting, others in their rising: they would still be grieving and glad at one and the same time.

Further, why should any distress of theirs work harm to us?

No: we cannot think of them as grieving at all or as being cheerful upon occasions: they must be continuously serene, happy in the good they enjoy and the Vision before them. Each lives its own free life; each finds its Good in its own Act; and this Act is not directed towards us.

Like the birds of augury, the living beings of the heavens, having no lot or part with us, may serve incidentally to foreshow the future, but they have absolutely no main function in our regard.

4. It is again not in reason that a particular star should be gladdened by seeing this or that other while, in a second couple, such an aspect is distressing: what enmities can affect such beings? what causes of enmity can there be among them?

And why should there be any difference as a given star sees certain others from the corner of a triangle or in opposition or at the angle of a square?

Why, again, should it see its fellow from some one given position and yet, in the next Zodiacal figure, not see it, though the two are actually nearer?

And, the cardinal question: by what conceivable process could they effect what is attributed to them? How explain either the action of any single star independently or, still more perplexing, the effect of their combined intentions?

We cannot think of them entering into compromises, each renouncing something of its efficiency, and their final action in our regard amounting to a concerted plan.

No one star would suppress the contribution of another, nor would star yield to star and shape its conduct under suasion.

As for the fancy that while one is glad when it enters another's region, the second is vexed when in its turn it occupies the place of the first, surely this is like starting with the supposition of two friends and then going on to talk of one being attracted to the other who, however, abhors the first.

5. When they tell us that a certain cold star is more benevolent to us in proportion as it is further away, they clearly make its harmful influence depend upon the coldness of its nature; and yet it ought (by this reasoning) to be beneficent to us when it is in the opposed Zodiacal figures.

When the cold planet, we are told, is in opposition to the hot, both become menacing: but the natural effect would be a compromise.

And we are asked to believe that one of them is happy by day and grows kindly under the warmth, while another, of a fiery nature, is most cheerful by night – as if it were not always day to them, light to them, and as if the first one could be darkened by night at that great distance above the earth's shadow.

Then there is the notion that the moon, in conjunction with a certain star, is softened at her full but is malignant in the same conjunction when her light has waned; yet, if anything of this order could be admitted, the very opposite would be the case. For when she is full to us she must be dark on the further hemisphere, that is to that star which stands above her; and when dark to us she is full to that other star, and then her influence must be reversed. To the moon itself, in fact, it can make no difference in what aspect she stands, for she is always lit on the upper or on the under half: to the other star, the warmth from the moon, of which they speak, might make a difference; but that warmth would reach it precisely when the moon is without light to us; at its darkest to us it is full to that other, and therefore (by the theory) beneficent. The darkness of the moon to us is of moment to the earth, but brings no trouble to the

planet above. That planet, it is alleged, can give no help on account of its remoteness and therefore seems less well disposed; but the moon at its full suffices to the lower realm so that the distance of the other is of no importance. When the moon, though dark to us, is in aspect with the Fiery Star she is held to be favourable: the reason alleged is that the force of Mars is all-sufficient since it contains more fire than it needs.

The truth is that while the material emanations from the living beings of the heavenly system are of various degrees of warmth – planet differing from planet in this respect – no cold comes from them: the nature of the space in which they have their being is voucher for that.

The star known as Jupiter includes a due measure of fire (and warmth), in this resembling the Morning-star and therefore seeming to be in alliance with it. In aspect with what is known as the Fiery Star, Jupiter is beneficent by virtue of the mixing of influences: in aspect with Saturn unfriendly by dint of distance. Mercury, it would seem, is (in itself) indifferent whatever stars it be in aspect with; for it adopts any and every character.

But (again, the truth is that) all the stars are serviceable to the Universe, and therefore can stand to each other only as the service of the Universe demands, in a harmony like that observed in the members of any one animal form. They exist essentially for the purpose of the Universe, just as the gall exists for the purposes of the body as a whole not less than for its own immediate function: it is to be the inciter of the animal spirits but without allowing the entire organism and its own especial region to run riot. Some such balance of function was indispensable in the All – bitter with sweet. There must be differentiation – eyes and so forth – but all the members will be in sympathy with the entire animal frame to which they belong. Only so can there be a unity and a total harmony.

And in such a total, analogy will make every part a Sign.

6. But that this same Mars, or Venus, in certain aspects should cause adulteries – as if they could thus, through the agency of human incontinence, satisfy their own mutual desires – is not such a notion the height of unreason? And who could accept the fancy that their happiness comes from their seeing each other in this or that relative position even if they achieve nothing by doing so?

Again: countless myriads of living beings are born and continue to be: to minister continuously to every separate one of these; to make them famous, rich, poor, lascivious; to shape the active tendencies of every single one – what kind of life is this for the stars, how could they possibly handle a task so huge?

They are to watch, we must suppose, the rising of each several constellation and upon that signal to act; such a one, they see, has risen by so many degrees, representing so many of the periods of its upward path; they reckon on their fingers at what moment they must take the action which, executed prematurely, would be out of order: and in the sum, there is no One Being controlling the entire scheme; all is made over to the stars singly, as if there were no Sovereign Unity, standing as source of all the forms of Being in subordinate association with it, and delegating to the separate members, in their appropriate Kinds, the task of accomplishing its purposes and bringing its latent potentiality into act.

This is a separatist theory, tenable only by minds ignorant of the nature of a Universe which has a ruling principle and a first cause operative downwards through every member.

7. But, if the stars announce the future – as we hold of many other things also – what explanation of the cause have we to offer? What explains the purposeful arrangement thus implied? Obviously, unless the particular is included under some general principle of order, there can be no signification.

We may think of the stars as letters perpetually being inscribed on the heavens or inscribed once for all and yet moving as they pursue the other tasks allotted to them: upon these main tasks will follow the quality of signifying, just as the one principle underlying

any living unit enables us to reason from member to member, so that for example we may judge of character and even of perils and safeguards by indications in the eyes or in some other part of the body.⁴ If these parts of us are members of a whole, so are we: in different ways the one law applies.

All teems with symbol;⁵ the wise man is the man who in any one thing can read another, a process familiar to all of us in not a few examples of everyday experience.

But what is the comprehensive principle of co-ordination? Establish this and we have a reasonable basis for the divination, not only by stars but also by birds and other animals, from which we derive guidance in our varied concerns.

All things must be enchained; and the sympathy and correspondence obtaining in any one closely knit organism must exist, first, and most intensely, in the All. There must be one principle constituting this unit of many forms of life and enclosing the several members within the unity, while at the same time, precisely as in each thing of detail the parts too have each a definite function, so in the All (the higher All) each several member must have its own task – but more markedly so since in this case the parts are not merely members but themselves Alls, members of the loftier Kind.

Thus each entity takes its origin from one principle and, therefore, while executing its own function, works in with every other member of that All from which its distinct task has by no means cut it off: each performs its act, each receives something from the others, every one at its own moment bringing its touch of sweet or bitter. And there is nothing undesigned, nothing of chance, in all the process: all is one scheme of differentiation, starting from the Firsts and working itself out in a continuous progression of Kinds.

8. Soul, then, in the same way, is intent upon a task of its own; in everything it does it counts as an independent source of motion; it

may take a direct course or it may divagate, but a Law of Justice⁶ goes with every action in the Universe which, otherwise, would be dissolved and is perdurable because the entire fabric is guided as much by the orderliness as by the power of the controlling force. And in this order the stars, as being no minor members of the heavenly system, are co-operators contributing at once to its stately beauty and to its symbolic quality. Their symbolic power extends to the entire realm of sense, their efficacy only to what they patently do.

For our part nature keeps us upon the work of the Soul as long as we are not wrecked in the multiplicity of the Universe: once thus sunk and held we pay the penalty, which consists both in the fall itself and in the lower rank thus entailed upon us: riches and poverty are caused (not by the stars but) by the combinations of external fact.

And what of virtue and vice?

That question has been amply discussed elsewhere:⁷ in a word, virtue is ours by the ancient staple of the Soul; vice is due to the commerce of a Soul with the outer world.

9. This brings us to the Spindle-destiny, spun according to the ancients by the Fates. To Plato⁸ the Spindle represents the co-operation of the moving and the stable elements of the cosmic circuit: the Fates with Necessity, Mother of the Fates, manipulate it and spin at the birth of every being, so that all comes into existence through Necessity.

In the *Timaeus*⁹ the creating God bestows the essential of the Soul, but it is the divinities moving in the Cosmos (the stars) that infuse the powerful affections holding from Necessity – our impulse and our desire, our sense of pleasure and of pain – and that lower phase of the Soul in which such experiences originate. By this statement our personality is bound up with the stars, whence our Soul (as total of Principle and affections) takes shape; and we are set under necessity at our very entrance into the world: our temperament will be of the stars' ordering, and so, therefore, the actions which derive from temperament, and all the experiences of

a nature shaped to impressions.

What, after all this, remains to stand for the 'We'?¹⁰

The 'We' is the actual resultant of a Being whose nature includes, with certain sensibilities, the power of governing them. Cut off as we are by the nature of the body, God has yet given us, in the midst of all this evil, 'virtue the unconquerable',^{10a} meaningless in a state of tranquil safety but everything where its absence would be peril of fall.

Our task, then, is to work for our liberation from this sphere, severing ourselves from all that has gathered about us;¹¹ the total man is to be something better than a body ensouled – the bodily element dominant with a trace of Soul running through it and a resultant life-course mainly of the body – for in such a combination all is, in fact, bodily. There is another life, emancipated, whose quality is progression towards the higher realm, towards the good and divine, towards that Principle which no one possesses except by deliberate usage but so may appropriate, becoming, each personally, the higher, the beautiful, the Godlike, and living, remote, in and by It – unless one choose to go bereaved of that higher Soul and therefore, to live fate-bound, no longer profiting, merely, by the significance of the sidereal system but becoming as it were a part sunken in it and dragged along with the whole thus adopted.

For every human Being is of twofold character; there is that compromise-total and there is the Authentic Man: and it is so with the Cosmos as a whole; it is in the one phase a conjunction of body with a certain form of the Soul bound up in body; in the other phase it is the Universal Soul, that which is not itself embodied but flashes down its rays into the embodied Soul: and the same twofold quality belongs to the Sun and the other members of the heavenly system.

To the remoter Soul, the pure, sun and stars communicate no baseness. In their efficacy upon the (material) All, they act as parts

of it, as ensouled bodies within it; and they act only upon what is partial; body is the agent while, at the same time, it becomes the vehicle through which is transmitted something of the star's will and of that authentic Soul in it which is steadfastly in contemplation of the Highest.

But (with every allowance to the lower forces) all follows either upon that Highest or rather upon the Beings about It – we may think of the Divine as a fire whose outgoing warmth pervades the Universe – or upon whatsoever is transmitted by the one Soul (the divine first Soul) to the other, its Kin (the Soul of any particular being). All that is graceless is admixture. For the Universe is in truth a thing of blend,¹² and if we separate from it that separable Soul, the residue is little. The All is a God when the divine Soul is counted in with it; the rest, we read,¹³ is 'a mighty spirit' and its ways are subdivine.

10. If all this be true, we must at once admit signification, but efficacy we must not admit without reservation or ascribe it to the stars in their wholeness, except in what concerns the (material) All and in what is of their own residuary function.

We must admit that the Soul before entering into birth presents itself bearing with it something of its own, for it could never touch body without a large capacity for submission; we must admit some element of chance around it from its very entry, since the moment and conditions are determined by the cosmic circuit: and we must admit some effective power in that circuit itself; it is co-operative, and completes of its own act the task that belongs to the All of which everything in the circuit takes the rank and function of a part.

11. And we must remember that what comes from the supernals (stars) does not enter into the recipients as it left the source: fire, for instance, will be duller; the loving instinct will degenerate and issue in ugly forms of the passion; the vital energy in a subject not so balanced as to display the mean of manly courage, will come out as either ferocity or faintheartedness; and ambition filled with

desire and with honour for its goal will set up the pursuit of specious values; intellectual power at its lowest produces the extreme of wickedness, for wickedness is a miscalculating effort towards Intelligence (= towards the highest principle in the man).

Any such quality, modified at best from its supreme form, deteriorates again within ourselves: things of any kind that approach from above, altered by merely leaving their source, change further still by their blending with bodies, with Matter, with each other.

12. All that thus proceeds from the supernal combines into a unity (in the subject concerned) and every existing entity takes something from this blended infusion so that the result is the thing itself plus some quality. The effluence does not make the horse but adds something to it; for horse comes by horse, and man by man:¹⁴ the sun plays its part no doubt in the shaping, but the man has his origin in the Human-Principle. Outer things have their effect, sometimes to hurt and sometimes to help; like a father, they often contribute to good but sometimes also to harm; but they do not wrench the human being from the foundation of its nature; though sometimes Matter is the dominant, and the human principle takes the second place so that there is a failure to achieve perfection; the Ideal has been attenuated.

13. Of phenomena of this sphere some derive from the cosmic circuit and some not: we must take them singly and mark them off, assigning to each its origin.

The gist of the whole matter lies in the consideration that Soul governs this All¹⁵ by the plan contained in the Reason-Principle and plays in the All exactly the part of the particular principle which in every living-thing forms the members of the organism and adjusts them to the unity of which they are portions; the entire force of the Soul is represented in the All but, in the parts, Soul is present only in proportion to the degree of essential reality held by each of such partial objects. Surrounding every separate entity there are other entities, whose approach will sometimes be hostile and sometimes helpful to the purpose of its nature; but to the All

taken in its length and breadth each and every separate existent is an adjusted part, holding its own characteristic and yet contributing by its own native tendency to the entire life-history of the Universe.

The soulless parts of the All are merely instruments; all their action is effected, so to speak, under a compulsion from outside themselves.

The ensouled fall into two classes. The one kind has a motion of its own, but haphazard like that of horses between the shafts but before their driver sets the course; they are set right by the whip.¹⁶ In the Living-Being possessed of Reason, the nature-principle includes the driver; where the driver is intelligent, it takes in the main a straight path to a set end. But both classes are members of the All and co-operate towards the general purpose.

The greater and most valuable among them have an important operation over a wide range: their contribution towards the life of the whole consists in acting, not in being acted upon; others, but feebly equipped for action, are almost wholly passive; there is an intermediate order whose members contain within themselves a principle of productivity and activity and make themselves very effective in many spheres or ways and yet serve also by their passivity.

Thus the All stands as one all-complete Life, whose members, to the measure in which each contains within itself the Highest, effect all that is high and noble: and the entire scheme must be subordinate to its Dirigeant as an army to its general, 'following upon Zeus' – it has been said¹⁷ – as he proceeds towards the Intelligible Kind.

Secondary in the All are those of its parts which possess a less exalted nature just as in us the members rank lower than the Soul; and so all through, there is a general analogy between the things of the All and our own members – none of quite equal rank.

All living things, then – all in the heavens and all elsewhere – fall under the general Reason-Principle of the All – they have been

made parts with a view to the whole: not one of these parts, however exalted, has power to effect any alteration of these Reason-Principles or of things shaped by them and to them; some modification one part may work upon another, whether for better or for worse; but there is no power that can wrest anything outside of its distinct nature.

The part effecting such a modification for the worse may act in several ways.

It may set up some weakness restricted to the material frame. Or it may carry the weakness through to the sympathetic Soul which by the medium of the material frame, become a power to debasement, has been delivered over, though never in its essence, to the inferior order of being. Or, in the case of a material frame ill organized, it may check all such action (of the Soul) upon the material frame as demands a certain collaboration in the part acted upon: thus a lyre may be so ill strung¹⁸ as to be incapable of the melodic exactitude necessary to musical effect.

14. What of poverty and riches, glory and power?

In the case of inherited fortune, the stars merely announce a rich man, exactly as they announce the high social standing of the child born to a distinguished house.

Wealth may be due to personal activity: in this case if the body has contributed, part of the effect is due to whatever has contributed towards the physical powers, first the parents and then, if place has had its influence, sky and earth; if the body has borne no part of the burden, then the success, and all the splendid accompaniments added by the Recompensers,¹⁹ must be attributed to virtue exclusively. If fortune has come by gift from the good, then the source of the wealth is, again, virtue: if by gift from the evil, but to a meritorious recipient, then the credit must be given to the action of the best in them: if the recipient is himself unprincipled, the wealth must be attributed primarily to the very wickedness and to whatsoever is responsible for the wickedness,

while the givers bear an equal share in the wrong.

When the success is due to labour, tillage for example, it must be put down to the tiller, with all his environment as contributory. In the case of treasure trove, something from the All has entered into action; and if this be so, it will be foreshown – since all things make a chain,²⁰ so that we can speak of things universally. Money is lost: if by robbery, the blame lies with the robber and the native principle guiding him: if by shipwreck, the cause is the chain of events. As for good fame, it is either deserved and then is due to the services done and to the merit of those appraising them, or it is undeserved, and then must be attributed to the injustice of those making the award. And the same principle holds as regards power – for this also may be rightly or unrightly placed – it depends either upon the merit of the dispensers of place or upon the man himself who has effected his purpose by the organization of supporters or in many other possible ways. Marriages, similarly, are brought about either by choice or by chance interplay of circumstance. And births are determined by marriages: the child is moulded true to type when all goes well; otherwise it is marred by some inner detriment, something due to the mother personally or to an environment unfavourable to that particular conception.

15. According to Plato²¹ lots and choice play a part (in the determination of human conditions) before the Spindle of Necessity is turned; that once done, only the Spindle-destiny is valid; it fixes the chosen conditions irretrievably since the elected guardian-spirit becomes accessory to their accomplishment.

But what is the significance of the Lots?

By the Lots (implying the unchosen element) we are to understand birth into the conditions actually existent in the All at the particular moment of each entry into body, birth into such and such a physical frame, from such and such parents, in this or that place, and generally all that in our phraseology is the External.

For Particulars and Universals alike it is established that to the first of those known as the Fates, to Clotho the Spinner, must be

due the unity and as it were interweaving of all that exists: Lachesis (the Apportioner) presides over the Lots: to Atropos (the Inflexible) must necessarily belong the conduct of mundane events.

Of men, some enter into life as fragments of the All, bound to that which is external to themselves: they are victims of a sort of fascination, and are hardly, or not at all, themselves: but others mastering all this – straining, so to speak, by the head²² towards the Higher, to what is outside even the Soul – preserve still the nobility and the ancient privilege of the Soul's essential being.

For certainly we cannot think of the Soul as a thing whose nature is just a sum of impressions from outside – as if it, alone, of all that exists, had no native character.

No: much more than all else, the Soul, a Principle in its own right, must have as its native wealth many powers serving to the activities of its Kind. It is an Essential-Existent and with this Existence must go desire and act and the tendency towards some good.

While body and soul stand one combined thing, there is a joint nature, a definite entity having definite functions and employments; but as soon as any soul is detached, its employments are kept apart, its very own: it ceases to take the body's concerns to itself: it has vision now: body and soul stand widely apart.

16. The question arises what phase of the Soul enters into the union for the period of embodiment and what phase remains distinct, what is separable and what necessarily interlinked, and in general what the Living-Being is.

On all this there has been a conflict of teaching: the matter must be examined later on from quite other considerations than occupy us here.²³ For the present let us explain in what sense we have affirmed that 'Soul governs the All by the plan contained in the Reason-Principle'.²⁴

One theory might be that the Soul creates the particular entities in succession – man followed by horse and other animals domestic

or wild: fire and earth, though, first of all – that it watches these creations acting upon each other whether to help or to harm, observes, and no more, the tangled web formed of all these strands, and their unfailing sequences; and that it makes no concern of the result beyond securing the reproduction of the primal living-beings, leaving them for the rest to act upon each other according to their definite natures.

Another view makes the Soul answerable for all that thus comes about, since its first creations have set up the entire enchainment.

No doubt the Reason-Principle (conveyed by the Soul) covers all the action and experience of this realm: nothing happens, even here, by any form of hazard; all follows a necessary order.

Is everything, then, to be attributed to the act of the Reason-Principles?

To their existence, no doubt, but not to their effective action; they exist and they know; or better, the Soul, which contains the engendering Reason-Principle, knows the results of all it has brought to pass. For whensoever similar factors meet and act in relation to each other, similar consequences must inevitably ensue: the Soul adopting or fore-planning the given conditions accomplishes the due outcome and links all into a total.

All, then, is antecedent and resultant, each sequent becoming in turn an antecedent once it has taken its place among things. And perhaps this is a cause of progressive deterioration: men, for instance, are not as they were of old;²⁵ by dint of interval and of the inevitable law, the Reason-Principles (constituting man) have ceded something to the characteristics of the Matter.

But:

The Soul watches the ceaselessly changing universe and follows all the fate of all its works: this is its life, and it knows no respite from this care, but is ever labouring to bring about perfection, planning to lead all to an unending state of excellence – like a farmer, first sowing and planting and then constantly setting to

rights where rainstorms and long frosts and high gales have played havoc.

If such a conception of Soul be rejected as untenable we are obliged to think that the Reason-Principles themselves foreknew or even contained the ruin and all the consequences of flaw.

But then we would be imputing the creation of evil to the Reason-Principles, though (we ought to be saved from this by reflecting that) the arts and their guiding principles do not include blundering, do not cover the inartistic, the destruction of the work of art.

And here it will be objected that in the All there is nothing contrary to nature, nothing evil.

Still, by the side of the better there exists also what is less good.

Well, perhaps even the less good has its contributory value in the All. Perhaps there is no need that everything be good. Contraries may co-operate; and without opposites there could be no ordered Universe: all living-beings of the partial realm include contraries. The better elements are compelled into existence and moulded to their function by the Reason-Principle directly; the less good are potentially present in the Reason-Principles, actually present in the phenomena themselves; the Soul's power had reached its limit, and failed to bring the Reason-Principles into complete actuality since, amid the clash of these antecedent Principles, Matter had already from its own stock produced the less good.

Yet, with all this, Matter is continuously overruled towards the better; so that out of the total of things – modified by Soul on the one hand and by Matter on the other hand, and on neither hand as sound as in the Reason-Principles – there is, in the end, a Unity.

17. But these Reason-Principles, contained in the Soul, are they Thoughts?

And if so, by what process does the Soul create in accordance with these Thoughts?

It is upon Matter that this act of the Reason is exercised; and what acts physically is not an intellectual operation or a vision, but a power modifying matter, not conscious of it but merely acting upon it: the Reason-Principle, in other words, acts much like a force producing a figure or pattern upon water – that of a circle, suppose, where the formation of the ring is conditioned by something distinct from that force itself.

If this is so, the prior puissance of the Soul (that which conveys the Reason-Principles) must act (not directly but) by manipulating the other Soul, that which is united with Matter and has the generative function.

But is this handling the result of calculation?

Calculation implies reference. Reference, then, to something outside or to something contained within itself? If to its own content, there is no need of reasoning, which could not itself perform the act of creation; creation is the operation of that phase of the Soul which contains Ideal-Principles; for that is its stronger puissance, its creative part.

It creates, then, on the model of the Ideas; for, what it has received from the Intellectual-Principle it must pass on in turn.

In sum, then, the Intellectual-Principle gives from itself to the Soul of the All which follows immediately upon it: this again gives forth from itself to its next, illuminated and imprinted by it; and that secondary Soul at once begins to create, as under order, unhindered in some of its creations, striving in others against the repugnance of Matter.

It has a creative power, derived; it is stored with Reason-Principles not the very originals: therefore it creates, but not in full accordance with the Principles from which it has been endowed: something enters from itself; and, plainly, this is inferior. The issue then is something living, yes; but imperfect, discontented with its own life, something very poor and reluctant and crude, formed in a Matter that is the fallen sediment of the Higher Order, bitter and

embittering. This is the Soul's contribution to the All.

18. Are the evils in the Universe necessary because it is of later origin than the Higher Sphere?

Perhaps rather because without evil the All would be incomplete. For most or even all forms of evil serve the Universe – much as the poisonous snake has its use – though in most cases their function is unknown. Vice itself has many useful sides: it brings about much that is beautiful, in artistic creations for example,²⁶ and it stirs us to thoughtful living, not allowing us to drowse in security.

If all this is so, then (the secret of creation is that) the Soul of the All abides in contemplation of the Highest and Best, ceaselessly striving towards the Intelligible Kind and towards God: but, thus absorbing and filled full, it overflows – so to speak – and the image it gives forth, its last utterance towards the lower, will be the creative puissance.

This, then, is the ultimate Maker, secondary to that aspect of the Soul which is primarily saturated from the Divine Intelligence. But the Creator above all is the Intellectual-Principle, as giver, to the Soul that follows it, of those gifts whose traces exist in the Third Kind.

Rightly, therefore, is this Cosmos described as an image continuously being imaged, the First and the Second Principles immobile, the Third, too, immobile essentially, but, accidentally and in Matter, having motion.

For as long as divine Mind and Soul exist, the divine Thought-Forms will pour forth into that phase of the Soul: as long as there is a sun, all that streams from it will be some form of Light.

FOURTH TRACTATE



MATTER [12]

SUMMARY

An early treatise, actually entitled by Porphyry in the Life, more accurately, 'On the Two Kinds of Matter', since the first five chapters actually present the interesting doctrine of intelligible Matter, only the latter part of the treatise being concerned with Matter in the sense-world. Notable here is Plotinus' use of Aristotle as a source for his criticism of earlier philosophers (ch. 6–7), before turning his criticism on Aristotle himself. Plotinus finally (ch. 14–16) presents arguments for identifying Matter with privation and negativity.

1. By common agreement of all that have arrived at the conception of such a Kind, what is known as Matter is understood to be a certain base, a recipient of Form-Ideas. Thus far all go the same way. But departure begins with the attempt to establish what this basic Kind is in itself, and how it is a recipient and of what.

To a certain school,²⁷ body-forms exclusively are the Real Beings; existence is limited to bodies; there is one only Matter, the stuff underlying the primal-constituents of the Universe: existence is nothing but this Matter: everything is some modification of this; the elements of the Universe are simply this Matter in a certain condition.

The school has even the audacity to foist Matter upon the divine beings so that, finally, God himself becomes a mode of Matter – and this though they make it corporeal, describing it as a body void of quality, but a magnitude.

Another school²⁸ makes it incorporeal: among these, not all hold the theory of one only Matter; some of them while they maintain the one Matter, in which the first school believes, the foundation of bodily forms, admit another, a prior, existing in the divine-sphere, the base of the Ideas there and of the unembodied Beings.

2. We are obliged, therefore, at the start, both to establish the existence of this other Kind and to examine its nature and the mode of its Being.

Now (it will be reasoned) if Matter must characteristically be undetermined, void of shape, while in that sphere of the Highest there can be nothing that lacks determination, nothing shapeless, there can be no Matter there. Further, if all that order is simplex, there can be no need of Matter, whose function is to join with some other element to form a compound: it will be found of necessity in things of derived existence and shifting nature – the signs which lead us to the notion of Matter – but it is unnecessary to the primal.

And again, where (it will be asked) could it have come from? whence did it take its being? If it is derived, it has a source: if it is eternal, then the Primal-Principles are more numerous than we thought, the Firsts are a meeting-ground. Lastly, if that Matter has been entered by Idea, the union constitutes a body; and, so, there is Body in the Supreme.

3. Now it may be observed, first of all, that we cannot hold utterly cheap either the indeterminate, or even a Kind whose very idea implies absence of form, provided only that it offer itself to its Priors, to the Highest Beings. We have the example of the Soul itself in its relation to the Intellectual-Principle and the Divine Reason, taking shape by these and led so to a nobler principle of form.

Further, a compound in the Intellectual order is not to be confounded with a compound in the physical realm; the Divine Reasons are compounds and their Act is to produce a compound, namely that (lower) Nature which works towards Idea. And there is not only a difference of function; there is a still more notable difference of source. Then, too, the Matter of the realm of process

ceaselessly changes its form: in the eternal, Matter is immutably one and the same, so that the two are diametrically opposites. The Matter of this realm is all things in turn, a new entity in every separate case, so that nothing is permanent and one thing ceaselessly pushes another out of being: Matter has no identity here. In the Intellectual it is all things at once: and therefore has nothing to change into: it already and ever contains all. This means that not even in its own Sphere is the Matter there at any moment shapeless: no doubt that is true of the Matter here as well; but shape is held by a very different right in the two orders of Matter.

As to whether Matter is eternal or a thing of process, this will be clear when we are sure of its precise nature.

4. The existence of the Ideal-Forms has been demonstrated elsewhere:²⁹ we take up our argument from that point.

If, then, there is more than one of such forming Ideas, there must of necessity be some character common to all and equally some peculiar character in each keeping them distinct.

This peculiar characteristic, this distinguishing difference, is the individual shape. But if shape, then there is the shaped, that in which the difference is lodged.

There is, therefore, a Matter accepting the shape, a permanent substratum.

Further, admitting that there is an Intelligible Realm beyond, of which this world is an image, then, since this world-compound is based on Matter, there must be Matter there also.

And how can you predicate an ordered system without thinking of form, and how think of form apart from the notion of something in which the form is lodged?

No doubt that Realm is, in the strict fact, utterly without parts, but in some sense there is part there too. And in so far as these parts are really separate from each other, any such division and difference can be no other than a condition of Matter, of a something divided and differentiated: in so far as that realm,

though without parts, yet consists of a variety of entities, these diverse entities, residing in a unity of which they are variations, reside in a Matter; for this unity, since it is also a diversity, must be conceived of as varied and multiform; it must have been shapeless before it took the form in which variation occurs. For if we abstract from the Intellectual-Principle the variety and the particular shapes, the Reason-Principles and the Thoughts, what precedes these was something shapeless and undetermined, nothing of what is actually present there.

5. It may be objected that the Intellectual-Principle possesses its content in an eternal conjunction so that the two make a perfect unity, and that thus there is no Matter there.

But that argument would equally cancel the Matter present in the bodily forms of this realm: body without shape has never existed, always body achieved and yet always the two constituents. We discover these two – Matter and Idea – by sheer force of our reasoning which distinguishes continually in pursuit of the simplex, the irreducible, working on, until it can go no further, towards the ultimate in the subject of inquiry. And the ultimate of every partial-thing is its Matter, which, therefore, must be all darkness since the light is the Reason-Principle. The Mind, too, is also a Reason-Principle, sees only in each particular object the Reason-Principle lodging there; anything lying below that it declares to lie below the light, to be therefore a thing of darkness, just as the eye, a thing of light,³⁰ seeks light and colours which are modes of light, and dismisses all that is below the colours and hidden by them, as belonging to the order of the darkness, which is the order of Matter.

The dark element in the Intelligible, however, differs from that in the sense-world: so therefore does the Matter – as much as the forming-Idea presiding in each of the two realms. The Divine Matter, though (like the Matter here) it is the object of determination, has, of its own nature, a life defined and intellectual; the Matter of this sphere while it does accept determination is not living or intellective, but a dead thing

decorated: any shape it takes is an image, exactly as the Base is an image. There on the contrary the shape is a real-existent as is the Base. Those that ascribe Real Being to Matter must be admitted to be right as long as they keep to the Matter of the Intelligible Realm: for the Base there is Being, or even, taken as an entirety with the higher that accompanies it, is illuminated Being.

But does this Base, of the Intellectual Realm, possess eternal existence?

The solution of that question is the same as for the Ideas.

Both are engendered, in the sense that they have had a beginning, but unengendered in that this beginning is not in Time: they have a derived being but by an eternal derivation: they are not, like the Cosmos, always in process but, in the character of the Supernal, have their Being permanently. For that differentiation³¹ within the Intelligible which produces Matter has always existed and it is this cleavage which produces the Matter there: it is the first movement; and movement and differentiation are convertible terms since the two things arose as one: this motion, this cleavage, away from The First is indetermination (= Matter), needing The First to its determination which it achieves by its Return, remaining, until then, an Alienism, still lacking good; unlit by the Supernal. It is from The First that all light comes, and, until this be absorbed, no light in any recipient of light can be authentic; the recipient is itself without light since light is from elsewhere.

About Matter in the Intelligible Realm we have exposed more than our purpose demanded.

6. We are led thus to the question of receptivity in things of body.³²

A proof that bodies must have some substratum different from themselves is found in the changing of the basic-constituents into one another. Notice that the destruction of the elements passing over is not complete – if it were we would have a Principle of Being wrecked in Non-being – nor does an engendered thing pass from utter non-being into Being: what happens is that a new form takes

the place of an old. There is, then, a stable element, that which puts off one form to receive the form of the incoming entity.

The same fact is clearly established by decay, a process implying a compound object; where there is decay there is a distinction between Matter and Form.

And the reasoning which shows the destructible to be a compound is borne out by practical examples of reduction: a drinking vessel is reduced to its gold, the gold to liquid; analogy forces us to believe that the liquid too is reducible.

The basic-constituents of things must be either Form or Primal Matter or a compound of the Form and Matter.

Form-Idea, pure and simple, they cannot be: for without Matter how could things stand in their mass and magnitude?

Neither can they be that Primal Matter, for they are not indestructible.

They must, therefore, consist of Matter and Form-Idea – Form for quality and shape, Matter for the base, indeterminate as being other than Idea.

7. Empedocles in identifying his 'elements' with Matter is refuted by their decay.

Anaxagoras, in identifying his 'primal-combination' with Matter – to which he allots no mere aptness to any and every nature or quality but the effective possession of all – withdraws in this way the very Intellectual-Principle he had introduced; for this Mind is not to him the bestower of shape, of Forming Idea; and it is coeval with Matter, not its prior. But this simultaneous existence is impossible: for if the combination derives Being by participation, Being is the prior; if both (the combination and Being) are Authentic Existents, then an additional Principle, a third, is imperative (a ground of unification). And if this Creator, Mind, must pre-exist, why need Matter contain the Forming-Ideas parcelwise for the Mind, with unending labour, to assort and allot? Surely the undetermined could be brought to quality and pattern in

the one comprehensive act?

As for the notion (of Anaxagoras) that all is in all, this clearly is impossible.

Those who (with Anaximander) make the base to be 'the infinite' must define the term.

If this 'infinite' means 'of endless extension' there is no infinite among beings; there is neither an infinity-in-itself (Infinity Abstract) nor an infinity as an attribute to some body; for in the first case every part of that infinity would be infinite and in the second an object in which the infinity was present as an attribute could not be infinite apart from that attribute, could not be simplex, could not therefore be Matter.

Atoms again (Democritus) cannot meet the need of a base.

There are no atoms; all body is divisible endlessly: besides, neither the continuity nor the ductility of corporeal things is explicable apart from Mind, or apart from the Soul which cannot be made up of atoms; and, again, out of atoms creation could produce nothing but atoms: a creative power could produce nothing from a material devoid of continuity. Any number of reasons might be brought, and have been brought, against this hypothesis and it need detain us no longer.

8. What, then, is this Kind, this Matter, described as one stuff, continuous and without quality?

Clearly since it is without quality it is incorporeal; bodiliness would be quality.

It must be the basic stuff of all the entities of the sense-world and not merely base to some while being to others achieved form.

Clay, for example, is matter to the potter but is not Matter pure and simple. Nothing of this sort is our object: we are seeking the stuff which underlies all alike. We must therefore refuse to it all that we find in things of sense – not merely such attributes as colour, heat, or cold, but weight or weightlessness, thickness or thinness, shape and therefore magnitude; for magnitude and shape

cannot be identified with subjects possessing these properties.

It cannot be a compound, it must be a simplex, one distinct thing in its nature; only so can it be void of all quality. The Principle which gives it form gives this as something alien: so with magnitude and all really-existent things bestowed upon it. If, for example, it possessed a magnitude of its own, the Principle giving it form would be at the mercy of that magnitude and must produce not at will, but only within the limit of the Matter's capacity: to imagine that Will keeping step with its material is fantastic.

The Matter must be of later origin than the forming-power, and therefore must be at its disposition throughout, ready to become anything, ready therefore to any bulk; besides, if it possessed magnitude, it would necessarily possess shape also: it would be doubly inductile.

No: all that ever appears upon it is brought in by the Idea; the Idea alone possesses: to it belongs the magnitude and all else that goes with the Reason-Principle or follows upon it. Quantity is given with the Ideal-Form in all the particular species – man, bird, and particular kind of bird.

The imaging of Quantity upon Matter by an outside power is not more surprising than the imaging of Quality; Quality is no doubt a Reason-Principle, but Quantity also – being measure, number – is equally so.

9. But how can we conceive a thing having existence without having magnitude?

We have only to think of things whose identity does not depend on their quantity – for certainly magnitude can be distinguished from existence as it can from many other forms and attributes.

In a word, every unembodied Kind must be classed as without quantity, and Matter is unembodied.

Besides quantitateness itself (the Absolute-Principle) does not possess quantity, which belongs only to things participating in it, a consideration which shows that Quantitateness is an Idea-

Principle. A white object becomes white by the presence of whiteness; what makes an organism white or of any other variety of colour is not itself a specific colour but, so to speak, a specific Reason-Principle: in the same way what gives an organism a certain bulk is not itself a thing of magnitude but is Magnitude itself, the abstract Absolute, or the Reason-Principle.

This Magnitude-Absolute, then, enters and beats the Matter out into Magnitude?

Not at all: the Matter was not previously shrunken small: there was no littleness or bigness: the Idea gives Magnitude exactly as it gives every other quality not previously present.

10. 'But how can I form the conception of the sizelessness of Matter?'

How do you form the concept of any absence of quality? What is the Act of the Intellect, what is the mental approach, in such a case?

The secret is Indetermination.

Likeness knows its like: the indeterminate knows the indeterminate. Around this indefinite a definite conception will be realized, but the way lies through in-definiteness.

All knowledge comes by Reason and the Intellectual Act; in this case Reason conveys information in any account it gives, but the act which aims at being intellectual is, here, not intellection but rather its failure: therefore (in this crippled approach) the representation of Matter must be spurious, unreal, something sprung of the Alien (the unreal) and of the conception bound up with this Alien.

This is Plato's meaning where he says that Matter is apprehended by a sort of spurious reasoning.³³

What, then, is this indetermination in the Soul? Does it amount to an utter absence of Knowledge, as if the Soul or Mind had withdrawn?

No: the indeterminate has some footing in the sphere of affirmation. The eye is aware of darkness as a base capable of receiving any colour not yet seen against it: so the Mind, putting aside all attributes perceptible to sense – all that corresponds to light – comes upon a residuum which it cannot bring under determination: it is thus in the state of the eye which, when directed towards darkness, has become in some way identical with the object of its spurious vision.

There is vision, then, in this approach of the Mind towards Matter?

Some vision, yes; of shapelessness, of colourlessness, of the unlit, and therefore of the sizeless. More than this would mean that the Soul is already bestowing Form.

But is not such a void precisely what the Soul experiences when it has no intellection whatever?

No: in that case it affirms nothing, or rather has no experience: but in knowing Matter, it has an experience, what may be described as the impact of the shapeless; for in its very consciousness of objects that have taken shape and size it knows them as compounds (i.e. as possessing with these forms a formless base), for they appear as things that have accepted colour and other quality.

It knows, therefore, a whole which includes two components; it has a clear knowledge or perception of the overlie (the Ideas) but only a dim awareness of the underlie, the shapeless which is not an Ideal-Principle.

With what is perceptible to it there is presented something else: what it can directly apprehend it sets on one side as its own; but the something else which Reason rejects, this, the dim, it knows dimly, this, the dark, it knows darkly, this it knows in a sort of non-knowing.

And just as even Matter itself is not stably shapeless but, in things, is always shaped, the Soul also is eager to throw over it the thing-form; for the Soul recoils from the indefinite, dreads, almost,

to be outside of reality, does not endure to linger about Non-Being.

11. 'But, given Magnitude and the properties we know, what else can be necessary to the existence of body?'

Some base to be the container of all the rest.

'A certain mass then; and if mass, then Magnitude? Obviously if your Base has no Magnitude it offers no footing to any entrant. And suppose it sizeless; then, what end does it serve? It never helped Idea or quality; now it ceases to account for differentiation or for magnitude, though the last, wheresoever it resides, seems to find its way into embodied entities by way of Matter.

'Or, taking a larger view, observe that actions, productive operations, periods of time, movements, none of these have any such substratum and yet are real things; in the same way the most elementary body has no need of Matter; things may be, all, what they are, each after its own kind, in their great variety, deriving the coherence of their being from the blending of the various Ideal-Forms. This Matter with its sizelessness seems, then, to be a name without a content.'

Now, to begin with: extension is not an imperative condition of being a recipient; it is necessary only where it happens to be a property inherent to the recipient's peculiar mode of being. The Soul, for example, contains all things, but holds them all in an unextended unity; if magnitude were one of its attributes it would contain things in extension. Matter does actually contain in spatial extension what it takes in; but this is because itself is a potential recipient of spatial extension: animals and plants, in the same way, as they increase in size, take quality in parallel development with quantity, and they lose in the one as the other lessens.

No doubt in the case of things as we know them there is a certain mass lying ready beforehand to the shaping power: but that is no reason for expecting bulk in Matter strictly so called; for in such cases Matter is not the absolute; it is that of some definite object; the Absolute Matter must take its magnitude, as every other

property, from outside itself.

A thing then need not have magnitude in order to receive form: it may receive mass with everything else that comes to it at the moment of becoming what it is to be: a phantasm of mass is enough, a primary aptness for extension, a magnitude of no content – whence the identification that has been made of Matter with The Void.³⁴

But I prefer to use the word phantasm as hinting the indefiniteness into which the Soul spills itself when it seeks to communicate with Matter, finding no possibility of delimiting it, neither encompassing it nor able to penetrate to any fixed point of it, either of which achievements would be an act of delimitation.

In other words we have something which is to be described not as small or great but as the great-and-small:³⁵ for it is at once a mass and a thing without magnitude, in the sense that it is the Matter on which Mass is based and that, as it changes from great to small and small to great, it traverses magnitude. Its very undeterminateness is a mass in the same sense – that of being a recipient of Magnitude: but it is pictured in the way we have described it.

In the order of things without Mass, all that is Ideal-Principle possesses delimitation, each entity for itself, so that the concept of Mass has no place in them: Matter, not delimited, having in its own nature no stability, swept into any or every form by turns, ready to go here, there, and everywhere, becomes a thing of multiplicity: driven into all shapes, becoming all things, it has that much of the character of mass.

12. It is the corporeal, then, that demands magnitude: the Ideal-Forms of body are Ideas installed in Mass.

But these Ideas enter, not into Magnitude itself but into some subject that has been brought to Magnitude. For to suppose them entering into Magnitude – and not into Matter – is to represent them as being without Magnitude and without Real-Existence,

nothing but Reason-Principles whose sphere could only be Soul; at this, there would be no such thing as body.

The multiplicity here must be based upon some unity which, since it has been brought to Magnitude, must be, itself, distinct from Magnitude. Matter is the base of Identity to all that is composite: once each of the constituents comes bringing its own Matter with it, there is no need of any other base. No doubt there must be a container, as it were a place, to receive what is to enter, but Matter and even body precede place and space; the primal necessity, in order to the existence of body, is Matter.

There is no force in the suggestion that since production and act are immaterial, corporeal entities also must be immaterial.

Bodies are compound, actions not. Further, Matter does in some sense underlie action; it supplies the substratum to the doer: it is permanently within him though it does not enter as a constituent into the act where, indeed, it would be a hindrance. Doubtless, one act does not change into another – as would be the case if there were a specific Matter of actions – but the doer directs himself from one act to another so that he is the Matter, himself, to his varying actions.

Matter, in sum, is necessary to quality and to quantity, and, therefore, to body.

It is, thus, no name void of content; we know there is such a base, invisible and without bulk though it be.

If we reject it, we must by the same reasoning reject qualities and mass: for quality, or mass, or any such entity, taken by itself apart, might be said not to exist. But these do exist, though in an obscure existence: there is much less ground for rejecting Matter, however it lurks, discerned by none of the senses.

It eludes the eye, for it is utterly outside of colour: it is not heard, for it is no sound: it is no flavour or savour for nostrils or palate: can it, perhaps, be known to touch? No: for neither is it a corporeal; and touch deals with body, which is known by being

solid, fragile, soft, hard, moist, dry – all properties utterly lacking in Matter.

It is grasped only by a mental process, though that not an act of the intellective mind but a reasoning that finds no subject; and so it stands revealed as the spurious thing it has been called.³⁶ No bodiliness belongs to it; bodiliness is itself a phase of Reason-Principle and so is something different from Matter, as Matter, therefore, from it: bodiliness already operative and so to speak made concrete would be body manifest and not Matter unelaborated.

13. Are we asked to accept as the substratum some attribute or quality present to all the elements in common?

Then, first, we must be told what precise attribute this is and, next, how an attribute can be a substratum. How conceive an attribute where there is neither base nor bulk?

Again, if the quality possesses determination, it is not Matter the undetermined; and anything without determination is not a quality but is the substratum – the very Matter we are seeking.

It may be suggested that perhaps this absence of quality means simply that, of its own nature, it has no participation in any of the set and familiar properties, but takes quality by this very non-participation, holding thus an absolutely individual character, marked off from everything else, being as it were the negation of those others. Deprivation, we will be told, comports quality: a blind man has the quality of his lack of sight. If then – it will be urged – Matter exhibits such a negation, surely it has a quality, all the more so, assuming any deprivation to be a quality, in that here the deprivation is all-comprehensive.

But this notion reduces all existence to qualified things or qualities: Quantity itself becomes a Quality and so does even Existence. Now this cannot be: if such things as Quantity and Existence are qualified, they are, by that very fact, not qualities: Quality is an addition to them; we must not commit the absurdity

of giving the name Quality to something distinguishable from Quality, something therefore that is not Quality.

Is it suggested that its mere Alienism is a quality in Matter?

If this Alienism is difference-absolute (the abstract entity) it possesses no Quality: absolute Quality cannot be itself a qualified thing.

If the Alienism is to be understood as meaning only that Matter is differentiated, then it is different not by itself (since it is certainly not an absolute) but by this Difference, just as all identical objects are so (not by themselves but) by virtue of Identicalness (the Absolute principle of Identity).

An absence is neither a Quality nor a qualified entity; it is the negation of a Quality or of something else, as noiselessness is the negation of noise and so on. A lack is negative; Quality demands something positive. The distinctive character of Matter is unshape, the lack of qualification and of form; surely then it is absurd to pretend that it has Quality in not being qualified; that is like saying that sizelessness constitutes a certain size.

The distinctive character of Matter, then, is simply its manner of being – not something definite inserted in it but, rather a relation towards other things, the relation of being distinct from them.

Other things possess something besides this relation of Alienism: their form makes each an entity. Matter may with propriety be described as merely alien; perhaps, even, we might describe it as ‘The Aliens’,³⁷ for the singular suggests a certain definiteness while the plural would indicate the absence of any determination.

14. But is Matter this Privation itself, or something in which this Privation is lodged?

Anyone maintaining³⁸ that Matter and Privation are one and the same in substratum but stand separable in definition cannot be excused from assigning to each the precise principle which distinguishes it in reason from the other: that which defines Matter must be kept quite apart from that defining the Privation and vice

versa.

There are three possibilities: Matter is not in Privation and Privation is not in Matter; or each is in each; or one is in the other but not conversely (e.g. heat in fire).

Now if they should stand quite apart, neither calling for the other, they are two distinct things: Matter is something other than Privation even though Privation always goes with it: into the principle of one the other cannot enter even potentially.

If their relation to each other is that of a snubnose to snubness,³⁹ here also there is a double concept; we have two things.

If they stand to each other as fire to heat – heat in fire, but fire not included in the concept of heat – if Matter is Privation in the way in which fire is heat, then the Privation is a form under which Matter appears but there remains a base distinct from the Privation and this base must be the Matter. Here, too, they are not one thing.

Perhaps the identity in substance with differentiation in reason will be defended on the ground that Privation does not point to something present but precisely to an absence, to something absent, to the negation or lack of Real-being: the case would be like that of the affirmation of non-existence, where there is no real predication but simply a denial.

Is, then, this Privation simply a non-existence?

If a non-existence in the sense that it is not a thing of Real-being but belongs to some other Kind of existent, we have still two Principles, one referring directly to the substratum, the other merely exhibiting the relation of the Privation to other things (as their potentiality).

Or we might say that the one concept defines the relation of substratum to what is not substratum (but realized entity) while that of Privation, in bringing out the indeterminateness of Matter, applies to the Matter in itself (and not in its relationships): but this still makes Privation and Matter two in definition though one in substratum.

If, however, Privation is identical with Matter in being indeterminate, unfixed, and, without quality, how can there be two definitions?

15. The further question, therefore, is raised whether boundlessness and indetermination⁴⁰ are things lodging in something other than themselves as a sort of attribute and whether Privation (or Negation of quality) is also an attribute residing in some separate substratum.

Now all that is Number and Reason-Principle is outside of boundlessness (is fully delimited): these (Number and Reason) bestow bound and settlement and order in general upon all else: neither anything that has been brought under order nor any Order-Absolute (apart from themselves) is needed to bring them under order. The thing that has to be brought under order (e.g. Matter) is other than the Ordering Principle which is Limit and Definiteness and Reason-Principle. Therefore, necessarily, the thing to be brought under order and to definiteness must be in itself a thing lacking delimitation.

Now Matter is a thing that is brought under order – like all that shares its nature by participation or by possessing the same principle – therefore, necessarily, Matter is The Undelimited (the Absolute, the ‘thing’ Indefiniteness) and not merely the recipient of a non-essential quality of Indefiniteness entering as an attribute.

For, first, any attribute to any subject must be a Reason-Principle; and Indefiniteness is not a Reason-Principle.

Secondly, what must a thing be to take Indefiniteness as an attribute? Obviously it must, beforehand, be either Definiteness (the Principle) or a defined thing. But Matter is neither.

Then again Indefiniteness entering as an attribute into the definite must cease to be indefinite: but (since Matter remains true to its Kind, i.e. is indefinite as long as it is Matter) Indefiniteness has not entered as an attribute into Matter: that is, Matter is essentially Indefiniteness.

The Matter even of the Intellectual Realm is the Indefinite (the

undelimited); it must be a thing generated by the undefined nature, the illimitable nature, of the Eternal Being, The One – an illimitableness, however, not possessing native existence There (not inherent) but engendered by The One.

But how can Matter be common to both spheres, be here and be There?

Because even Indefiniteness has two phases.

But what difference can there be between phase and phase of Indefiniteness?

The difference of archetype and image.

So that Matter here (as only an image of Indefiniteness) would be less indefinite?

On the contrary, more indefinite as an Image-thing remote from true being. Indefiniteness is the greater in the less ordered object; the less deep in good, the deeper in evil. The Indeterminate in the Intellectual Realm, where there is truer being, might almost be called merely an Image of Indefiniteness: in this lower Sphere where there is less Being, where there is a refusal of the Authentic, and an adoption of the Image-Kind, Indefiniteness is more authentically indefinite.

But this argument seems to make no difference between the indefinite object and Indefiniteness-essential.⁴¹ Is there none?

In any object in which Reason and Matter co-exist we distinguish between In-determinateness and the indeterminate subject: but where Matter stands alone we make them identical, or, better, we would say right out that in that case essential Indeterminateness is not present; for it is a Reason-Principle and could not lodge in the indeterminate object without at once annulling the indeterminateness.

Matter, then, must be described as Indefinite of itself, by its natural opposition to Reason-Principle. Reason is Reason and nothing else; just so Matter, opposed by its indeterminateness to Reason, is Indeterminateness and nothing else.

16. Then Matter is simply Alienism (the Principle of Difference)?⁴²

No: it is merely that part of Alienism which stands in contradiction with the Authentic Existents which are Reason-Principles. So understood, this non-existent has a certain measure of existence; for it is identical with Privation, which also is a thing standing in opposition to the things that exist in Reason.

But must not Privation cease to have existence, when what has been lacking is present at last?

By no means: the recipient of a state or character is not a state but the (negation or) Privation of the state; and that into which determination enters is neither a determined object nor determination itself, but simply the wholly or partly undetermined.

Still, must not the nature of this Undetermined be annulled by the entry of Determination, especially where (as in Matter) this is no mere attribute (but the very nature of the recipient)?

No doubt to introduce quantitative determination into an undetermined object would annul the original state; but in the particular case, the introduction of determination only confirms the original state, bringing it into actuality, into full effect, as sowing brings out the natural quality of land or as a female organism impregnated by the male is not defeminized but becomes more decidedly of its sex; the thing becomes more emphatically itself.

But on this reasoning must not Matter owe its evil to having in some degree participated in good?

No: its evil is in its first lack: it was not a possessor (as the land or the female organism are, of some specific character).

To lack one thing and to possess another, in something like equal proportions, is to hold a middle state of good and evil: but whatsoever (like this substratum) possesses nothing and so is in destitution – and especially what is essentially destitution – must be evil in its own kind.

For in Matter we have no mere absence of means or of strength; it is utter destitution⁴³ – of sense, of virtue, of beauty, of pattern, of

Ideal principle, of quality. This is surely ugliness, utter disgracefulness, unredeemed evil.

The Matter in the Intellectual Realm is an Existent, for there is nothing previous to it except the Beyond-Existence; but what precedes the Matter of this sphere is Existence; by its alienism in regard to the beauty and good of Existence, Matter is therefore a non-existent.

NINTH TRACTATE



AGAINST THE GNOSTICS; OR AGAINST THOSE THAT AFFIRM THE CREATOR OF THE COSMOS AND THE COSMOS ITSELF TO BE EVIL [33]

SUMMARY

This is actually the final part of a long treatise, of which the other parts have been placed by Porphyry as III.8, and V.8 and V.5, the overall purpose of which is a summation of Plotinus' philosophical position, in answer to the challenge of Gnostic dualism, which plainly had some influence among members of his School (cf. Life, ch. 16), though he only turns to attack the Gnostics explicitly in this concluding part of the treatise. What he particularly dislikes about them is their irreverence for the Greek philosophical tradition, their undisciplined multiplication of spiritual entities and use of jargon, and their claim to be a uniquely privileged order of beings. Their hatred of the material universe actually leads him to take a particularly positive attitude to it here (ch. 16–18).

1. We have seen elsewhere⁴⁴ that the Good, the Principle, is simplex, and, correspondingly, primal – for the secondary can never be simplex: that it contains nothing: that it is an integral Unity.

Now the same nature belongs to the Principle we know as The One. Just as the goodness of The Good is essential and not the outgrowth of some prior substance so the Unity of The One is its essential.

Therefore:

When we speak of The One and when we speak of The Good we must recognize an identical nature; we must affirm that they are

the same – not, it is true, as venturing any predication with regard to that (unknowable) Hypostasis but simply as indicating it to ourselves in the best terms we find.

Even in calling it The First we mean no more than to express that it is the most absolutely simplex: it is the Self-Sufficing only in the sense that it is not of that compound nature which would make it dependent upon any constituent; it is ‘the Self-Contained’ because everything contained in something alien must also exist by that alien.

Deriving then from nothing alien, entering into nothing alien, in no way a made-up thing, there can be nothing above it.

We need not, then, go seeking any other Principles; this – the One and the Good – is our First, next to it follows the Intellectual Principle, the Primal Thinker, and upon this follows Soul. Such is the order in nature. The Intellectual Realm allows no more than these and no fewer.

Those who hold to fewer Principles must hold the identity of either Intellectual-Principle and Soul or of Intellectual-Principle and The First; but we have abundantly shown that these are distinct.

It remains for us to consider whether there are more than these Three.

Now what other (Divine) Kinds could there be? No Principles of the universe could be found at once simpler and more transcendent than this whose existence we have affirmed and described.

They will scarcely urge upon us the doubling of the Principle in Act by a Principle in Potentiality. It is absurd to seek such a plurality by distinguishing between potentiality and actuality in the case of immaterial beings whose existence is in Act – even in lower forms no such division can be made – and we cannot conceive a duality in the Intellectual-Principle, one phase in some vague calm, another all astir.⁴⁵ Under what form can we think of repose in the Intellectual Principle as contrasted with its movement or utterance? What would the quiescence of the one phase be as against the

energy of the other?

No: the Intellectual-Principle is continuously itself, unchangeably constituted in stable Act. With movement – towards it or within it – we are in the realm of the Soul's operation: such act is a Reason-Principle emanating from it and entering into soul, thus made an Intellectual Soul, but in no sense creating an intermediate Principle to stand between the two.

Nor are we warranted in affirming a plurality of Intellectual Principles on the ground that there is one that knows and thinks and another knowing that it knows and thinks.⁴⁶ For whatever distinction be possible in the Divine between its Intellectual Act and its Consciousness of that Act, still all must be one projection not unaware of its own operation: it would be absurd to imagine any such unconsciousness in the Authentic Intelligence; the knowing principle must be one and the selfsame with that which knows of the knowing.

The contrary supposition would give us two beings, one that merely knows, and another – a separate being – that knows of the act of knowing.

If we are answered that the distinction is merely a process of our thought, then, at once, the theory of a further hypostasis is abandoned: further, the question is opened whether our thought can entertain a knowing principle so narrowed to its knowing as not to know that it knows – a limitation which would be charged as imbecility even in ourselves, who if but of very ordinary moral force are always master of our emotions and mental processes.

No: the Divine Mind in its mentation thinks itself; the object of the thought is nothing external: Thinker and Thought are one; therefore in its thinking and knowing it possesses itself, observes itself and sees itself not as something unconscious but as knowing: in this Primal Knowing it must include, as one and the same Act, the knowledge of the knowing; and even the logical distinction mentioned above cannot be made the case of the Divine; the very

eternity of its self-thinking precludes any such separation between that intellectual act and the consciousness of the act.

The absurdity becomes still more blatant if we introduce yet a further distinction – after that which affirms the knowledge of the knowing, a third distinction affirming the knowing of the knowledge of the knowing: yet there is no reason against carrying on the division for ever and ever.

To increase the Primals by making the Supreme Mind engender the Reason-Principle, and this again engender in the Soul a distinct power to act as mediator between Soul and the Supreme Mind, this is to deny intellection to the Soul, which would no longer derive its Reason from the Intellectual-Principle but from an intermediate: the Soul then would possess not the Reason-Principle but an image of it: the Soul could not know the Intellectual-Principle; it could have no intellection.

2. Therefore we must affirm no more than these three Primals: we are not to introduce superfluous distinctions which their nature rejects. We are to proclaim one Intellectual-Principle unchangeably the same, in no way subject to decline, acting in imitation, as true as its nature allows, of the Father.

And as to our own Soul we are to hold that it stands, in part, always in the presence of the Divine Beings,⁴⁷ while in part it is concerned with the things of this sphere and in part occupies a middle ground. It is one nature in graded powers; and sometimes the Soul in its entirety is borne along by the loftiest in itself and in the Authentic Existent; sometimes the less noble part is dragged down and drags the mid-soul with it, though the law is that the Soul may never succumb entire.

The Soul's disaster falls upon it when it ceases to dwell in the perfect Beauty – the appropriate dwelling-place of that Soul which is no part and of which we too are no part – thence to pour forth into the frame of the All whatsoever the All can hold of good and beauty. There that Soul rests, free from all solicitude, not ruling by plan or policy, not redressing, but establishing order by the

marvellous efficacy of its contemplation of the things above it.

For the measure of its absorption in that vision is the measure of its grace and power, and what it draws from this contemplation it communicates to the lower sphere, illuminated and illuminating always.

3. Ever illuminated, receiving light unfailing, the All-Soul imparts it to the entire series of later Being which by this light is sustained and fostered and endowed with the fullest measure of life that each can absorb. It may be compared with a central fire warming every receptive body within range.

Our fire, however, is a thing of limited scope: given powers that have no limitation and are never cut off from the Authentic Existences, how imagine anything existing and yet failing to receive from them?

It is of the essence of things that each gives of its being to another: without this communication, The Good would not be Good, nor the Intellectual-Principle an Intellective Principle, nor would Soul itself be what it is: the law is, 'some life after the Primal Life, a second where there is a first; all linked in one unbroken chain; all eternal; divergent types being engendered only in the sense of being secondary'.

In other words, things commonly described as generated have never known a beginning: all has been and will be. Nor can anything disappear unless where a later form is possible: without such a future there can be no dissolution.

If we are told that there is always Matter as a possible term, we ask why then should not Matter itself come to nothingness. If we are told it may, then we ask why it should ever have been generated. If the answer comes that it had its necessary place as the ultimate of the series, we return that the necessity still holds.

With Matter left aside as wholly isolated, the Divine Beings are not everywhere but in some bounded place, walled off, so to speak; if that is not possible, Matter itself must receive the Divine light

(and so cannot be annihilated).

4. To those who assert that creation is the work of the Soul after the failing of its wings,⁴⁸ we answer that no such disgrace could overtake the Soul of the All. If they tell us of its falling, they must tell us also what caused the fall. And when did it take place? If from eternity, then the Soul must be essentially a fallen thing: if at some one moment, why not before that?

We assert its creative act to be a proof not of decline but rather of its steadfast hold. Its decline could consist only in its forgetting the Divine: but if it forgot, how could it create? Whence does it create but from the things it knew in the Divine? If it creates from the memory of that vision, it never fell. Even supposing it to be in some dim intermediate state, it need not be supposed more likely to decline: any inclination would be towards its Prior, in an effort to the clearer vision. If any memory at all remained, what other desire could it have than to retrace the way?

What could it have been planning to gain by world-creating? Glory? That would be absurd – a motive borrowed from the sculptors of our earth.

Finally, if the Soul created by policy and not by sheer need of its nature, by being characteristically the creative power – how explain the making of this universe?

And when will it destroy the work? If it repents of its work, what is it waiting for? If it has not yet repented, then it will never repent: it must be already accustomed to the world, must be growing more tender towards it with the passing of time.

Can it be waiting for certain souls still here? Long since would these have ceased returning for such rebirth, having known in former life the evils of this sphere; long since would they have foreborne to come.

Nor may we grant that this world is of unhappy origin because there are many jarring things in it. Such a judgement would rate it too high, treating it as the same with the Intelligible Realm and not

merely its reflection.

And yet – what reflection of that world could be conceived more beautiful than this of ours? What fire could be a nobler reflection of the fire there than the fire we know here? Or what other earth than this could have been modelled after that earth? And what globe more minutely perfect than this, or more admirably ordered in its course, could have been conceived in the image of the self-centred circling of the World of Intelligibles? And for a sun figuring the Divine sphere, if it is to be more splendid than the sun visible to us, what a sun it must be!

5. Still more unreasonably:

There are men, bound to human bodies and subject to desire, grief, anger, who think so generously of their own faculty that they declare themselves in contact with the Intelligible World, but deny that the sun possesses a similar faculty less subject to influence, to disorder, to change; they deny that it is any wiser than we, the late born, hindered by so many cheats on the way towards truth.

Their own soul, the soul of the least of mankind, they declare deathless, divine; but the entire heavens and the stars within the heavens have had no communion with the Immortal Soul, though these are of far finer and purer grain than themselves – yet they are not blind to the order, the shapely pattern, the discipline prevailing in the heavens, since they are the loudest in complaint of the disorder that troubles our earth. We are to imagine the deathless Soul choosing of design the less worthy place, and preferring to abandon the nobler to the Soul that is to die.

Equally unreasonable is their introduction of that other Soul which they piece together from the elements.

How could any form or degree of life come about by a blend of the elements? Their conjunction could produce only a warm or cold or an intermediate substance, something dry or wet or intermediate.

Besides, how could such a soul be a bond holding the four

elements together when (by the hypothesis) it is a later thing and rises from them? And this element-soul is described as possessing consciousness and will and the rest – what can we think?

Furthermore, these teachers, in their contempt for this creation and this earth, proclaim that another earth has been made for them into which they are to enter when they depart.⁴⁹ Now this new earth is the Reason-Form (the Logos) of our world. Why should they desire to live in the archetype of a world abhorrent to them?

Then again, what is the origin of that pattern world? It would appear, from the theory, that the Maker had already declined towards the things of this sphere before that pattern came into being.

Now let us suppose the Maker craving to construct such an Intermediate World – though what motive could He have? – in addition to the Intellectual world which He eternally possesses. If He made the mid-world first, what end was it to serve?

To be a dwelling-place for souls?

How then did they ever fall from it? It exists in vain.

If He made it later than this world – abstracting the formal-idea of this world and leaving the Matter out – the souls that have come to know that intermediate sphere would have experienced enough to keep them from entering this. If the meaning is simply that souls exhibit the Ideal-Form of the Universe, what is there distinctive in the teaching?

6. And, what are we to think of the new forms of being they introduce – their ‘Exiles’ and ‘Impressions’ and ‘Repentings’?⁵⁰

If all comes to states of the Soul – ‘Repentance’ when it has undergone a change of purpose; ‘Impressions’ when it contemplates not the Authentic Existences but their simulacra – there is nothing here but a jargon invented to make a case for their school: all this terminology is piled up only to conceal their debt to the ancient Greek philosophy which taught, clearly and without bombast, the ascent from the cave⁵¹ and the gradual advance of souls to a truer

and truer vision.

For, in sum, a part of their doctrine comes from Plato; all the novelties through which they seek to establish a philosophy of their own have been picked up outside of the truth.

From Plato come their punishments, their rivers of the underworld, and the changing from body to body; as for the plurality they assert in the Intellectual Realm – the Authentic Existent, the Intellectual-Principle, the Second Creator and the Soul – all this is taken over from the Timaeus, where we read:[52](#)

‘As many Ideal-Forms as the Divine Mind beheld dwelling within the Veritably Living Being, so many the Maker resolved should be contained in this All.’

Misunderstanding their text, they conceived one Mind passively including within itself all that has being, another mind, a distinct existence, having vision, and a third planning the Universe – though often they substitute Soul for this planning Mind as the creating Principle – and they think that this third being is the Creator according to Plato.

They are in fact quite outside of the truth in their identification of the Creator.

In every way they misrepresent Plato’s theory as to the method of creation as in many other respects they dishonour his teaching: they, we are to understand, have penetrated the Intellectual Nature, while Plato and all those other illustrious teachers have failed.

They hope to get the credit of minute and exact identification by setting up a plurality of intellectual Essences; but in reality this multiplication lowers the Intellectual Nature to the level of the Sense-Kind: their true course is to seek to reduce number to the least possible in the Supreme, simply referring all things to the second Hypostasis – which is all that exists as it is Primal Intellect and Reality and is the only thing that is good except only for the First Nature – and to recognize Soul as the third Principle, accounting for the difference among souls merely by diversity of

experience and character. Instead of insulting those venerable teachers they should receive their doctrine with the respect due to the older thought and honour all that noble system – an immortal Soul, an Intellectual and Intelligible Realm, the Supreme God, the Soul's need of emancipation from all intercourse with the body, the fact of separation from it, the escape from the world of process to the world of essential-being. These doctrines, all emphatically asserted by Plato, they do well to adopt: where they differ, they are at full liberty to speak their minds, but not to procure assent for their own theories by flaying and flouting the Greeks: where they have a divergent theory to maintain they must establish it by its own merits, declaring their own opinions with courtesy and with philosophical method and stating the controverted opinion fairly; they must point their minds towards the truth and not hunt fame by insult, reviling and seeking in their own persons to replace men honoured by the fine intelligences of ages past.

As a matter of fact the ancient doctrine of the Divine Essences was far the sounder and more instructed, and must be accepted by all not caught in the delusions that beset humanity: it is easy also to identify what has been conveyed in these later times from the ancients with incongruous novelties – how for example, where they must set up a contradictory doctrine, they introduce a medley of generation and destruction, how they cavil at the Universe, how they make the Soul blameable for the association with body, how they revile the Administrator of this All, how they ascribe to the Creator, identified with the Soul, the character and experiences appropriate to partial beings.

7. That this world has neither beginning nor end but exists for ever as long as the Supreme stands is certainly no novel teaching. And before this school rose it had been urged that commerce with the body is no gain to a soul.

But to treat the human Soul as a fair presentment of the Soul of the Universe is like picking out potters and blacksmiths and making them warrant for discrediting an entire well-ordered city.

We must recognize how different is the governance exercised by the All-Soul; the relation is not the same: it is not in fetters. Among the very great number of differences it should not have been overlooked that the We (the human Soul) lies under fetter; and this in a second limitation, for the Body-Kind, already fettered within the All-Soul, imprisons all that it grasps.

But the Soul of the Universe cannot be in bond to what itself has bound: it is sovereign and therefore immune of the lower things, over which we on the contrary are not masters. That in it which is directed to the Divine and Transcendent is ever unmingled, knows no encumbering; that in it which imparts life to the body admits nothing bodily to itself. It is the general fact that an inset (as the Body) necessarily shares the conditions of its containing principle (as the Soul), and does not communicate its own conditions where that principle has an independent life: thus a graft will die if the stock dies, but the stock will live on by its proper life though the graft wither. The fire within your own self may be quenched, but the thing, fire, will exist still; and if fire itself were annihilated that would make no difference to the Soul, the Soul in the Supreme, but only to the plan of the material world; and if the other elements sufficed to maintain a Cosmos, the Soul in the Supreme would be unconcerned.

The constitution of the All is very different from that of the single, separate forms of life: there, the established rule commanding to permanence is sovereign; here things are like deserters kept to their own place and duty by a double bond; there is no outlet from the All, and therefore no need of restraining or of driving errants back to bounds: all remains where from the beginning the Soul's nature appointed.

The natural movement within the plan will be injurious to anything whose natural tendency it opposes: one group will sweep bravely onward with the great total to which it is adapted; the others, not able to comply with the larger order, are destroyed. A great choral is moving to its concerted plan; midway in the march,

a tortoise is intercepted; unable to get away from the choral line it is trampled under foot; but if it could only range itself within the greater movement it too would suffer nothing.

8. To ask why the Soul has created the Cosmos, is to ask why there is a Soul and why a Creator creates. The question, also, implies a beginning in the eternal and, further, represents creation as the act of a changeful Being who turns from this to that.

Those that so think must be instructed – if they would but bear with correction – in the nature of the Supernals, and brought to desist from that blasphemy of majestic powers which comes so easily to them, where all should be reverent scruple.

Even in the administration of the Universe there is no ground for such attack, for it affords manifest proof of the greatness of the Intellectual Kind.

This All that has emerged into life is no amorphous structure – like those lesser forms within it which are born night and day out of the lavishness of its vitality – the Universe is a life organized, effective, complex, all-comprehensive, displaying an unfathomable wisdom. How, then, can anyone deny that it is a clear image, beautifully formed, of the Intellectual Divinities?⁵³ No doubt it is copy, not original; but that is its very nature; it cannot be at once symbol and reality. But to say that it is an inadequate copy is false; nothing has been left out which a beautiful representation within the physical order could include.

Such a reproduction there must necessarily be – though not by deliberation and contrivance – for the Intellectual could not be the last of things, but must have a double Act, one within itself and one outgoing; there must, then, be something later than the Divine; for only the thing with which all power ends fails to pass downwards something of itself. In the Supreme there flourishes a marvellous vigour and therefore it produces.

Since there is no Universe nobler than this, is it not clear what this must be? A representation carrying down the features of the

Intellectual Realm is necessary; there is no other Cosmos than this; therefore this is such a representation.

This earth of ours is full of varied life-forms and of immortal beings; to the very heavens it is crowded. And the stars, those of the upper and the under spheres, moving in their ordered path, fellow travellers with the universe, how can they be less than gods? Surely they must be morally good: what could prevent them? All that occasions vice here below is unknown there – no evil of body, perturbed and perturbing.

Knowledge, too; in their unbroken peace, what hinders them from the intellectual grasp of the God-Head and the Intellectual Gods? What can be imagined to give us a wisdom higher than belongs to the Supernals? Could anyone, not fallen to utter folly, bear with such an idea?

Admitting that human souls have descended under constraint of the All-Soul, are we to think the constrained the nobler? Among souls, what commands must be higher than what obeys. And if the coming was unconstrained, why find fault with a world you have chosen and can quit if you dislike it?

And further, if the order of this Universe is such that we are able, within it, to practise wisdom and to live our earthly course by the Supernal, does not that prove it a dependency of the Divine?

9. Wealth and poverty, and all inequalities of that order are made ground of complaint. But this is to ignore that the Sage demands no equality in such matters: he cannot think that to own many things is to be richer or that the powerful have the better of the simple; he leaves all such preoccupations to another kind of man. He has learned that life on earth has two distinct forms, the way of the Sage and the way of the mass, the Sage intent upon the sublimest, upon the realm above, while those of the more strictly human type fall, again, under two classes, the one reminiscent of virtue and therefore not without touch with good, the other mere populace, serving to provide necessities to the better sort.

But what of murder? What of the feebleness that brings men

under slavery to the passions?

Is it any wonder that there should be failing and error, not in the highest, the intellectual, Principle but in souls that are like undeveloped children? And is not life justified even so if it is a training ground with its victors and its vanquished?⁵⁴

You are wronged; need that trouble an immortal? You are put to death; you have attained your desire. And from the moment your citizenship of the world becomes irksome you are not bound to it.

Our adversaries do not deny that even here there is a system of law and penalty: and surely we cannot in justice blame a dominion which awards to every one his due, where virtue has its honour, and vice comes to its fitting shame, in which there are not merely representations of the gods, but the gods themselves, watchers from above, and – as we read – easily rebutting human reproaches, since they lead all things in order from a beginning to an end, allotting to each human being, as life follows life, a fortune shaped to all that has preceded – the destiny which, to those that do not penetrate it, becomes the matter of boorish insolence upon things divine.

A man's one task is to strive towards making himself perfect – though not in the idea – really fatal to perfection – that to be perfect is possible to himself alone.

We must recognize that other men have attained the heights of goodness; we must admit the goodness of the celestial spirits, and above all of the gods – those whose presence is here but their contemplation in the Supreme, and loftiest of them, the lord of this All, the most blessed Soul. Rising still higher, we hymn the divinities of the Intellectual Sphere, and, above all these, the mighty King of that dominion, whose majesty is made patent in the very multitude of the gods.

It is not by crushing the divine into a unity but by displaying its exuberance – as the Supreme himself has displayed it – that we show knowledge of the might of God, who, abidingly what He is, yet creates that multitude, all dependent on Him, existing by Him

and from Him.

This Universe, too, exists by Him and looks to Him – the Universe as a whole and every god within it – and tells of Him to men, all alike revealing the plan and will of the Supreme.

These, in the nature of things, cannot be what He is, but that does not justify you in contempt of them, in pushing yourself forward as not inferior to them.

The more perfect the man, the more compliant he is, even towards his fellows; we must temper our importance, not thrusting insolently beyond what our nature warrants; we must allow other beings, also, their place in the presence of the Godhead; we may not set ourselves alone next after the First in a dream-flight which deprives us of our power of attaining identity with the Godhead in the measure possible to the human Soul, that is to say, to the point of likeness to which the Intellectual-Principle leads us; to exalt ourselves above the Intellectual-Principle is to fall from it.

Yet imbeciles are found to accept such teaching at the mere sound of the words ‘You yourself are to be nobler than all else, nobler than men, nobler than even gods.’ Human audacity is very great: a man once modest, restrained, and simple hears, ‘You, yourself, are the child of God; those men whom you used to venerate, those beings whose worship they inherit from antiquity, none of these are His children; you without lifting hand are nobler than the very heavens’; others take up the cry: the issue will be much as if in a crowd all equally ignorant of figures, one man were told that he stands a thousand cubic feet; he will naturally accept his thousand cubits even though the others present are said to measure only five cubits; he will merely tell himself that the thousand indicates a considerable figure.

Another point: (you hold that) God has care for you; how then can He be indifferent to the entire Universe in which you exist?

We may be told that He is too much occupied to look upon the Universe, and that it would not be right for Him to do so; yet when

He looks down and upon these people, is He not looking outside Himself and upon the Universe in which they exist? If He cannot look outside Himself so as to survey the Cosmos, then neither does He look upon them.

But they have no need of Him?

The Universe has need of Him, and He knows its ordering and its indwellers and how far they belong to it and how far to the Supreme, and which of the men upon it are friends of God, mildly acquiescing with the cosmic dispensation when in the total course of things some pain must be brought to them – for we are to look not to the single will of any man but to the universe entire, regarding every one according to worth but not stopping for such things where all that may is hastening onward.

Not one only kind of being is bent upon this quest, which brings bliss to whatsoever achieves, and earns for the others a future destiny in accord with their power. No man, therefore, may flatter himself that he alone is competent; a pretension is not a possession; many boast though fully conscious of their lack and many imagine themselves to possess what was never theirs and even to be alone in possessing what they alone of men never had.

10. Under detailed investigation, many other tenets of this school – indeed we might say all – could be corrected with an abundance of proof. But I am withheld by regard for some of our own friends who fell in with this doctrine before joining our circle and, strangely, still cling to it.

The school, no doubt, is free-spoken enough – whether in the set purpose of giving its opinions a plausible colour of verity or in honest belief – but we are addressing here our own acquaintances, not those people with whom we could make no way. We have spoken in the hope of preventing our friends from being perturbed by a party which brings, not proof – how could it? – but arbitrary, tyrannical assertion; another style of address would be applicable to such as have the audacity to flout the noble and true doctrines of the august teachers of antiquity.

That method we will not apply; anyone that has fully grasped the preceding discussion will know how to meet every point in the system.

Only one other tenet of theirs will be mentioned before passing the matter; it is one which surpasses all the rest in sheer folly, if that is the word.

They first maintain that the Soul and a certain 'Wisdom' (Sophia)⁵⁵ declined and entered this lower sphere – though they leave us in doubt of whether the movement originated in Soul or in this Sophia of theirs, or whether the two are the same to them – then they tell us that the other souls came down in the descent and that these members of Sophia took to themselves bodies, human bodies, for example.

Yet in the same breath, that very Soul which was the occasion of descent to the others is declared not to have descended. 'It knew no decline', but merely illuminated the darkness in such a way that an image of it was formed upon the Matter. Then, they shape an image of that image somewhere below – through the medium of Matter or of Materiality or whatever else of many names they choose to give it in their frequent change of terms, invented to darken their doctrine – and so they bring into being what they call the Creator or Demiurge, then this lower is severed from his Mother (Sophia) and becomes the author of the Cosmos down to the latest of the succession of images constituting it.

Such is the blasphemy of one of their writers.

11. Now, in the first place, if the Soul has not actually come down but has illuminated the darkness, how can it truly be said to have declined? The outflow from it of something in the nature of light does not justify the assertion of its decline; for that, it must make an actual movement towards the object lying in the lower realm and illuminate it by contact.

If, on the other hand, the Soul keeps to its own place and illuminates the lower without directing any act towards that end, why should it alone be the illuminant? Why should not the Cosmos

draw light also from the yet great powers contained in the total of existence?

Again, if the Soul possesses the plan of a Universe, and by virtue of this plan illuminates it, why do not that illumination and the creating of the world take place simultaneously? Why must the Soul wait till the representations of the plan be made actual?

Then again this Plan – the ‘Far Country’⁵⁶ of their terminology – brought into being, as they hold, by the greater powers, could not have been the occasion of decline to the creators.

Further, how explain that under this illumination the Matter of the Cosmos produces images of the order of Soul instead of mere bodily-nature? An image of Soul could not demand darkness or Matter, but wherever formed it would exhibit the character of the producing element and remain in close union with it.

Next, is this image a real-being, or, as they say, an Intellection?
⁵⁷

If it is a reality, in what way does it differ from its original? By being a distinct form of the Soul? But then, since the original is the reasoning Soul, this secondary form must be the vegetative and generative Soul; and then, what becomes of the theory that it is produced for glory’s sake, what becomes of the creation in arrogance and self-assertion?⁵⁸ The theory puts an end also to creation by representation and, still more decidedly, to any thinking in the act; and what need is left for a creator creating by way of Matter and Image?

If it is an Intellection, then we ask first, What justifies the name? and next, How does anything come into being unless the Soul give this Intellection creative power? and how, even if we allow this fictitious Intellection – how does it explain the creative act? Are we to be told that it is a question (not so much of creation as) of a first Image followed by a second? But this is quite arbitrary. And why is fire the first creation?⁵⁹

12. And how does this Image set to its task immediately after it

comes into being?

By memory of what it has seen?

But it was utterly non-existent, it could have no vision, either it or the Mother they bestow upon it.

Another difficulty: these people (tell us that they) come upon earth not as Soul-Images but as veritable Souls; yet, by great stress and strain, one or two of them are able to stir beyond the limits of the world, and when they do attain Reminiscence barely carry with them some slight recollection of the Sphere they once knew: on the other hand, this Image, a new-comer into being, is able, they tell us – as also is its Mother – to form at least some dim representation of the celestial world. It is an Image, stamped in Matter, yet it not merely has the conception of the Supreme and adopts from that world the plan of this, but knows what elements serve the purpose. How, for instance, did it come to make fire before anything else? What made it judge fire a better first than some other object?

Again, if it created the fire of the Universe by thinking of fire, why did it not make the Universe at a stroke by thinking of the Universe? It must have conceived the product complete from the first; the constituent elements would be embraced in that general conception.

The creation must have been in all respects more according to the way of Nature than to that of the arts – for the arts are of later origin than Nature and the Universe, and even at the present stage the partial things brought into being by the natural Kinds do not follow any such order – first fire, then the several other elements, then the various blends of these – on the contrary the living organism entire is encompassed and rounded off with in the uterine germ. Why should not the material of the Universe be similarly embraced in a Cosmic Type in which earth, fire, and the rest would be included? We can only suppose that these people themselves, acting by their more authentic Soul, would have produced the world by such a process, but that the Creator had not wit to do so.

And yet to conceive the vast span of the Heavens – to be great in that degree – to devise the obliquity of the Zodiac and the circling path of all the celestial bodies beneath it, and this earth of ours – and all in such a way that reason can be given for the plan – this could never be the work of an Image; it tells of that Power (the All-Soul) next to the very Highest Beings.

Against their will, they themselves admit this: their ‘outshining upon the darkness’, if the doctrine is sifted, makes it impossible to deny the true origins of the Cosmos.

Why should this down-shining take place unless such a process belonged to a universal law?

Either the process is in the order of Nature or against that order. If it is in the nature of things, it must have taken place from eternity; if it is against the nature of things, then the breach of natural right exists in the Supreme also; evil antedates this world; the cause of evil is not the world; on the contrary the Supreme is the evil to us; instead of the Soul’s harm coming from this sphere, we have this sphere harmed by the Soul.

In fine, the theory amounts to making the world one of the Primals, and with it the Matter from which it emerges.

The Soul that declined, they tell us, saw and illuminated the already existent Darkness. Now whence came that Darkness?

If they tell us that the Soul created the Darkness by its decline, then, obviously, there was nowhere for the Soul to decline to; the cause of the decline was not the Darkness but the very nature of the Soul. The theory, therefore, refers the entire process to pre-existing compulsions: the guilt inheres in the Primal Beings.

13. Those, then, that censure the constitution of the Cosmos do not understand what they are doing or where this audacity leads them. They do not understand that there is a successive order of Primals, Secondaries, Tertiaries, and so on continuously to the Ultimates; that nothing is to be blamed for being inferior to the First; that we can but accept, meekly, the constitution of the total, and make our best way towards the Primals, withdrawing from the tragic

spectacle, as they see it, of the cosmic spheres⁶⁰ – which in reality are all suave graciousness.⁶¹

And what, after all, is there so terrible in these spheres with which it is sought to frighten people unaccustomed to thinking, never trained in an instructive and coherent gnosis?

Even the fact that their material frame is of fire does not make them dreadful; their movements are in keeping with the All and with the Earth: but what we must consider in them is the Soul, that on which these people base their own title to honour.

And, yet, again, their material frames are pre-eminent in vastness and beauty, as they co-operate in act and in influence with the entire order of Nature, and can never cease to exist as long as the Primals stand; they enter into the completion of the All of which they are major parts.

If men rank highly among other living Beings, much more do these, whose office in the All is not to play the tyrant but to serve towards beauty and order. The action attributed to them must be understood as a foretelling of coming events,⁶² while the causing of all the variety is due, in part to diverse destinies – for there cannot be one lot for the entire body of men – in part to the birth moment, in part to wide divergencies of place, in part to states of the souls.

Once more, we have no right to ask that all men shall be good, or to rush into censure because such universal virtue is not possible: this would be repeating the error of confusing our sphere with the Supreme and treating evil as a nearly negligible failure in wisdom – as good lessened and dwindling continuously, a continuous fading out: it would be like calling the Nature-Principle evil because it is not Sense-Perception and the Sense-Faculty evil for not being the Reason. If evil is no more than that, we will be obliged to admit evil in the Supreme also, for there, too, Soul is less exalted than the Intellectual-Principle, and That too has its Superior.

14. In yet another way they infringe still more gravely upon the inviolability of the Supreme.

In the sacred formulas they inscribe, purporting to address the Supernal Beings – not merely the Soul but even the Transcendents – they are simply uttering spells and appeasements and evocations in the idea that these Powers will obey a call and be led about by a word from any of us who is in some degree trained to use the appropriate forms in the appropriate way – certain melodies, certain sounds, specially directed breathings, sibilant cries, and all else to which is ascribed magic potency upon the Supreme.⁶³ Perhaps they would repudiate any such intention: still they must explain how these things act upon the unembodied: they do not see that the power they attribute to their own words is so much taken away from the majesty of the divine.

They tell us they can free themselves of diseases.

If they meant, by temperate living and an appropriate régime, they would be right and in accordance with all sound knowledge. But they assert diseases to be Spirit-Beings and boast of being able to expel them by formula: this pretension may enhance their importance with the crowd, gaping upon the powers of magicians; but they can never persuade the intelligent that disease arises otherwise than from such causes as overstrain, excess, deficiency, putrid decay, in a word some variation whether from within or from without.

The nature of illness is indicated by its very cure. A motion, a medicine, the letting of blood, and the disease shifts down and away; sometimes scantiness of nourishment restores the system: presumably the Spiritual power gets hungry or is debilitated by the purge. Either this Spirit makes a hasty exit or it remains within. If it stays, how does the disease disappear, with the cause still present? If it quits the place, what has driven it out? Has anything happened to it? Are we to suppose it throve on the disease? In that case the disease existed as something distinct from the Spirit-Power. Then again, if it steps in where no cause of sickness exists, why should there be anything else but illness? If there must be such a cause, the Spirit is unnecessary: that cause is sufficient to produce that fever.

As for the notion, that just when the cause presents itself, the watchful Spirit leaps to incorporate itself with it, this is simply amusing.

But the manner and motive of their teaching have been sufficiently exhibited; and this was the main purpose of the discussion here upon their Spirit-Powers. I leave it to yourselves to read the books and examine the rest of the doctrine: you will note all through how our form of philosophy inculcates simplicity of character and honest thinking in addition to all other good qualities, how it cultivates reverence and not arrogant self-assertion, how its boldness is balanced by reason, by careful proof, by cautious progression, by the utmost circumspection – and you will compare those other systems to one proceeding by this method. You will find that the tenets of their school have been huddled together under a very different plan: they do not deserve any further examination here.

15. There is, however, one matter which we must on no account overlook – the effect of these teachings upon the hearers led by them into despising the world and all that is in it.

There are two theories as to the attainment of the End of Life. The one proposes pleasure, bodily pleasure, as the term; the other pronounces for good and virtue, the desire of which comes from God and moves, by ways to be studied elsewhere, towards God.

Epicurus denies a Providence and recommends pleasure and its enjoyment, all that is left to us: but the doctrine under discussion is still more wanton; it carps at Providence and the Lord of Providence; it scorns every law known to us; immemorial virtue and all restraint it makes into a laughing stock, lest any loveliness be seen on earth; it cuts at the root of all orderly living, and of the righteousness which, innate in the moral sense, is made perfect by thought and by self-discipline: all that would give us a noble human being is gone. What is left for them – except where the pupil by his own character betters the teaching – comes to pleasure, self-

seeking, the grudge of any share with one's fellows, the pursuit of advantage.⁶⁴

Their error is that they know nothing good here: all they care for is something else to which they will at some future time apply themselves: yet, this world, to those that have known it once, must be the starting-point of the pursuit: arrived here from out of the divine nature, they must inaugurate their effort by some earthly correction. The understanding of beauty is not given except to a nature scorning the delight of the body, and those that have no part in well-doing can make no step towards the Supernal.

This school, in fact, is convicted by its neglect of all mention of virtue: any discussion of such matters is missing utterly: we are not told what virtue is or under what different kinds it appears; there is no word of all the numerous and noble reflections upon it that have come down to us from the ancients; we do not learn what constitutes it or how it is acquired, how the Soul is tended, how it is cleaned. For to say 'Look to God' is not helpful without some instruction as to what this looking imports: it might very well be said that one can 'look' and still sacrifice no pleasure, still be the slave of impulse, repeating the word 'God' but held in the grip of every passion and making no effort to master any. Virtue, advancing towards the Term and, linked with thought, occupying a soul, makes God manifest: 'God' on the lips without a good conduct of life, is a word.

16 On the other hand, to despise this sphere, and the Gods within it, or anything else that is lovely, is not the way to goodness.

Every evildoer began by despising the Gods; and one not previously corrupt, taking to this contempt, even though in other respects not wholly bad, becomes an evildoer by the very fact.

Besides, in this slighting of the Mundane Gods and the world, the honour they profess for the gods of the Intellectual Sphere becomes an inconsistency; where we love, our hearts are warm also to the kin of the beloved; we are not indifferent to the children of

our friend. Now every soul is a child of that Father; but in the heavenly bodies there are souls, intellective, holy, much closer to the Supernal Beings than are ours; for how can this Cosmos be a thing cut off from That and how imagine the gods in it to stand apart?

But of this matter we have treated elsewhere;⁶⁵ here we urge that where there is contempt for the Kin of the Supreme the knowledge of the Supreme itself is merely verbal.

What sort of piety can make Providence stop short of earthly concerns or set any limit whatsoever to it?

And what consistency is there in this school when they proceed to assert that Providence cares for them, though for them alone?

And is this Providence over them to be understood of their existence in that other world only or of their lives here as well? If in the other world, how came they to this? If in this world, why are they not already raised from it?

Again, how can they deny that the Lord of Providence is here? How else can He know either that they are here, or that in their sojourn here they have not forgotten Him and fallen away? And if He is aware of the goodness of some, He must know of the wickedness of others, to distinguish good from bad. That means that He is present to all, is, by whatever mode, within this Universe. The Universe, therefore, must be participant in Him.

If He is absent from the Universe, He is absent from yourselves, and you can have nothing to tell about Him or about the powers that come after Him.

But, allowing that a Providence reaches to you from the world beyond – making any concession to your liking – it remains none the less certain that this world holds from the Supernal and is not deserted and will not be: a Providence watching entire is even more likely than one over fragments only; and similarly, Participation is more perfect in the case of the All-Soul – as is shown, further, by the very existence of things and the wisdom

manifest in their existence. Of those that advance these wild pretensions, who is so well ordered, so wise, as the Universe? The comparison is laughable, utterly out of place; to make it, except as a help towards truth, would be impiety.

The very question can be entertained by no intelligent being but only by one so blind, so utterly devoid of perception and thought, so far from any vision of the Intellectual Universe as not even to see this world of our own.

For who that truly perceives the harmony of the Intellectual Realm could fail, if he has any bent towards music, to answer to the harmony in sensible sounds? What geometrician or arithmetician could fail to take pleasure in the symmetries, correspondences, and principles of order observed in visible things? Consider, even, the case of pictures: those seeing by the bodily sense the products of the art of painting do not see the one thing in the one only way; they are deeply stirred by recognizing in the objects depicted to the eyes the presentation of what lies in the idea, and so are called to recollection of the truth – the very experience out of which Love rises. Now, if the sight of Beauty excellently reproduced upon a face hurries the mind to that other Sphere, surely no one seeing the loveliness lavish in the world of sense – this vast orderliness, the Form which the stars even in their remoteness display – no one could be so dull-witted, so immovable, as not to be carried by all this to recollection, and gripped by reverent awe in the thought of all this, so great, sprung from that greatness. Not to answer thus could only be to have neither fathomed this world nor had any vision of that other.

17. Perhaps the hate of this school for the corporeal is due to their reading of Plato who inveighs against body as a grave hindrance to Soul and pronounces the corporeal to be characteristically the inferior.⁶⁶

Then let them for the moment pass over the corporeal element in the Universe and study all that still remains.

They will think of the Intellectual Sphere which includes within itself the Ideal-Form realized in the Cosmos. They will think of the souls, in their ordered rank, that produce incorporeal magnitude and lead the Intelligible out towards spatial extension, so that finally the thing of process becomes, by its magnitude, as adequate a representation as possible of the principle void of parts which is its model – the greatness of power there being translated here into greatness of bulk. Then whether they think of the Cosmic Sphere (the all-Soul) as already in movement under the guidance of that power of God which holds it through and through, beginning and middle and end, or whether they consider it as in rest and exercising as yet no outer governance: either approach will lead to a true appreciation of the Soul that conducts this Universe.

Now let them set body within it – not in the sense that Soul suffers any change but that, since ‘in the Gods there can be no grudging’,⁶⁷ it gives to its inferior all that any partial thing has strength to receive – and at once their conception of the Cosmos must be revised; they cannot deny that the Soul of the Cosmos has exercised such a weight of power as to have brought the corporeal-principle, in itself unlovely, to partake of good and beauty to the utmost of its receptivity – and to a pitch which stirs souls, beings of the divine order.

These people may no doubt say that they themselves feel no such stirring, and that they see no difference between beautiful and ugly forms of body; but, at that, they can make no distinction between the ugly and the beautiful in conduct; sciences can have no beauty; there can be none in thought; and none, therefore, in God. This world descends from the Firsts: if this world has no beauty, neither has its Source; springing thence, this world, too, must have its beautiful things. And while they proclaim their contempt for earthly beauty, they would do well to ignore that of youths and women so as not to be overcome by incontinence.⁶⁸

In fine, we must consider that their self-satisfaction could not turn upon a contempt for anything indisputably base; theirs is the

perverse pride of despising what was once admired.

We must always keep in mind that the beauty in a partial thing cannot be identical with that in a whole; nor can any several objects be as stately as the total.

And we must recognize, that even in the world of sense and part, there are things of a loveliness comparable to that of the Celestials – forms whose beauty must fill us with veneration for their creator and convince us of their origin in the divine, forms which show how ineffable is the beauty of the Supreme since they cannot hold us but we must, though in all admiration, leave these for those. Further, wherever there is interior beauty, we may be sure that inner and outer correspond; where the interior is vile, all is brought low by that flaw in the dominants.

Nothing base within can be beautiful without – at least not with an authentic beauty, for there are examples of a good exterior not sprung from a beauty dominant within; people passing as handsome but essentially base have that, a spurious and superficial beauty: if anyone tells me he has seen people really fine-looking but interiorly vile, I can only deny it; we have here simply a false notion of personal beauty; unless, indeed, the inner vileness were an accident in a nature essentially fine; in this Sphere there are many obstacles to selfrealization.

In any case the All is beautiful, and there can be no obstacle to its inner goodness: where the nature of a thing does not comport perfection from the beginning, there may be a failure in complete expression; there may even be a fall to vileness, but the All never knew a childlike immaturity; it never experienced a progress bringing novelty into it; it never had bodily growth: there was nowhere from whence it could take such increment; it was always the All-Container.

And even for its Soul no one could imagine any such a path of process: or, if this were conceded, certainly it could not be towards evil.

18. But perhaps this school will maintain that, while their teaching leads to a hate and utter abandonment of the body, ours binds the Soul down in it.

In other words: two people inhabit the one stately house; one of them declaims against its plan and against its Architect, but none the less maintains his residence in it; the other makes no complaint, asserts the entire competency of the Architect, and waits cheerfully for the day when he may leave it, having no further need of a house: the malcontent imagines himself to be the wiser and to be the readier to leave because he has learned to repeat that the walls are of soulless stone and timber and that the place falls far short of a true home; he does not see that his only distinction is in not being able to bear with necessity – assuming that his conduct, his grumbling, does not cover a secret admiration for the beauty of those same ‘stones’. As long as we have bodies we must inhabit the dwellings prepared for us by our good sister soul (the All-Soul) in her vast power of labourless creation.

Or would this school reject the word ‘sister’? They are willing to address the lowest of men as brothers; are they capable of such raving as to disown the tie with the Sun and the powers of the Heavens and the very Soul of the Cosmos? Such kinship, it is true, is not for the vile; it may be asserted only of those that have become good and are no longer body but embodied soul and of a quality to inhabit the body in a mode very closely resembling the indwelling of the All-Soul in the universal frame. And this means continence, self-restraint, holding staunch against outside pleasure and against outer spectacle, allowing no hardship to disturb the mind. The All-Soul is immune from shock; there is nothing that can affect it: but we, in our passage here, must call on virtue in repelling these assaults, reduced for us from the beginning by a great conception of life, annulled by matured strength.

Attaining to something of this immunity, we begin to reproduce within ourselves the Soul of the vast All and of the heavenly bodies: when we are come to the very closest resemblance, all the effort of

our fervid pursuit will be towards that goal to which they also tend; their contemplative vision becomes ours, prepared as we are, first by natural disposition and afterwards by all this training, for that state which is theirs by the Principle of their Being.

This school may lay claim to vision as a dignity reserved to themselves, but they are not any the nearer to vision by the claim – or by the boast that while the celestial powers, bound for ever to the ordering of the Heavens, can never stand outside the material universe, they themselves have their freedom in their death. This is a failure to grasp the very notion of ‘standing outside’, a failure to appreciate the mode in which the All-Soul cares for the unensouled.⁶⁹

No: it is possible to go free of love for the body; to be clean-living, to disregard death; to know the Highest and aim at that other world; not to slander, as negligent in the quest, others who are able for it and faithful to it; and not to err with those that deny vital motion to the stars because to our sense they stand still – the error which in another form leads this school to deny outer vision to the Star-Nature, only because they do not see the Star-Soul in outer manifestation.



THE THIRD ENNEAD

SECOND TRACTATE



PROVIDENCE: FIRST TREATISE [47]

SUMMARY

This treatise, divided into two by Porphyry, contains Plotinus' major treatment of problems connected with the doctrines of Fate, Providence and Free Will, and is in fact one of the most important ancient discussions of these questions. His chief purpose is to defend belief in the existence and goodness of divine Providence in face of both the fact of evil in the world, and the attacks of Epicureans and Peripatetics. In his defence, many of his arguments are borrowed from the Stoics, and indeed a Stoic-like logos theory is a notable feature of the treatise. His world-view provokes Plotinus to some memorable images, particularly those of the play and the dance (III. 2, 15–16, 18), and the great tree (III.3, 7). Plotinus plainly has difficulty in asserting Free Will against the Stoic doctrine of Necessity, a fact which adds a note of tension and honest inconclusiveness to the treatise. Platonists were in a difficult position, since Plato had really not addressed the problem adequately (in the Phaedrus myth, the myth of Er in the Republic)

1. To make the existence and coherent structure of this Universe depend upon automatic activity and upon chance is against all good

sense.¹

Such a notion could be entertained only where there is neither intelligence nor even ordinary perception; and reason enough has been urged against it, though none is really necessary.

But there is still the question as to the process by which the individual things of this sphere have come into being, how they were made.

Some of them seem so undesirable as to cast doubts upon a Universal Providence; and we find, on the one hand, the denial of any controlling power, on the other the belief that the Cosmos is the work of an evil creator.²

This matter must be examined through and through from the very first principles. We may, however, omit for the present any consideration of the particular providence, that beforehand decision which accomplishes or holds things in abeyance to some good purpose and gives or withholds in our own regard: when we have established the Universal Providence which we affirm, we can link the secondary with it.

Of course the belief that after a certain lapse of time a Cosmos previously non-existent came into being would imply a foreseeing and a reasoned plan on the part of God providing for the production of the Universe and securing all possible perfection in it – a guidance and partial providence, therefore, such as is indicated. But since we hold the eternal existence of the Universe,³ the utter absence of a beginning to it, we are forced, in sound and sequent reasoning, to explain the providence ruling in the Universe as a universal consonance with the divine Intelligence to which the Cosmos is subsequent not in time but in the fact of derivation, in the fact that the Divine Intelligence, preceding it in kind, is its cause as being the Archetype and Model which it merely images, the primal by which, from all eternity, it has its existence and subsistence.

The relationship may be presented thus:

The authentic and primal Cosmos⁴ is the Being of the Intellectual Principle and of the Veritable Existent. This contains within itself no spatial distinction, and has none of the feebleness of division, and even its parts bring no incompleteness to it since here the individual is not severed from the entire. In this nature inheres all life and all intellect, a life living and having intellection as one act within a unity: every part that it gives forth is a whole; all its content is its very own, for there is here no separation of thing from thing, no part standing in isolated existence estranged from the rest, and therefore nowhere is there any wronging of any other, even among contraries. Everywhere one and complete, it is at rest throughout and invites difference at no point; it does not make over any of its content into any new form; there can be no reason for changing what is everywhere perfect.

Why should Reason elaborate yet another Reason, or Intelligence another Intelligence? An indwelling power of making things is in the character of a being not at all points as it should be but making, moving, by reason of some failure in quality. Those whose nature is all blessedness have no more to do than to repose in themselves and be their being.

A widespread activity is dangerous to those who must go out from themselves to act. But such is the blessedness of this Being that in its very non-action it magnificently operates and in its self-dwelling it produces mightily.

2. By derivation from that Authentic Cosmos, one within itself, there subsists this lower Cosmos, no longer a true unity.

It is multiple, divided into various elements, thing standing apart from thing in a new estrangement. No longer is there concord unbroken; hostility, too, has entered as the result of difference and distance; imperfection has inevitably introduced discord; for a part is not self-sufficient, it must pursue something outside itself for its fulfilment, and so it becomes the enemy to what it needs.

This Cosmos of parts has come into being not as the result of a judgement establishing its desirability, but by the sheer necessity of a secondary Kind.

The Intellectual Realm was not of a nature to be the ultimate of existents. It was the first and it held great power, all there is of power; this means that it is productive without seeking to produce; for if effort and search were incumbent upon it, the Act would not be its own, would not spring from its essential nature; it would be, like a craftsman, producing by a power not inherent but acquired, mastered by dint of study.

The Intellectual Principle, then, in its unperturbed serenity has brought the universe into being, by communicating from its own store to Matter; and this gift is the Reason-Form flowing from it. For the Emanation of the Intellectual Principle is Reason, an emanation unfailing as long as the Intellectual Principle continues to have place among beings.

The Reason-Principle within a seed contains all the parts and qualities concentrated in identity; there is no distinction, no jarring, no internal hindering; then there comes a pushing out into bulk, part rises in distinction with part, and at once the members of the organism stand in each other's way and begin to wear each other down.

So from this, the one Intellectual Principle, and the Reason-Form emanating from it, our Universe rises and develops part, and inevitably are formed groups concordant and helpful in contrast with groups discordant and combative; sometimes of choice and sometimes incidentally, the parts maltreat each other; engendering proceeds by destruction.

Yet: amid all that they effect and accept, the divine Realm imposes the one harmonious act; each utters its own voice, but all is brought into accord, into an ordered system, for the universal purpose, by the ruling Reason-Principle. This Universe is not Intelligence and Reason, like the Supernal, but participant in

Intelligence and Reason: it stands in need of the harmonizing because it is the meeting ground of Necessity and divine Reason – Necessity pulling towards the lower, towards the unreason which is its own characteristic, while yet the Intellectual Principle remains sovereign over it.⁵

The Intellectual Sphere (the Divine) alone is Reason, and there can never be another Sphere that is Reason and nothing else; so that, given some other system, it cannot be as noble as that first; it cannot be Reason: yet since such a system cannot be merely Matter, which is the utterly unordered, it must be a mixed thing. Its two extremes are Matter and the Divine Reason; its governing principle is Soul, presiding over the conjunction of the two, and to be thought of not as labouring in the task but as administering serenely by little more than an act of presence.

3. Nor would it be sound to condemn this Cosmos as less than beautiful, as less than the noblest possible in the corporeal; and neither can any charge be laid against its source.

The world, we must reflect, is a product of Necessity, not of deliberate purpose: it is due to a higher Kind engendering in its own likeness by a natural process. And none the less, a second consideration, if a considered plan brought it into being it would still be no disgrace to its maker – for it stands a stately whole, complete within itself, serving at once its own purpose and that of all its parts which, leading and lesser alike, are of such a nature as to further the interests of the total. It is, therefore, impossible to condemn the whole on the merits of the parts which, besides, must be judged only as they enter harmoniously or not into the whole, the main consideration, quite overpassing the members which thus cease to have importance.⁶ To linger about the parts is to condemn not the Cosmos but some isolated appendage of it; in the entire living Being we fasten our eyes on a hair or a toe neglecting the marvellous spectacle of the complete Man; we ignore all the tribes and kinds of animals except for the meanest; we pass over an entire

race, humanity, and bring forward – Thersites.

No: this thing that has come into being is (not a mass of fragments, but) the Cosmos complete: do but survey it, and surely this is the pleading you will hear:⁷

I am made by a God: from that God I came perfect above all forms of life, adequate to my function, self-sufficing, lacking nothing: for I am the container of all, that is, of every plant and every animal, of all the Kinds of created things, and many Gods and nations of Spirit-Beings and lofty souls and men happy in their goodness.

And do not think that, while earth is ornate with all its growths and with living things of every race, and while the very sea has answered to the power of Soul, do not think that the great air and the ether and the far-spread heavens remain void of it: there it is that all good Souls dwell, infusing life into the stars and into that orderly eternal circuit of the heavens which in its conscious movement ever about the one centre, seeking nothing beyond, is a faithful copy of the divine Mind. And all that is within me strives towards the Good; and each, to the measure of its faculty, attains. For from that Good all the heavens depend, with all my own Soul and the Gods that dwell in my every part, and all that lives and grows, and even all in me that you may judge inanimate.

There are, it would seem, degrees of participation: here no more than Existence, elsewhere Life; and, in Life, sometimes mainly that of Sensation, higher again that of Reason, finally Life in all its fullness. We have no right to demand equal powers in the unequal: the finger is not to be asked to see; there is the eye for that; a finger has its own business – to be finger and have finger power.

4. That water extinguishes fire and fire consumes other things should not astonish us. The thing destroyed derived its being from outside itself: this is no case of a self-originating substance being annihilated by an external; it rose on the ruin of something else, and thus in its own ruin it suffers nothing strange; and for every fire quenched, another is kindled.

In the immaterial heaven every member is unchangeably itself for ever; in the heavens of our universe, while the whole has life eternally and so too all the nobler and lordlier components, the souls pass from body to body entering into varied forms – and, when it may, a soul will rise outside of the realm of birth and dwell with the one Soul of all. For the embodied lives by virtue of a Form

or Idea: individual or partial things exist by virtue of Universals; from these priors they derive their life and maintenance, for life here is a thing of change; only in that prior realm is it unmoving. From that unchangingness change had to emerge and from that self-cloistered Life its derivative, this which breathes and stirs, the respiration of the still life of the divine.

The conflict and destruction that reign among living beings are inevitable, since things here are derived, brought into existence because the Divine Reason which contains all of them in the upper Heavens – how could they come here unless they were There? – must outflow over the whole extent of Matter.

Similarly, the very wronging of man by man may be derived from an effort towards the Good; foiled, in their weakness, of their true desire, they turn against each other: still, when they do wrong, they pay the penalty – that of having hurt their souls by their evil conduct and of degradation to a lower place – for nothing can ever escape what stands decreed in the law of the Universe.

This is not to accept the idea, sometimes urged, that order is an outcome of disorder and law of lawlessness, as if evil were a necessary preliminary to their existence or their manifestation: on the contrary order is the original and enters this sphere as imposed from without: it is because order, law, and reason exist that there can be disorder; breach of law and unreason exist because Reason exists – not that these better things are directly the causes of the bad but simply that what ought to absorb the Best is prevented by its own nature, or by some accident, or by foreign interference. An entity which must look outside itself for a law may be foiled of its purpose by either an internal or an external cause; there will be some flaw in its own nature, or it will be hurt by some alien influence, for often harm follows, unintended, upon the action of others in the pursuit of quite unrelated aims. Such living beings, on the other hand, as have freedom of motion under their own will sometimes take the right turn, sometimes the wrong.

Why the wrong course is followed is scarcely worth inquiring: a

slight deviation at the beginning develops with every advance into a continuously wider and graver error – especially since there is the attached body with its inevitable concomitant of desire – and the first step, the hasty movement not previously considered and not immediately corrected, ends by establishing a set habit where there was at first only a fall.

Punishment naturally follows: there is no injustice in a man suffering what belongs to the condition in which he is; nor can we ask to be happy when our actions have not earned us happiness; the good, only, are happy; divine beings are happy only because they are good.

5. Now, once Happiness is possible at all to souls in this Universe, if some fail of it, the blame must fall not upon the place but upon the feebleness insufficient to the staunch combat in the one arena where the rewards of excellence are offered. Men are not born divine; what wonder that they do not enjoy a divine life. And poverty and sickness mean nothing to the good, while to the evil they bring benefit: where there is body there must be ill health.

Besides, these accidents are not without their service in the co-ordination and completion of the Universal system.

One thing perishes, and the Cosmic Reason – whose control nothing anywhere eludes – employs that ending to the beginning of something new; and, so, when the body suffers and the Soul, under the affliction, loses power, all that has been bound under illness and evil is brought into a new set of relations, into another class or order. Some of these troubles are helpful to the very sufferers – poverty and sickness, for example – and as for vice, even this brings something to the general service: it acts as a lesson in right-doing, and, in many ways even, produces good; thus, by setting men face to face with the ways and consequences of iniquity, it calls them from lethargy, stirs the deeper mind, and sets the understanding to work; by the contrast of the evil under which wrong-doers labour it displays the worth of the right. Not that evil exists for this purpose;

but, as we have indicated, once the wrong has come to be, the Reason of the Cosmos employs it to good ends; and, precisely, the proof of the mightiest power is to be able to use the ignoble nobly and, given formlessness, to make it the material of unknown forms.

The principle is that evil by definition is a falling short in good, and good cannot be at full strength in this Sphere where it is lodged in the alien: the good here is in something else, in something distinct from the Good, and this something else constitutes the falling short, for it is not good. And this is why 'evil is ineradicable':⁸ there is, first, the fact that in relation to this principle of Good, thing will always stand less than thing, and, besides, all things come into being through it, and are what they are by standing away from it.

6. As for the disregard of desert – the good afflicted, the unworthy thriving – it is a sound explanation no doubt that to the good nothing is evil and to the evil nothing can be good: still the question remains, why should what essentially offends our nature fall to the good while the wicked enjoy all it demands? How can such an allotment be approved?

No doubt since pleasant conditions add nothing to true happiness and the unpleasant do not lessen the evil in the wicked, the conditions matter little: as well complain that a good man happens to be ugly and a bad man handsome.

Still, under such a dispensation, there would surely be a propriety, a reasonableness, a regard to merit which, as things are, do not appear, though this would certainly be in keeping with the noblest Providence: even though external conditions do not affect a man's hold upon good or evil, none the less it would seem utterly unfitting that the bad should be the masters, be sovereign in the state, while honourable men are slaves: a wicked ruler may commit the most lawless acts; and in war the worst men have a free hand and perpetrate every kind of crime against their prisoners.

We are forced to ask how such things can be, under a Providence. Certainly a maker must consider his work as a whole, but none the less he should see to the due ordering of all the parts, especially when these parts have Soul, that is, are Living and Reasoning Beings: the Providence must reach to all the details; its functioning must consist in neglecting no point.

Holding, therefore, as we do, despite all, that the Universe lies under an Intellectual Principle whose power has touched every existent, we cannot be absolved from the attempt to show in what way the detail of this sphere is just.

7. A preliminary observation: in looking for excellence in this thing of mixture, the Cosmos, we cannot require all that is implied in the excellence of the unmingled; it is folly to ask for Firsts in the Secondary, and since this Universe contains body, we must allow for some bodily influence upon the total and be thankful if the mingled existent lack nothing of what its nature allowed it to receive from the Divine Reason.

Thus, supposing we were inquiring for the finest type of the human being as known here, we would certainly not demand that he prove identical with Man as in the Divine Intellect; we would think it enough in the Creator to have so brought this thing of flesh and nerve and bone under Reason as to give grace to these corporeal elements and to have made it possible for Reason to bloom on the surface of Matter.

Our progress towards the object of our investigation must begin from this principle of gradation which will open to us the wonder of the Providence and of the power by which our universe holds its being.

We begin with evil acts entirely dependent upon the souls which perpetrate them – the harm, for example, which perverted souls do to the good and to each other. Unless the fore-planning power alone is to be charged with the vice in such souls, we have no

ground of accusation, no claim to redress: 'the blame lies on the Soul exercising its choice'. Even a soul, we have seen, must have its individual movement; it is not abstract Spirit; the first step towards animal life has been taken and the conduct will naturally be in keeping with that character.

It is not because the world existed that souls are here: before the world was, they had it in them to be of the world, to concern themselves with it, to presuppose it, to administer it: it was in their nature to produce it – by whatever method, whether by giving forth some emanation while they themselves remained above, or by an actual descent, or in both ways together, some presiding from above, others descending; for we are not at the moment concerned about the mode of creation but are simply urging that, however the world was produced, no blame falls on Providence⁹ for what exists within it.

There remains the other phase of the question – the distribution of evil to the opposite classes of men: the good go bare while the wicked are rich: all that human need demands, the least deserving have in abundance; it is they that rule; peoples and states are at their disposal. Would not all this imply that the divine power does not reach to earth?

That it does is sufficiently established by the fact that Reason rules in the lower things: animals and plants have their share in Reason, Soul, and Life.

Perhaps, then, it reaches to earth but is not master over all?

We answer that the universe is one living organism: as well maintain that while human head and face are the work of nature and of the ruling reason-principle, the rest of the frame is due to other agencies – accident or sheer necessity – and owes its inferiority to this origin, or to the incompetence of unaided Nature. And even granting that those less noble members are not in themselves admirable it would still be neither pious nor even reverent to censure the entire structure.

8. Thus we come to our inquiry as to the degree of excellence found in things of this Sphere, and how far they belong to an ordered system or in what degree they are, at least, not evil.

Now in every living being the upper parts – head, face – are the most beautiful, the mid and lower members inferior. In the Universe the middle and lower members are human beings; above them, the Heavens and the Gods that dwell there; these Gods with the entire circling expanse of the heavens constitute the greater part of the Cosmos: the earth is but a central point, and stands in relation to only one among the stars. Yet human wrongdoing is made a matter of wonder; we are evidently asked to take humanity as the choice member of the Universe, nothing wiser existent!

But humanity, in reality, is poised midway between gods and beasts, and inclines now to the one order, now to the other; some men grow like to the divine, others to the brute, the greater number stand neutral. But those that are corrupted to the point of approximating to irrational animals and wild beasts pull the mid-folk about and inflict wrong upon them; the victims are no doubt better than the wrongdoers, but are at the mercy of their inferiors in the field in which they themselves are inferior, where, that is, they cannot be classed among the good since they have not trained themselves in self-defence.

A gang of lads, morally neglected, and in that respect inferior to the intermediate class, but in good physical training, attack and throw another set, trained neither physically nor morally, and make off with their food and their dainty clothes. What more is called for than a laugh?

And surely even the lawgiver would be right in allowing the second group to suffer this treatment, the penalty of their sloth and self-indulgence: the gymnasium lies there before them, and they, in laziness and luxury and listlessness, have allowed themselves to fall like fat-loaded sheep, a prey to the wolves.

But the evildoers also have their punishment: first they pay in

that very wolfishness, in the disaster to their human quality: and next there is laid up for them the due of their kind; living ill here, they will not get off by death;¹⁰ on every precedent through all the line there waits its sequent, reasonable and natural – worse to the bad, better to the good.

This at once brings us outside the gymnasium with its fun for boys; they must grow up, both kinds, amid their childishness and both one day stand girt and armed. Then there is a finer spectacle than is ever seen by those that train in the ring. But at this stage some have not armed themselves – and the duly armed win the day.

Not even a God would have the right to deal a blow for the unwarlike: the law decrees that to come safe out of battle is for fighting men, not for those that pray.¹¹ The harvest comes home not for praying but for tilling; healthy days are not for those that neglect their health: we have no right to complain of the ignoble getting the richer harvest if they are the only workers in the fields, or the best.

Again: it is childish, while we carry on all the affairs of our life to our own taste and not as the Gods would have us, to expect them to keep all well for us in spite of a life that is lived without regard to the conditions which the Gods have prescribed for our well-being. Yet death would be better for us than to go on living lives condemned by the laws of the Universe. If things took the contrary course, if all the modes of folly and wickedness brought no trouble in life – then indeed we might complain of the indifference of a Providence leaving the victory to evil.

Bad men rule by the feebleness of the ruled: and this is just; the triumph of weaklings would not be just.

9. It would not be just, because Providence cannot be a something reducing us to nothingness: to think of Providence as everything, with no other thing in existence, is to annihilate Providence itself, since it could have no field of action; nothing would exist except

the Divine. As things are, the Divine, of course, exists, but has reached forth to something other – not to reduce that to nothingness but to preside over it; thus in the case of Man, for instance, the Divine presides as the Providence, preserving the character of human nature, that is the character of a being under the providential law, which, again, implies subjection to what that law may enjoin.

And that law enjoins that those who have made themselves good shall know the best of life, here and later, the bad the reverse. But the law does not warrant the wicked in expecting that their prayers should bring others to sacrifice themselves for their sakes; or that the gods should lay aside the divine life in order to direct their daily concerns; or that good men, who have chosen a path nobler than all earthly rule, should become their rulers. The perverse have never made a single effort to bring the good into authority, so intent are they upon securing power for themselves; they are all spite against anyone that becomes good of his own motion, though if good men were placed in authority the total of goodness would be increased.

In sum: Man has come into existence, a living being but not a member of the noblest order; he occupies by choice an intermediate rank; still, in that place in which he exists, Providence does not allow him to be reduced to nothing; on the contrary he is ever being led upwards by all those varied devices which the Divine employs in its labour to increase the dominance of moral value. The human race, therefore, is not deprived by Providence of its rational being; it retains its share, though necessarily limited, in wisdom, intelligence, executive power, and right-doing, the right-doing, at least, of individuals to each other – and even in wronging others people think they are doing right and only paying what is due.

Man is, therefore, a noble creation, as perfect as the scheme allows; a part, no doubt, in the fabric of the All, he yet holds a lot higher than that of all the other living things of earth.

Now, no one of any intelligence complains of these others, man's

inferiors, which serve to the adornment of the world; it would be feeble indeed to complain of animals biting man, as if we were to pass our days asleep. No: the animal, too, exists of necessity, and is serviceable in many ways, some obvious and many progressively discovered – so that not one lives without profit even to humanity. It is ridiculous, also, to complain that many of them are dangerous – there are dangerous men abroad as well – and if they distrust us, and in their distrust attack, is that anything to wonder at?

10. But: if the evil in men is involuntary, if their own will has not made them what they are, how can we either blame wrongdoers or even reproach their victims with suffering through their own fault?

If there is a Necessity, bringing about human wickedness either by force of the celestial movement or by a rigorous sequence set up by the First Cause, is not the evil a thing rooted in Nature?¹² And if thus the Reason-Principle of the universe is the creator of evil, surely all is injustice?

No: men are no doubt involuntary sinners in the sense that they do not actually desire to sin; but this does not alter the fact that wrongdoers, of their own choice, are, themselves, the agents; it is because they themselves act that the sin is their own; if they were not agents they could not sin.

The Necessity (held to underlie human wickedness) is not an outer force (actually compelling the individual), but exists only in the sense of a universal relationship.

Nor is the force of the celestial Movement such as to leave us powerless: if the universe were something outside and apart from us it would stand as its makers willed so that, once the gods had done their part, no man, however impious, could introduce anything contrary to their intention. But, as things are, efficient act does come from men: given the starting Principle, the secondary line, no doubt, is inevitably completed; but each and every principle contributes towards the sequence. Now Men are

Principles, or, at least, they are moved by their characteristic nature towards all that is good, and that nature is a Principle, a freely acting cause.

11. Are we, then, to conclude that particular things are determined by necessities rooted in Nature and by the sequence of causes, and that everything is as good as anything can be?

No: the Reason-Principle is the sovereign, making all: it wills things as they are and, in its reasonable act, it produces even what we know as evil: it cannot desire all to be good: an artist would not make an animal all eyes; and in the same way, the Reason-Principle would not make all divine; it makes Gods but also celestial spirits, the intermediate order, then men, then the animals; all is graded succession, and this in no spirit of grudging but in the expression of a Reason teeming with intellectual variety.

We are like people ignorant of painting who complain that the colours are not beautiful everywhere in the picture: but the Artist has laid on the appropriate tint to every spot. Note also that cities, however well governed, are not composed of citizens who are all equal. Again, we are censuring a drama because the persons are not all heroes but include a servant and a rustic and some scurrilous clown; yet take away the low characters and the power of the drama is gone; these are part and parcel of it.

12. Suppose this Universe to be the direct creation of the Reason-Principle applying itself, quite unchanged, to Matter, retaining, that is, the differentiation of parts which it derives from its Prior, the Intellectual Principle – then, this its product, so produced, must be of supreme and unparalleled excellence. The Reason-Principle cannot be a thing of entire identity or even of closely compact diversity; and the mode in which it is here manifested is no matter of censure since its function is to be all things, each single thing in some distinctive way.

But (it will be asked) has it not, besides itself entering Matter, brought other beings down? Has it not for example brought souls into Matter and, in adapting them to its creation, twisted them against their own nature and been the ruin of many of them? And can this be right?

The answer is that the souls are, in a fair sense, members of this Reason-Principle and that it has not adapted them to the creation by perverting them, but has set them in the place here to which their quality entitles them.

13. And we must not despise the familiar observation that there is something more to be considered than the present.¹³ There are the periods of the past and, again, those in the future; and these have everything to do with fixing worth of place.

Thus a man, once a ruler, will be made a slave because he abused his power and because the fall is to his future good. Those that have misused money will be made poor – and to the good poverty is no hindrance. Those that have unjustly killed, are killed in turn, unjustly as regards the murderer but justly as regards the victim, and those that are to suffer are thrown into the path of those that administer the merited treatment.

It is not an accident that makes a man a slave; no one is a prisoner by chance; every bodily outrage has its due cause. The man once did what he now suffers. A man that murders his mother¹⁴ will become a woman and be murdered by a son; a man that wrongs a woman will become a woman, to be wronged.

Hence arises that awesome word *Adrasteia* (the Inevadable Retribution); for in very truth this ordinance is an *Adrasteia*, Justice itself and a wonderful wisdom.

We cannot but recognize from what we observe in this universe that some such principle of order prevails throughout the entire of existence – the minutest of things a tributary to the vast total; the marvellous art shown not merely in the mightiest works and

sublimest members of the All, but even amid such littleness as one would think Providence must disdain: the varied workmanship of wonder in any and every animal form; the world of vegetation, too; the grace of fruits and even of leaves, the lavishness, the delicacy, the diversity of exquisite bloom: and all this not issuing once, and then to die out, but made ever and ever anew as the Transcendent Beings move variously over this earth.

In all the changing, there is no change by chance: there is no taking of new forms but to desirable ends and in ways worthy of Divine Powers. All that is Divine executes the Act of its quality; its quality is the expression of its essential Being: and this essential Being in the Divine is the Being whose activities produce as one thing the desirable and the just – for if the good and the just are not produced there, where, then, have they their being?

14. The ordinance of the Cosmos, then, is in keeping with the Intellectual Principle. True, no reasoning went to its creation, but it so stands that the keenest reasoning must wonder – since no reasoning could be able to make it otherwise – at the spectacle before it, a product which, even in the Kinds of the partial and particular Sphere, displays the Divine Intelligence to a degree in which no arranging by reason could express it. Every one of the ceaselessly recurrent types of being manifests a creating Reason-Principle above all censure. No fault is to be found unless on the assumption that everything ought to come into being with all the perfection of those that have never known such a coming, the Eternals. In that case, things of the Intellectual realm and things of the realm of sense must remain one unbroken identity for ever.

In this demand for more good than exists, there is implied a failure to recognize that the form allotted to each entity is sufficient in itself; it is like complaining because one kind of animal lacks horns. We ought to understand both that the Reason-Principle must extend to every possible existent and, at the same time, that every greater must include lesser things, that to every whole belong its

parts, and that all cannot be equality unless all part is to be absent.

This is why in the Over-World each entity is all, while here, below, the single thing is not all (is not the Universe but a 'Self'). Thus too, a man, an individual, in so far as he is a part, is not Humanity complete: but wheresoever there is associated with the parts something that is no part (but a Divine, an Intellectual, Being), this makes a whole of that in which it dwells. Man, man as partial thing, cannot be required to have attained to the very summit of goodness: if he had, he would have ceased to be of the partial order. Not that there is any grudging in the whole towards the part that grows in goodness and dignity; such an increase in value is a gain to the beauty of the whole; the lesser grows by being made over in the likeness of the greater, by being admitted, as it were, to something of that greatness, by sharing in that rank, and thus even from this place of man, from man's own self, something gleams forth, as the stars shine in the divine firmament, so that all appears one great and lovely figure – living or wrought in the furnaces of craftsmanship – with stars radiant not only in the ears and on the brow but on the breasts too, and wherever else they may be displayed in beauty.

15. These considerations apply very well to things considered as standing alone: but there is a stumbling-block, a new problem, when we think of all these forms, permanent and ceaselessly produced, in mutual relationship.

The animals devour each other: men attack each other: all is war without rest, without truce: this gives new force to the question how Reason can be author of the plan and how all can be declared well done.

This new difficulty is not met by the former answer: that all stands as well as the nature of things allows; that the blame for their condition falls on Matter dragging them down; that, given the plan as we know it, evil cannot be eliminated¹⁵ and should not be; that the Matter making its presence felt is still not supreme but

remains an element taken in from outside to contribute to a definite total, or rather to be itself brought to order by Reason.

The Divine Reason is the beginning and the end; all that comes into being must be rational and fall at its coming into an ordered scheme reasonable at every point. Where, then, is the necessity of this bandit war of man and beast?

This devouring of Kind by Kind is necessary as the means to the transmutation of living things which could not keep form for ever even though no other killed them: what grievance is it that when they must go their dispatch is so planned as to be serviceable to others?

Still more, what does it matter when they are devoured only to return in some new form? It comes to no more than the murder of one of the personages in a play;¹⁶ the actor alters his make-up and enters in a new role. The actor, of course, was not really killed; but if dying is but changing a body as the actor changes a costume, or even an exit from the body like the exit of the actor from the boards when he has no more to say or do – though he will still return to act on another occasion – what is there so very dreadful in this transformation of living beings one into another?

Surely it is much better so than if they had never existed: that way would mean the bleak quenching of life, precluded from passing outside itself; as the plan holds, life is poured copiously throughout a Universe, engendering the universal things and weaving variety into their being, never at rest from producing an endless sequence of comeliness and shapeliness, a living pastime.

Men directing their weapons against each other – under doom of death yet neatly lined up to fight as in the pyrrhic sword-dances of their sport – this is enough to tell us that all human intentions are but play, that death is nothing terrible, that to die in a war or in a fight is but to taste a little beforehand what old age has in store, to go away earlier and come back the sooner. So for misfortunes that may accompany life, the loss of property, for instance; the loser will

see that there was a time when it was not his, that its possession is but a mock boon to the robbers, who will in their turn lose it to others, and even, that to retain property is a greater loss than to forfeit it.

Murders, death in all its guises, the reduction and sacking of cities, all must be to us just such a spectacle as the changing scenes of a play; all is but the varied incident of a plot, costume on and off, acted grief and lament. For on earth, in all the succession of life, it is not the Soul within but the Shadow outside of the authentic man, that grieves and complains and acts out the plot on this world stage which men have dotted with stages of their own constructing. All this is the doing of man knowing no more than to live the lower and outer life, and never perceiving that, in his weeping and in his graver doings alike, he is but at play; to handle austere matters austere is reserved for the thoughtful: the other kind of man is himself a futility. Those incapable of thinking gravely read gravity into frivolities which correspond to their own frivolous nature. Anyone that joins in their trifling and so comes to look on life with their eyes must understand that by lending himself to such idleness he has laid aside his own character. If Socrates himself takes part in the trifling, he trifles in the outer Socrates.

We must remember, too, that we cannot take tears and laments as proof that anything is wrong; children cry and whimper where there is nothing amiss.

16. But if all this is true, what room is left for evil? Where are we to place wrongdoing and sin?

How explain that in a world organized in good, the efficient agents (human beings) behave unjustly, commit sin; And how comes misery if neither sin nor injustice exists?

Again, if all our action is determined by a natural process, how can the distinction be maintained between behaviour in accordance with nature and behaviour in conflict with it?

And what becomes of blasphemy against the divine? The blasphemer is made what he is: a dramatist has written a part insulting and maligning himself and given it to an actor to play.¹⁷

These considerations oblige us to state the Logos (the Reason-Principle of the Universe) once again, and more clearly, and to justify its nature.

This Reason-Principle, then – let us dare the definition in the hope of conveying the truth – this Logos is not the Intellectual Principle unmingled, not the Absolute Divine Intellect; nor does it descend from the pure Soul alone; it is a dependent of that Soul while, in a sense, it is a radiation from both those divine Hypostases: the Intellectual Principle and the Soul – the Soul as conditioned by the Intellectual Principle – engender this Logos which is a Life holding restfully a certain measure of Reason.

Now all life, even the least valuable, is an activity, and not a blind activity like that of flame; even where there is not sensation the activity of life is no mere haphazard play of Movement: any object in which life is present, any object which participates in Life, is at once enreasoned in the sense that the activity peculiar to life is formative, shaping as it moves.

Life, then, aims at pattern as does the pantomimic dancer with his set movements; the mime, in himself, represents life, and, besides, his movements proceed in obedience to a pattern designed to symbolize life.

Thus far to give us some idea of the nature of Life in general.

But this Reason-Principle which emanates from the complete unity, divine Mind, and the complete unity Life (= Soul) – is neither a uniate complete Life nor a uniate complete divine Mind, nor does it give itself whole and all-including to its subject. (By an imperfect communication) it sets up a conflict of part against part: it produces imperfect things and so engenders and maintains war and attack, and thus its unity can be that only of a sum-total, not of a thing undivided. At war with itself¹⁸ in the parts which it now

exhibits, it has the unity, or harmony, of a drama torn with struggle. The drama, of course, brings the conflicting elements to one final harmony, weaving the entire story of the clashing characters into one thing; while in the Logos the conflict of the divergent elements rises within the one element, the Reason-Principle: the comparison therefore is rather with a harmony emerging directly from the conflicting elements themselves, and the question becomes what introduces clashing elements among these Reason-Principles.

Now in the case of music, tones high and low are the product of Reason-Principles which, by the fact that they are Principles of harmony, meet in the unit of Harmony, the absolute Harmony, a more comprehensive Principle, greater than they and including them as its parts. Similarly in the Universe at large we find contraries – white and black, hot and cold, winged and wingless, footed and footless, reasoning and unreasoning – but all these elements are members of one living body, their sum-total; the Universe is a self-accordant entity, its members everywhere clashing but the total being the manifestation of a Reason-Principle. That one Reason-Principle, then, must be the unification of conflicting Reason-Principles whose very opposition is the support of its coherence and, almost, of its Being.

And indeed, if it were not multiple, it could not be a Universal Principle, it could not even be at all a Reason-Principle; in the fact of its being a Reason-Principle is contained the fact of interior difference. Now the maximum of difference is contrariety; admitting then that the Reason-Principle creates difference, it will create it in the greatest and not in the least degree; in other words, the Reason-Principle, bringing about differentiation to the uttermost degree, will of necessity create contrarieties: it will be complete only by producing itself not in merely diverse things but in contrary things.

17. The nature of the Reason-Principle is adequately expressed in its

Act and, therefore, the wider its extension the nearer will its productions approach to full contrariety: hence the world of sense is less a unity than is its Reason-Principle; it contains a wider multiplicity and contrariety: its partial members will, therefore, be urged by a closer intention towards fullness of life, a warmer desire for unification.

But desire often destroys the desired; it seeks its own good, and if the desired object is perishable, the ruin follows: and the partial thing (a human being, for example) straining towards its completing principle draws towards itself all it possibly can.

Thus, with the good we have the bad: we have the opposed movements of a dancer guided by one artistic plan; we recognize in his steps the good as against the bad, and see that in the opposition lies the merit of the design.

But, thus, the wicked disappear?

No: their wickedness remains; simply, their role is not of their own planning.

But, surely, this excuses them?

No: excuse lies with the Reason-Principle – and the Reason-Principle does not excuse them.¹⁹

No doubt all are members of this Principle, but one is a good man, another is bad – the larger class, this – and it goes as in a play; the poet while he gives each actor a part is also using them as they are in their own persons: he does not himself create leading actor, second, third; he simply gives suitable words to each, and by that assignment fixes each man's standing.

Thus, every man has his place, a place that fits the good man, a place that fits the bad; each within the two orders of men makes his way, naturally, reasonably, to the place, good or bad, that suits him, and takes the position he has made his own. There he talks and acts, in blasphemy and crime or in all goodness: for the actors bring to this play what they were before it was ever staged.

In the dramas of human art the poet provides the words but the

actors add their own quality, good or bad – for they have more to do than merely repeat the author's words: in the truer drama which dramatic genius imitates in its degree, the Soul displays itself in a part assigned by the creator of the piece.

As the actors of our stages get their masks and their costume, robes of state or rags, so a Soul is allotted its fortunes, and not at haphazard but always under a Reason: it adapts itself to the fortunes assigned to it, attunes itself, ranges itself rightly to the drama, to the whole Principle of the piece: then it speaks out its business, exhibiting at the same time all that a Soul can express of its own quality, as a singer in a song. A voice, a bearing, naturally fine or vulgar, may increase the charm of a piece; on the other hand, an actor with his ugly voice may make a sorry exhibition of himself, yet the drama stands as good a work as ever: the dramatist taking the action which a sound criticism suggests, disgraces one, taking his part from him, with perfect justice: another man he promotes to more serious roles or to any more important play he may have, while the first is cast for whatever minor work there may be.

Just so the Soul, entering this drama of the Universe, making itself a part of the Play, bringing to its acting its personal excellence or defect, set in a definite place at the entry and accepting from the author its entire role – superimposed upon its own character and conduct – just so, it receives in the end its punishment and reward.

But these actors, souls, hold a peculiar dignity: they act in a vaster place than any stage: the Author has made them masters of all this world; they have a wide choice of place; they themselves determinine the honour or discredit in which they are agents since their place and part are in keeping with their quality: they therefore fit into the Reason-Principle of the Universe, each adjusted, most legitimately, to the appropriate environment, as every string of the lyre is set in the precisely right position, determined by the Principle directing musical utterance, for the due production of the tones within its capacity. All is just and good in the Universe in

which every actor is set in his own quite appropriate place, though it be to utter in the Darkness and in Tartarus the dreadful sounds whose utterance there is well.

This Universe is good not when the individual is a stone,²⁰ but when everyone throws in his own voice towards a total harmony, singing out a life – thin, harsh, imperfect, though it be. The Syrnix does not utter merely one pure note; there is a thin obscure sound which blends in to make the harmony of Syrnix music: the harmony is made up from tones of various grades, all the tones differing, but the resultant of all forming one sound.

Similarly the Reason-Principle entire is one, but it is broken into unequal parts: hence the difference of place found in the Universe, better spots and worse; and hence the inequality of souls, finding their appropriate surroundings amid this local inequality. The diverse places of this sphere, the souls of unequal grade and unlike conduct, are well exemplified by the distinction of parts in the Syrnix or any other instrument: there is local difference, but from every position every string gives forth its own tone, the sound appropriate, at once, to its particular place and to the entire plan.

What is evil in the single soul will stand a good thing in the universal system; what in the unit offends nature will serve nature in the total event – and still remains the weak and wrong tone it is, though its sounding takes nothing from the worth of the whole, just as, in another order of image, the executioner's ugly office does not mar the well-governed state: such an officer is a civic necessity; and the corresponding moral type is often serviceable; thus, even as things are, all is well.

18. Souls vary in worth; and the difference is due, among other causes, to an almost initial inequality;²¹ it is in reason that, standing to the Reason-Principle as parts, they should be unequal by the fact of becoming separate.

We must also remember that every soul has its second grade and

its third,²² and that, therefore, its expression may take any one of three main forms. But this point must be dealt with here again: the matter requires all possible elucidation.

We ought not perhaps to think of actors having the right to add something to the poet's words – which would mean that the drama as it stood was not perfectly filled in and that they were to supply where the Author had left blank spaces here and there. The actor would then be something else as well; he would be a part of the poet, with a fore-knowledge of the words which the other actors would use, and would so be able to bind together all the strands of the story in their proper order.

For, in the All, the sequences, including what follows upon wickedness, become Reason-Principles, and therefore in right reason. Thus: from adultery and the violation of prisoners the process of nature will produce fine children, to grow, perhaps, into fine men; and where wicked violence has destroyed cities, other and nobler cities may rise in their place.

But does not this make it absurd to introduce souls as responsible causes, some acting for good and some for evil? If we thus exonerate the Reason-Principle from any part in wickedness do we not also cancel its credit for the good? Why not simply take the doings of these actors (in the universal drama) for representative parts of the Reason-Principle as the doings of stage-actors are representative parts of the stage-drama? Why not admit that the Reason-Principle itself includes evil action as much as good action, and inspires the precise conduct of all its representatives? Would not this be all the more plausible in that the universal drama is the completer creation and that the Reason-Principle is the source of all that exists?

But this raises the question, What motive could lead the Logos to produce evil?

The explanation, also, would take away all power in the Universe from souls, even those nearest to the divine; they would

all be mere parts of a Reason-Principle.

And, further – unless all Reason-Principles are souls – why should some be souls and others exclusively Reason-Principles when every one of them belongs to a Soul? [23](#)

THIRD TRACTATE



PROVIDENCE: SECOND TREATISE [48]

I. What is our answer?

All events and things, good and evil alike, are included under the Universal Reason-Principle of which they are parts – strictly ‘included’, for this Universal Idea does not engender them but encompasses them.

The Reason-Principles are acts or expressions of a Universal Soul; its parts (i.e. events good and evil) are expressions of these Soul-parts.

This unity, Soul, has different parts; the Reason-Principles, correspondingly, will also have their parts, and so, too, will the ultimates of the system, all that they bring into being.

The Souls are in harmony with each other and so, too, are their acts and effects; but it is harmony in the sense of a resultant unity built out of contraries. All things, as they rise from a unity, come back to unity by a sheer need of nature; differences unfold themselves, contraries are produced, but all is drawn into one organized system by the unity at the source.

The principle may be illustrated from the different classes of animal life: there is one genus, horse, though horses among themselves fight and bite and show malice and angry envy: so all the others within the unity of their Kind; and so humanity.

All these types, again, can be ranged under the one Kind, that of living things; objects without life can be thought of under their

specific types and then be resumed under the one Kind of the ‘non-living’; if we choose to go further yet, living and non-living may be included under the one Kind, ‘Beings’, and, further still, under the Source of Being.

Having attached all to this source, we turn to move down again in continuous division: we see the Unity Assuring, as it reaches out into Universality, and yet embracing all in one system so that with all its differentiation it is one multiple living thing – an organism in which each member executes the function of its own nature while it still has its being in that One Whole; fire burns; horse does horse work; men give each the appropriate act of the peculiar personal quality – and upon the several particular Kinds to which each belongs follow the acts, and the good or evil of the life.

2. Circumstances are not sovereign over the good of life, for they are themselves moulded by their priors and come in as members of a sequence. The Leading-Principle holds all the threads while the minor agents, the individuals, serve according to their own capacities, as in a war the generalissimo lays down the plan and his subordinates do their best to its furtherance. The Universe has been ordered by a Providence that may be compared to a general;²⁴ he has considered operations, conditions, and such practical needs as food and drink, arms and engines of war; all the problem of reconciling these complex elements has been worked out beforehand so as to make it probable that the final event may be success. The entire scheme emerges from the general’s mind with a certain plausible promise, though it cannot cover the enemy’s operations, and there is no power over the disposition of the enemy’s forces: but where the mighty general²⁵ is in question whose power extends over all that is, what can pass unordered, what can fail to fit into the plan?

3. For, even though the I is sovereign in choosing, yet by the fact of the choice the thing done takes its place in the ordered total. Your

personality does not come from outside into the universal scheme; you are a part of it, you and your personal disposition.

But what is the cause of this initial personality?

This question resolves itself into two: are we to make the Creator, if Creator there is, the cause of the moral quality of the individual or does the responsibility lie with the creature?

Or is there, perhaps, no responsibility? After all, none is charged in the case of plants brought into being without the perceptive faculties; no one is blamed because animals are not all that men are – which would be like complaining that men are not all that gods are. Reason acquits plant and animal and their maker; how can it complain because men do not stand above humanity?

If the reproach simply means that Man might improve by bringing from his own stock something towards his betterment we must allow that the man failing in this is answerable for his own inferiority: but if the betterment must come not from within the man but from without, from his Author, it is folly to ask more than has been given, as foolish in the case of man as in plant and animal.

The question is not whether a thing is inferior to something else but whether in its own Kind it suffices to its own part; universal equality there cannot be.

Then the Reason-Principle has measured things out with the set purpose of inequality?

Certainly not: the inequality is inevitable by the nature of things: the Reason-Principle of this Universe follows upon a phase of the Soul; the Soul itself follows upon an Intellectual Principle, and this Intellectual Principle is not one among the things of the Universe but is all things; in all things, there is implied variety of things; where there is variety and not identity there must be primals, secondaries, tertiaries, and every grade downward. Forms of life, then, there must be that are not pure Soul but the dwindling of souls enfeebled stage by stage of the process. There is, of course, a Soul in the Reason-Principle constituting a living being, but it is

another Soul (a lesser phase), not that (the Supreme Soul) from which the Reason-Principle itself derives; and this combined vehicle of life weakens as it proceeds towards matter, and what it engenders is still more deficient. Consider how far the engendered stands from its origin and yet, what a marvel!

In sum, nothing can secure to a thing of process the quality of the prior order, loftier than all that is product and amenable to no charge in regard to it: the wonder is, only, that it reaches and gives to the lower at all, and that the traces of its presence should be so noble. And if its outgiving is greater than the lower can appropriate, the debt is the heavier; all the blame must fall upon the unreceptive creature, and Providence be the more exalted.

4. If man were all of one piece – I mean, if he were nothing more than a made thing, acting and acted upon according to a fixed nature – he could be no more subject to reproach and punishment than the mere animals. But as the scheme holds, man is singled out for condemnation when he does evil; and this with justice. For he is no mere thing made to rigid plan; his nature contains a Principle apart and free.

This does not, however, stand outside of Providence or of the Reason of the All; the Over-World cannot be cut off from the World of Sense. The higher shines down upon the lower, and this illumination is Providence in its highest aspect. The Reason-Principle has two phases, one which creates the things of process and another which links them with the higher beings: these higher beings constitute the over-providence on which depends that lower providence which is the secondary Reason-Principle inseparably united with its primal: the two – the Major and Minor Providence – acting together produce the universal woof, the one all-comprehensive Providence.

Men possess, then, a distinctive Principle: but not all men turn to account all that is in their Nature; there are men that live by one Principle and men that live by another or, rather, by several others, the least noble. For all these Principles are present even when not

acting upon the man – though we cannot think of them as lying idle; everything performs its function.

‘But,’ it will be said, ‘what reason can there be for their not acting upon the man once they are present; inaction must mean absence?’

We maintain their presence always, nothing void of them.

But surely not where they exercise no action? If they necessarily reside in all men, surely they must be operative in all – this Principle of free action, especially.

First of all, this free Principle is not an absolute possession of the animal Kinds and is not even an absolute possession to all men.

So this Principle is not the only effective force in all men?

There is no reason why it should not be. There are men in whom it alone acts, giving its character to the life, while all else is but Necessity (and therefore outside of blame).

For (in the case of an evil life) whether it is that the constitution of the man is such as to drive him down the troubled paths or whether (the fault is mental or spiritual in that) the desires have gained control, we are compelled to attribute the guilt to the substratum (something inferior to the highest principle in Man). We would be naturally inclined to say that this substratum (the responsible source of evil) must be Matter and not, as our argument implies, the Reason-Principle; it would appear that not the Reason-Principle but Matter were the dominant, crude Matter at the extreme and then Matter, as shaped in the realized man: but we must remember that to this free Principle in man (which is a phase of the All Soul) the Substratum (the direct inferior to be moulded) is (not Matter but) the Reason-Principle itself with whatever that produces and moulds to its own form, so that neither crude Matter nor Matter organized in our human total is sovereign within us.²⁶

The quality now manifested may be probably referred to the conduct of a former life; we may suppose that previous actions have made the Reason-Principle now governing within us inferior in

radiance to that which ruled before; the Soul which later will shine out again is for the present at a feebler power.

And any Reason-Principle may be said to include within itself the Reason-Principle of Matter which therefore it is able to elaborate to its own purposes, either finding it consonant with itself or bestowing upon it the quality which makes it so. The Reason-Principle of an ox does not occur except in connexion with the Matter appropriate to the ox-kind. It must be by such a process that the transmigration, of which we read (in Plato)²⁷ takes place; the Soul must lose its nature, the Reason-Principle be transformed; thus there comes the ox-soul which once was Man.

The degradation, then, is just.

Still, how did the inferior Principle ever come into being, and how does the higher fall to it?

Once more – not all things are Firsts; there are Secondaries and Tertiaries, of a nature inferior to that of their Priors; and a slight tilt is enough to determine the departure from the straight course. Further, the linking of any one being with any other amounts to a blending such as to produce a distinct entry, a compound of the two; it is not that the greater and prior suffers any diminution of its own nature; the lesser and secondary is such from its very beginning; it is in its own nature the lesser thing it becomes, and if it suffers the consequences, such suffering is merited: all our reasonings on these questions must take account of previous living as the source from which the present takes its rise.

5. There is, then, a Providence, which permeates the Cosmos from first to last, not everywhere equal, as in a numerical distribution, but proportioned, differing, according to the grades of place – just as in some one animal, linked from first to last, each member has its own function, the nobler organ the higher activity while others successively concern the lower degrees of the life, each part acting of itself, and experiencing what belongs to its own nature and what

comes from its relation with every other. Strike, and what is designed for utterance gives forth the appropriate volume of sound while other parts take the blow in silence but react in their own especial movement; the total of all the utterance and action and receptivity constitutes what we may call the personal voice, life, and history of the living form. The parts, distinct in kind, have distinct functions: the feet have their work and the eyes theirs; the understanding serves to one end, the Intellectual Principle to another.

But all sums to a unity, a comprehensive Providence. From the inferior grade downwards is Fate: the upper is Providence alone:²⁸ for in the Intellectual Cosmos all is Reason-Principle or its Priors – Divine Mind and unmingled Soul – and immediately upon these follows Providence which rises from Divine Mind, is the content of the Unmingled Soul, and, through this Soul, is communicated to the Sphere of living things.

This Reason-Principle comes as a thing of unequal parts, and therefore its creations are unequal, as, for example, the several members of one living being. But after this allotment of rank and function, all act consonant with the will of the gods keeps the sequence and is included under the providential government, for the Reason-Principle of Providence is god-serving.

Activity of a different kind is linked to Providence but not performed by it: men or other agents, living or lifeless, are causes of certain things happening, and any good that may result is taken up again by Providence. In the total, then, the right rules and what has happened amiss is transformed and corrected. Thus, to take an example from a single body, the Providence of a living organism implies its health; let it be gashed or otherwise wounded, and that Reason-Principle which governs it sets to work to draw it together, knit it anew, heal it, and put the affected part to rights.

In sum, evil belongs to the sequence of things, but it comes (not from Providence but) from Necessity. It originates in ourselves; it has its causes no doubt, but we are not, therefore, forced to it by

Providence: some of these causes we adapt to the operation of Providence and of its subordinates, but with others we fail to make the connexion; the act instead of being ranged under the will of Providence consults the desire of the agent alone or of some other element in the Universe, something which is either itself at variance with Providence or has set up some such state of variance in ourselves.

The one circumstance does not produce the same result wherever it acts; the normal operation will be modified from case to case: Helen's beauty told very differently on Paris and on Idomeneus;²⁹ bring together two handsome people of loose character and two living honourably and the resulting conduct is very different; a good man meeting a libertine exhibits a distinct phase of his nature and, similarly, the dissolute answer to the society of their betters.

The act of the libertine is not done by Providence or in accordance with Providence; neither is the action of the good done by Providence – it is done by the man – but it is done in accordance with Providence, for it is an act consonant with the Reason-Principle. Thus a patient following his treatment is himself an agent and yet is acting in accordance with the doctor's method inspired by the art concerned with the causes of health and sickness: what one does against the laws of health is one's act, but an act conflicting with the Providence of medicine.

6. But, if all this be true, how can evil fall within the scope of seership? The predictions of the seers are based on observation of the Universal Circuit: how can this indicate the evil with the good?

Clearly the reason is that all contraries coalesce. Take, for example, Shape and (its contrary) Matter: the living being (of the lower order) is a coalescence of these two; so that to be aware of the Shape and the Reason-Principle is to be aware of the Matter on which the Shape has been imposed.

The living-being of the compound order is not present (as pure and simple Idea) like the living being of the Intellectual order: in the compound entity we are aware, at once, of the Reason-Principle and of the inferior element brought under form. Now the Universe is such a compound living thing: to observe, therefore, its content is to be aware not less of its lower elements than of the Providence which operates within it.

This Providence reaches to all that comes into being; its scope therefore includes living things with their actions and states, the total of their history at once overruled by the Reason-Principle and yet subject in some degree to Necessity.³⁰

These, then, are presented as mingled both by their initial nature and by the continuous process of their existence; and the Seer is not able to make a perfect discrimination setting on the one side Providence with all that happens under Providence and on the other side what the substrate communicates to its product. Such discrimination is not for a man, not for a wise man or a divine man: one may say³¹ it is the prerogative of a god. Not causes but facts lie in the Seer's province; his art is the reading of the scriptures of Nature which tell of the ordered and never condescend to the disorderly; the movement of the Universe utters its testimony to him and, before men and things reveal themselves, brings to light what severally and collectively they are.³²

Here conspires with There and There with Here, elaborating together the consistency and eternity of a Cosmos and by their correspondences revealing the sequence of things to the trained observer – for every form of divination turns upon correspondences. Universal disparity there could not be; there must be some measure of assimilation. This probably is the meaning of the saying that Correspondences maintain the Universe.³³

This is a correspondence of inferior with inferior, of superior with superior, eye with eye, foot with foot, everything with its fellow and, in another order, virtue with right action and vice with unrighteousness. Admit such correspondence in the All and we have

the possibility of prediction. If the one order acts on the other, the relation is not that of maker to thing made – the two are coeval – it is the interplay of members of one living being; each in its own place and way moves as its own nature demands; to every organ its grade and task, and to every grade and task its effective organ. A single Reason-Principle is at work.

7. And since the higher exists, there must be the lower as well. The Universe is a thing of variety, and how could there be an inferior without a superior or a superior without an inferior? We cannot complain about the lower in the higher; rather, we must be grateful to the higher for giving something of itself to the lower.

In a word, those that would like evil driven out from the All would drive out Providence itself.

What would Providence have to provide for? Certainly not for itself or for the Good: when we speak of a Providence above, we mean an act upon something below.

That which resumes all under a unity is a Principle in which all things exist together and the single thing is All. From this Principle, which remains internally unmoved, particular things push forth as from a single root³⁴ which never itself emerges. They are a branching into part, into multiplicity, each single outgrowth bearing its trace of the common source. Thus, phase by phase, there is finally the production into this world; some things close still to the root, others widely separate in the continuous progression until we have, in our metaphor, bough and crest, foliage and fruit. At the one side all is one point of unbroken rest, on the other is the ceaseless process, leaf and fruit, all the things of process carrying ever within themselves the Reason-Principles of the Upper Sphere, and striving to become trees in their own minor order and producing, if at all, only what is in strict gradation from themselves.

As for the abandoned spaces in what corresponds to the

branches these too draw upon the root, from which, despite all their variance, they also derive; and the branches again operate upon their own furthest extremities: operation is to be traced only from point to next point, but, in the fact, there has been both inflow and outgo (of creative or modifying force) at the very root which, itself, again has its priors.

The things that act upon each other are branchings from a far-off beginning and so stand distinct; but they derive initially from the one source: all interaction is like that of brothers, resemblant as drawing life from the same parents.

FOURTH TRACTATE



OUR TUTELARY SPIRIT³⁵ [15]

SUMMARY

This is an early treatise, which Porphyry seems to connect with an incident before his arrival involving the conjuring up of Plotinus' guardian spirit or daimon (Life, ch. 10). Plotinus' 'guardian' turned out to be a god, which pleased him greatly. His doctrine here is that every human soul is a spectrum of possible levels of life, on any of which one may choose to live (each of us is an 'intellectual cosmos', [ch. 3](#)), and, whatever level one chooses, the next one above that serves as one's daimon (a sort of superego). If one lives well, one may live at a higher level in the next life, and then the level of one's daimon will accordingly rise, until for the perfect sage the daimon is the One itself ([ch. 6](#)). Important Platonic texts on the personal daimon are the myth of Republic X (620DE) and the end of the Timaeus (90A).

1. Some Existents (Absolute Unity and Intellectual-Principle) remain at rest while their Hypostases, or Expressed-Ideas, come into being; but, in our view,³⁶ the Soul generates by its motion – generates the sensitive faculty considered as Expression-Form and the faculty of growth in which Soul extends to the vegetable order. Even as it is present in human beings the Soul carries this faculty of growth with it, but it is not the dominant since it is not the whole man: in the vegetable order it is the highest since there is nothing to rival it; but at this phase it is no longer reproductive, or, at least, what it produces is of quite another order; here life ceases; all later production is lifeless.

What does this imply?

Everything the Soul engenders down to this point (of sheer lifelessness) comes into being shapeless, and takes form by orientation towards its author and supporter: therefore (and even more certainly) the thing engendered on the further side can be no image of the Soul, since it is not even alive; it must be an utter Indetermination. No doubt even in things of the nearer order there was indetermination, but within a form; they were undetermined not utterly but only in contrast with their perfect state: at this extreme point we have the utter lack of determination. Let it be raised to its highest degree and it becomes body by taking such shape as serves its scope; then it becomes the recipient of its author and sustainer: this presence in body is the only example of the boundaries of Higher Existents running into the boundary of the Lower.

2. It is of this Soul especially that we read ‘All Soul has care for the Soulless’³⁷ – though the several souls thus care in their own degree and way. The passage continues – ‘Soul passes through the entire heavens in forms varying with the variety of place’ – the sensitive form, the reasoning form, even the vegetative form – and this means that in each ‘place’ the phase of the Soul there dominant carries out its own ends while the rest, not present there, is idle.

Now, in humanity the lower is not supreme; it is an accompaniment; but neither does the better rule unfailingly; the lower element also has a footing, and Man, therefore, lives in part under sensation, for he has the organs of sensation, and in large part even by the merely vegetative principle, for the body grows and propagates: all the graded phases are in a collaboration, but the entire form, man, takes rank by the dominant, and when the life-principle leaves the body it is what it is, what it most intensely lived.

This is why we must break away towards the High:³⁸ we dare not keep ourselves set towards the sensuous principle, following the

images of sense, or towards the merely vegetative, intent upon the gratifications of eating and procreation; our life must be pointed towards the Intellective, towards the Intellectual-Principle, towards God.

Those that have maintained the human level are men once more. Those that have lived wholly to sense become animals ³⁹ – corresponding in species to the particular temper of the life – ferocious animals where the sensuality has been accompanied by a certain measure of spirit, gluttonous and lascivious animals where all has been appetite and satiation of appetite. Those who in their pleasures have not even lived by sensation, but have gone their way in a torpid grossness become mere growing things, for only or mainly the vegetative principle was active in them, and such men have been busy be-treeing themselves. Those, we read, that, otherwise untainted, have loved song become vocal animals; kings ruling unreasonably but with no other vice are eagles; futile and flightly visionaries ever soaring skyward, become high-flying birds; observance of civic and secular virtue makes man again, or where the merit is less marked, one of the animals of communal tendency, a bee or the like.

3. What, then, is the spirit⁴⁰ (guiding the present life and determining the future?)

The Spirit of here and now.

And the God?

The God of here and now.

Spirit, God – this in act within us conducts every life; for, even here and now, it is the dominant of our nature.

That is to say that the dominant is the spirit which takes possession of the human being at birth?⁴¹

No: the dominant is the Prior of the individual spirit; it presides inoperative while its secondary acts: so that if the acting force is that of men of the sense-life, the tutelary spirit is the Rational

Being, while if we live by that Rational Being, our tutelary spirit is the still higher Being, not directly operative but assenting to the working principle. The words 'You shall yourselves choose (your presiding spirit)'⁴² are true, then; for by our life we elect our own loftier.

But how does this spirit come to be the determinant of our fate?

It is not when the life is ended that it conducts us here or there; it operates during the lifetime; when we cease to live, our death hands over to another principle this energy of our own personal career.

That principle (of the new birth) strives to gain control, and if it succeeds it also lives and itself, in turn, possesses a guiding spirit (its next higher): if on the contrary it is weighed down by the developed evil in the character, the spirit of the previous life pays the penalty: the evil-liver loses grade because during his life the active principle of his being took the tilt towards the brute by force of affinity. If, on the contrary, the Man is able to follow the leading of his higher spirit, he rises: he lives that spirit; that noblest part of himself to which he is being led becomes sovereign in his life; this made his own, he works for the next above until he has attained the height.

For the Soul is many things, is all, is the Above and the Beneath to the totality of life: and each of us is an Intellectual Cosmos, linked to this world by what is lowest in us, but, by what is the highest, to the Divine Intellect: by all that is intellective we are permanently in that higher realm, but at the fringe of the Intellectual we are fettered to the lower; it is as if we gave forth from it some emanation towards that lower, or rather some Act, which however leaves our diviner part not in itself diminished.

4. But is this lower extremity of our intellective phase fettered to body for ever?

No: if we turn, this turns by the same act.

And the Soul of the All – are we to think that when it turns from this sphere its lower phase similarly withdraws?

No: for it never accompanied that lower phase of itself; it never knew any coming, and therefore never came down; it remains unmoved above, and the material frame of the Universe draws close to it, and, as it were, takes light from it, no hindrance to it, in no way troubling it, simply lying unmoved before it.

But has the Universe, then, no sensation? ‘It has no Sight’, we read,⁴³ since it has no eyes, and obviously it has not ears, nostrils, nor tongue. Then has it perhaps such a consciousness as we have of our own inner conditions?

No: where all is the working out of one nature, there is nothing but still rest; there is not even enjoyment. Sensibility is present as the quality of growth is, unrecognized. But the Nature of the World will be found treated elsewhere; what stands here is all that the question of the moment demands.

5. But if the presiding Spirit and the conditions of life are chosen by the Soul in the over-world, how can anything be left to our independent action here?

The answer is that that very choice in the over-world is merely an allegorical statement of the Soul’s tendency and temperament, a total character which it must express wherever it operates.

But if the tendency of the Soul is the master-force and, in the Soul, the dominant is that phase which has been brought to the fore by a previous history, then the body stands acquitted of any bad influence upon it? The Soul’s quality exists before any bodily life; it has exactly what it chose to have; and, we read,⁴⁴ it never changes its chosen spirit; therefore neither the good man nor the bad is the product of this life?

Is the solution, perhaps, that man is potentially both good and bad but becomes the one or the other by force of act?

But what if a man temperamentally good happens to enter a

disordered body, or if a perfect body falls to a man naturally vicious?

The answer is that the Soul, to whichever side it inclines, has in some varying degree the power of working the forms of body over to its own temper, since outlying and accidental circumstances cannot overrule the entire decision of a soul. Where we read that,⁴⁵ after the casting of lots, the sample lives are exhibited with the casual circumstances attending them and that the choice is made upon vision, in accordance with the individual temperament, we are given to understand that the real determination lies with the Souls, who adapt the allotted conditions to their own particular quality.

The Timaeus⁴⁶ indicates the relation of this guiding spirit to ourselves: it is not entirely outside of ourselves; is not bound up with our nature; is not the agent of our action; it belongs to us as belonging to our Soul, but not in so far as we are particular human beings living a life to which it is superior: take the passage in this sense and it is consistent; understand this spirit otherwise and there is contradiction. And the description of the spirit, moreover, ‘the power which consummates the chosen life’,⁴⁷ is, also, in agreement with this interpretation; for while its presidency saves us from falling much deeper into evil, the only direct agent within us is some thing neither above it nor equal to it but under it: Man cannot cease to be characteristically Man.⁴⁸

6. What, then, is the achieved Sage?

One whose Act is determined by the higher phase of the Soul.

It does not suffice to perfect virtue to have only this spirit (equivalent in all men) as co-operator in the life: the acting force in the Sage is the Intellective Principle (the diviner phase of the human Soul) which therefore is itself his presiding spirit or is guided by a presiding spirit of its own, no other than the very Divinity.

But this exalts the Sage above the Intellectual Principle as possessing for presiding spirit the Prior to the Intellectual Principle: how then does it come about that he was not, from the very beginning, all that he now is?

The failure is due to the disturbance caused by birth⁴⁹ – though, before all reasoning, there exists the instinctive movement reaching out towards its own.

An instinct which the Sage finally rectifies in every respect?

Not in every respect: the Soul is so constituted that its life-history and its general tendency will answer not merely to its own nature but also to the conditions among which it acts.

The presiding spirit, as we read,⁵⁰ conducting a soul to the Underworld ceases to be its guardian – except when the soul resumes (in its later choice) the former state of life.

But, meanwhile, what happens to it?⁵¹

From the passage (in the Phaedo) which tells how it presents the soul to judgement we gather that after the death it resumes the form it had before the birth, but that then, beginning again, it is present to the souls in their punishment in the period before their renewed life – a time not so much of living as of expiation.

But the souls that enter into brute bodies, are they controlled by some thing less than this presiding spirit? No: theirs is still a spirit, but an evil or a foolish one.

And the souls that attain to the highest?

Of these higher souls some live in the world of Sense, some above it: and those in the world of Sense inhabit the sun or another of the planetary bodies;⁵² the others occupy the fixed sphere (above the planetary) holding the place they have merited through having lived here the superior life of reason.

We must understand that, while our souls do contain an Intellectual Cosmos, they also contain a subordination of various forms like that of the Cosmic Soul. The World Soul⁵³ is distributed so as to produce the fixed sphere and the planetary circuits

corresponding to its graded powers: so with our souls; they must have their provinces according to their different powers, parallel to those of the World Soul: each must give out its own special act; released, each will inhabit there a star consonant with the temperament and faculty in act within and constituting the principle of the life; and this star or the next highest power will stand to them as God or more exactly as tutelary spirit.

But here some further precision is needed.

Emancipated souls, for the whole period of their sojourn there above, have transcended the Spirit-nature and the entire fatality of birth and all that belongs to this visible world, for they have taken up with them that Hypostasis of the Soul in which the desire of earthly life is vested. This Hypostasis may be described as the distributable Soul,⁵⁴ for it is what enters bodily forms and multiplies itself by this division among them. But its distribution is not (arithmetical, not) a matter of magnitudes; wherever it is present, there is the same thing present entire; its unity can always be reconstructed: when living things – animal or vegetal – (distribute themselves and) produce their constant succession of new forms, they do so in virtue of the self-distribution of this phase of the Soul, for it must be as much distributed among the new forms as the propagating originals are. In some cases it communicates its force by permanent presence – the life principle in plants for instance; in other cases it withdraws after imparting its virtue – for instance where from the putridity of dead animal or vegetable matter a multitudinous birth is produced from one organism.

A power corresponding to this in the All must reach down and co-operate in the life of our world – in fact the very same power.

If the Soul returns to this Sphere it finds itself under the same spirit or a new, according to the life it is to live. With this spirit it embarks in the skiff of the universe: the ‘spindle of Necessity’⁵⁵ then takes control and appoints the seat for the voyage, the seat of the lot in life.

The Universal circuit is like a breeze, and the voyager, still or stirring, is carried forward by it. He has a hundred varied experiences, fresh sights, changing circumstances, all sorts of events. The vessel itself furnishes incident, tossing as it drives on. And the voyager also acts of himself in virtue of that individuality which he retains because he is on the vessel in his own person and character. Under identical circumstances individuals answer very differently in their movements and desires and acts: hence it comes about that, be the occurrences and conditions of life similar or dissimilar, the result may differ from man to man, as on the other hand a similar result may be produced by dissimilar conditions: this (force of circumstance) it is that constitutes destiny.

FIFTH TRACTATE



LOVE [50]

SUMMARY

This late treatise is the nearest thing we have in Plotinus' work to the connected exegesis of a myth – though even this is not very systematic, and the myth is a Platonic one, that of the birth of Eros in the Symposium (203Bff.). It constitutes an important statement of Plotinus' doctrine on Love, both the affection in the soul and the metaphysical reality (ch. 2–5), as well as on daemons in general (ch. 6–7), and on the interpretation of myths (ch. 9).

1. What is Love? A God, a Celestial Spirit, a state of mind? Or is it, perhaps, sometimes to be thought of as a God or Spirit and sometimes merely as an experience? And what is it essentially in each of these respects?

These important questions make it desirable to review prevailing opinions on the matter, the philosophical treatment it has received and, especially, the theories of the great Plato who has many passages dealing with Love, from a point of view entirely his own.

Plato does not treat of it as simply a state observed in souls; he also makes it a Spirit-being; so that we read of the birth of Eros,⁵⁶ under definite circumstances and by a certain parentage.

Now everyone recognizes that the emotional state for which we make this 'Love' responsible rises in souls aspiring to be knit in the closest union with some beautiful object, and that this aspiration takes two forms, that of the good whose devotion is for beauty itself, and that other which seeks its consummation in some vile

act. But this generally admitted distinction opens a new question: we need a philosophical investigation into the origin of the two phases.

It is sound, I think, to find the primal source of Love in a tendency of the Soul towards pure beauty, in a recognition, in a kinship, in an unreasoned consciousness of friendly relation. The vile and ugly is in clash, at once, with Nature and with God: Nature produces by looking to the Good, for it looks towards Order – which has its being in the consistent total⁵⁷ of the good, while the unordered is ugly, a member of the system of evil – and besides, Nature itself, clearly, springs from the divine realm, from Good and Beauty; and when anything brings delight and the sense of kinship, its very image attracts.

Reject this explanation, and no one can tell how the mental state rises and what are its causes: it is the explanation of even copulative love, which is the will to beget in beauty;⁵⁸ Nature seeks to produce the beautiful and therefore by all reason cannot desire to procreate in the ugly.

Those that desire earthly procreation are satisfied with the beauty found on earth, the beauty of image and of body; it is because they are strangers to the Archetype, the source of even the attraction they feel towards what is lovely here. There are souls to whom earthly beauty is a leading to the memory of that in the higher realm and these love the earthly as an image; those that have not attained to this memory do not understand what is happening within them, and take the image for the reality. Once there is perfect self-control, it is no fault to enjoy the beauty of earth; where appreciation degenerates into carnality, there is sin.

Pure Love seeks the beauty alone, whether there is Reminiscence or not; but there are those that feel, also, a desire of such immortality as lies within mortal reach; and these are seeking Beauty in their demand for perpetuity, the desire of the eternal; Nature teaches them to sow the seed and to beget in beauty, to sow towards eternity, but in beauty through their own kinship with the

beautiful. And indeed the eternal is of the one stock with the beautiful, the Eternal-Nature is the first shaping of beauty and makes beautiful all that rises from it.

The less the desire for procreation, the greater is the contentment with beauty alone, yet procreation aims at the engendering of beauty; it is the expression of a lack; the subject is conscious of insufficiency and, wishing to produce beauty, feels that the way is to beget in a beautiful form. Where the procreative desire is lawless or against the purposes of nature, the first inspiration has been natural, but they have diverged from the way, they have slipped and fallen, and they grovel; they neither understand whither Love sought to lead them nor have they any instinct to production; they have not mastered the right use of the images of beauty; they do not know what the Authentic Beauty is.

Those that love beauty of person without carnal desire love for beauty's sake; those that have – for women, of course – the copulative love, have the further purpose of self-perpetuation: as long as they are led by these motives, both are on the right path, though the first have taken the nobler way. But, even in the right, there is the difference that the one set, worshipping the beauty of earth, look no further, while the others, those of recollection, venerate also the beauty of the other world while they, still, have no contempt for this in which they recognize, as it were, a last outgrowth, an attention of the higher. These, in sum, are innocent frequenters of beauty, not to be confused with the class to whom it becomes an occasion of fall into the ugly – for the aspiration towards a good degenerates into an evil often.

So much for love, the state.

Now we have to consider Love, the God.

2. The existence of such a being is no demand of the ordinary man, merely; it is supported by Theologians (Orphic teachers) and, over and over again, by Plato to whom Eros is child of Aphrodite,⁵⁹ minister of beautiful children, inciter of human souls towards the supernal beauty or quickener of an already existing impulse thither.

All this requires philosophical examination. A cardinal passage is that in *The Banquet*⁶⁰ where we are told Eros was not a child of Aphrodite but born on the day of Aphrodite's birth, Penia, Poverty, being the mother, and Poros, Possession, the father.

The matter seems to demand some discussion of Aphrodite since in any case Eros is described as being either her son or in some association with her. Who then is Aphrodite, and in what sense is Love either her child or born with her or in some way both her child and her birth-fellow?

To us Aphrodite is twofold;⁶¹ there is the heavenly Aphrodite, daughter of Ouranos or Heaven: and there is the other the daughter of Zeus and Dione, this is the Aphrodite who presides over earthly unions; the higher was not born of a mother and has no part in marriages, for in Heaven there is no marrying.

The Heavenly Aphrodite, daughter of Kronos (Saturn) – who is no other than the Intellectual Principle – must be the Soul at its divinest: unmingled as the immediate emanation of the unmingled; remaining ever Above, as neither desirous nor capable of descending to this sphere, never having developed the downward tendency, a divine Hypostasis essentially aloof, so unreservedly an Authentic Being as to have no part with Matter – and therefore mythically ‘the unmothered’ – justly called not Celestial Spirit but God, as knowing no admixture, gathered cleanly within itself.

Any nature springing directly from the Intellectual Principle must be itself also a clean thing: it will derive a resistance of its own from its nearness to the Highest, for all its tendency, no less than its fixity, centres upon its author whose power is certainly sufficient to maintain it Above.

Soul then could never fall from its sphere; it is closer held to the divine Mind than the very sun could hold the light it gives forth to radiate about it, an outpouring from itself held firmly to it, still.

But following upon Kronos – or, if you will, upon Heaven (Ouranos),⁶² the father of Kronos – the Soul directs its Act towards him and holds closely to him and in that love brings forth the Eros

through whom it continues to look towards him. This Act of the Soul has produced an Hypostasis, a Real-Being; and the mother and this Hypostasis – her offspring, noble Love – gaze together upon Divine Mind. Love, thus, is ever intent upon that other loveliness, and exists to be the medium between desire and that object of desire. It is the eye of the desirer; by its power what loves is enabled to see the loved thing. But it is first; before it becomes the vehicle of vision, it is itself filled with the sight; it is first, therefore, and not even in the same order – for desire attains to vision only through the efficacy of Love, while Love, in its own Act, harvests the spectacle of beauty playing immediately above it.

3. That Love is a Hypostasis (a ‘Person’),⁶³ a Real-Being sprung from a Real-Being – lower than the parent but authentically existent – is beyond doubt.

For the parent-Soul was a Real-Being sprung directly from the Act of the Hypostasis that ranks before it: it had life; it was a constituent in the Real-Being of all that authentically is – in the Real-Being which looks, rapt, towards the very Highest. That was the first object of its vision; it looked towards it as towards its good, and it rejoiced in the looking; and the quality of what it saw was such that the contemplation could not be void of effect; in virtue of that rapture, of its position in regard to its object, of the intensity of its gaze, the Soul conceived and brought forth an offspring worthy of itself and of the vision. Thus; there is a strenuous activity of contemplation in the Soul; there is an emanation towards it from the object contemplated; and Eros is born, the Love which is an eye filled with its vision, a seeing that bears its image with it; Eros taking its name, probably, from the fact that its essential being is due to this ὄρασις⁶⁴ this seeing. Of course Love, as an emotion, will take its name from Love, the Person, since a Real-Being cannot but be prior to what lacks this reality. The mental state will be designated as Love, like the Hypostasis, though it is no more than a particular act directed towards a particular object; but it must not be confused with the Absolute Love, the Divine Being. The Eros that

belongs to the supernal Soul must be of one temper with it; it must itself look aloft as being of the household of that Soul, dependent upon that Soul, its very offspring; and therefore caring for nothing but the contemplation of the Gods.

Once that Soul which is the primal source of light to the heavens is recognized as an Hypostasis standing distinct and aloof, it must be admitted that Love too is distinct and aloof. To describe the Soul as 'celestial' is not to question its separateness (or immateriality); our own best we conceive as inside ourselves and yet something apart. So, we must think of this Love – as essentially resident where the unmingling Soul inhabits.

But besides this purest Soul, there must be also a Soul of the All: at once there is another Love – the eye with which this second Soul looks upwards – like the supernal Eros engendered by force of desire. This Aphrodite, the secondary Soul, is of this Universe – not Soul unmingled alone, not Soul the Absolute – giving birth, therefore, to the Love concerned with the universal life; no, this is the Love presiding over marriages; but it, also, has its touch of the upward desire; and, in the degree of that striving, it stirs and leads upwards the souls of the young and every soul with which it is incorporated in so far as there is a natural tendency to remembrance of the divine. For every soul is striving towards The Good, even the mingling Soul and that of particular beings, for each holds directly from the divine Soul, and is its offspring.

4. Does each individual Soul, then, contain within itself such a Love in essence and substantial reality?

Since not only the pure All-Soul but also that of the Universe contains such a Love, it would be difficult to explain why our personal Soul should not. It must be so, even, with all that has life.

This indwelling love is no other than the Spirit which, as we are told, walks with every being,⁶⁵ the affection dominant in each several nature. It implants the characteristic desire; the particular Soul, strained towards its own natural objects, brings forth its own

Eros, the guiding spirit realizing its worth and the quality of its Being.

As the All-Soul contains the Universal Love, so must the single Soul be allowed its own single Love: and as closely as the single Soul holds to the All-Soul,⁶⁶ never cut off but embraced within it, the two together constituting one principle of life, so the single separate Love holds to the All-Love. Similarly, the individual Love keeps with the individual Soul as that other, the great Love, goes with the All-Soul; and the Love within the All permeates it throughout so that the one Love becomes many, showing itself where it chooses at any moment of the Universe, taking definite shape in these its partial phases and revealing itself at its will.

In the same way we must conceive many Aphrodites in the All, Spirits entering it together with Love, all emanating from an Aphrodite of the All, a train of particular Aphrodites dependent upon the first, and each with the particular Love in attendance: this multiplicity cannot be denied, if Soul be the mother of Love, and Aphrodite mean Soul, and Love be an act of a Soul seeking good.

This Love, then, leader of particular souls to The Good, is twofold: the Love in the loftier Soul would be a god ever linking the Soul to the divine; the Love in the mingling Soul will be a celestial spirit.

5. But what is the nature of this Spirit – of the Celestials (Daimones) in general?

The Spirit-Kind is treated in the Symposium where, with much about the others, we learn of Eros – Love – born to Penia – Poverty – and Poros – Possession – who is son of Metis – Resource – at Aphrodite's birth feast.

But (the passage has been misunderstood⁶⁷ for) to take Plato as meaning, by Eros, this Universe – and not simply the Love native within it – involves much that is self-contradictory.

For one thing, the universe is described as a blissful god⁶⁸ and as self-sufficing, while this 'Love' is confessedly neither divine nor self-

sufficing but in ceaseless need.

Again, this Cosmos is a compound of body and soul; but Aphrodite to Plato is the Soul itself, therefore Aphrodite would necessarily be a constituent part of Eros, (not mother but) dominant member! A man is the man's Soul; if the world is, similarly, the world's Soul, then Aphrodite, the Soul, is identical with Love, the Cosmos! And why should this one spirit, Love, be the Universe to the exclusion of all the others, which certainly are sprung from the same Essential-Being? Our only escape would be to make the Cosmos a complex of Celestials.

Love, again, is called the Dispenser of beautiful children:[68a](#) does this apply to the Universe? Love is represented as homeless, bedless, and bare-footed:[69](#) would not that be a shabby description of the Cosmos and quite out of the truth?

6. What then, in sum, is to be thought of Love and of his 'birth' as we are told of it?

Clearly we have to establish the significance, here, of Poverty and Possession, and show in what way the parentage is appropriate: we have also to bring these two into line with the other Celestials,[70](#) since one spirit nature, one spirit essence, must characterize all unless they are to have merely a name in common.

We must, therefore, lay down the grounds on which we distinguish the Gods from the Celestials – that is, when we emphasize the separate nature of the two orders and are not, as often in practice, including these Spirits under the common name of Gods.

It is our teaching and conviction that the Gods are immune to all passion, while we attribute experience and emotion to the Celestials which, though eternal Beings and directly next to the Gods, are already a step towards ourselves and stand between the divine and the human.

But by what process (of degeneration) was the immunity lost? What in their nature led them downwards to the inferior?

And other questions present themselves.

Does the Intellectual Realm include no member of this spirit order, not even one? And does the Cosmos contain only these spirits, God being confined to the Intellectual? Or are there Gods in the sub-celestial too, the Cosmos itself being a God, the third, as is commonly said,⁷¹ and the Powers down to the Moon being all Gods as well?

It is best not to use the word 'Celestial' of any Being of that Realm; the word 'God' may be applied to the Essential-Celestial – the auto-daimon, if he exists – and even to the Visible Powers of the Universe of Sense down to the Moon; Gods, these too, visible, secondary, sequent upon the Gods of the Intellectual Realm, consonant with Them, held about Them, as the radiance about the star.

What, then, are these spirits?

A Celestial is the representative generated by each Soul when it enters the Cosmos.

And why, by a Soul entering the Cosmos?

Because Soul pure of the Cosmos generates not a Celestial Spirit but a God; hence it is that we have spoken of Love, offspring of Aphrodite the Pure Soul, as a God.

But, first, what prevents every one of the Celestials from being an Eros, a Love? And why are they not untouched by Matter like the Gods?

On the first question: every Celestial born in the striving of the Soul towards the good and beautiful is an Eros; and all the souls within the Cosmos do engender this Celestial; but other Spirit-Beings, equally born from the Soul of the All, but by other faculties of that Soul, have other functions: they are for the direct service of the All, and administer particular things to the purpose of the Universe entire. The Soul of the All must be adequate to all that is and therefore must bring into being spirit powers serviceable not merely in one function but to its entire charge.

But what participation can the Celestial have in Matter, and in what Matter?

Certainly none in bodily Matter; that would make them simply living things of the order of sense. And if, even, they are to invest themselves in bodies of air or of fire, their nature must have already been altered before they could have any contact with the corporeal. The Pure does not mix, unmediated, with body – though many think that the Celestial-Kind, of its very essence, comports a body aerial or of fire.

But (since this is not so) why should one order of Celestial descend to body and another not? The difference implies the existence of some cause or medium working upon such as thus descend. What would constitute such a medium?

We are forced to assume that there is a Matter of the Intellectual Order,⁷² and that Beings partaking of it are thereby enabled to enter into the lower Matter, the corporeal.

7. This is the significance of Plato's account of the birth of Love.

The drunkenness of the father Poros or Possession is caused by Nectar, 'wine yet not existing'; Love is born before the realm of sense has come into being: Penia (Poverty) had participation in the Intellectual before the lower image of that divine Realm had appeared; she dwelt in that Sphere, but as a mingled being consisting partly of Form but partly also of that indetermination which belongs to the Soul before she attains the Good and when all her knowledge of Reality is a fore-intimation veiled by the indeterminate and unordered: in this state (of fore-feeling and desiring The Good) Poverty brings forth the Hypostasis, Love.

This, then, is a union of Reason with something that is not Reason but a mere indeterminate striving in a being not yet illuminated: the offspring Love, therefore, is not perfect, not self-sufficient, but unfinished, bearing the signs of its parentage, the undirected striving and the self-sufficient Reason. This offspring is a Reason-Principle but not purely so; for it includes within itself an

aspiration ill-defined, unreasoned, unlimited – it can never be sated as long as it contains within itself that element of the Indeterminate. Love, then, clings to the Soul, from which it sprang as from the principle of its Being, but it is lessened by including an element of the Reason-Principle which did not remain self-concentrated but blended with the indeterminate, not, it is true, by immediate contact but through its emanation. Love, therefore, is like a goad;⁷³ it is without resource in itself; even winning its end, it is poor again.

It cannot be satisfied because a thing of mixture never can be so: true satisfaction is only for what has its plenitude in its own being; where craving is due to an inborn deficiency, there may be satisfaction at some given moment but it does not last. Love, then, has on the one side the powerlessness of its native inadequacy, on the other the resource inherited from the Reason-Kind.

Such must be the nature and such the origin of the entire Spirit Order: each – like its fellow, Love – has its appointed sphere, is powerful there, and wholly devoted to it, and, like Love, none is ever complete of itself but always straining towards some good which it sees in things of the partial sphere.

We understand, now, why good men have no other Love – no other Eros of life – than that for the Absolute and Authentic Good, and never follow the random attractions known to those ranged under the lower Spirit Kind.

Each human being is set under his own Spirit-Guides, but this is mere blank possession when they ignore their own and live by some other spirit adopted by them as more closely attuned to the operative part of the Soul in them. Those that go after evil are natures that have merged all the Love-Principles within them in the evil desires springing in their hearts and allowed the right reason, which belongs to our kind, to fall under the spell of false ideas from another source.

All the natural Loves, all that serve the ends of Nature, are good;

in a lesser Soul, inferior in rank and in scope; in the greater Soul, superior; but all belong to the order of Being. Those forms of Love that do not serve the purposes of Nature are merely accidents attending on perversion: in no sense are they Real-Beings or even manifestations of any Reality; for they are no true issue of Soul; they are merely accompaniments of a spiritual flaw which the Soul automatically exhibits in the total of disposition and conduct.

In a word; all that is truly good in a Soul acting to the purposes of nature and within its appointed order, all this is Real-Being: anything else is alien, no act of the Soul, but merely something that happens to it: a parallel may be found in false mentation, notions behind which there is no reality as there is in the case of authentic ideas, the eternal, the strictly defined, in which there is at once an act of true knowing, a truly knowable object and authentic existence – and this not merely in the Absolute, but also in the particular being that is occupied by the authentically knowable and by the Intellectual-Principle manifest in every several form. In each particular human being we must admit the existence of the authentic Intellective Act and of the authentically knowable object – though not as wholly merged into our being, since we are not these in the absolute and not exclusively these.

It follows that Love, like our intellectual activities, is concerned with absolute things: if we sometimes are for the partial, that affection is not direct but accidental, like our knowledge that a given triangular figure is made up of two right angles because the absolute triangle is so.⁷⁴

8. But what are we to understand by this Zeus with the garden into which, we are told, Poros or Wealth entered?⁷⁵ And what is the garden?

We have seen that the Aphrodite of the Myth is the Soul and that Poros, Wealth, is the Reason-Principle of the Universe: we have still to explain Zeus and his garden.

We cannot take Zeus to be the Soul, which we have agreed is

represented by Aphrodite.

Plato, who must be our guide in this question, speaks in the *Phaedrus*⁷⁶ of this God, Zeus, as the Great Leader – though elsewhere⁷⁷ he seems to rank him as one of three – but in the *Philebus*⁷⁸ he speaks more plainly when he says that there is in Zeus not only a royal Soul, but also a royal Intellect.

As a mighty Intellect and Soul, he must be a principle of Cause; he must be the highest for several reasons but especially because to be King and Leader is to be the chief cause: Zeus then is the Intellectual Principle. Aphrodite, his daughter, issue of him, dwelling with him, will be Soul, her very name Aphrodite (= the ἄβρᾶ, delicate) indicating the beauty and gleam and innocence and delicate grace of the Soul.

And if we take the male gods to represent the Intellectual Powers and the female gods to be their souls – to every Intellectual Principle its companion Soul – we are forced, thus also, to make Aphrodite the Soul of Zeus; and the identification is confirmed by Priests and Theologians who consider Aphrodite and Hera one and the same and call Aphrodite's star ('Venus') the star of Hera.⁷⁹

9. This Poros, Possession, then, is the Reason-Principle of all that exists in the Intellectual Realm and in the supreme Intellect; but being more diffused, kneaded out as it were, it must touch Soul, be in Soul (as the next lower principle).

For, all that lies gathered in the Intellect is native to it: nothing enters from without; but 'Poros intoxicated' is some Power deriving satisfaction outside itself: what, then, can we understand by this member of the Supreme filled with Nectar but a Reason-Principle falling from a loftier essence to a lower? This means that the Reason-Principle upon 'the birth of Aphrodite' left the Intellectual for the Soul, breaking into the garden of Zeus.

A garden is a place of beauty and a glory of wealth: all the loveliness that Zeus maintains takes its splendour from the Reason-Principle within him; for all this beauty is the radiation of the

Divine Intellect upon the Divine Soul, which it has penetrated. What could the Garden of Zeus indicate but the images of his Being and the splendours of his glory? And what could these divine splendours and beauties be but the Reason-Principles streaming from him?

These Reason-Principles – this Poros who is the lavishness, the abundance of Beauty – are at one and are made manifest; this is the Nectar-drunkenness. For the Nectar of the gods can be no other than what the god-nature receives from outside itself, and that whose place is after the divine Mind (namely, Soul) receives a Reason-Principle.

The Intellectual Principle possesses itself to satiety, but there is no ‘drunken’ abandonment in this possession which brings nothing alien to it. But the Reason-Principle – as its offspring, a later hypostasis – is already a separate Being and established in another Realm, and so is said to lie in the garden of this Zeus who is divine Mind; and this lying in the garden takes place at the moment when, in our way of speaking, Aphrodite enters the realm of Being.

‘Our way of speaking’⁸⁰ – for myths, if they are to serve their purpose, must necessarily import time-distinctions into their subject and will often present as separate, Powers which exist in unity but differ in rank and faculty; and does not philosophy itself relate the births of the unbegotten and discriminate where all is one substance? The truth is conveyed in the only manner possible; it is left to our good sense to bring all together again.

On this principle we have, here, Soul (successively) dwelling with the divine Intelligence, breaking away from it, and yet again being filled to satiety with Reason-Principles – the beautiful abounding in all plenty, so that every splendour become manifest in it with the images of whatever is lovely – Soul which, taken as one all, is Aphrodite, while in it may be distinguished the Reason-Principles summed under the names of Plenty and Possession, produced by the downflow of the Nectar of the over realm. The splendours contained in Soul are thought of as the garden of Zeus

with reference to their existing within Life; and Poros sleeps in this garden in the sense of being sated and heavy with its produce. Life is eternally manifest, an eternal existent among the existences, and the banqueting of the gods means no more than that they have their Being in that vital blessedness. And Love – ‘born at the banquet of the gods’ – has of necessity been eternally in existence, for it springs from the intention of the Soul towards its Best, towards the Good; as long as Soul has been, Love has been.

Still this Love is of mixed quality. On the one hand there is in it the lack which keeps it craving; on the other, it is not entirely destitute; the deficient seeks more of what it has, and certainly nothing absolutely void of good would ever go seeking the Good.

It is said then to spring from Poverty and Possession in the sense that Lack and Aspiration and the Memory of the Reason-Principles, all present together in the Soul, produce that Act towards The Good which is Love. Its Mother is Poverty, since striving is for the needy; and this Poverty is Matter, for Matter is the wholly poor: the very ambition towards the Good is a sign of existing indetermination; there is a lack of shape and of Reason in that which must aspire towards the Good, and the greater degree of indetermination implies the lower depth of materiality. To the thing aspiring the Good is an Ideal-Principle distinct and unchanging, and aspiration prepares that which would receive the Good to offer itself as Matter to the incoming power.

Thus Love is, at once, in some degree a thing⁸¹ of Matter and at the same time a Celestial sprung of the Soul’s unsatisfied longing for The Good.

SIXTH TRACTATE



THE IMPASSIVITY OF THE UNEMBODIED [26]

SUMMARY

This treatise, which immediately precedes the major essay on Problems of the Soul (IV. 3–4), is concerned with impassivity (apatheia) in two connexions, first as regards the soul (ch. 1–5) and then as regards Matter (ch. 6–19). It is important to Plotinus that soul should be seen as entirely free from being affected or modified by external (bodily) influences. This leaves him with a difficulty about explaining the emotions, which he faces in ch. 3–4. He must argue that they really pertain to the body (as in a lyre the strings are affected, but not the melody). So with Matter; it is absolute non-being, and is not affected by its contents, and the images of the Forms that appear in it have no reality either (ch. 7). This leads to an important exegesis of Timaeus 50 BC (CH. 11–13), and a presentation of matter as the Poverty of the Symposium myth (ch. 14). The upshot of this is an emphasis on the illusory and dreamlike nature of the physical world.

1. In our theory, feelings are not states;⁸² they are action upon experience, action accompanied by judgement: the states, we hold, are seated elsewhere; they may be referred to the vitalized body; the judgement resides in the Soul, and is distinct from the state – for, if it is not distinct, another judgement is demanded, one that is distinct, and, so, we may be sent back for ever.

Still, this leaves it undecided whether in the act of judgement the judging faculty does or does not take to itself something of its object.

If (as is sometimes asserted) the judging faculty does actually

receive an imprint, then it partakes of the state – though what are called the Impressions may be of quite another nature than is supposed; they may be like Thought, that is to say they may be acts rather than states; there may be, here too, awareness without participation.

For ourselves, it could never be in our system – or in our liking – to bring the Soul down to participation in such modes and modifications as the warmth and cold of material frames.

What is known as the Impressionable faculty of the Soul – τὸ παθητικόν – would need to be identified: we must satisfy ourselves as to whether this too, like the Soul as a unity, is to be classed as immune or, on the contrary, as precisely the only part susceptible of being affected; this question, however, may be held over; we proceed to examine its preliminaries.

Even in the superior phase of the Soul – that which precedes the impressionable faculty and any sensation – how can we reconcile immunity with the indwelling of vice, false notions, ignorance? Inviolability; and yet likings and dislikings, the Soul enjoying, grieving, angry, grudging, envying, desiring, never at peace but stirring and shifting with everything that confronts it!

If the Soul were material and had magnitude, it would be difficult, indeed quite impossible, to make it appear to be immune, unchangeable, when any of such emotions lodge in it. And even considering it as an Authentic Being, devoid of magnitude and necessarily indestructible, we must be very careful how we attribute any such experiences to it or we will find ourselves unconsciously making it subject to dissolution. If its essence is a Number⁸³ or as we hold a Reason-Principle, under neither head could it be susceptible of feeling. We can think, only, that it entertains unreasoned reasons and experiences unexperienced, all transmuted from the material frames, foreign and recognized only by parallel, so that it possesses in a kind of non-possession and knows affection without being affected. How this can be demands inquiry.

2. Let us begin with virtue and vice in the Soul. What has really occurred when, as we say, vice is present? In speaking of extirpating evil and implanting goodness, of introducing order and beauty to replace a former ugliness, we talk in terms of real things in the Soul.

Now when we make virtue a harmony, and vice a breach of harmony, we accept an opinion approved by the ancients;^{83a} and the theory helps us decidedly to our solution. For if virtue is simply a natural concordance among the phases of the Soul, and vice simply a discord, then there is no further question of any foreign presence; harmony would be the result of every distinct phase or faculty joining in, true to itself; discord would mean that not all chimed in at their best and truest. Consider, for example, the performers in a choral dance; they sing together though each one has his particular part, and sometimes one voice is heard while the others are silent; and each brings to the chorus something of his own; it is not enough that all lift their voices together; each must sing, choicely, his own part to the music set for him. Exactly so in the case of the Soul; there will be harmony when each faculty performs its appropriate part.

Yes: but this very harmony constituting the virtue of the Soul must depend upon a previous virtue, that of each several faculty within itself; and before there can be the vice of discord there must be the vice of the single parts, and these can be bad only by the actual presence of vice as they can be good only by the presence of virtue. It is true that no presence is affirmed when vice is identified with ignorance in the reasoning faculty of the Soul; ignorance is not a positive thing; but in the presence of false judgements – the main cause of vice – must it not be admitted that something positive has entered into the Soul, something perverting the reasoning faculty? So, the initiative faculty; is it not, itself, altered as one varies between timidity and boldness? And the desiring faculty, similarly, as it runs wild or accepts control?

Our teaching is that when the particular faculty is sound it

performs the reasonable act of its essential nature, obeying the reasoning faculty in it which derives from the Intellectual Principle and communicates to the rest. And this following of reason is not the acceptance of an imposed shape; it is like using the eyes; the Soul sees by its act, that of looking towards reason. The faculty of sight in the performance of its act is essentially what it was when it lay latent; its act is not a change in it, but simply its entering into the relation that belongs to its essential character; it knows – that is, sees – without suffering any change: so, precisely, the reasoning phase of the Soul stands towards the Intellectual Principle; this it sees by its very essence; this vision is its knowing faculty; it takes in no stamp, no impression; all that enters it is the object of vision – possessed, once more, without possession; it possesses by the fact of knowing but ‘without possession’ in the sense that there is no incorporation of anything left behind by the object of vision, like the impression of the seal on sealing-wax.⁸⁴

And note that we do not appeal to stored-up impressions to account for memory:⁸⁵ we think of the mind awakening its powers in such a way as to possess something not present to it.

Very good: but is it not different before and after acquiring the memory?

Be it so; but it has suffered no change – unless we are to think of the mere progress from latency to actuality as change – nothing has been introduced into the mind; it has simply achieved the Act dictated by its nature.

It is universally true that the characteristic Act of immaterial entities is performed without any change in them – otherwise they would at last be worn away – theirs is the Act of the unmoving; where act means suffering change, there is Matter: an immaterial Being would have no ground of permanence if its very Act changed it.

Thus in the case of Sight, the seeing faculty is in act but the material organ alone suffers change: judgements (true or false, are

not changes within the Soul; they) are similar to visual experiences.

But how explain the alternation of timidity and daring in the initiative faculty?

Timidity would come by the failure to look towards the Reason-Principle or by finding it corrupted or by some defect in the organs of action – some lack or flaw in the bodily equipment – or by outside prevention of the natural act or by the mere absence of adequate stimulus: boldness would arise from the reverse conditions: neither implies any change, or even any experience, in the Soul.

So with the faculty of desire: what we call loose living is caused by its acting unaccompanied; it has done all of itself; the other faculties, whose business it is to make their presence felt in control and to point the right way, have lain in abeyance; the Seer in the Soul was occupied elsewhere, for, though not always at least sometimes, it has leisure for a certain degree of contemplation of other concerns.

Often, moreover, the vice of the desiring faculty will be merely some ill condition of the body, and its virtue, bodily soundness; thus there would again be no question of anything imported into the Soul.

3. But how do we explain likings and aversions?⁸⁶ Sorrow, too, and anger and pleasure, desire and fear – are these not changes, affecting, present and stirring within the Soul?

This question cannot be ignored. To deny that changes take place and are intensely felt is in sharp contradiction to obvious facts. But, while we recognize this, we must make very sure what it is that changes. To represent the Soul or Mind as being the seat of these emotions is not far removed from making it blush or turn pale; it is to forget that while the Soul or Mind is the means, the effect takes place in the distinct organism, the animated body.

At the idea of disgrace, the shame is in the Soul; but the body is occupied by the Soul – not to trouble about words – is, at any rate,

close to it and very different from soulless matter; and so, is affected in the blood, mobile in its nature. Fear begins in the mind; the pallor is simply the withdrawal of the blood inwards. So in pleasure, the elation is mental, but makes itself felt in the body; the purely mental phase has not reached the point of sensation: the same is true of pain. So desire is ignored in the Soul where the impulse takes its rise; what comes outward thence, the Sensibility knows.

When we speak of the Soul or Mind being moved – as in desire, reasoning, judging – we do not mean that it is driven into its act; these movements are its own acts.

In the same way when we call Life a movement we have no idea of a changing substance; the naturally appropriate act of each member of the living thing makes up the Life, which is, therefore, not a shifting thing.

To bring the matter to the point: put it that act, life, tendency, are no changements; that memories are not forms stamped upon the mind, that notions are not of the nature of impressions on sealing-wax; we thence draw the general conclusion that in all such states and movements the Soul, or Mind, is unchanged in substance and in essence, that virtue and vice are not something imported into the Soul – as heat and cold, blackness or whiteness are importations into body – but that, in all this relation, matter and spirit are exactly and comprehensively contraries.

4. We have, however, still to examine what is called the affective phase of the Soul. This has, no doubt, been touched upon above where we dealt with the nature of the various passions as grouped about the initiative phase of the Soul and the desiring faculty: but more is required; we must begin by forming a clear idea of what is meant by this affective faculty of the Soul.

In general terms it means the centre about which we recognize the affections to be grouped; and by affections we mean those states upon which follow pleasure and pain.

Now among these affections we must distinguish. Some are

pivoted upon judgements; thus, a man judging his death to be at hand may feel fear; foreseeing some fortunate turn of events, he is happy: the opinion lies in one sphere; the affection is stirred in another. Sometimes the affections take the lead and automatically bring in the notion which thus becomes present to the appropriate faculty: but as we have explained, an act of opinion does not introduce any change into the Soul or Mind: what happens is that from the notion of some impending evil is produced the quite separate thing, fear, and this fear, in turn, becomes known in that part of the Mind which is said under such circumstances to harbour fear.

But what is the action of this fear upon the Mind?

The general answer is that it sets up trouble and confusion before an evil anticipated.⁸⁷ It should, however, be quite clear that the Soul or Mind is the seat of all imaginative representation – both the higher representation which is not so much a judgement as a vague notion unattended by discrimination, something resembling the action by which, as is believed,⁸⁸ the ‘Nature’ of common speech produces, unconsciously, the objects of the partial sphere. It is equally certain that in all that follows upon the mental act or state, the disturbance, confined to the body, belongs to the sense-order; trembling, pallor, inability to speak, have obviously nothing to do with the spiritual portion of the being. The Soul, in fact, would have to be described as corporeal if it were the seat of such symptoms: besides, in that case the trouble would not even reach the body since the only transmitting principle, oppressed by sensation, jarred out of itself, would be inhibited.

None the less, there is an affective phase of the Soul or Mind and this is not corporeal; it can be, only, some kind of Ideal-form.

Now Matter is the one field of the desiring faculty, as of the principles of nutrition, growth, and engendering, which are root and spring to desire and to every other affection known to this Ideal-form. No Ideal-form can be the victim of disturbance or be in any way affected: it remains in tranquillity; only the Matter

associated with it can be affected by any state or experience induced by the movement which its mere presence suffices to set up. Thus the vegetal principle induces vegetal life but it does not, itself, pass through the process of vegetation; it gives growth but it does not grow; in no movement which it originates is it moved with the motion it induces; it is in perfect repose, or, at least, its movement, really its act, is utterly different from what it causes elsewhere.

The nature of an Ideal-form is to be, of itself, an activity; it operates by its mere presence: it is as if Melody itself plucked the strings. The affective phase of the Soul or Mind will be the operative cause of all affection; it originates the movement either under the stimulus of some sense-presentment or independently – and it is a question to be examined whether the judgement leading to the movement operates from above or not – but the affective phase itself remains unmoved like Melody dictating music. The causes originating the movement may be likened to the musician; what is moved is like the strings of his instrument, and, once more, the Melodic Principle itself is not affected, but only the strings, though, however much the musician desired it, he could not pluck the strings except under dictation from the principle of Melody.

5. But why have we to call in Philosophy to make the Soul immune if it is thus (like the Melodic Principle of our illustration) immune from the beginning?

Because representations attack it at what we call the affective phase and cause a resulting experience, a disturbance, to which disturbance is joined the image of threatened evil: this amounts to an affection and Reason seeks to extinguish it, to ban it as destructive to the well-being of the Soul which by the mere absence of such a condition is immune, the one possible cause of affection not being present.

Take it that some such affections have engendered appearances presented before the Soul or Mind from without but taken (for

practical purposes) to be actual experiences within it – then Philosophy’s task is like that of a man who wishes to throw off the shapes presented in dreams, and to this end recalls to waking condition the mind that is breeding them.

But what can be meant by the purification⁸⁹ of a Soul that has never been stained and by the separation of the Soul from a body to which it is essentially a stranger?

The purification of the Soul is simply to allow it to be alone; it is pure when it keeps no company; when it looks to nothing without itself; when it entertains no alien thoughts – be the mode or origin of such notions or affections what they may, a subject on which we have already touched – when it no longer sees in the world of image, much less elaborates images into veritable affections. Is it not a true purification to turn away towards the exact contrary of earthly things?

Separation, in the same way, is the condition of a soul no longer entering into the body to lie at its mercy; it is to stand as a light, set in the midst of trouble but unperturbed through all.

In the particular case of the affective phase of the Soul, purification is its awakening from the baseless visions which beset it, the refusal to see them; its separation consists in limiting its descent towards the lower and accepting no picture thence, and of course in the banning of all that it ignores when the pneuma (finer-body or spirit) on which it is poised is not turbid from gluttony and surfeit of impure flesh, but is a vehicle⁹⁰ so slender that the Soul may ride upon it in tranquillity.

6. That the Intellectual Essence, wholly of the order of Ideal-form, must be taken as impassive has been already established.⁹¹

But Matter also is an incorporeal,⁹² though after a mode of its own; we must examine, therefore, how this stands, whether it is passive, as is commonly held, a thing that can be twisted to every shape and kind, or whether it too must be considered impassive and in what sense and fashion so. But in engaging this question and

defining the nature of matter we must correct certain prevailing errors about the nature of the Authentic Existent, about Essence, about Being.

The Existent – rightly so called – is that which has authentic existence, that, therefore, which is existent completely, and therefore again, that which at no point fails in existence. Having existence perfectly, it needs nothing to preserve it in being; it is, on the contrary, the source and cause from which all that appears to exist derives that appearance. This admitted, it must of necessity be in life, in a perfect life: if it failed it would be more nearly the non-existent than the existent. But: the Being thus indicated is Intellect, is wisdom unalloyed. It is, therefore, determined and rounded off; it is nothing potentially that is not of the same determined order, otherwise (that is if it contained even potentially the undetermined) it would be in default.

Hence its eternity, its identity, its utter irreceptivity and impermeability. If it took in anything, it must be taking in something outside itself, that is to say Existence would at last include non-existence. But it must be Authentic Existence all through; it must, therefore, present itself equipped from its own stores with all that makes up Existence so that all stands together and all is one thing. The Existent (Real Being) must have thus much of determination: if it had not, then it could not be the source of the Intellectual Principle and of Life which would be importations into it originating in the sphere of non-Being; and Real Being would be lifeless and mindless; but mindlessness and lifelessness are the characteristics of non-being and must belong to the lower order, to the outer borders of the existent; for Intellect and Life rise from the Beyond-Existence (the Indefinable Supreme) – though Itself has no need of them – and are conveyed from It into the Authentic Existent.

If we have thus rightly described the Authentic Existent, we see that it cannot be any kind of body nor the under-stuff of body: in such entities the Being is simply the existing of things outside of

Being.

But body, a non-existence? Matter, on which all this universe rises, a non-existence? Mountain and rock, the wide solid earth, all that resists, all that can be struck and driven, surely all proclaims the real existence of the corporeal? And how, it will be asked, can we, on the contrary, attribute Being, and the only Authentic Being, to entities like Soul and Intellect, things having no weight or pressure, yielding to no force, offering no resistance, things not even visible?

Yet even the corporeal realm witnesses for us; the resting earth has certainly a scantier share in Being than belongs to what has more motion and less solidity – and less than belongs to its own most upward element, for fire begins, already, to flit up and away outside of the body-kind.

In fact, it appears to be precisely the most self-sufficing that bear least hardly, least painfully, on other things, while the heaviest and earthiest bodies – deficient, falling, unable to bear themselves upward – these, by the very down-thrust due to their feebleness, offer the resistance which belongs to the falling habit and to the lack of buoyancy. It is lifeless objects that deal the severest blows; they hit hardest and hurt most; where there is life – that is to say participation in Being – there is beneficence towards the environment, all the greater as the measure of Being is fuller.

Again, Movement, which is a sort of life within bodies, an imitation of true Life, is the more decided where there is the least of body – a sign that the waning of Being makes the object affected more distinctly corporeal.

The changes known as affections show even more clearly that where the bodily quality is most pronounced, susceptibility is at its intensest – earth more susceptible than other elements, and these others again more or less so in the degree of their corporeality: sever the other elements and, failing some preventive force, they join again; but earthly matter divided remains apart indefinitely.

Things whose nature represents a diminishment have no power of recuperation after even a slight disturbance and they perish; thus what has most definitely become body, having most closely approximated to non-being, lacks the strength to reknit its unity: the heavy and violent crash of body against body works destruction, and weak is powerful against weak, non-being against its like.

Thus far we have been meeting those who, on the evidence of thrust and resistance, identify body with real being and find assurance of truth in the phantasms that reach us through the senses, those, in a word, who, like dreamers, take for actualities the figments of their sleeping vision. The sphere of sense, the Soul in its slumber; for all of the Soul that is in body is asleep and the true getting-up is not bodily but from the body: in any movement that takes the body with it there is no more than a passage from sleep to sleep, from bed to bed; the veritable waking or rising is from corporeal things; for these, belonging to the Kind directly opposed to Soul, present to it what is directly opposed to its essential existence: their origin, their flux, and their perishing are the warning of their exclusion from the Kind whose Being is Authentic.

7. We are thus brought back to the nature of that underlying matter and the things believed to be based upon it; investigation will show us that Matter has no reality and is not capable of being affected.

Matter must be bodiless – for body is a later production, a compound made by Matter in conjunction with some other entity. Thus it is included among incorporeal things in the sense that body is something that is neither Real-Being nor Matter.

Matter is not Soul; it is not Intellect, is not Life, is no Ideal-Principle, no Reason-Principle; it is no limit or bound, for it is mere indetermination; it is not a power, for what does it produce?

It lives on the farther side of all these categories and so has no title to the name of Being. It will be more plausibly called a non-being, and this not in the sense that movement and station are Not-

Being⁹³ (i.e. as merely different from Being) but in the sense of veritable Not-Being, so that it is no more than the image and phantasm of Mass, a bare aspiration towards substantial existence; it is stationary but not in the sense of having position, it is in itself invisible, eluding all effort to observe it, present where no one can look, unseen for all our gazing, ceaselessly presenting contraries in the things based upon it, it is large and small, more and less, deficient and excessive; a phantasm unabiding and yet unable to withdraw – not even strong enough to withdraw, so utterly has it failed to accept strength from the Intellectual Principle, so absolute its lack of all Being.

Its every utterance, therefore, is a lie; it pretends to be great and it is little, to be more and it is less; and the Existence with which it masks itself is no Existence, but a passing trick making trickery of all that seems to be present in it, phantasms within a phantasm; it is like a mirror showing things as in itself when they are really elsewhere, filled in appearance but actually empty, containing nothing, pretending everything. Into it and out of it move mimicries of the Authentic Existents,⁹⁴ images playing upon an image devoid of Form, visible against it by its very formlessness; they seem to modify it but in reality effect nothing, for they are ghostly and feeble, have no thrust and meet none in Matter either; they pass through it leaving no cleavage, as through water; or they might be compared to shapes projected so as to make some appearance upon what we can know only as the Void.

Further: if visible objects were of the rank of the originals from which they have entered into Matter we might believe Matter to be really affected by them, for we might credit them with some share of the power inherent in their senders: but the objects of our experiences are of very different virtue than the realities they represent, and we deduce that the seeming modification of matter by visible things is unreal since the visible thing itself is unreal, having at no point any similarity with its source and cause. Feeble, in itself, a false thing and projected upon a falsity, like an image in

dream or against water or on a mirror, it can but leave Matter unaffected; and even this is saying too little, for water and mirror do give back a faithful image of what presents itself before them.

8. It is a general principle that, to be modified, an object must be opposed in faculty and in quality to the forces that enter and act upon it.⁹⁵

Thus where heat is present, the change comes by something that chills, where damp by some drying agency: we say a subject is modified when from warm it becomes cold, from dry wet.

A further evidence is in our speaking of a fire being burned out, when it has passed over into another element; we do not say that the Matter has been burned out: in other words, modification affects what is subject to dissolution; the acceptance of modification is the path towards dissolution; susceptibility to modification and susceptibility to dissolution go necessarily together. But Matter can never be dissolved. What into? By what process?

Still: Matter harbours heat, cold, qualities beyond all count; by these it is differentiated; it holds them as if they were of its very substance and they blend within it – since no quality is found isolated to itself – Matter lies there as the meeting ground of all these qualities with their changes as they act and react in the blend: how, then, can it fail to be modified in keeping? The only escape would be to declare Matter utterly and for ever apart from the qualities it exhibits; but the very notion of Substance implies that any and every thing present in it has some action upon it.

9. In answer: it must, first, be noted that there are a variety of modes in which an object may be said to be present to another or to exist in another. There is a 'presence' which acts by changing the object – for good or for ill – as we see in the case of bodies, especially where there is life. But there is also a 'presence' which acts, towards good or ill, with no modification of the object, as we have indicated in the case of the Soul. Then there is the case represented by the stamping of a design upon wax, where the 'presence' of the added pattern causes no modification in the

substance nor does its obliteration diminish it. And there is the example of Light whose presence does not even bring change of pattern to the object illuminated. A stone becoming cold does not change its nature in the process; it remains the stone it was. A line does not cease to be a line for being coloured; nor, we may take it, does a surface cease to be a surface; but might there not be a modification of the underlying mass? No: it is impossible to think of mass being modified by colour – for, of course, we must not talk of modification when there is no more than a presence, or at most a presenting of shape.

Mirrors and transparent objects, even more, offer a close parallel; they are quite unaffected by what is seen in or through them: material things are reflections, and the Matter on which they appear is further from being affected than is a mirror. Heat and cold are present in Matter, but the Matter itself suffers no change of temperature: growing hot and growing cold have to do only with quality; a quality enters and brings the impassible Substance under a new state – though, by the way, research into nature may show that cold is nothing positive but an absence, a mere negation. The qualities come together into Matter, but only those which are contraries can act upon each other: what effect, for example, could fragrance have on sweetness or the colour-quality on the quality of form, any quality on another of some unrelated order? Therefore, it is entirely reasonable to suppose that qualities can co-exist in a medium (or substratum) without being affected by each other's presence or by the presence of the medium.

A thing can be hurt only by something related to it, and similarly things are not changed or modified by any chance presence: modification comes by contrary acting upon contrary; things merely different leave each other as they were. Such modification by a direct contrary can obviously not occur in an order of things to which there is no contrary: Matter, therefore (the mere absence of Reality), cannot be modified: any modification that takes place can occur only in some compound of Matter and reality, or, speaking generally, in some agglomeration of actual things. The

Matter itself – isolated, quite apart from all else, utterly simplex – must remain immune, untouched in the midst of all the interacting agencies; just as when people fight within their four walls, the house and the air in it remain without part in the turmoil.

We may take it, then, that while the qualities that appear upon Matter group to produce each the effect belonging to its nature, yet Matter itself remains immune, even more definitely immune than any of those qualities entering into it which, not being contraries, are not affected by each other.

10. Further: if Matter were susceptible of modification, it must acquire something by the incoming of the new state; it will either adopt that state, or, at least, it will be in some way different from what it was. Now upon this first incoming quality suppose a second to supervene; the recipient is no longer Matter but a modification of Matter: this second quality, perhaps, departs but it has acted and therefore leaves something of itself after it; the substratum is still further altered. This process proceeding, the substratum ends by becoming something quite different from Matter; it becomes a thing settled in many modes and many shapes; at once it is debarred from being the all-recipient; it will have closed the entry against many incomers. In other words, the Matter is no longer there: Matter is destructible.

No: if there is to be a Matter at all, it must be always identically as it has been from the beginning: to speak of Matter as changing is to speak of it as not being Matter.

Another consideration: it is a general principle that a thing changing must remain within its constitutive Idea so that the alteration is only in the accidents and not in the essential thing; the changing object must retain this fundamental permanence, and the permanent substance cannot be the member of it which accepts modification.

Therefore there are only two possibilities: the first, that Matter itself changes and so ceases to be itself, the second that it never ceases to be itself and therefore never changes.⁹⁶

We may be answered that it does not change in its character as

Matter: but no one could tell us in what other character it changes; and we have the admission that the Matter in itself is not subject to change.

Just as the Ideal Principles stand immutably in their essence – which consists precisely in their permanence – so, since the essence of Matter consists in its being Matter (the substratum to all material things) it must be permanent in this character; because it is Matter, it is immutable. In the Intellectual realm we have the immutable Idea; here we have Matter, itself similarly immutable.

11. I think, in fact, that Plato had this in mind where he justly speaks of the Images of Real Existents ‘entering and passing out’:⁹⁷ these particular words are not used idly: he wishes us to grasp the precise nature of Matter’s participation in the Ideas.

The difficulty on this point is not really that which presented itself to most of our predecessors – how the Ideas enter into Matter – it is rather the mode of their presence in it.

It is in fact strange at sight that Matter should remain itself intact, unaffected by Ideal-Forms present within it, especially seeing that these are affected by each other. It is surprising, too, that the entrant Forms should regularly expel preceding shapes and qualities, and that the modification (which cannot touch Matter) should affect what is a compound (of Idea with Matter) and this, again, not at haphazard but precisely where there is need of the incoming or outgoing of some certain Ideal-form, the compound being deficient through the absence of a particular principle whose presence will complete it.

But the reason is that the fundamental nature of Matter can take no increase by anything entering it, and no decrease by any withdrawal: what from the beginning it was, it remains. It is not like those things whose lack is merely that of arrangement and order which can be supplied without change of substance as when we dress or decorate something bare or ugly.

But where the bringing to order must cut through to the very

nature, the base original must be transmuted: it can leave ugliness for beauty only by a change of substance. Matter, then, thus brought to order must lose its own nature in the supreme degree unless its baseness is an accidental: if it is base in the sense of being Baseness the Absolute, it could never participate in order, and if evil in the sense of being Evil the Absolute, it could never participate in good.

We conclude that Matter's participation in Idea is not by way of modification within itself: the process is very different; it is a bare seeming. Perhaps we have here the solution of the difficulty as to how Matter, essentially evil, can be reaching towards The Good: there would be (in this 'seeming') no such participation as would destroy its essential nature. Given this mode of pseudo-participation – in which Matter would, as we say, retain its nature, unchanged, always being what it has essentially been – there is no longer any reason to wonder as to how, while essentially evil, it yet participates in Idea: for, by this mode, it does not abandon its own character: participation is the law, but it participates only just so far as its essence allows. Under a mode of participation which allows it to remain on its own footing, its essential nature stands none the less, whatsoever the Idea, within that limit, may communicate to it: it is by no means the less evil for remaining immutably in its own order. If it had authentic participation in The Good and were veritably changed, it would not be essentially evil.

In a word, when we call Matter evil we are right only if we mean that it is not amenable to modification by The Good; but that means simply that it is subject to no modification whatever.

12. This is Plato's conception:⁹⁸ to him participation does not, in the case of Matter, comport any such presence of an Ideal-Form in a substance to be shaped by it as would produce one compound thing made up of the two elements changing at the same moment, merging into one another, modified each by the other.

In his haste to his purpose he raises many difficult questions, but he is determined to disown that view; he labours to indicate in

what mode Matter can receive the Ideal-forms without being, itself, modified. The direct way is debarred since it is not easy to point to things actually present in a base and yet leaving that base unaffected: he therefore devises a metaphor for participation without modification (a plastic material, gold, moulded into various patterns), one which supports, also, his thesis that all appearing to the senses is void of substantial existence and that the region of mere seeming is vast.

Holding, as he does, that it is the patterns displayed upon Matter that cause all experience in material bodies while the Matter itself remains unaffected, he chooses this way of stating its immutability, leaving us to make out for ourselves that those very patterns impressed upon it do not comport any experience, any modification, in itself.

In the case, no doubt, of the bodies that take one pattern or shape after having borne another, it might be said that there was a change, the variation of shape being made verbally equivalent to a real change: but since Matter is essentially without shape or magnitude, the appearing of shape upon it can by no freedom of phrase be described as a change within it. On this point if one must have 'a rule for thick and thin' one may safely say that the underlying Kind contains nothing whatever in the mode commonly supposed.

But if we reject even the idea of its really containing at least the patterns upon it, how is it, in any sense, a recipient?

The answer is that in the metaphor cited we have some reasonably adequate indication of the impassibility of Matter coupled with the seeming presence of images not present.

But we cannot leave the point of its impassibility without a warning against allowing ourselves to be deluded by sheer custom of speech.

Plato speaks of Matter⁹⁹ as becoming dry, wet, inflamed, but we must remember the words that follow: 'and taking the shape of air

and of water'; this blunts the expressions 'becoming wet, becoming inflamed'; once we have Matter thus admitting these shapes, we learn that it has not itself become a shaped thing but that the shapes remain distinct as they entered. We see, further, that the expression 'becoming inflamed' is not to be taken strictly: it is rather a case of becoming fire. Becoming fire is very different from becoming inflamed which implies an outside agency and, therefore, susceptibility to modification. Matter, being itself a portion of fire, cannot be said to catch fire. To suggest that the fire not merely permeates the matter, but actually sets it on fire is like saying that a statue permeates its bronze¹⁰⁰ (and 'statuifies' it).

Further, if what enters must be an Ideal-Principle how could it set Matter aflame? But what if it is a pattern or condition? No: the object set aflame is so in virtue of the combination of Matter and condition.

But how can this follow on the conjunction when no unity has been produced by the two?

Even if such a unity had been produced, it would be a unity of things not mutually sharing experiences but acting upon each other. And the question would then arise whether each was effective upon the other or whether the sole action was not that of one (the form) preventing the other (the Matter) from slipping away?

But (another difficulty) when any material thing is severed, must not the Matter be divided with it? Surely the bodily modification and other experience that have accompanied the sundering, must have occurred, identically, within the Matter?

This reasoning would force the destructibility of Matter upon us: 'the body is dissolved; then the Matter is dissolved.' We would have to allow Matter to be a thing of quantity, a magnitude. But since it is not a magnitude it could not have the experiences that belong to magnitude and, on the larger scale, since it is not body it cannot know the experiences of body.

In fact those that declare Matter subject to modification may as

well declare it body right out.[101](#)

13. Further, they must explain in what sense they hold that Matter tends to slip away from its form (the Idea). Can we conceive it stealing out from stones and rocks or whatever else envelops it?

And of course they cannot pretend that Matter in some cases rebels and sometimes not. For if once it makes away of its own will, why should it not always escape? If it is fixed despite itself, it must be enveloped by some Ideal-form for good and all. This, however, leaves still the question why a given portion of Matter does not remain constant to any one given form: the reason lies mainly in the fact that the Ideas are constantly passing into it.

In what sense, then, is it said to elude form?[102](#)

By very nature and for ever?

But does not this precisely mean that it never ceases to be itself, in other words that its one form is an invincible formlessness? In no other sense has Plato's dictum any value to those that invoke it.

Matter (we read) is 'the receptacle and nurse of all generation'.[103](#)

Now if Matter is such a receptacle and nurse, all generation is distinct from it; and since all the changeable lies in the realm of generation, Matter, existing before all generation, must exist before all change.

'Receptacle' and 'nurse'; then it retains its identity; it is not subject to modification. Similarly if it is (as again we read) 'the ground on which individual things appear and disappear',[104](#) and so, too, if it is 'a place', 'a base'.[105](#) The description may be challenged as situating the Ideas in space; yet to Matter it attributes no condition but merely probes after its distinctive manner of being.

And what is that?

This which we think of as a Nature-Kind cannot be included among Existents but must utterly rebel from the Essence of Real Beings and be therefore wholly something other than they – for

they are Reason-Principles and possess Authentic Existence – it must inevitably, by virtue of that difference, retain its integrity to the point of being permanently closed against them and, more, of rejecting close participation in any image of them.

Only on these terms can it be completely different: once it took any Idea to hearth and home, it would become a new thing, for it would cease to be the thing apart, the ground of all else, the receptacle of absolutely any and every form. If there is to be a ceaseless coming into it and going out from it, itself must be unmoved and immune in all the come and go. The Entrant will enter as an image, the untrue entering the untruth.

But, at least, in a true entry?

No: how could there be a true entry into that which, by being falsity, is banned from ever touching truth?

Is this then a pseudo-entry into a pseudo-entity – something merely brought near, as faces enter the mirror, there to remain just as long as the people look into it?

Yes: if we eliminated the Authentic Existents from this Sphere, nothing of all now seen in sense would appear one moment longer.

Here the mirror itself is seen, for it is itself an Ideal-Form of a kind (has some degree of Real Being); but bare Matter, which is no Idea, is not a visible thing; if it were, it would have been visible in its own character before anything else appeared upon it. The condition of Matter may be illustrated by that of air penetrated by light and remaining, even so, unseen because it is invisible whatever happens.

The reflections in the mirror are not taken to be real, all the less since the appliance on which they appear is seen and remains while the images disappear, but Matter is not seen either with the images or without them. But suppose the reflections on the mirror remaining and the mirror itself not seen, we would never doubt the solid reality of all that appears.

If, then, there is, really, something in a mirror, we may suppose

objects of sense to be in Matter in precisely that way: if in the mirror there is nothing, if there is only a seeming of something, then we may judge that in Matter there is the same delusion and that the seeming is to be traced to the Substantial-Existence of the Real-Beings, that Substantial-Existence in which the Authentic has the real participation while only an unreal participation can belong to the unauthentic since their condition must differ from that which they would know if the parts were reversed, if the Authentic-Existents were not and they were.

14. But would this mean that if there were no Matter nothing would exist?

Precisely as in the absence of a mirror, or something of similar power, there would be no reflection.

A thing whose very nature is to be lodged in something else cannot exist where the base is lacking – and it is the character of a reflection to appear in something not itself.

Of course supposing anything to desert from the Authentic Beings, this would not need an alien base: but these Beings are not subject to flux, and therefore any outside manifestation of them implies something other than themselves, something offering a base to what never enters, something which by its presence, in its insistence, by its cry for help, in its beggaredom, strives as it were by violence to acquire and is always disappointed so that its poverty is enduring, its cry unceasing.

This alien base exists and the myth¹⁰⁶ represents it as a pauper to exhibit its nature, to show that Matter is destitute of The Good. The claimant does not ask for all the Giver's store, but it welcomes whatever it can get; in other words, what appears in Matter is not Reality.

The name, too (Poverty), conveys that Matter's need is never met. The union with Poros, Possession, is designed to show that Matter does not attain to Reality, to Plenitude, but to some bare sufficiency – in point of fact to imaging skill.

It is, of course, impossible that an outside thing belonging in any degree to Real-Being – whose nature is to engender Real-Beings – should utterly fail of participation in Reality: but here we have something perplexing; we are dealing with utter Non-Being, absolutely without part in Reality; what is this participation by the non-participant, and how does mere neighbouring confer anything on that which by its own nature is precluded from any association?

The answer is that all that impinges upon this Non-Being is flung back as from a repelling substance; we may think of an echo returned from a repercussive plane surface; it is precisely because of the lack of retention that the phenomenon is supposed to belong to that particular place and even to arise there.

If Matter were participant and received Reality to the extent which we are apt to imagine, it would be penetrated by a Reality thus sucked into its constitution. But we know that the Entrant is not thus absorbed: Matter remains as it was, taking nothing to itself: it is the check to the forthwelling of Authentic Existence; it is a ground that repels; it is a mere receptacle to the Realities as they take their common path (of emanation) and here meet and mingle. It resembles those reflecting vessels, filled with water, which are often set against the sun to produce fire: the heat rays prevented, by their contrary within, from being absorbed are flung out as one mass.

It is in this sense and way that Matter becomes the cause of the generated realm; the combinations within it hold together only after some such reflective mode.

15. Now the objects attracting the sun-rays to themselves – illuminated by a fire of the sense-order – are necessarily of the sense-order; there is perceptibility because there has been a union of things at once external to each other and continuous, contiguous, in direct contact, two extremes in one line. But the Reason-Principle operating upon Matter is external to it only in a very different mode and sense: exteriority in this case is amply supplied by contrariety of essence and can dispense with any opposite ends (any question of

lineal position); or, rather, the difference is one that actually debars any local extremity; sheer incongruity of essence, the utter failure in relationship, inhibits admixture (between Matter and any form of Being).

The reason, then, of the immutability of Matter is that the entrant principle neither possesses it nor is possessed by it. Consider, as an example, the mode in which an opinion or representation is present in the mind; there is no admixture; the notion that came goes in its time, still integrally itself alone, taking nothing with it, leaving nothing after it, because it has not been blended with the mind; there is no 'outside' in the sense of contact broken, and the distinction between base and entrant is patent not to the senses but to the reason.

In that example, no doubt, the mental representation – though it seems to have a wide and unchecked control – is an image, while the Soul (Mind) is in its nature not an image (but a Reality): none the less the Soul or Mind certainly stands to the concept as Matter, or in some analogous relation. The representation, however, does not cover the Mind over; on the contrary it is often expelled by some activity there; however urgently it presses in, it never effects such an obliteration as to be taken for the Soul; it is confronted there by indwelling powers, by Reason-Principles, which repel all such attack.

Matter – feebler far than the Soul for any exercise of power, and possessing no phase of the Authentic Existents, not even in possession of its own falsity – lacks the very means of manifesting itself, utter void as it is; it becomes the means by which other things appear, but it cannot announce its own presence. Penetrating thought may arrive at it, discriminating it from Authentic Existence; then, it is discerned as something abandoned by all that really is, by even the dimmest semblants of being, as a thing dragged towards every shape and property and appearing to follow – yet in fact not even following.

16. An Ideal-Principle approaches and leads Matter towards some

desired dimension, investing this underlie with a magnitude from itself: Matter neither has the dimension nor acquires it; all that shows upon it of dimension derives from the Ideal-Principle.

Eliminate this Ideal-Form and the substratum ceases to be a thing of magnitude, or to appear so: the mass produced by the Idea was, let us suppose, a man or a horse; the horse-magnitude came upon the Matter when a horse was produced upon it; when the horse ceases to exist upon the Matter, the magnitude of the horse departs also. If we are told that the horse implies a certain determined bulk and that this bulk is a permanent thing, we answer that what is permanent in this case is not the magnitude of the horse but the magnitude of mass in general. That same magnitude might be fire or earth; on their disappearance their particular magnitudes would disappear with them. Matter, then, can never take to itself either pattern or magnitude; if it did, it would no longer be able to turn from being fire, let us say, into being something else; it would become and be fire once for all.

In a word, though Matter is far extended – so vastly as to appear coextensive with all this sense-known Universe – yet if the Heavens and their content came to an end, all magnitude would simultaneously pass from Matter with, beyond a doubt, all its other properties; it would be abandoned to its own Kind, retaining nothing of all that which, in its own peculiar mode, it had hitherto exhibited.

Where an entrant force can effect modification it will inevitably leave some trace upon its withdrawal; but where there can be no modification, nothing can be retained; light comes and goes, and the air is as it always was.

That a thing essentially devoid of magnitude should come to a certain size is no more astonishing than that a thing essentially devoid of heat should become warm: Matter's essential existence is quite separate from its existing in bulk, since, of course, magnitude is an immaterial principle as pattern is. Besides, if we are not to reduce Matter to nothing, it must be all things by way of

participation, and magnitude is one of those all things.

In bodies, necessarily compounds, magnitude – though not a determined magnitude – must be present as one of the constituents; it is implied in the very notion of body; but Matter – not a body – excludes even undetermined magnitude.

17. Nor can we, on the other hand, think that Matter is simply Absolute Magnitude.

Magnitude is not, like Matter, a receptacle; it is an Ideal-Principle: it is a thing standing apart to itself, not some definite mass. When it desires to abandon its station in the Intellectual-Principle or in the Soul and assume (physical) magnitude, it gives to its images (the material forms) – aspiring and moving towards it and eagerly imitating its act – a power of reproducing their states in their own derivatives. The Magnitude which has gone forth to the image-making stage has recourse to the Absolute Magnitude and carries with it the littleness of Matter, and so by extending Matter enables it, devoid though it be of all content, to exhibit the appearance of Magnitude. It must be understood that spurious Magnitude consists in the fact that a thing (Matter) not possessing actual Magnitude strains towards it and has the extension of that straining. All that is Real Being gives forth a reflection of itself upon all else; every Reality, therefore, has Magnitude which by this process is communicated to the Universe.

The Magnitude inherent in each Ideal-Principle – that of a horse or of anything else – combines with Magnitude the Absolute with the result that, irradiated by that Absolute, Matter entire takes Magnitude and every particle of it becomes a mass; in this way, by virtue at once of the totality of Idea with its inherent magnitude and of each several specific Idea, all things appear under mass; Matter takes on what we conceive as extension, it is compelled to assume a relation to the All and, gathered under this Idea and under Mass, to be all things – in the degree in which the operating power can lead the really nothing to become all.

By the conditions of Manifestation, colour rises from non-colour (= from the colourless prototype of colour in the Ideal Realm). Quality, known by the one name with its parallel in the sphere of Primals, rises, similarly, from non-quality: in precisely the same mode, the Magnitude appearing upon Matter rises from non-Magnitude or from that Primal which is known to us by the same name; so that material forms become visible through standing midway between bare underlie and Pure Idea. All is perceptible by virtue of this origin in the Intellectual Sphere but all is falsity since the base in which the manifestation takes place is a non-existent.

Particular entities thus attain their magnitude through being drawn out by the power of the Existents which mirror themselves and make space for themselves in them. And no violence is required to draw them into all the diversity of shapes and kinds because the phenomenal All exists by Matter (by Matter's essential all-receptivity) and because each several Idea, moreover, draws Matter its own way by the power stored within itself, the power it holds from the Intellectual Realm. Matter is manifested in this sphere as Mass by the fact that it mirrors the Absolute Magnitude; Magnitude here is the reflection in the mirror. Matter must go as one total thing wherever the image (of the Idea) calls it; it is everywhere submissive – the material of determination and not the determined thing itself: what is, in its own character, no determined thing may become determined by an outside force, though, in becoming thus determined, it does not become the definite thing in question, for thus it would lose its own characteristic indetermination.

18. If one has the intellection of Magnitude – assuming that this intellection is of such power as not merely to subsist within itself but to be urged outward as it were by the intensity of its life – one will necessarily realize it in a Kind (= Matter) not having its being in the Intellective Principle, not previously possessing the Idea of Magnitude or any trace of that Idea or any other.

What then will it produce (in this Matter) by virtue of that power?

Not horse or cow: these are the product of other Ideas.

No: this Principle comes from the source of Magnitude (= is primal 'Magnitude') and therefore Matter can have no extension, in which to harbour the Magnitude of the Principle, but can take in only its reflected appearance.

To the thing which does not enjoy Magnitude in the sense of having mass-extension in its own substance and parts, the only possibility is that it present some partial semblance of Magnitude, such as being continuous, not here and there and everywhere, that its parts be related within it and un-gapped. The image of Magnitude cannot appear on any basis of equality in a small mass – it is, after all, an image of Magnitude: yet it aspires to the full presentment of that Absolute Magnitude and approaches it as nearly as the company of its unseparable associate (Matter) will allow: thus it confers Magnitude upon that (= Matter) which has none and cannot even muster up the appearance of having any, and the visible resultant exhibits the Magnitude of mass.

Matter, then, wears Magnitude as a dress thrown about it by its association with that image of Magnitude to whose movement it must answer; but it does not, for that, change its Kind; if the image which has clothed it were to withdraw, it would once again be what it permanently is, what it is by its own strength, or it would have precisely the Magnitude lent to it by any other form that happens to be present in it.

The (Universal) Soul – containing the Ideal Principles of Real-Beings, and itself an Ideal Principle – includes all in concentration within itself, just as the Ideal Principle of each particular entity is complete and self-contained: it, therefore, sees these principles of sensible things because they are turned, as it were, towards it and advancing to it: but it cannot harbour them in their plurality, for it cannot depart from its Kind; it sees them, therefore, stripped of mass. Matter, on the contrary, destitute of resisting power since it has no Act of its own and is a mere shadow, can but accept all that an active power may choose to send. In what is thus sent, from the

Reason-Principle in the Intellectual Realm, there is already contained a degree of the partial object that is to be formed: in the image-making impulse within the Reason-Principle there is already a step (towards the lower manifestation) or we may put it that the downward movement from the Reason-Principle is a first form of the partial: utter absence of partition would mean no movement but (sterile) repose. Matter cannot be the home of all things in concentration as the Soul is: if it were so, it would belong to the Intellective Sphere. It must be (like the Soul) all-recipient but not in that partless mode.¹⁰⁷ It is to be the Place of all things, and it must therefore extend universally, offer itself to all things, serve to all interval: thus it will be a thing unconfined to any moment (of space or time) but laid out in submission to all that is to be.

But would we not expect that some one particularized form should occupy Matter (at once) and so exclude such others as are not able to enter into combination?

No: for there is no first Idea – except the Ideal Principle of the Universe¹⁰⁸ – and, by this Idea, Matter is (the seat of) all things at once and of the particular thing in its parts – for the Matter of a living being is disparted according to the specific parts of the organism: if there were no such partition nothing would exist but the Reason-Principle.

19. The Ideal Principles entering into Matter as to a Mother¹⁰⁹ affect it neither for better nor for worse.

Their action is not upon Matter but upon each other; these powers conflict with their opponent principles, not with their substrata – unless the substrata are taken as comprised with the entrant forms – Heat (the Principle) annuls Cold, and Blackness annuls Whiteness; or, the opponents blend to form an intermediate quality. Only that is affected which enters into combinations: being affected is losing something of self-identity.

In beings of soul and body, the affection occurs in the body, modified according to the qualities and powers presiding at the act

of change: in all such dissolution of constituent parts, in the new combinations, in all variation from the original structure, the affection is bodily, the Soul or Mind having no more than an accompanying knowledge of the more drastic changes, or perhaps not even that. (Body is modified: Mind knows) but the Matter concerned remains unaffected; heat enters, cold leaves it, and it is unchanged because neither Principle is associated with it as friend or enemy.

So the appellation 'Recipient and Nurse' is the better description: Matter is the mother only in the sense indicated; it has no begetting power. But probably the term Mother is used by those who think of a Mother as Matter to the offspring, as a container only,¹¹⁰ giving nothing to them, the entire bodily frame of the child being formed out of food. But if the mother does give anything to the offspring she does so not in her quality as Matter but as being an Ideal-Form; for only the Idea is generative; the contrary Kind is sterile.

This, I think, is why the doctors of old,¹¹¹ teaching through symbols and mystic representations, exhibit the ancient Hermes with the generative organ always in active posture; this is to convey that the generator of things of sense is the Intellectual Reason-Principle: the sterility of Matter, eternally unmoved, is indicated by the eunuchs surrounding it in its representation as the All-Mother.

This too exalting title is conferred upon it in order to indicate that it is the source of things in the sense of being their underlie: it is an approximate name chosen for a general conception; there is no intention of suggesting a complete parallel with motherhood to those not satisfied with a surface impression but needing a precisely true presentment; by a remote symbolism, the nearest they could find, they indicate that Matter is sterile, not female to full effect, female in receptivity only, not in pregnancy: this they accomplish by exhibiting Matter as approached by what is neither female nor effectively male, but castrated of that impregnating power which belongs only to the unchangeably masculine.

SEVENTH TRACTATE



TIME AND ETERNITY [45]

SUMMARY

This treatise is of importance as being the only extended discussion in ancient philosophy of the theory of Time, apart from that of Aristotle in Physics, IV. 10–14, which, indeed, Plotinus uses as the basis for his own discussion, criticizing it in ch. 9 and 12–13. He also deals critically with Stoics and Epicureans (ch. 7–8 and 10), but is mainly concerned with earlier Platonist theory, that linked Time closely with the movement of the heavens (the exegesis of Timaeus 37D–38D being important here). For Plotinus, since Time is an ‘image’ of Eternity, it is logical to begin by analysing the concept of Eternity (Aion), which he does in ch. 1–6. Eternity is the life of Real Being, or the intelligible world. Time, its image, is basically the life of Soul, trying to imitate the unity of Real Being, but failing, and thus producing a ‘discursive’ level of being, where one thing happens after another (ch. 11–13).

1. Eternity and Time; two entirely separate things, we explain, ‘the one having its being in the everlasting Kind, the other in the realm of Process, in our own Universe’; and, by continually using the words and assigning every phenomenon to the one or the other category, we come to think that, both by instinct and by the more detailed attack of thought, we hold an adequate experience of them in our minds without more ado.

When, perhaps, we make the effort to clarify our ideas and close into the heart of the matter we are at once unsettled: our doubts throw us back upon ancient explanations; we choose among the various theories, or among the various interpretations of some one

theory, and so we come to rest, satisfied, if only we can counter a question with an approved answer, and glad to be absolved from further inquiry.

Now, we must believe that some of the venerable philosophers of old discovered the truth; but it is important to examine which of them really hit the mark and by what guiding principle we can ourselves attain to certitude.

What, then, does Eternity really mean to those who (thus casually) describe it as something different from Time? We begin with Eternity, since, when the standing Exemplar is known, its representation in image – which Time is understood to be – will be clearly apprehended – though it is of course equally true, admitting this relationship of Time as image to Eternity the original,¹¹² that if we chose to begin by identifying Time we could thence proceed upwards by Recognition (the Platonic Anamnesis) and become aware of the Kind which it images.

2. What definition are we to give of Eternity?

Can it be identified with the (divine or) Intellectual Substance itself?

This would be like identifying Time with the Universe of Heavens and Earth – an opinion, it is true, which appears to have had its adherents.¹¹³ No doubt we conceive, we know, Eternity as something most august; most august, too, is the Intellectual Kind; and there is no possibility of saying that the one is more majestic than the other; – the Absolute One may be left out of account, since not even majesty can be predicated of it; – there is therefore a certain excuse for identifying Eternity with the Intellectual World, all the more since the Intellectual Substance and Eternity have the one scope and content.

Still; by the fact of representing the one as contained within the other, by making Eternity a predicate to the Intellectual Existents – ‘the Nature of the Exemplar’, we read,¹¹⁴ ‘is eternal’ – we cancel the identification; Eternity becomes a separate thing, something

surrounding that Nature or lying within it or present to it. And the majestic quality of both does not prove them identical: it might be transmitted from the one to the other. So, too, Eternity and the Divine Nature envelop the same entities, yes; but not in the same way: the Divine may be thought of as enveloping parts, Eternity as embracing its content in an unbroken whole, with no implication of part, but merely from the fact that all eternal things are so by conforming to it.

May we, perhaps, identify Eternity with Repose-There as Time has been identified with Movement-Here?

This would bring on the counter-question whether Eternity is presented to us as Repose in the general sense or as the Repose that envelops the Intellectual Essence.

On the first supposition we can no more talk of Repose being eternal than of Eternity being eternal: to be eternal is to participate in an outside thing, Eternity.

Further, if Eternity is Repose, what becomes of Eternal Movement, which, by this identification, would become a thing of Repose?

Again, the concept of Repose scarcely seems to include that of perpetuity – I am speaking, of course, not of perpetuity in the time-order (which might follow on absence of movement) but of that which we have in mind when we speak of Eternity.

If, on the other hand, Eternity is identified with the Repose of the divine Essence, all the other categories of the divine are put outside of Eternity.

Besides, the conception of Eternity requires not merely Repose but also unity – and, in order to keep it distinct from Time, a unity excluding interval – but neither that unity nor that absence of interval enters into the conception of Repose as such.

Lastly, this unchangeable Repose in unity¹¹⁵ is a predicate asserted of Eternity, which, therefore, is not itself Repose, the absolute, but a participant in Repose.

3. What, then, can this be, this something in virtue of which we declare the entire divine Realm to be Eternal, everlasting? We must come to some understanding of this perpetuity with which Eternity is either identical or in conformity.

It must be at once something in the nature of unity and yet a notion compact of diversity, or (more exactly) a Kind, a Nature, that waits upon the Existents of that Other World, either associated with them or known in and upon them, they collectively being this Nature which, with all its unity, is yet diverse in power and essence. Considering this multifarious power, we declare it to be Essence or Being in so far as it is in some sense a subject or substratum; where we see life we think of it as Movement; where all is unvaried self-identity we call it Repose; and we know it as, at once, Difference and Identity when we recognize that all is unity with variety.¹¹⁶

Then we reconstruct; we sum all into a collected unity once more, a sole Life in the Supreme; we concentrate Diversity and all the endless production of act: thus we know Identity, a concept or, rather, a Life never varying, not becoming what previously it was not, the thing immutably itself, broken by no interval; and knowing this, we know Eternity.

We know it as a Life changelessly motionless and ever holding the Universal content in actual presence; not this now and now that other, but always all; not existing now in one mode and now in another, but a consummation without part or interval. All its content is in immediate concentration as at one point; nothing in it ever knows development: all remains identical within itself, knowing nothing of change, for ever in a Now, since nothing of it has passed away or will come into being, but what it is now, that it is ever.

Eternity, therefore – while not the Substratum (not the essential foundation of the Divine or Intellectual Principle) – may be considered as the radiation of this Substratum: it exists as the announcement of the Identity in the Divine, of that state – of being

thus and not otherwise – which characterizes what has no futurity but eternally is.

What future, in fact, could bring to that Being anything which it now does not possess; and could it come to be anything which it is not once for all?

There exists no source or ground from which anything could make its way into that standing present; any imagined entrant will prove to be not alien but already integral. And as it can never come to be anything at present outside it, so, necessarily, it cannot include any past; what can there be that once was in it and now is gone? Futurity, similarly, is banned; nothing could be yet to come to it. Thus no ground is left for its existence but that it be what it is.

That which neither has been nor will be, but simply possesses being;¹¹⁷ that which enjoys stable existence as neither in process of change nor having ever changed – that is Eternity. Thus we come to the definition: the Life – Instantaneously entire, complete, at no point broken into period or part – which belongs to the Authentic Existent by its very existence, this is the thing we were probing for – this is Eternity.

4. We must, however, avoid thinking of it as an accidental from outside grafted upon that Nature: it is native to it, integral to it.

It is discerned as present essentially in that Nature like everything else that we can predicate There – all immanent, springing from that Essence and inherent to that Essence. For whatsoever has primal Being must be immanent to the Firsts and be a First – Eternity equally with the beauty that is among them and of them and equally with the truth that is among them.

Some of the predicates reside, as it were, in a partial phase of the All-Being; others are inherent in the All taken as a totality, since that Authentic All is not a thing patched up out of external parts, but is authentically an all because its parts are engendered by itself. It is like the truthfulness in the Supreme which is not an agreement with some outside fact or being but is inherent in each member

about which it is the truth. To an authentic All it is not enough that it be everything that exists: it must possess all-ness in the full sense that nothing whatever is absent from it. Then nothing is in store for it: if anything were to come, that thing must have been lacking to it, and it was, therefore, not All. And what, of a Nature contrary to its own, could enter into it when it is (the Supreme and therefore) immune? Since nothing can accrue to it, it cannot seek change or be changed or ever have made its way into Being.

Engendered things are in continuous process of acquisition; eliminate futurity, therefore, and at once they lose their being; if the non-engendered are made amenable to futurity they are thrown down from the seat of their existence, for, clearly, existence is not theirs by their nature if it appears only as a being about to be, a becoming, an advancing from stage to stage.

The essential existence of generated things seems to lie in their existing from the time of their generation to the ultimate of time after which they cease to be: but such an existence is compact of futurity, and the annulment of that futurity means the stopping of the life and therefore of the essential existence.

Existence for the (generated) All must similarly consist in a goal to be attained: for this reason it keeps hastening towards its future, dreading to rest, seeking to draw Being to itself by a perpetual variety of production and action and by its circling in a sort of ambition after Essential Existence.

And here we have, incidentally, lighted upon the cause of the Circuit of the All; it is a movement which seeks perpetuity by way of futurity.

The Primals, on the contrary, in their state of blessedness have no such aspiration towards anything to come: they are the whole, now; what life may be thought of as their due, they possess entire; they, therefore, seek nothing, since there is nothing future to them, nothing external to them in which any futurity could find lodgement.

Thus the perfect and all-comprehensive essence of the Authentic Existent does not consist merely in the completeness inherent in its members; its essence includes, further, its established immunity from all lack with the exclusion, also, of all that is without Being – for not only must all things be contained in the All and Whole, but it can contain nothing that is, or was ever, non-existent – and this State and Nature of the Authentic Existent is Eternity: in our very word, Eternity means Ever-Being (*αἰών* = *αἰεῖδν*).

5. This Ever-Being is realized when upon examination of an object I am able to say – or rather, to know – that in its very Nature it is incapable of increment or change; anything that fails by that test is no Ever-Existent or, at least, no Ever-All-Existent.

But is perpetuity enough in itself to constitute an Eternal?

No: the object must, further, include such a Nature-Principle as to give the assurance that the actual state excludes all future change, so that it is found at every observation as it always was.

Imagine, then, the state of a being which cannot fall away from the vision of this but is for ever caught to it, held by the spell of its grandeur, kept to it by virtue of a nature itself unfailing – or even the state of one that must labour towards Eternity by directed effort, but then to rest in it, immovable at any point, assimilated to it, co-eternal with it, contemplating Eternity and the Eternal by what is Eternal within the self.

Accepting this as a true account of an eternal, a perdurable Existent – one which never turns to any Kind outside itself, that possesses life complete once for all, that has never received any accession, that is now receiving none and will never receive any – we have, with the statement of a perduring Being, the statement also of perdurance and of Eternity: perdurance is the corresponding state arising from the (divine) substratum and inherent in it; Eternity (the Principle as distinguished from the property of everlastingness) is that substratum carrying that state in manifestation.

Eternity, thus, is of the order of the supremely great; intuition identifies it with God:¹¹⁸ it may fitly be described as God made manifest, as God declaring what He is, as existence without jolt or change, and therefore as also the firmly living.

And it should be no shock that we find plurality in it; each of the Beings of the Supreme is multiple by virtue of unlimited force; for to be limitless implies failing at no point, and Eternity is pre-eminently the limitless since (having no past or future) it spends nothing of its own substance.

Thus a close enough definition of Eternity would be that it is a life limitless in the full sense of being all the life there is and a life which, knowing nothing of past or future to shatter its completeness, possesses itself intact for ever.

6. Now the Principle thus stated, all good and beauty, and everlasting, is centred in The One, sprung from It, and pointed towards It, never straying from It, but ever holding about It and in It and living by Its law; and it is in this reference, as I judge, that Plato – finely, and by no means inadvertently but with profound intention – wrote those words of his, ‘Eternity stable in Unity’;¹¹⁹ he wishes to convey that Eternity is not merely something circling on its traces into a final unity, but has (instantaneous) Being about The One as the unchanging Life of the Authentic-Existent. This is certainly what we have been seeking: this Principle, at rest within the One, is Eternity; possessing this stable quality, being itself at once the absolute self-identical and none the less the active manifestation of an unchanging Life set towards the Divine and dwelling within It, untrue, therefore, neither on the side of Being nor on the side of Life – this will be Eternity (the Real-Being we have sought).

Truly to be comports never lacking existence and never knowing variety in the mode of existence: Being is, therefore, self-identical throughout, and, therefore, again is one undistinguishable thing. Being can have no this and that; it cannot be treated in terms of intervals, unfoldings, progression, extension; there is no grasping any first or last in it.

If, then, there is no first or last in this Principle, if existence is its most authentic possession and its very self, and this in the sense that its existence is Essence or Life – then, once again, we meet here what we have been discussing, Eternity.

Observe that such words as ‘always, never, sometimes’ must be taken as mere conveniences of exposition: thus ‘always’ – used in the sense not of time but of incorruptibility and endlessly complete scope – might set up the false notion of stage and interval. We might perhaps prefer to speak of ‘Being’, without any attribute; but since this term is applicable to Essence and some writers have used the word Essence for things of process, we cannot convey our meaning to them without introducing some word carrying the notion of perdurance.

There is, of course, no difference between Being and Everlasting Being; just as there is none between a philosopher and a true philosopher: the attribute ‘true’ came into use because there arose what masqueraded as philosophy; and for similar reasons ‘everlasting’ was adjoined to ‘Being’, and ‘Being’ to ‘everlasting’, and we have (the tautology of) ‘Everlasting Being’. We must take this ‘Everlasting’ as expressing no more than Authentic Being: it is merely a partial expression of a potency which ignores all interval or term and can look forward to nothing by way of addition to the All which it possesses. The Principle of which this is the statement will be the All-Existent, and, as being all, can have no failing or deficiency, cannot be at some one point complete and at some other lacking.

Things and beings in the Time order – even when to all appearance complete, as a body is when fit to harbour a soul – are still bound to sequence; they are deficient to the extent of that thing, Time, which they need: let them have it, present to them and running side by side with them, and they are by that very fact incomplete; completeness is attributed to them only by an accident of language.

But the conception of Eternity demands something which is in its

nature complete without sequence; it is not satisfied by something measured out to any remoter time or even by something limitless, but, in its limitless reach, still having the progression of futurity: it requires something immediately possessed of the due fullness of Being, something whose Being does not depend upon any quantity (such as instalments of time) but subsists before all quantity.

Itself having no quantity, it can have no contact with anything quantitative since its Life cannot be made a thing of fragments, in contradiction to the partlessness which is its character; it must be without parts in the Life as in the essence.

The phrase 'He was good' (used by Plato of the Demiurge)¹²⁰ refers to a conception of the All; the Transcendent, he explains, did not originate in Time: so that also this Universe has had no temporal beginning; and if we speak of something 'before' it, that is only in the sense of the Cause from which it takes its Eternal Existence.¹²¹ Plato used the word merely for the convenience of exposition, and later corrects it as inappropriate to the order vested with the Eternity he conceives and affirms.

7. Now comes the question whether, in all this discussion, we are not merely helping to make out a case for some other order of Beings and talking of matters alien to ourselves.

But how could that be? What understanding can there be failing some point of contact? And what contact could there be with the utterly alien?

We must then have, ourselves, some part or share in Eternity.

Still, how is this possible to us who exist in Time?

The whole question turns on the distinction between being in Time and being in Eternity, and this will be best realized by probing to the nature of Time. We must, therefore, descend from Eternity to the investigation of Time, to the realm of Time: till now we have been taking the upward way; we must now take the downward – not to the lowest levels but within the degree in which Time itself is a descent from Eternity.

If the venerable sages of former days had not treated of Time, our method would be to begin by linking to (the idea of) Eternity (the idea of) its Next (its inevitable downward or outgoing subsequent in the same order), then setting forth the probable nature of such a Next and proceeding to show how the conception thus formed tallies with our own doctrine.

But, as things are, our best beginning is to range over the most note-worthy of the ancient opinions and see whether any of them accord with ours.

Existing explanations of Time seem to fall into three classes:[122](#)

Time is variously identified with what we know as Movement, with a moved object, and with some phenomenon of Movement: obviously it cannot be Rest or a resting object or any phenomenon of rest, since, in its characteristic idea, it is concerned with change.

Of those that explain it as Movement, some identify it with any and every Movement, others with that of the All. Those that make it a moved object would identify it with the orb of the All. Those that conceive it as some phenomenon of Movement treat it, severally, either as a period or as a standard of measure or, more generally, as an accompaniment, whether of Movement in general or of ordered Movement.

8. Movement Time cannot be – whether a definite act of moving is meant or a united total made up of all such acts – since movement, in either sense, takes place in Time. And, of course, if there is any movement not in Time, the identification with Time becomes all the less tenable.

In a word, Movement must be distinct from the medium in which it takes place.

And, with all that has been said or is still said, one consideration is decisive: Movement can come to rest, can be intermittent; Time is continuous.

We will be told that the Movement of the All is continuous (and so may be identical with Time).

But, if the reference is to the Circuit of the heavenly system, this Circuit takes place in Time, and the time taken by the total Circuit is twice the time taken by half the Circuit; whether we count the whole or only the first half, it is nevertheless the same movement of the heavenly system.

Further, the fact that we hear of the Movement of the outermost sphere being the swiftest confirms our theory. Obviously, it is the swiftest of movements by taking the lesser time to traverse the greater space – the very greatest – all other moving things are slower by taking a longer time to traverse a mere segment of the same extension: in other words, Time is not this movement.

And, if Time is not even the movement of the Cosmic Sphere much less is it the sphere itself, though that has been identified with Time on the ground of its being in motion.

Is it, then, some phenomenon or connexion of Movement?

Let us, tentatively, suppose it to be extent, or duration, of Movement.

Now, to begin with, Movement, even continuous, has no unchanging extent (as Time the equable has), since – to take only motion in space – it may be faster or slower; there must, therefore, be some unit of standard outside it, by which these differences are measurable, and this outside standard would more properly be called Time. And failing such a measure, which extent would be Time, that of the fast or of the slow – or rather which of them all, since these speed-differences are limitless?

Is it the extent of the ordered Movement?

Again, this gives us no unit since the movement is infinitely variable; we would have, thus, not Time but Times.

The extent of the Movement of the All, then?

If this means extent as inherent in the movement itself, we have Movement pure and simple (and not Time). Admittedly, Movement answers to measure – in two ways. First there is space; the movement is commensurate with the area it passes through, and

this area is its extent. But this gives us, still, space only, not Time. Secondly, the circuit, considered apart from distance traversed, has the extent of its continuity, of its tendency not to stop but to proceed indefinitely: but this is merely amplitude of Movement; search it, tell its vastness, and, still, Time has no more appeared, no more enters into the matter, than when one certifies a high pitch of heat; all we have discovered is Motion in ceaseless succession, like water flowing ceaselessly, motion and extent of motion.

Succession or repetition gives us Number – dyad, triad, &c. – and the extent traversed is a matter of Magnitude; thus we have Quantity of Movement – in the form of number, dyad, triad, decade, or in the form of extent apprehended in what we may call the amount of the Movement: but, the idea of Time we have not. That definite Quantity is (not Time but) merely something occurring within Time, for, otherwise Time is not everywhere but is something belonging to Movement which thus would be its substratum or basic-stuff: once more, then, we would be making Time identical with Movement; for the extent of Movement is not something outside it but is simply its continuousness, and we need not halt upon the difference between the momentary and the continuous, which is simply one of manner and degree. The extended movement and its extent are not Time; they are in Time. Those that explain Time as extent of Movement must mean not the extent of the movement itself but something which determines its extension, something with which the movement keeps pace in its course. But what this something is, we are not told; yet it is, clearly, Time, that in which all Movement proceeds. This is what our discussion has aimed at from the first: ‘What, essentially, is Time?’ It comes to this: we ask ‘What is Time?’ and we are answered, ‘Time is the extension of Movement in Time’!

On the one hand Time is said to be an extension apart from and outside that of Movement; and we are left to guess what this extension may be: on the other hand, it is represented as the extension of Movement; and this leaves the difficulty what to make

of the extension of Rest – though one thing may continue as long in repose as another in motion, so that we are obliged to think of one thing, Time, that covers both Rest and Movements, and, therefore, stands distinct from either.

What then is this thing of extension? To what order of beings does it belong?

It obviously is not spatial, for place, too, is something outside it.

9. 'A Number, a Measure, belonging to Movement?'¹²³

'Measure' is more plausible since Movement is a continuous thing; but let us consider.

To begin with, we have the doubt which met us when we probed its identification with extent of Movement: is Time the measure of any and every Movement?

Have we any means of calculating disconnected and lawless Movement? What number or measure would apply? What would be the principle of such a Measure?

One Measure for movement slow and fast, for any and every movement: then that number and measure would be like the decade, by which we reckon horses and cows, or like some common standard for liquids and solids. If Time is this kind of Measure, we learn, no doubt, of what objects it is a Measure – of Movements – but we are no nearer understanding what it is in itself.

Or: we may take the decade and think of it, apart from the horses or cows, as a pure number; this gives us a measure which, even though not actually applied, has a definite nature. Is Time, perhaps, a Measure in this sense?

No: to tell us no more of Time in itself than that it is such a number is merely to bring us back to the decade we have already rejected, or to some similar abstract figure.

If, on the other hand, Time is (not such an abstraction but) a Measure possessing a continuous extent of its own, it must have quantity, like a foot-rule; it must have magnitude; it will, clearly, be

in the nature of a line traversing the path of Movement. But, itself thus sharing in the movement, how can it be a Measure of Movement? Why should the one of the two be the measure rather than the other? Besides, an accompanying measure is more plausibly considered as a measure of the particular movement it accompanies than of Movement in general. Further, this entire discussion assumes continuous movement, since the accompanying principle, Time, is itself unbroken (but a full explanation implies justification of Time in repose).

The fact is that we are not to think of a measure outside and apart, but of a combined thing, a measured Movement, and we are to discover what measures it.

Given a Movement measured, are we to suppose the measure to be a magnitude?

If so, which of these two would be Time, the measured movement or the measuring magnitude? For Time (as measure) must be either the movement measured by magnitude, or the measuring magnitude itself, or something using the magnitude like a yard-stick to appraise the movement. In all three cases, as we have indicated, the application is scarcely plausible except where continuous movement is assumed; unless the movement proceeds smoothly, and even unintermittently and as embracing the entire content of the moving object, great difficulties arise in the identification of Time with any kind of measure.

Let us, then, suppose Time to be this 'measured Movement', measured by quantity. Now the Movement if it is to be measured requires a measure outside itself; this was the only reason for raising the question of the accompanying measure. In exactly the same way the measuring magnitude, in turn, will require a measure, because only when the standard shows such and such an extension can the degree of movement be appraised. Time then will be, not the magnitude accompanying the Movement, but that numerical value by which the magnitude accompanying the Movement is estimated. But that number can be only the abstract

figure which represents the magnitude, and it is difficult to see how an abstract figure can perform the act of measuring.

And, supposing that we discover a way in which it can, we still have not Time, the measure, but a particular quantity of Time, not at all the same thing: Time means something very different from any definite period: before all question as to quantity is the question as to the thing of which a certain quantity is present.

Time, we are told, is the number outside Movement and measuring it, like the tens applied to the reckoning of the horses and cows but not inherent in them: we are not told what this Number is; yet, applied or not, it must, like that decade, have some nature of its own.

Or 'it is that which accompanies a Movement and measures it by its successive stages';¹²⁴ but we are still left asking what this thing recording the stages may be.

In any case, once a thing – whether by point or standard or any other means – measures succession, it must measure according to time: this number appraising movement degree by degree must, therefore, if it is to serve as a measure at all, be something dependent upon time and in contact with it: for, either, degree is spatial, merely – the beginning and end of the Stadium, for example – or in the only alternative, it is a pure matter of Time: the succession of early and late is stage of Time, Time ending upon a certain Now or Time beginning from a Now.

Time, therefore, is something other than the mere number measuring Movement, whether Movement in general or ordered Movement.

Further: why should the mere presence of a number give us Time – a number measuring or measured; for the same number may be either – if Time is not given us by the fact of Movement itself, the movement which inevitably contains in itself a succession of stages? To make the number essential to Time is like saying that magnitude has not its full quantity unless we can estimate that

quantity.

Again, if Time is, admittedly, endless, how can number apply to it?

Are we to take some portion of Time and find its numerical statement? That simply means that Time existed before number was applied to it.

We may, therefore, very well think that it existed before the Soul or Mind that estimates it – if, indeed, it is not to be thought to take its origin from the Soul¹²⁵ – for no measurement by anything is necessary to its existence; measured or not, it has the full extent of its being.

And suppose it to be true that the Soul is the appraiser, using Magnitude as the measuring standard, how does this help us to the conception of Time?

10. Time, again, has been described as some sort of a sequence upon Movement,¹²⁶ but we learn nothing from this, nothing is said, until we know what it is that produces this sequential thing; probably the cause and not the result would turn out to be Time.

And, admitting such a thing, there would still remain the question whether it came into being before the movement, with it, or after it; and, whether we say before or with or after, we are speaking of order in Time: and thus our definition is, ‘Time is a sequence upon movement *in Time*’!

Enough. Our main purpose is to show what Time is, not to refute false definition. To traverse point by point the many opinions of our many predecessors would mean a history rather than an identification; we have treated the various theories as fully as is possible in a cursory review: and, notice, that which makes Time the Measure of the All-Movement is refuted by our entire discussion and, especially, by the observations upon the Measurement of Movement in general, for all the argument – except, of course, that from irregularity – applies to the All as much as to particular Movement.

We are, thus, at the stage where we are to state what Time really is.

11. To this end we must go back to the state we affirmed of Eternity, unwavering Life, undivided totality, limitless, knowing no divagation, at rest in unity and intent upon it. Time was not yet: or at least it did not exist for the Eternal Beings. It is we that must create Time out of the concept and nature of progressive derivation, which remained latent in the Divine Beings.

How Time emerged we can scarcely call upon the Muses to relate¹²⁷ since they were not in existence then – perhaps not even if they had been; though the Cosmos itself, when once engendered, could no doubt tell us best how Time arose and became manifest. Something thus the story must run:

Time at first – in reality before that ‘first’ was produced by desire of succession – Time lay, though not yet as Time, in the Authentic Existent together with the Cosmos itself; the Cosmos also was merged in the Authentic and motionless within it. But there was an active principle there, one set on governing itself and realizing itself (= the All-Soul), and it chose to aim at something more than its present: it stirred from its rest, and the Cosmos stirred with it. ‘And we (the active principle and the Cosmos), stirring to a ceaseless succession, to a next, to the discrimination of identity and the establishment of ever new difference, traversed a portion of the outgoing path and produced an image of Eternity, produced Time.’

For the Soul contained an unquiet faculty,¹²⁸ always desirous of translating elsewhere what it saw in the Authentic Realm, and it could not bear to retain within itself all the dense fullness of its possession.

A seed is at rest; the nature-principle within, uncoiling outwards, makes way towards what seems to it a large life; but by that partition it loses; it was a unity self-gathered, and now, in going forth from itself, it fritters its unity away; it advances into a weaker greatness. It is so with this faculty of the Soul, when it produces the Cosmos known to sense – the mimic of the Divine Sphere, moving

not in the very movement of the Divine but in its similitude, in an effort to reproduce that of the Divine. To bring this Cosmos into being, the Soul first laid aside its eternity and clothed itself with Time; this world of its fashioning it then gave over to be a servant to Time, making it at every point a thing of Time, setting all its progressions within the bournes of Time. For the Cosmos moves only in Soul – the only Space within the range of the All open to it to move in – and therefore its Movement has always been in the Time which inheres in Soul.

Putting forth its energy in act after act, in a constant progress of novelty, the Soul produces succession as well as act; taking up new purposes added to the old it brings thus into being what had not existed in that former period when its purpose was still dormant and its life was not as it since became: the life is changed and that change carries with it a change of Time. Time, then, is contained in differentiation of Life; the ceaseless forward movement of Life brings with it unending Time; and Life as it achieves its stages constitutes past Time.

Would it, then, be sound to define Time as the Life of the Soul in movement as it passes from one stage of act or experience to another?

Yes; for Eternity, we have said, is Life in repose, unchanging, self-identical, always endlessly complete; and there is to be an image of Eternity – Time – such an image as this lower All presents of the Higher Sphere. Therefore over against that higher Life there must be another life, known by the same name as the more veritable Life of the Soul; over against that Movement of the Intellectual Soul there must be the movement of some partial phase; over against that Identity, Unchangeableness and Stability there must be that which is not constant in the one hold but puts forth multitudinous acts; over against that Oneness without extent or interval there must be an image of oneness, a unity of link and succession; over against the immediately Infinite and All-comprehending, that which tends, yes, to infinity but by tending to

a perpetual futurity; over against the Whole in concentration, there must be that which is to be a whole by stages never final. The lesser must always be working towards the increase of its Being; this will be its imitation of what is immediately complete, self-realized, endless without stage: only thus can its Being reproduce that of the Higher.

Time, however, is not to be conceived as outside of Soul; Eternity is not outside of the Authentic Existent: nor is it to be taken as a sequence or succession to Soul, any more than Eternity is to the Divine. It is a thing seen upon Soul, inherent, coeval to it, as Eternity to the Intellectual Realm.

12. We are brought thus to the conception of a Natural-Principle – Time – a certain expanse (a quantitative phase) of the Life of the Soul, a principle moving forward by smooth and uniform changes following silently upon each other – a Principle, then, whose Act is (not one like that of the Supreme but) sequent.

But let us conceive this power of the Soul to turn back and withdraw from the life-course which it now maintains, from the continuous and unending activity of an ever-existent Soul not self-contained or self-intent but concerned about doing and engendering: imagine it no longer accomplishing any Act, setting a pause to this work it has inaugurated; let this outgoing phase of the Soul become once more, equally with the rest, turned to the Supreme, to Eternal Being, to the tranquilly stable.

What would then exist but Eternity?

All would remain in unity; how could there be any diversity of things? What earlier or later would there be, what futurity? What ground would lie ready to the Soul's operation but the Supreme in which it has its Being? Or, indeed, what operative tendency could it have even to That since a prior separation is the necessary condition of tendency?

The very sphere of the Universe would not exist; for it cannot antedate Time: it, too, has its Being and its Movement in Time; and

if it ceased to move, the Soul-Act (which is the essence of Time) continuing, we could measure the period of its Repose by that standard outside it.

If, then, the Soul withdrew, sinking itself again into its primal unity, Time would disappear: the origin of Time, clearly, is to be traced to the first stir of the Soul's tendency towards the production of the sensible Universe with the consecutive act ensuing. This is how 'Time' – as we read [129](#) – 'came into Being simultaneously with' this All: the Soul begot at once the Universe and Time; in that activity of the Soul this Universe sprang into being; the activity is Time, the Universe is a content of Time. No doubt it will be urged that we read [130](#) also of 'the orbit of the Stars being Times': but do not forget what follows; 'the stars exist', we are told, 'for the display and delimitation of Time', and 'that there may be a manifest Measure'. No indication of Time could be derived from (observation of) the Soul; no portion of it can be seen or handled, so it could not be measured in itself, especially when there was as yet no knowledge of counting; therefore the Demiurge (in the Timaeus) brings into being night and day; in their difference is given Duality – from which, we read, arises the concept of Number.

We observe the tract between a sunrise and its return and, as the movement is uniform, we thus obtain a Time-interval upon which to support ourselves, and we use this as a standard. We have thus a measure of Time. Time itself is not a measure. How would it set to work? And what kind of thing is there of which it could say, 'I find the extent of this equal to such and such a stretch of my own extent?' What is this 'I'? Obviously something by which measurement is known. Time, then, serves towards measurement but is not itself the Measure: the Movement of the All will be measured according to Time, but Time will not, of its own nature, be a Measure of Movement: primarily a Kind to itself, it will incidentally exhibit the magnitudes of that movement.

And the reiterated observation of Movement – the same extent found to be traversed in such and such a period – will lead to the

conception of a definite quantity of Time past.

This brings us to the fact that, in a certain sense, the Movement, the orbit of the universe, may legitimately be said to measure Time – in so far as that is possible at all – since any definite stretch of that circuit occupies a certain quantity of Time, and this is the only grasp we have of Time, our only understanding of it: what that circuit measures – by indication, that is – will be Time, manifested by the Movement but not brought into being by it.

This means that the measure of the Spheric Movement has itself been measured by a definite stretch of that Movement and therefore is something different; as measure, it is one thing and, as the measured, it is another; (its being measure or) its being measured cannot be of its essence.

We are no nearer knowledge than if we said that the foot-rule measures Magnitude while we left the concept Magnitude undefined; or, again, we might as well define Movement – whose limitlessness puts it out of our reach – as the thing measured by Space; the definition would be parallel since we can mark off a certain space which the Movement has traversed and say the one is equivalent to the other.

13. The Spherical Circuit, then, performed in Time, indicates it: but when we come to Time itself there is no question of its being 'within' something else: it must be primary, a thing 'within itself.' It is that in which all the rest happens, in which all movement and rest exist smoothly and under order; something following a definite order is necessary to exhibit it and to make it a subject of knowledge – though not to produce it – it is known by order whether in rest or in motion; in motion especially, for Movement better moves Time into our ken than rest can, and it is easier to estimate distance traversed than repose maintained.

This last fact has led to Time being called a measure of Movement when it should have been described as something measured by Movement and then defined in its essential nature; it is an error to define it by a mere accidental concomitant and so to

reverse the actual order of things. Possibly, however, this reversal was not intended by the authors of the explanation: but, at any rate, we do not understand them; they plainly apply the term Measure to what is in reality the measured and leave us unable to grasp their meaning: our perplexity may be due to the fact that their writings – addressed to disciples acquainted with their teaching – do not explain what this thing, measure or measured object, is in itself.

Plato¹³¹ does not make the essence of Time consist in its being either a measure or a thing measured by something else.

Upon the point of the means by which it is known, he remarks that the Circuit advances an infinitesimal distance for every infinitesimal segment of Time, so that from that observation it is possible to estimate what the Time is, how much it amounts to: but when his purpose is to explain its essential nature he tells us that it sprang into Being simultaneously with the Heavenly system, a reproduction of Eternity, its image in motion, Time necessarily unresting as the Life with which it must keep pace: and ‘coeval with the Heavens’ because it is this same Life (of the Divine Soul) which brings the Heavens also into being; Time and the Heavens are the work of the one Life.

Suppose that Life, then, to revert – an impossibility – to perfect unity: Time, whose existence is in that Life, and the Heavens, no longer maintained by that Life, would end at once.

It is the height of absurdity to fasten on the succession of earlier and later occurring in the life and movement of this sphere of ours, to declare that it must be some definite thing and to call it Time, while denying the reality of the more truly existent Movement, that of the Soul, which has also its earlier and later: it cannot be reasonable to recognize succession in the case of the Soulless Movement – and so to associate Time with that – while ignoring succession and the reality of Time in the Movement from which the other takes its imitative existence; to ignore, that is, the very Movement in which succession first appears, a self-actuated

movement which, engendering its own every operation, creates the sequence by which each instant no sooner comes into existence than it passes into the next.

But: we treat the Cosmic Movement as overarched by that of the Soul and bring it under Time; yet we do not set under Time that Soul-Movement itself with all its endless progression: what is our explanation of this paradox?

Simply, that the Soul-Movement has for its Prior (not Time but) Eternity which knows neither its progression nor its extension. The descent towards Time begins with this Soul-Movement; it made Time and harbours Time as a concomitant to its Act.

And this is how Time is omnipresent: that Soul is absent from no fragment of the Cosmos just as our Soul is absent from no particle of ourselves. As for those who pronounce Time a thing of no substantial existence, of no reality, they clearly belie God Himself whenever they say 'He was' or 'He will be': for the existence indicated by the 'was and will be' can have only such reality as belongs to that in which it is said to be situated: but this school demands another style of argument.

Meanwhile we have a supplementary observation to make.

Take a man walking and observe the advance he has made; that advance gives you the quantity of movement he is employing: and when you know that quantity – represented by the ground traversed by his feet, for, of course, we are supposing the bodily movement to correspond with the pace he has set within himself – you know also the movement that exists in the man himself before the feet move.

You must relate the body, carried forward during a given period of Time, to a certain quantity of Movement causing the progress and to the Time it takes, and that again to the Movement, equal in extension, within the man's soul.

But the Movement within the Soul – to what are you to refer that?

Let your choice fall where it may, from this point there is nothing but the unextended: and this is the primarily existent, the container to all else, having itself no container, brooking none.

And, as with Man's Soul, so with the Soul of the All.

Is Time, then, within ourselves as well?

Time is in every Soul of the order of the All-Soul, present in like form in all; for all the Souls are the one Soul.

And this is why Time can never be broken apart, any more than Eternity which, similarly, under diverse manifestations, has its Being as an integral constituent of all the eternal Existences.

EIGHTH TRACTATE



NATURE, CONTEMPLATION, AND THE ONE [30]

SUMMARY

This is in fact the first part of a long treatise, of which we have already seen the last section in Enn. 11. 9, and the overall subject of which is a conspectus of Plotinus' metaphysics. The present tractate is a development of the doctrine that all things are engaged in contemplation (theoria) after their fashion, even plants, inanimate objects, and the earth itself. Action is presented as simply 'weak' contemplation (ch. 4). All things strive towards the sort of unity which is manifested properly at the level of Intellect. The tractate contains a notable personification of Nature (ch. 4), the lowest level of Soul, but the principle of growth for all things. As in many of Plotinus' treatises, we are led up by stages to an apprehension of the One (ch. 8–11).

1. Supposing we played a little [132](#) before entering upon our serious concern and maintained that all things are striving after Contemplation, looking to Vision [133](#) as their one end – and this, not merely beings endowed with reason but even the unreasoning animals, the Principle that rules in growing things, and the Earth that produces these – and that all achieve their purpose in the measure possible to their kind, each attaining Vision and possessing itself of the End in its own way and degree, some things in entire reality, others in mimicry and in image – we would scarcely find anyone to endure so strange a thesis. But in a discussion entirely among ourselves there is no risk in a light handling of our own ideas.

Well – in the play of this very moment am I engaged in the act of

Contemplation?

Yes; I and all that enter this play are in Contemplation: our play aims at Vision; and there is every reason to believe that child or man, in sport or earnest, is playing or working only towards Vision, that every act is an effort towards Vision; the compulsory act, which tends rather to bring the Vision down to outward things, and the act thought of as voluntary, less concerned with the outer, originate alike in the effort towards Vision.

The case of Man will be treated later on: let us speak, first, of the earth and of the trees and vegetation in general, asking ourselves what is the nature of Contemplation in them, how we relate to any Contemplative activity the labour and productiveness of the earth, how Nature, held to be devoid of reason and even of conscious representation,¹³⁴ can either harbour Contemplation or produce by means of the Contemplation which it does not possess.

2. There is, obviously, no question here of hands or feet, of any implement borrowed or inherent: Nature needs simply the Matter which it is to work upon and bring under Form; its productivity cannot depend upon mechanical operation. What driving or hoisting goes to produce all that variety of colour and pattern?

The wax-workers, whose methods have been cited as parallel to the creative act of Nature, are unable to make colours; all they can do is to impose upon their handicraft colours taken from elsewhere. None the less there is a parallel which demands attention: in the case of workers in such arts there must be something locked up within themselves, an efficacy not going out from them and yet guiding their hands in all their creation; and this observation should have indicated a similar phenomenon in Nature; it should be clear that this indwelling efficacy, which makes without hands, must exist in Nature, no less than in the craftsman – but, there, as a thing completely inbound. Nature need possess no outgoing force as against that remaining within; the only moved thing is Matter; there can be no moved phase in this Nature-Principle; any such moved phase could not be the primal mover; this Nature-Principle

is no such moved entity; it is the unmoved Principle operating in the Cosmos.

We may be answered that the Reason-Principle is, no doubt, unmoved, but that the Nature-Principle, another being, operates by motion.

But, if Nature entire is in question here, it is identical with the Reason-Principle; and any part of it that is unmoved is the Reason-Principle. The Nature-Principle must be an Ideal-Form, not a compound of Form and Matter; there is no need for it to possess (such a changeable element as) Matter, hot and cold: the Matter that underlies it, on which it exercises its creative act, brings all that with it, or, natively without quality, becomes hot and cold, and all the rest, when brought under Reason: Matter, to become fire, demands the approach not of fire but of a Reason-Principle.

This is no slight evidence that in the animal and vegetable realms the Reason-Principles are the makers and that Nature is a Reason-Principle producing a second Reason-Principle, its offspring, which, in turn, while itself, still, remaining intact, communicates something to the underlie, Matter.

The Reason-Principle presiding over visible Shape is the very ultimate of its order, a dead thing unable to produce further: that which produces in the created realm is the living Reason-Principle – brother, no doubt, to that which gives mere shape, but having life-giving power.

3. But if this Reason-Principle (Nature) is in act – and produces by the process indicated – how can it have any part in Contemplation?

To begin with, since in all its production it is stationary and intact, a Reason-Principle self-indwelling, it is in its own nature a Contemplative act. All doing must be guided by an Idea, and will therefore be distinct from that Idea: the Reason-Principle then, as accompanying and guiding the work, will be distinct from the work; not being action but Reason-Principle it is, necessarily, Contemplation. Taking the Reason-Principle, the Logos, in all its

phases, the lowest and last springs from a mental act (in the higher Logos) and is itself a contemplation, though only in the sense of being contemplated (i.e. of being object and not subject), but above it stands the total Logos with its two distinguishable phases, first, that identified not as Nature but as All-Soul and, next, that operating in Nature and being itself the Nature-Principle.

And does this Reason-Principle, Nature, spring from a contemplation?

Wholly and solely.

From self-contemplation, then? Or what are we to think? It derives from a Contemplation and some contemplating Being; how are we to suppose it to have Contemplation itself?

The Contemplation springing from the reasoning faculty – that, I mean, of planning its own content – it does not possess.

But why not, since it is a phase of Life, a Reason-Principle, and a creative Power?

Because to plan for a thing is to lack it: Nature does not lack; it creates because it possesses. Its creative act is simply its possession of its own characteristic Essence; now its Essence, since it is a Reason-Principle, is to be at once an act of contemplation and an object of contemplation. In other words, the Nature-Principle produces by virtue of being an act of contemplation, an object of contemplation, and a Reason-Principle; on this triple character depends its creative efficacy.

Thus the act of production is seen to be in Nature an act of contemplation, for creation is the outcome of a contemplation which never becomes anything else, which never does anything else, but creates by simply being a contemplation.

4. And Nature,¹³⁵ asked why it brings forth its works, might answer if it cared to listen and to speak:

‘It would have been more becoming to put no question but to learn in silence just as I myself am silent and make no habit of talking. And what is your lesson? This; that whatsoever comes into being is my vision, seen in my silence, the vision that belongs to my character who, sprung from vision, am vision-loving

and create vision by the vision-seeing faculty within me. The mathematicians from their vision draw their figures: but I draw nothing: I gaze and the figures of the material world take being as if they fell from my contemplation. As with my Mother (the All-Soul) and the Beings that begot me so it is with me: they are born of a Contemplation and my birth is from them, not by their Act but by their Being; they are the loftier Reason-Principles, they contemplate themselves and I am born.'

Now what does this tell us?

It tells: that what we know as Nature is a Soul, offspring of a yet earlier Soul of more powerful life; that it possesses, therefore, in its repose, a vision within itself; that it has no tendency upward nor even downward but is at peace, steadfast, in its own Essence; that, in this immutability accompanied by what may be called Self-Consciousness, it possesses – within the measure of its possibility – a knowledge of the realm of subsequent things perceived in virtue of that understanding and consciousness; and, achieving thus a resplendent and delicious spectacle, has no further aim.

Of course, while it may be convenient to speak of 'understanding' or 'perception' in the Nature-Principle, this is not in the full sense applicable to other beings; we are applying to sleep a word borrowed from the wake.

For the Vision on which Nature broods, inactive, is a self-intuition, a spectacle laid before it by virtue of its unaccompanied self-concentration and by the fact that in itself it belongs to the order of intuition. It is a Vision silent but somewhat blurred, for there exists another, a clearer, of which Nature is the image: hence all that Nature produces is weak; the weaker act of intuition produces the weaker object.

In the same way, human beings, when weak on the side of contemplation, find in action their trace of vision and of reason: their spiritual feebleness unfits them for contemplation; they are left with a void, because they cannot adequately seize the vision; yet they long for it; they are hurried into action as their way to the vision which they cannot attain by intellection. They act from the desire of seeing their action, and of making it visible and sensible to

others when the result shall prove fairly well equal to the plan. Everywhere, doing and making will be found to be either an attenuation or a complement of vision – attenuation if the doer was aiming only at the thing done; complement if he is to possess something nobler to gaze upon than the mere work produced.

Given the power to contemplate the Authentic, who would run, of choice, after its image?

The relation of action to contemplation is indicated in the way duller children, inapt to study and speculation, take to crafts and manual labour.

5. This discussion of Nature has shown us how the origin of things¹³⁶ is a Contemplation: we may now take the matter up to the higher Soul; we find that the Contemplation pursued by this, its instinct towards knowing and inquiring, the birth pangs set up by the knowledge it attains, its teeming fullness, have caused it – in itself all one object of Vision – to produce another Vision (that of the Cosmos): it is just as a given science, complete in itself, becomes the source and cause of what might be called a minor science in the student¹³⁷ who attains to some partial knowledge of all its divisions. But the visible objects and the objects of intellectual contemplation of this later creation are dim and helpless by the side of the content of the Soul.

The primal phase of the Soul – inhabitant of the Supreme and, by its participation in the Supreme, filled and illuminated – remains unchangeably There; but in virtue of that first participation, that of the primal participant, a secondary phase also participates in the Supreme, and this secondary goes forth ceaselessly as Life streaming from Life; for energy runs through the Universe and there is no extremity at which it dwindles out. But, travel as far as it may, it never draws that first part of itself from the place whence the outgoing began: for if it abandoned its prior (the Intellectual-Principle), it would no longer be everywhere (its continuous Being would be broken and) it would be present at the end, only, of its course.

None the less that which goes forth cannot be equal to that

which remains.

In sum, then:

The Soul is to extend throughout the Universe, no spot void of its energy: but, a prior is always different from its secondary, and energy is a secondary, rising as it must from contemplation or act; act, however, is not at this stage existent since it depends upon contemplation: therefore the Soul while its phases differ must, in all of them, remain a contemplation, and what seems to be an act done under contemplation must be in reality that weakened contemplation of which we have spoken: the engendered must respect the Kind, but in weaker form, dwindled in the descent.

All goes softly since nothing here demands the parade of thought or act upon external things: it is a Soul in vision and, by this vision, creating its own subsequent – this Principle (of Nature), itself also contemplative but in the feebler degree since it lies further away and cannot reproduce the quality or experiences of its prior – a Vision creates the Vision.

(Such creative contemplation is not inexplicable) for no limit exists either to contemplation or to its possible objects, and this explains how the Soul's creation is everywhere: where can this thing fail to be, which is one identical thing in every soul? Vision is not cabined within the bournes of magnitude.

This, of course, does not mean that the Soul is present at the same strength in each and every place and thing – any more than that it is at the same strength in each of its own phases.

The Charioteer (the Leading Principle of the Soul, in the Phaedrus Myth)¹³⁸ gives the two horses (its two dissonant faculties) what he has seen and they, taking that gift, showed that they were hungry for what made that vision; there was something lacking to them: if in their desire they acted, their action aimed at what they craved for – and that was vision, and an object of vision.

6. Action, thus, is set towards contemplation and an object of contemplation, so that even those whose life is in doing have seeing

as their object; what they have not been able to achieve by the direct path, they hope to come at by the circuit.

Further: suppose they succeed; they desired a certain thing to come about, not in order to be unaware of it but to know it, to see it present before the mind: their success is the laying up of a vision. We act for the sake of some good; this means not for something to remain outside ourselves, not in order that we may possess nothing but that we may hold the good of the action. And hold it, where? Where but in the mind?

Thus once more, action is brought back to contemplation: for (mind or) Soul is a Reason-Principle and anything that one lays up in the Soul can be no other than a Reason-Principle, a silent thing, the more certainly such a principle as the impression made is the deeper.

This vision achieved, the acting instinct pauses; the mind is satisfied and seeks nothing further; the contemplation, in one so conditioned, remains absorbed within as having acquired certainty to rest upon. The brighter the certainty, the more tranquil is the contemplation as having acquired the more perfect unity; and – for now we come to the serious treatment¹³⁹ of the subject –

In proportion to the truth with which the knowing faculty knows, it comes to identification with the object of its knowledge.

As long as duality persists, the two lie apart, parallel as it were to each other; there is a pair in which the two elements remain strange to one another, as when Ideal-Principles laid up in the mind or Soul remain idle.

Hence the Idea must not be left to lie outside but must be made one identical thing with the Soul of the novice so that he finds it really his own.

The Soul, once domiciled within that Idea and brought to likeness with it, becomes productive, active; what it always held by its primary nature it now grasps with knowledge and applies in deed, so becoming, as it were, a new thing and, informed as it now

is by the purely intellectual, it sees (in its outgoing act) as a stranger looking upon a strange world. It was, no doubt, essentially a Reason-Principle, even an Intellectual Principle; but its function is to see a (lower) realm which these do not see.

For, it is not a complete thing: it has a lack; it is incomplete in regard to its Prior; yet it, also, has a tranquil vision of what it produces. What it has once brought into being it produces no more, for all its productiveness is determined by this lack: it produces for the purpose of Contemplation, in the desire of knowing all its content: when there is question of practical things it adapts its content to the outside order.

The Soul has a greater content than Nature has and therefore it is more tranquil; it is more nearly complete and therefore more contemplative. It is, however, not perfect, and is all the more eager to penetrate the object of contemplation, and it seeks the vision that comes by observation. It leaves its native realm and busies itself elsewhere; then it returns, and it possesses its vision by means of that phase of itself from which it had parted. The self-indwelling Soul inclines less to such experiences.

The Sage, then, has gone through a process of reasoning when he expounds his act to others; but in relation to himself he is Vision: such a man is already set, not merely in regard to exterior things but also within himself, towards what is one and at rest: all his faculty and life are inward-bent.

7. Certain Principles, then, we may take to be established – some self-evident, others brought out by our treatment above:

All the forms of Authentic Existence spring from vision and are a vision. Everything that springs from these Authentic Existences in their vision is an object of vision – manifest to sensation or to true knowledge or to surface-awareness. All act aims at this knowing; all impulse is towards knowledge, all that springs from vision exists to produce Ideal-Form, that is a fresh object of vision, so that universally, as images of their engendering principles, they all

produce objects of vision, Ideal-Forms. In the engendering of these existences, imitations of the Authentic, it is made manifest that the creating powers operate not for the sake of creation and action but in order to produce an object of vision. This same vision is the ultimate purpose of all the acts of the mind and, even further downward, of all sensation, since sensation also is an effort towards knowledge; lower still, Nature, producing similarly its subsequent principle, brings into being the vision and Idea that we know in it. It is certain, also, that as the Firsts exist in vision all other things must be straining towards the same condition; the starting-point is, universally, the goal.

When living things reproduce their kind, it is that the Reason-Principles within stir them; the procreative act is the expression of a contemplation, a travail towards the creation of many forms, many objects of contemplation, so that the universe may be filled full with Reason-Principles and that contemplation may be, as nearly as possible, endless: to bring anything into being is to produce an Idea-Form and that again is to enrich the universe with contemplation: all the failures, alike in being and in doing, are but the swerving of visionaries from the object of vision: in the end the sorriest craftsman is still a maker of forms, ungracefully. So Love, too, is vision with the pursuit of Ideal-Form.

8. From this basis we proceed:

In the advancing stages of Contemplation rising from that in Nature, to that in the Soul and thence again to that in the Intellectual-Principle itself, the object contemplated becomes progressively a more and more intimate possession of the Contemplating Beings, more and more one thing with them; and in the advanced Soul the objects of knowledge, well on the way towards the Intellectual-Principle, are close to identity with their container.

Hence we may conclude that, in the Intellectual-Principle itself, there is complete identity of Knower and Known, and this not by

way of domiciliation, as in the case of even the highest soul, but by Essence, by the fact that, there, no distinction exists between Being and Knowing;¹⁴⁰ we cannot stop at a principle containing separate parts; there must always be a yet higher, a principle above all such diversity.

The Supreme must be an entity in which the two are one; it will, therefore, be a Seeing that lives, not an object of vision like things existing in something other than themselves: what exists in an outside element owes its life to that element; it is not self-living.

If, therefore, the pure object of Intellection or Contemplation is to have life, it must be Life Absolute and distinct from the vegetative or sensitive life or any other life determined by Soul.

In a certain sense no doubt all lives are thoughts – but qualified as thought vegetative, thought sensitive, and thought psychic.

What, then, makes them thoughts?

The fact that they are Reason-Principles. Every life is some form of thought, but of a dwindling clearness like the degrees of life itself. The first and clearest Life and the first Intelligence are one Being. The First Life, then, is an Intellection and the next form of Life is the next Intellection and the last form of Life is the last form of Intellection. Thus every Life is of this order; it is an Intellection.

But while men may recognize grades in life they reject grades in thought; to them there are thoughts (full and perfect) and anything else is no thought.

This is simply because they do not seek to establish what Life is.

The essential is to observe that, here again, all reasoning shows that whatever exists is a by-product of visioning: if, then, the truest Life is such by virtue of an Intellection and is identical with the truest Intellection, then the truest Intellection is a living being; Contemplation and its object constitute a living thing, a Life, two inextricably one.

The duality, thus, is a unity; but how is this unity also a plurality?

The explanation is that in a unity there can be no seeing (a pure unity has no room for vision and an object); and in its Contemplation the One is not acting as a Unity; if it were, the Intellectual-Principle cannot exist. The Highest began as a unity but did not remain as it began;¹⁴¹ all unknown to itself, it became manifold; it grew, as it were, pregnant: desiring universal possession, it flung itself outward, though it were better had it never known the desire by which a Secondary came into being: it is like a Circle (in the Idea) which in projection becomes a figure, a surface, a circumference, a centre, a system of radii, of upper and lower segments. The Whence is the better; the Whither is less good: the Whither is not of the quality of the Whence-and-Whither, and the Whence-and-Whither is not of the quality of the Whence alone.

The Intellectual-Principle on the other hand was never merely the Principle of an inviolable unity; it was a universal as well and, being so, was the Intellectual-Principle of all things. Being, thus, all things and the Principle of all, it must be such that every part of it is universal, is all things: otherwise, it contains a part which is not Intellectual-Principle: it will be a juxtaposition of non-Intellectuals, a huddled heap waiting to be made over from the mass of things into the Intellectual-Principle!

We conclude that this Being is limitless and that in all the outflow from it there is no lessening, either in its emanation, since this also is the entire universe, nor in itself, the starting point, since it is no assemblage of parts (to be diminished by any outgo).

9. Clearly a Being of this nature is not the primal existent; there must exist that which transcends it, that Being (the Absolute), to which all our discussion has been leading.

In the first place, Plurality is later than Unity. The Intellectual-Principle is a number (= the expression of a plurality); and number derives from unity: the source of a number such as this must be the authentically One. Further, it is the sum of an Intellectual-Being with the object of its Intellection, so that it is a duality; and, given

this duality, we must find what exists before it.

What is this?

The Intellectual-Principle taken separately, perhaps?

No: an Intellect is always inseparable from an intelligible object; eliminate the intelligible, and the Intellectual-Principle disappears with it. If, then, what we are seeking cannot be the Intellectual-Principle but must be something that rejects the duality there present, then the Prior demanded by that duality must be something on the further side of the Intellectual-Principle.

But might it not be the Intelligible object itself?

No: for the Intelligible makes an equally inseparable duality with the Intellectual-Principle.

If, then, neither the Intellectual-Principle nor the Intelligible Object can be the First Existent, what is?

Our answer can only be:

The source of both.

What will This be; under what character can we picture It?

(We will be told that) It must be either Intellective or without Intellection: if Intellective it is the Intellectual-Principle; if not, it will be without even knowledge of itself— and then, what is there so august about it?

If we define it as The Good and the wholly simplex, we will, no doubt, be telling the truth, but we will not be giving any certain and lucid account of it as long as we have in mind no entity in which to lodge the conception by which we define it.

Yet: our knowledge of everything else comes by way of our intelligence; our power is that of knowing the intelligible by means of the intelligence: but this Entity transcends all of the intellectual nature; by what direct intuition, then, can it be brought within our grasp?

To this question the answer is that we can know it only in the degree of human faculty: we indicate it by virtue of what in

ourselves is like it.

For in us, also, there is something of that Being; nay, nothing, ripe for that participation, can be void of it.

Wherever you be, you have only to range over against this omnipresent Being that in you which is capable of drawing from It, and you have your share in it: imagine a voice sounding over a vast waste of land, and not only over the emptiness alone but over human beings; wherever you be in that great space you have but to listen and you take the voice entire – entire though yet with a difference.

And what do we take when we thus point the Intelligence?

The Intellectual-Principle in us must mount to its origins: essentially a thing facing two ways, it must deliver itself over to those powers within it which tend upward; if it seeks the vision of that Being, it must become something more than Intellect.

For the Intellectual-Principle is the earliest form of Life: it is the Activity presiding over the outflowing of the universal Order – the outflow, that is, of the first moment, not that of the continuous process.

In its character as Life, as emanation, as containing all things in their precise forms and not merely in the agglomerate mass – for this would be to contain them (against its specific character) imperfectly and inarticulately – it must of necessity derive from some other Being, from one that does not emanate but is the Principle of Emanation, of Life, of Intellect, and of the Universe.

For the Universe is not a Principle and Source: it springs from a source, and that source cannot be the All or anything belonging to the All since it is to generate the All, and must be not a plurality but the Source of plurality since universally a begetting power is less complex than the begotten. Thus the Being that has engendered the Intellectual-Principle must be more simplex than the Intellectual-Principle.

We may be told that this engendering Principle is the One-and-

All.

But, at that, it must be either each separate entity from among all or it will be all things in the one mass.

Now if it were the massed total of all, it must be of later origin than any of the things of which it is the sum; if it precedes the total, it differs from the things that make up the total and they from it: if it and the total of things constitute a co-existence, it is not a Source. But what we are probing for must be a Source; it must exist before all, that all may be fashioned as sequel to it.

As for the notion that it may be each separate entity of the All, this would make a self-Identity into a what you like, where you like, indifferently, and would, besides, abolish all distinction in things themselves.

Once more we see that this can be no thing among things but must be prior to all things.

10. And what will such a Principle essentially be?

The potentiality of the Universe: the potentiality¹⁴² whose non-existence would mean the non-existence of all the Universe and even of the Intellectual-Principle which is the primal Life and all Life.

This Principle on the thither side of Life is the cause of Life – for that Manifestation of Life which is the Universe of things is not the First Activity; it is itself poured forth, so to speak, like water from a spring.

Imagine a spring that has no source outside itself;¹⁴³ it gives itself to all the rivers, yet is never exhausted by what they take, but remains always integrally as it was; the tides that proceed from it are at one within it before they run their several ways, yet all, in some sense, know beforehand down what channels they will pour their streams.

Or: think of the Life coursing throughout some mighty tree while yet it is the stationary Principle of the whole, in no sense scattered

over all that extent but, as it were, vested in the root: it is the giver of the entire and manifold life of the tree, but remains unmoved itself, not manifold but the Principle of that manifold life.

And this surprises no one: though it is in fact astonishing how all that varied vitality springs from the unvarying, and how that very manifoldness could not be unless before the multiplicity there were something all singleness; for, the Principle is not broken into parts to make the total; on the contrary, such partition would destroy both; nothing would come into being if its cause, thus broken up, changed character.

Thus we are always brought back to The One.

Every particular thing has a One of its own to which it may be traced; the All has its One, its Prior but not yet the Absolute One; through this we reach that Absolute One, where all such reference comes to an end.

Now when we reach a One – the stationary Principle – in the tree, in the animal, in Soul, in the All – we have in every case the most powerful, the precious element: when we come to the One in the Authentically Existent Beings – their Principle and source and potentiality – shall we lose confidence and suspect it of being – nothing?

Certainly this Absolute is none of the things of which it is the source – its nature is that nothing can be affirmed of it – not existence, not essence, not life – since it is That which transcends all these. But possess yourself of it by the very elimination of Being and you hold a marvel. Thrusting forward to This, attaining, and resting in yourself, seek to grasp it more and more – understanding it by that intuitive thrust alone, but knowing its greatness by the Beings that follow upon it and exist by its power.

11. Another approach:

The Intellectual-Principle is a Seeing, and a Seeing which itself sees; therefore it is a potentiality which has become effective.

This implies the distinction of Matter and Form in it¹⁴⁴ – as

there must be in all actual seeing – the Matter in this case being the Intelligibles which the Intellectual-Principle contains and sees. All actual seeing implies duality; before the seeing takes place there is the pure unity (of the power of seeing). That unity (of principle) acquires duality (in the act of seeing), and the duality is (always to be traced back to) a unity.

Now as our sight requires the world of sense for its satisfaction and realization, so the vision in the Intellectual-Principle demands, for its completion, The Good.

It cannot be, itself, The Good, since then it would not need to see or to perform any other Act; for The Good is the centre of all else, and it is by means of The Good that every thing has Act, while The Good is in need of nothing and therefore possesses nothing beyond itself.

Once you have uttered ‘The Good’, add no further thought: by any addition, and in proportion to that addition, you introduce a deficiency.

Do not even say that it has Intellection; you would be dividing it; it would become a duality, Intellect and The Good. The Good has no need of the Intellectual-Principle which, on the contrary, needs it, and attaining it, is shaped into Goodness and becomes perfect by it: the Form this received, sprung from The Good, brings it to likeness with The Good.

Thus the traces of The Good discerned upon it must be taken as indication of the nature of that Archetype: we form a conception of its true character from its image playing upon the Intellectual-Principle. This image of itself it has communicated to the Intellect that contemplates it: thus all the striving is on the side of the Intellect, which is the eternal striver and eternally the attainer. The Being beyond neither strives, since it feels no lack, nor attains, since it has no striving. And this marks it off from the Intellectual-Principle, to which characteristically belongs the striving, the concentrated strain towards its Form.

Yet: The Intellectual-Principle; beautiful; the most beautiful of all; lying lapped in pure light and in clear radiance;¹⁴⁵ circumscribing the Nature of the Authentic Existents; the original of which this beautiful world is a shadow and an image; tranquil in the fullness of glory since in it there is nothing devoid of intellect, nothing dark or out of rule; a living thing in a life of blessedness: this, too, must overwhelm with awe any that has seen it, and penetrated it, to become a unit of its Being.

But: as one that looks up to the heavens and sees the splendour of the stars thinks of the Maker and searches, so whoever has contemplated the Intellectual Universe and known it and wondered for it must search after its Maker too. What Being has raised so noble a fabric? And how? Who has begotten such a child, this Intellectual-Principle, this lovely abundance so abundantly endowed?¹⁴⁶

The Source of all this cannot be an Intellect; nor can it be an abundant power: it must have been before Intellect and abundance were; these are later and things of lack; abundance had to be made abundant and Intellection needed to know.

These are very near to the un-needing, to that which has no need of knowing, they have abundance and intellection authentically, as being the first to possess. But, there is That before them which neither needs nor possesses anything, since, needing or possessing anything else, it would not be what it is – The Good.



THE FOURTH ENNEAD

THIRD TRACTATE



PROBLEMS OF THE SOUL (I) [27]

SUMMARY

One of the major works of Plotinus' 'middle period', this treatise (divided in two rather curiously by Porphyry) is really a series of 'questions' (aporiai) on aspects of Platonist psychology (IV. 5, which is a sort of appendix to the treatise, on sight and hearing, has been omitted from this edition). These aporiai address a number of the main difficulties in the Platonist theory of the Soul. Plotinus does not always solve them (he is too honest a thinker for that), but he invariably illuminates them.

First (IV. 3, ch. 1–8), we face the question of the relationship of the individual souls to the All-Soul (they can be neither parts nor yet separate); second (ch. 9–18), we have the problem attending the entry of souls into bodies; then third (ch. 19–24), the situation of the embodied soul, its divisions, and its fate after leaving the body. This leads to, fourth, a long discussion of memory (3, ch. 25 to 4, ch. 5) and in particular what sort of memory, and so what continuity of personality, there can be in disembodied souls. This leads in turn to, fifth, an enquiry (4, ch. 6–17) into whether beings such as the planetary gods or the earth can have memory. Then sixth, we return (ch. 18–29) to the question of the experience and activities of the embodied soul, followed by two connected sections (ch. 30–39; 40–45) on the role of prayer and magic, based on the doctrine of universal sympathy. These are not all problems that would strike us as

of the most immediate urgency but, in the Platonist tradition which Plotinus inherited, they were of major importance.

1. The Soul: what dubious questions concerning it admit of solution, or where we must abide our doubt – with, at least, the gain of recognizing the problem that confronts us – that is matter well worth attention. On what subject can we more reasonably expend the time required by minute discussion and investigation? Apart from much else, it is enough that such an inquiry illuminates two grave questions: of what sphere the Soul is the principle and whence the Soul itself springs. Moreover, we will be only obeying the ordinance of the God who bade us know ourselves.¹

Our general instinct to seek and learn will, in all reason, set us inquiring into the nature of the instrument with which we search; so, equally, will our longing to possess ourselves of the adorable vision of the Intellectual-Principle. Even in the universal Intellect (Divine Mind) there was duality, so that we would expect differences of condition in things of part: how some things rather than others come to be receptacles of the divine beings will need to be examined; but all this we may leave aside until we are considering the mode in which soul comes to occupy body. For the moment we return to our argument against those who maintain our souls to be offshoots from the Soul of the universe (parts and not an identity modally parted).

Our opponents will probably deny the validity of our arguments against the theory that the human soul is a mere segment of the All-Soul – the considerations, namely, that it is of identical scope, and that it is intellectual in the same degree, supposing them, even, to admit that equality of intellection.

They will object that parts must necessarily fall under one Ideal-Form with their wholes. And they will adduce Plato² as expressing their view where, in demonstrating that the All is ensouled, he says, ‘As our body is a portion of the body of the All, so our soul is a portion of the Soul of the All.’ It is admitted on clear evidence that we are borne along by the Circuit of the All; we will be told that – taking character and destiny from it, strictly inbound with it – we

must derive our souls, also, from what thus bears us up, and that as within ourselves every part absorbs from our soul, so, analogically, we, standing as parts to the universe, absorb from the Soul of the All as parts of it. They will urge also that the dictum³ ‘The collective soul cares for all the unensouled’, carries the same implication and could be uttered only in the belief that nothing whatever of later origin stands outside the Soul of the universe, the only soul there can be there to concern itself with the unensouled.

2. To this our first answer is that to place certain things under one identical class – by admitting an identical range of operation – is to make them of one common species, and puts an end to all mention of part; the reasonable conclusion would be, on the contrary, that there is one identical soul, every separate manifestation being that soul complete.

Let our opponents once admit the unity: they will go on to make it dependent on a principle (Soul Absolute) in which we have no longer the soul of this or that, but a soul of nowhere, a soul belonging neither to the Cosmos, nor to anything else, and yet vested with the creation of whatever serves as soul to the Cosmos and to every ensouled thing.

The Soul considered as an entirety cannot be a soul of any one given thing – since it is an Essence (a divine Real-Being) – or, at least, there must be a soul which is not exclusively the soul of any particular thing, and those attached to particulars must so belong merely in some mode of accident.

In such questions as this it is important to clarify the significance of ‘part’.

Part, as understood of body – uniform or varied – need not detain us; it is enough to indicate that, when part is mentioned in respect of things whose members are alike, it refers to mass and not to Ideal-Form (specific idea): take for example, whiteness: the whiteness in a portion of milk is not a part of the whiteness of milk in general: we have the whiteness of a portion, not a portion of whiteness; for whiteness is utterly without magnitude; has nothing

whatever to do with quantity.

That is all we need say with regard to part in material things; but part in the unembodied may be taken in various ways. We may think of it in the sense familiar in numbers, 'two' a part of the standard 'ten' – in abstract numbers of course – or as we think of a segment of a circle or line (abstractly considered), or, again, of a section or branch of knowledge.

In the case of the units of reckoning and of geometrical figure, exactly as in that of corporeal masses, partition must diminish the total; the part must be less than the whole; for these are things of quantity, and have their being as things of quantity; and – since they are not the Ideal-Form Quantity – they are subject to increase and decrease.

Now in such a sense as this, part cannot be affirmed of the Soul.

The Soul is not a thing of quantity; we are not to conceive of the All-Soul as some standard ten with particular souls as its constituent units.

Such a conception would entail many absurdities:

The Ten could not be (essentially) a unity (the Soul would be an aggregation, not a self-standing Real-Being) and, further – unless every one of the single constituents were itself an All-Soul – the All-Soul would be formed of non-souls.

Again, it is admitted that the particular soul – this 'part of the All-Soul' – is of one Ideal-Form with it, but this does not entail the relation of part to whole, since in objects formed of continuous parts there is nothing inevitably making any portion uniform with the total: take, for example, the parts of a circle or square; we may divide it in different ways so as to get our part; a triangle need not be divided into triangles; all sorts of different figures are possible: yet an absolute uniformity is admitted to reign throughout soul.

In a line, no doubt, the part is inevitably a line; but even here there is a necessary difference in size; and if in the case of the Soul we similarly called upon magnitude as the distinction between

constituents and collective soul, then soul, thus classed by magnitude, becomes quantitative, and is simply body.

But it is admitted that all souls are like, and are entireties; clearly, soul is not subject to part in the sense in which magnitudes are: our opponents themselves would not consent to the notion of the All-Soul being whittled down into fragments, yet this is what they would be doing, annulling the All-Soul – if any collective soul existed at all – making it a mere piece of terminology, thinking of it like wine separated into many portions, each portion, in its jar, being described as a portion of the total thing, wine.

Next there is the conception of the individual soul as a part in the sense in which we speak of some single proposition as a part of the science entire.

The theorem is separate, but the science stands as one undivided thing, the separation into theorems being simply the act of making each constituent notion explicit and efficient: this is partition without severance; each item potentially includes the whole science, which itself remains an unbroken total.

Is this the appropriate parallel?

Yes; in such a relationship the All-Soul, of which the particular souls are to be a part, will not be the Soul of any definite thing, but an entity standing aloof; that means that it will not even be the Soul of the Cosmos, since this will be, itself, one of those partial souls; thus all alike (cosmic soul and particular souls) will be partial and of one nature.

Then why (we may be asked) does one soul belong to the Cosmos and the rest only to parts of the Cosmos?

3. Is it a question of part in the sense that, taking one living being, the Soul in a finger might be called a part of the Soul entire?

This would carry the alternative that either there is no soul outside of body, or that – no soul being within body – the thing described as the Soul of the universe is, none the less, outside the body of the universe. That is a point to be investigated, but for the

present we must consider what kind of soul this parallel would give us.

If the particular soul is a part of the All-Soul only in the sense that this bestows itself upon all living things of the partial sphere, such a self-bestowal does not imply division; on the contrary, it is the identical soul that is present everywhere, the one complete thing, multi-present at the one moment: there is no longer question of a soul that is a part against a soul that is an all – especially where an identical power is present. Even difference of function, as in eyes and ears, cannot warrant the assertion of distinct parts concerned in each separate act – such allotment we may leave to others – all is met by the notion of one identical thing, but a thing in which a distinct power operates in each separate function. All the powers are present either in seeing or in hearing; the difference in impression received is due to the difference in the organs concerned; all the varying impressions are our various responses to Ideal-Forms that can be taken in a variety of modes.

A further proof (of the unity of soul) is that perception demands a common gathering place; every organ has its distinct function, and is competent only upon its own material, and must interpret each several experience in its own fashion; the judgement upon these impressions must, then, be vested in some one principle, a judge informed upon all that is said and done.

But again: ‘Everywhere unity’ applies both to Soul itself and to its various functions. To identify the relation of All-Soul to particular souls with the relation between a soul and its sensations would entail that no particular soul but only the All-Soul could have thought or knowledge; to localize thought is to recognize the separate existence of the individual soul. But since the soul is a rational soul, by the very same title by which it is an All-Soul, and is called the rational soul, in the sense of being a whole (and so not merely ‘reasoning locally’), then what is thought of as a part must in reality be no part but the identity of an unparted thing.

4. But if this is the true account of the unity of soul, we must be able to meet the problems that ensue: firstly, the difficulty of one thing being present at the same moment in all things; and, secondly, the difficulty of soul in body as against soul not embodied.

We might be led to think that all soul must always inhabit body; this would seem especially plausible in the case of the Soul of the universe, not thought of as ever leaving its body as the human soul does: there exists, no doubt, an opinion that even the human soul, while it must leave the body, can not become an utterly disembodied thing; but, assuming its complete disembodiment, how comes it that the human soul can go free of the body but the All-Soul not, though they are one and the same?

There is no such difficulty in the case of the Intellectual-Principle; by the primal differentiation, this separates, no doubt, into partial things of widely varying nature, but eternal unity is secured by virtue of the eternal identity of that Essence: it is not so easy to explain how, in the case of the Soul described as 'separate among bodies',⁴ such differentiated souls can still remain one thing.

A possible solution may be offered:

The unit soul (it may be conceived) holds aloof, not actually falling into body; the differentiated souls – the All-Soul, with the others – issue from the unity while still constituting, within certain limits, an association. They are one soul by the fact that they do not belong unreservedly to any particular being; they meet, so to speak, fringe to fringe; they strike out here and there, but are held together at the source much as light is a divided thing upon earth, shining in this house and that, and yet remains uninterruptedly one identical substance.

The All-Soul would always remain above, since essentially it has nothing to do with descent or with the lower, or with any tendency towards this sphere: the other souls would become ours (become 'partial', individual in us) because their lot is cast for this sphere, and because they give attention to a thing (the body) which requires their care.

The one – the lowest soul in the total of the All-Soul – would correspond to that in some great growth,⁵ silently, unlaboriously conducting the whole; our own lowest soul might be compared to the insect life in some rotted part of the growth – for this is the ratio of the animated body to the universe – while the other soul in us, of one ideal nature with the higher parts of the All-Soul, may be imaged as the gardener concerned about the insects lodged in the tree and anxiously working to amend what is wrong; or we may contrast a healthy man living with the healthy and, by his thought or by his act, lending himself to the service of those about him, with, on the other side, a sick man intent upon his own care and cure, and so living for the body, body-bound.

5. But what place is left for the particular souls, yours and mine and another's?

May we suppose the Soul to be appropriated on the lower ranges to some individual, but to belong on the higher to that other sphere?

At this there would be a Socrates as long as Socrates' soul remained in body; but Socrates ceases to exist, precisely on attainment of the highest.

Now nothing of Real Being is ever annulled.⁶

In the Supreme, the Intellectual-Principles are not annulled, for in their differentiation there is no bodily partition, no passing of each separate phase into a distinct unity; every such phase remains in full possession of that identical being. It is exactly so with the souls.

By their succession they are linked to the several Intellectual-Principles, for they are the expression, the Logos, of the Intellectual-Principles, of which they are the unfolding; brevity has opened out to multiplicity; by that point of their being which least belongs to the partial order, they are attached each to its own Intellectual original: they have already chosen the way of division; but to the extreme they cannot go; thus they keep, at once,

identification and difference; each soul is permanently a unity (a self) and yet all are, in their total, one being.

Thus the gist of the matter is established: one soul the source of all; those others, as a many founded in that one, are, on the analogy of the Intellectual-Principle, at once divided and undivided; that Soul which abides in the Supreme is the one expression or Logos of the Intellectual-Principle, and from it spring other Reason-Principles, partial but immaterial, exactly as in the differentiation of the Supreme.

6. But how comes it that while the All-Soul has produced a Cosmos, the Soul of the particular has not, though it is of the one ideal Kind and contains, it too, all things in itself?

We have indicated⁷ that a thing may enter and dwell at the same time in various places; this ought to be explained, and the inquiry would show how an identity resident simultaneously here and there may, in its separate appearances, act or react – or both – after distinct modes; but the matter deserves to be examined in a special discussion.

To return, then: how and why has the All-Soul produced a Cosmos, while the particular souls simply administer some one part of it?

In the first place, we are not surprised when men of identical knowledge differ greatly in effective power.

But the reason, we will be asked.

The answer might be that there is a similar difference of degree among souls, the one never having fallen away from the All-Soul, but dwelling within it and assuming body therein, while the others received their allotted spheres when the body was already in existence, when their sister soul was already in rule and, as it were, had already prepared habitations for them. Again, the reason may be that the one (the creative All-Soul) looks towards the universal Intellectual-Principle (the exemplar of all that can be) while the others are more occupied with the Intellectual within themselves,

that which is already of the sphere of part; perhaps, too, these also could have created, but that they were anticipated by that originator – the work accomplished before them – an impediment inevitable whichever of the souls were first to operate.

But it is safer to account for the creative act by nearer connexion with the over-world; the souls whose tendency is exercised within the Supreme have the greater power; immune in that pure seat they create securely; for the greater power takes the least hurt from the material within which it operates; and the power of these souls derives from their remaining enduringly attached to the over-world: it creates, therefore, self-gathered and the created things gather round it; the other souls, on the contrary, themselves go forth; that can mean only that they have deserted towards the abyss; a main phase in them is drawn downward and pulls them with it in the desire towards the lower.

The ‘secondary and tertiary souls’,⁸ of which we hear, must be understood in the sense of closer or remoter position: it is much as in ourselves the relation to the Supreme is not identical from soul to soul; some of us are capable of becoming Uniate, others of striving and almost attaining, while a third rank is much less apt; it is a matter of the degree or powers of the Soul by which our expression is determined – the first degree dominant in the one person, the second, the third (the merely animal life) in others while, still, all of us contain all the powers.

7. So far, so good: but what of the passage in the Philebus⁹ taken to imply that the other souls are parts of the All-Soul?

The statement there made does not bear the meaning read into it; it expresses only, what the author was then concerned with, that the world is ensouled – a teaching which he maintains in the observation that it is preposterous to make the world soulless when we, who contain a part of the body of the All, have a soul; how, he asks, could there be soul in the part and none in the total?

He makes his teaching quite clear in the Timaeus, where he

shows us the other souls brought into existence after the All-Soul, but ‘compounded from the same mixing bowl’; secondary and tertiary are duly marked off from the primal, but every form of soul is presented as being of identical ideal-nature with the All-Soul.

As for the saying of the Phaedrux, ‘All that is soul cares for all that is soulless’, this simply tells us that the corporeal kind cannot be controlled – fashioned, set in place, or brought into being – by anything but the Soul. And we cannot think that there is one soul whose nature includes this power and another without it. ‘The perfect soul’, we read, that of the All, ‘going its lofty journey’, operates upon the Cosmos not by sinking into it, but, as it were, by brooding over it; and ‘every perfect soul exercises this governance’; he distinguishes the other, the Soul in this sphere (not as a part, or as a different being, but) as ‘the soul when its wing is broken.’¹⁰

As for our souls being entrained in the Cosmic Circuit, and taking character and condition thence;¹¹ this is no indication that they are parts: soul-nature may very well take some tincture from even the qualities of place, from water and from air; residence in this city or in that, and the varying make-up of the body may have their influence (upon our human souls which, yet, are no parts of place or of body).

We have always admitted that as members of the universe we take over something from the All-Soul; we do not deny the influence of the Cosmic Circuit; but against all this we oppose another soul in us (the Intellectual as distinguished from the merely vitalizing) proven to be distinct by that power of opposition.

As for our being begotten children of the Cosmos, we answer that in motherhood the entrant soul is distinct, is not the mother’s.

8. These considerations, amounting to the settlement of the question, are not countered by the phenomenon of sympathy;¹² the response between soul and soul is due to the mere fact that all spring from that self-same soul (the next to Divine Mind) from which springs the Soul of the All.

We have already stated that the one soul is also multiple; and we have dealt with the different forms of relationship between part and whole: we have investigated the different degrees existing within soul; we may now add, briefly, that differences might be induced, also, by the bodies with which the Soul has to do, and, even more, by the character and mental operations carried over from the conduct of the previous lives. ‘The life-choice made by a soul has a correspondence’ – we read¹³ – ‘with its former lives.’

As regards the nature of soul in general, the differences have been defined in the passage in which we mentioned the secondary and tertiary orders and laid down that, while all souls are all-comprehensive, each ranks according to its operative phase – one becoming Uniate in the achieved act, another in knowledge, another in desire, according to the distinct orientation by which each is, or tends to become, what it looks upon. The very fulfilment and perfectionment attainable by souls cannot but be different.

But, if in the total the organization in which they have their being is compact of variety – as it must be since every Reason-Principle is a unity of multiplicity and variety, and may be thought of as a psychic animated organism having many shapes at its command – if this is so and all constitutes a system in which being is not cut adrift from being, if there is nothing chance-borne among beings as there is none even in bodily organisms, then it follows that Soul must be a determined number; for, once again, Being must be stable; the members of the Intellectual must possess identity, each numerically one; this is the condition of individuality. Where, as in bodily masses, the Idea is not essentially native, and the individuality is therefore in flux, existence under ideal form can rise only out of imitation of the Authentic Existences; these last, on the contrary, not rising out of any such conjunction (as the duality of Idea and dead Matter) have their being in that which is numerically one, that which was from the beginning, and neither becomes what it has not been nor can cease to be what it is.

Even supposing Real-Beings (such as soul) to be produced by some other principle, they are certainly not made from Matter; or, if they were, the creating principle must infuse into them, from within itself, something of the nature of Real-Being; but, at this, it would itself suffer change, as it created more or less. And, after all, why should it thus produce at any given moment rather than remain for ever stationary?

Moreover, the produced total, variable from more to less, could not be an eternal: yet the Soul, it stands agreed, is eternal.

But what becomes of the Soul's infinity if it is thus fixed?

The infinity is a matter of power: there is question, not of the Soul's being divisible into an infinite number of parts, but of an infinite possible effectiveness: it is infinity in the sense in which the Supreme God, also, is free of all bound.

This means that it is no external limit that defines the individual being or the extension of souls any more than of God; on the contrary each in right of its own power is all that it chooses to be: and we are not to think of it as going forth from itself (losing its unity by any partition): the fact is simply that the element within it, which is apt to entrance into body, has the power of immediate projection any whither: the Soul is certainly not wrenched asunder by its presence at once in foot and in finger. Its presence in the All is similarly unbroken; over its entire range it exists in every several part of everything having even vegetal life, even in a part cut off from the main; in any possible segment it is as it is at its source. For the body of the All is a unit, and soul is everywhere present to it as to one thing.

When some animal rots and a multitude of others spring from it, the Life-Principle now present is not the particular soul that was in the larger body; that body has ceased to be receptive of soul, or there would have been no death; what happens is that whatsoever in the product of the decay is apt material for animal existence of one kind or another becomes ensouled by the fact that soul is

nowhere lacking, though a recipient of soul may be. This new ensouling does not mean however an increase in the number of souls: all depend from the one or, rather, all remains one: it is as with ourselves; some elements are shed, others grow in their place; the soul abandons the discarded and flows into the newcoming as long as the one soul of the man holds its ground; in the All the one soul holds its ground for ever; its distinct contents now retain soul and now reject it, but the total of spiritual beings is unaffected.

9. But we must examine¹⁴ how soul comes to inhabit the body – the manner and the process – a question certainly of no minor interest.

The entry of soul into body takes place under two forms.

Firstly, there is the entry – metempsychosis – of a soul present in body by change from one (wholly material) frame to another, or the entry – not known as metempsychosis, since the nature of the earlier habitacle is not certainly definable – of a soul leaving an aerial or fiery body¹⁵ for one of earth.

Secondly, there is the entry from the wholly bodiless into any kind of body; this is the earliest form of any dealing between body and soul, and this entry especially demands investigation.

What then can be thought to have happened when soul, utterly clean from body, first comes into commerce with the bodily nature?

It is reasonable, necessary even, to begin with the Soul of the All. Notice that if we are to explain and to be clear, we are obliged to use such words as ‘entry’ and ‘ensoulment’, though never was this All unensouled,¹⁶ never did body subsist with soul away, never was there Matter unelaborate; we separate, the better to understand; there is nothing illegitimate in the verbal and mental sundering of things which must in fact be co-existent.

The true doctrine may be stated as follows:

In the absence of body, soul could not have gone forth, since there is no other place to which its nature would allow it to descend. Since go forth it must, it will generate a place for itself; at once body, also, exists.

While the Soul (as an eternal, a Divine Being) is at rest – in rest firmly based on Repose, the Absolute – yet, as we may put it, that huge illumination of the Supreme pouring outwards comes at last to the extreme bourne of its light and dwindles to darkness; this darkness, now lying there beneath, the Soul sees and by seeing brings to shape; for in the law of things this ultimate depth, neighbouring with soul, may not go void of whatsoever degree of that Reason-Principle it can absorb, the dimmed reason of reality at its faintest.

Imagine that a stately and varied mansion has been built; it has never been abandoned by its architect, who, yet, is not tied down to it; he has judged it worthy in all its length and breadth of all the care that can serve to its Being – as far as it can share in Being – or to its beauty, but a care without burden to its director, who never descends, but presides over it from above: this gives the degree in which the Cosmos is ensouled, not by a soul belonging to it, but by one present to it; it is mastered, not master; not possessor, but possessed. The Soul bears it up, and it lies within, no fragment of it unsharing.

The Cosmos is like a net which takes all its life, as far as ever it stretches, from being wet in the water; it is at the mercy of the sea which spreads out, taking the net with it just so far as it will go, for no mesh of it can strain beyond its set place: the Soul is of so far-reaching a nature – a thing unbounded – as to embrace the entire body of the All in the one extension; so far as the universe extends, there soul is; and if the universe had no existence, the extent of soul would be the same; it is eternally what it is. The universe spreads as broad as the presence of soul; the bound of its expansion is the point at which, in its downward egression from the Supreme, it still has soul to bind it in one: it is a shadow as broad as the Reason-Principle proceeding from soul; and that Reason-Principle is of scope to generate a cosmic bulk as vast as lay in the purposes of the Idea (the Divine forming power) which it conveys.

10. In view of all this we must now work back from the items to the unit, and consider the entire scheme as one enduring thing.

Just as in the groups air-light-sun and moon-light-sun the members exist simultaneously, though having degrees of primary, secondary, tertiary (i.e. having a logical or causal sequence), so we have Soul eternally unchanging, then graded series like that of fire stretching from primary to ultimate, where the ultimate is conceived as a shadow cast by fire and at once illuminated so that something of the nature of the Forming-Idea (of fire) hovers over the outcast that at first lay in blank obscurity. It is brought under the scheme of reason by the efficacy of soul whose entire extension latently holds this rationalizing power. As we know, the Reason-Principles carried in animal seed fashion and shape living beings into so many universes in the small. For whatsoever touches soul is moulded to the nature of soul's own Real-Being.

We are not to think that the Soul acts upon the object by conformity to any external judgement; there is no pause for willing or planning: any such procedure would not be an act of sheer nature, but one of applied art: but art is of later origin than soul;¹⁷ it is an imitator, producing dim and feeble copies – toys, things of no great worth – and it is dependent upon all sorts of mechanism by which alone its images can be produced. The Soul, on the contrary, is sovran over material things by might of Real-Being; their quality is determined by its lead, and those elementary things cannot stand against its will. On the later level, things are hindered one by the other, and thus often fall short of the characteristic shape at which their unextended Reason-Principle must be aiming; in the world as a whole (as distinct from its parts) the entire shape comes from soul, and all that is produced takes and keeps its appointed place in a unity, so that the engendered thing, without labour as without clash, becomes all that it should be. In the world the Soul has elaborated its creation, the images of the gods, dwellings for men, each existing to some peculiar purpose.

Soul could produce none but the things which truly represent its

powers: fire produces warmth; another source produces cold; soul has a double efficacy, its act within itself, and its act from within outwards towards the new production.

In soulless entities, the inner act remains dormant, and any efficiency they have is to bring to their own likeness whatever is amenable. All existence has this tendency to bring other things to likeness; but the Soul has the distinction of possessing at once an action of conscious attention within itself, and an action towards the outer. It has thus the function of giving life to all that does not live by prior right, and the life it gives is commensurate with its own; that is to say, living in reason, it communicates reason to the body – an image of the reason within itself, just as the life given to the body is an image of Real-Being – and it bestows, also, upon that material the appropriate shapes of which it contains the Reason-Forms.

The content of the creative soul includes the Ideal shapes of gods and of all else: and hence it is that the Cosmos contains all.

11. I think, therefore, that those ancient sages,¹⁸ who sought to secure the presence of divine beings by the erection of shrines and statues, showed insight into the nature of the All; they perceived that, though this Soul is everywhere tractable, its presence will be secured all the more readily when an appropriate receptacle is elaborated, a place especially capable of receiving some portion or phase of it, something reproducing it, or representing it and serving like a mirror to catch an image of it.

It belongs to the nature of the All to make its entire content reproduce, most felicitously, the Reason-Principles in which it participates; every particular thing is the image within matter of a Reason-Principle which itself images a pre-material Reason-Principle: thus every particular entity is linked to that Divine Being in whose likeness it is made, the divine principle which the Soul contemplated and contained in the act of each creation.¹⁹ Such mediation and representation there must have been since it was equally impossible for the created to be without share in the

Supreme, and for the Supreme to descend into the created.

The sun of that sphere – let us return to it as our example – is an Intellectual-Principle, and immediately upon it follows the Soul depending from it, stationary Soul from stationary Intelligence. But the Soul borders also upon the sun of this sphere, and becomes the medium by which it is linked to the over-world; it plays the part of an interpreter between what emanates from that sphere down to this lower universe, and what rises – as far as, through Soul, anything can – from the lower to the highest.

Nothing, in fact, is far away from anything; things are not remote: there is, no doubt, the aloofness of difference and of mingled natures as against the unmingled; but selfhood has nothing to do with spatial position, and in unity itself there may still be distinction.

These Beings (the heavenly bodies) are divine in virtue of cleaving to the Supreme, because, by the medium of the Soul thought of as descending, they remain linked with the Primal Soul, and through it are veritably what they are called and possess the vision of the Intellectual Principle, the single object of contemplation to that soul in which they have their being.

12. The souls of men, seeing their images in the mirror of Dionysus as it were,²⁰ have entered into that realm in a leap downward from the Supreme: yet even they are not cut off from their origin, from the divine Intellect; it is not that they have come bringing the Intellectual Principle down in their fall; it is that though they have descended even to earth, yet their higher part holds for ever above the heavens.²¹

Their initial descent is deepened since that mid-part of theirs is compelled to labour in care of the care-needing thing into which they have entered. But Zeus, the father, takes pity on their toils²² and makes the bonds in which they labour soluble by death and gives respite in due time, freeing them from the body, that they too may come to dwell there where the Universal Soul, unconcerned with earthly needs, has ever dwelt.

For the container of the total of things must be a self-sufficing entity and remain so: in its periods it is wrought out to purpose under its Reason-Principles which are perdurably valid; by these periods it reverts unflinchingly, in the measured stages of defined life-duration, to its established character; it is leading the things of this realm to be of one voice and plan with the Supreme. And thus the cosmic content is carried forward to its purpose, everything in its co-ordinate place, under one only Reason-Principle operating alike in the descent and return of souls and to every purpose of the system.

We may know this also by the concordance of the souls with the ordered scheme of the Cosmos; they are not dependent, but, by their descent, they have put themselves in contact, and they stand henceforth in harmonious association with the cosmic circuit – to the extent that their fortunes, their life-experiences, their choosing and refusing, are announced by the patterns of the stars – and out of this concordance rises as it were one musical utterance: the music, the harmony, by which all is described, is the best witness to this truth.

Such a consonance can have been procured in one only way:

The All must, in every detail of act and experience, be an expression of the Supreme, which must dominate alike its periods and its stable ordering and the life-careers varying with the movement of the souls as they are sometimes absorbed in that highest, sometimes in the heavens, sometimes turned to the things and places of our earth. All that is Divine Intellect will rest eternally above, and could never fall from its sphere but, poised entire in its own high place, will communicate to things here through the channel of Soul. Soul in virtue of neighbourhood is more closely modelled upon the Idea uttered by the Divine Intellect, and thus is able to produce order in the movement of the lower realm, one phase (the World-Soul) maintaining the unvarying march (of the cosmic circuit), the other (the Soul of the Individual) adapting itself to times and seasons.

The depth of the descent, also, will differ – sometimes lower, sometimes less low – and this even in its entry into any given Kind: all that is fixed is that each several soul descends to a recipient indicated by affinity of condition; it moves towards the thing which it There resembled, and enters, accordingly, into the body of man or animal.

13. The Ineluctable,²³ the Cosmic Law is, thus, rooted in a natural principle under which each several entity is overruled to go, duly and in order, towards that place and Kind to which it characteristically tends, that is towards the image of its primal choice and constitution.

In that archetypal world every form of soul is near to the image (the thing in the world of copy) to which its individual constitution inclines it; there is therefore no need of a sender or leader acting at the right moment to bring it at the right moment whether into body or into a definitely appropriate body: of its own motion it descends at the precisely true time and enters where it must. To every soul its own hour; when that strikes it descends and enters the body suitable to it as at the cry of a herald; thus all is set stirring and advancing as by a magician's power or by some mighty traction; it is much as, in any living thing, the Soul itself effects the fulfilment of the natural career, stirring and bringing forth, in due season, every element – beard, horn, and all the successive stages of tendency and of output – or, as it leads a tree through its normal course within set periods.

The souls go forth neither under compulsion nor of freewill; or, at least, freedom, here, is not to be regarded as action upon preference; it is more like such a leap of the nature as moves men to the instinctive desire of sexual union, or, in the case of some, to fine conduct; the motive lies elsewhere than in the reason: like is destined unfailingly to like, and each moves hither or thither at its fixed moment.

Even the Intellectual-Principle, which is before all the Cosmos, has, it also, its destiny, that of abiding intact above, and of giving

downwards; what it sends down is the particular whose existence is implied in the law (or decreed system) of the universal, for the universal broods closely over the particular; it is not from without that the law derives the power by which it is executed; on the contrary the law is given in the entities upon whom it falls; these bear it about with them. Let but the moment arrive, and what it decrees will be brought to act by those beings in whom it resides; they fulfil it because they contain it; it prevails because it is within them; it becomes like a heavy burden, and sets up in them a painful longing to enter the realm to which they are bidden from within.

14. Thus it comes about that this Cosmos, lit with many lights, gleaming in its souls, receives still further graces, gifts from here and from there, from the gods of the Supreme, and from those other Intellectual-Principles whose nature it is to ensoul. This is probably the secret of the myth²⁴ in which, after Prometheus had moulded woman, the other gods heaped gifts upon her, Hephaistos 'blending the clay with moisture and bestowing the human voice and the form of a goddess'; Aphrodite bringing her gifts, and the Graces theirs, and other gods other gifts, and finally calling her by the name (Pandora) which tells of gift and of all giving – for all have added something to this formation brought to being by a Promethean, a fore-thinking power. As for the rejection of Prometheus' gift by after-thought, Epimetheus, what can this signify but that the wiser choice is to remain in the Intellectual realm? Pandora's creator is fettered, to signify that he is in some sense held by his own creation; such a fettering is external and the release by Hercules tells that there is power in Prometheus, so that he need not remain in bonds.

Take the myth as we may, it is certainly such an account of the bestowal of gifts upon the Cosmos as harmonizes with our explanation of the universal system.

15. The souls peering forth from the Intellectual Realm descend first to the heavens and there put on a body;²⁵ this becomes at once the medium by which as they reach out more and more towards magnitude (physical extension) they proceed to bodies progressively more earthy. Some even plunge from heaven to the

very lowest of corporeal forms; others pass, stage by stage, too feeble to lift towards the higher the burden they carry, weighed downwards by their heaviness and forgetfulness.

As for the differences among them, these are due to variation in the bodies entered, or to the accidents of life, or to upbringing, or to inherent peculiarities of temperament, or to all these influences together, or to specific combinations of them.

Then again some have fallen unreservedly into the power of the destiny ruling here: some yielding betimes are betimes too their own: there are those who, while they accept what must be borne, have the strength of self-mastery in all that is left to their own act; they have given themselves to another dispensation: they live by the code of the aggregate of beings, the code which is woven out of the Reason-Principles and all the other causes ruling in the Cosmos, out of soul-movements and out of laws springing in the Supreme; a code, therefore, consonant with those higher existences, founded upon them, linking their sequents back to them, keeping unshakeably true all that is capable of holding itself set towards the divine nature, and leading round by all appropriate means whatsoever is less natively apt.

In fine all diversity of condition in the lower spheres is determined by the descendent beings themselves.

16. The punishment²⁶ justly overtaking the wicked must therefore be ascribed to the cosmic order which leads all in accordance with the right.

But what of chastisements, poverty, illness, falling upon the good outside of all justice? These events, we will be told, are equally interwoven into the world order and fall under prediction, and must consequently have a cause in the general reason: are they therefore to be charged to past misdoing?

No: such misfortunes do not answer to reasons established in the nature of things; they are not laid up in the master-facts of the universe, but were merely accidental sequents: a house falls, and anyone that chances to be underneath is killed, no matter what sort

of man he be: two squadrons of cavalry are moving in perfect order – or one if you like – but anything getting in the way is wounded or trampled down. Or we may reason that the undeserved stroke can be no evil to the sufferer in view of the beneficent interweaving of the All; or again, no doubt, that nothing is unjust that finds justification in a past history.

We may not think of some things being fitted into a system with others abandoned to the capricious; if things must happen by cause, by natural sequences, under one Reason-Principle and a single set scheme, we must admit that the minor equally with the major is fitted into that order and pattern.

Wrongdoing from man to man is wrong in the doer and must be imputed, but, as belonging to the established order of the universe, is not a wrong even as regards the innocent sufferer; it is a thing that had to be, and, if the sufferer is good, the issue is to his gain. For we cannot think that this ordered combination proceeds without God and justice; we must take it to be precise in the distribution of due, while, yet, the reasons of things elude us, and to our ignorance the scheme presents matter of censure.

17. Various considerations explain why the souls going forth from the Intellectual proceed first to the heavenly regions.²⁷ The heavens, as the noblest portion of sensible space, would border with the least exalted of the Intellectual, and will, therefore, be first ensouled, first to participate as most apt; while what is of earth is at the very extremity of progression, least endowed towards participation, remotest from the unembodied.

All the souls, then, shine down upon the heavens and spend there the main of themselves and the best; only their lower phases illuminate the lower realms; and those souls which descend deepest show their light furthest down – not themselves the better for the depth to which they have penetrated.

There is, we may put it, something that is centre;²⁸ about it, a circle of light shed from it; round centre and first circle alike, another circle, light from light; outside that again, not another

circle of light but one which, lacking light of its own, must borrow.

The last we may figure to ourselves as a revolving circle, or rather a sphere, of a nature to receive light from that third realm, its next higher, in proportion to the light which that itself receives. Thus all begins with the great light, shining self-centred; in accordance with the reigning plan (that of emanation) this gives forth its brilliance; the later (divine) existents (souls) add their radiation – some of them remaining above, while there are some that are drawn further downward, attracted by the splendour of the object they illuminate. These last find that their charges need more and more care: the steersman of a storm-tossed ship is so intent on saving it that he forgets his own interest and never thinks that he is recurrently in peril of being dragged down with the vessel; similarly the souls are intent upon contriving for their charges and finally come to be pulled down by them; they are fettered in bonds of sorcery, gripped and held by their concern for the realm of Nature.

If every living being were of the character of the All – perfect, self-sufficing, in peril from no outside influence – the Soul now spoken of as indwelling would not occupy the body; it would infuse life while clinging, entire, within the Supreme.

18. There remains still something to be said on the question whether the Soul uses deliberate reason²⁹ before its descent and again when it has left the body.

Reasoning is for this sphere; it is the act of the Soul fallen into perplexity, distracted with cares, diminished in strength: the need of deliberation goes with the less self-sufficing intelligence; craftsmen faced by a difficulty stop to consider; where there is no problem, their art works on by its own forthright power.

But if souls in the Supreme operate without reasoning, how can they be called reasoning souls?

One answer might be that they have the power of deliberating to happy issue, should occasion arise: but all is met by defining the

particular kind of reasoning intended: we may represent to ourselves a reasoning that flows uninterruptedly from the Intellectual-Principle in them, an inherent state, an enduring activity, an assertion that is real; in this way they would be users of reason even when in that over-world. We certainly cannot think of them, it seems to me, as employing words when, though they may occupy bodies in the heavenly region, they are essentially in the Intellectual: and very surely the deliberation of doubt and difficulty which they practise here must be unknown to them There; all their act must fall into place by sheer force of their nature; there can be no question of commanding or of talking counsel; they will know, each, what is to be communicated from another, by present consciousness. Even in our own case here, eyes often know what is not spoken; and There the whole body (the heaven) is pure, and every being is, as it were, an eye, nothing is concealed or sophisticated, there is no need of speech, everything is seen and known. As for the Celestials (the Daimones) and souls in the air, they may well use speech; for all such are simply Animate-Beings.

19. Are we to think of the indivisible phase of the Soul and the divided as making one thing in a coalescence; or is the indivisible in a place of its own and under conditions of its own, the divisible being a sequent upon it, a separate part of it, as distinct as the reasoning phase is from the unreasoning?

The answer to this question will emerge when we make plain the nature and function to be attributed to each.

The indivisible phase is mentioned (in the passage of Plato)³⁰ without further qualification; but not so the divisible; ‘that soul’ we read ‘which becomes divisible in bodies’ – and even this last is presented as becoming partible, not as being so once for all.

‘In bodies’: we must, then, satisfy ourselves as to what form of soul is required to produce life in the corporeal, and what there must be of soul present throughout such a body, such a completed organism.

Now, every sensitive power – by the fact of being sensitive

throughout – tends to become a thing of parts: present at every distinct point of sensitiveness, it may be thought of as divided. In the sense, however, that it is present as a whole at every such point, it cannot be said to be wholly divided; it ‘becomes divisible in body’. We may be told that no such partition is implied in any sensations but those of touch; but this is not so; where the participant is body (of itself insensitive and non-transmitting) that divisibility in the sensitive agent will be a condition of all other sensations, though in less degree than in the case of touch. Similarly the vegetative function in the Soul, with that of growth, indicates divisibility; and, admitting such locations as that of desire at the liver and emotional activity at the heart, we have the same result. It is to be noted, however, as regards these (the less corporeal) sensations, that the body may possibly not experience them as a fact of the conjoint thing but in another mode, as rising within some one of the elements of which it has been participant (as inherent, purely, in some phase of the associated soul): reasoning and the act of the intellect, for instance, are not vested in the body; their task is not accomplished by means of the body which in fact is detrimental to any thinking on which it is allowed to intrude.

Thus the indivisible place of the Soul stands distinct from the divisible; they do not form a unity, but, on the contrary, a whole consisting of parts, each part a self-standing thing having its own peculiar virtue. None the less, if that phase which becomes divisible in body holds indivisibility by communication from the superior power, then this one same thing (the Soul in body) may be at once indivisible and divisible; it will be, as it were, a blend, a thing made up of its own divisible self with, in addition, the quality that it derives from above itself.

20. Here a question rises to which we must find an answer: whether these and the other powers which we call ‘parts’ of the Soul are situated, all, in place; or whether some have place and standpoint, others not; or whether again none are situated in place.³¹

The matter is difficult: if we do not allot to each of the parts of the Soul some form of place, but leave all unallocated – no more within the body than outside it – we leave the body soulless, and are at a loss to explain plausibly the origin of acts performed by means of the bodily organs: if, on the other hand, we suppose some of those phases to be (capable of situation) in place but others not so, we will be supposing that those parts to which we deny place are ineffective in us, or, in other words, that we do not possess our entire soul.

This simply shows that neither the Soul entire nor any part of it may be considered to be within the body as in a space: space is a container, a container of body; it is the home of such things as consist of isolated parts, and is never, therefore, found whole in any part; now, the Soul is not a body and is no more contained than containing.

Neither is it in body as in some vessel: whether as vessel or as place of location, the body would remain, in itself, unensouled. If we are to think of some passing-over from the Soul – that self-gathered thing – to the containing vessel, then soul is diminished by just as much as the vessel takes.

Space, again, in the strict sense is unembodied, and is not, itself, body; why, then, should it need soul?

Besides (if the Soul were contained as in space) contact would be only at the surface of the body, not throughout the entire mass.

Many other considerations equally refute the notion that the Soul is in body as (an object) in space; for example, this space would be shifted with every movement, and a thing itself would carry its own space about.

Of course if by space we understand the interval separating objects, it is still less possible that the Soul be in body as in space: such a separating interval must be a void; but body is not a void; the void must be that in which body is placed; body (not soul) will be in the void.

Nor can it be in the body as in some substratum: anything in a substratum is a condition affecting that – a colour, a form – but the Soul (is no condition of something else,) is a separate existence.

Nor is it present as a part in the whole: soul is no part of body. If we are asked to think of soul as a part in the living total we are faced with the old difficulty: how it is in that whole. It is certainly not there as the wine is in the wine jar, or as the jar in the jar, or as some absolute is self-present.

Nor can the presence be that of a whole in its part: it would be absurd to think of the Soul as a total of which the body should represent the parts.

It is not present as Form is in Matter; for the Form as in Matter is inseparable, and, further, is something superimposed upon an already existent thing; soul, on the contrary, is that which engenders the Form residing within the Matter and therefore is not the Form. If the reference is not to the Form actually present, but to Form as a thing existing apart from all formed objects, it is hard to see how such an entity has found its way into body, and at any rate this makes the Soul separable.

How comes it then that everyone speaks of soul as being in body?

Because the Soul is not seen and the body is: we perceive the body, and by its movement and sensation we understand that it is ensouled, and we say that it possesses a soul; to speak of residence is a natural sequence. If the Soul were visible, an object of the senses, radiating throughout the entire life, if it were manifest in full force to the very outermost surface, we would no longer speak of soul as in body; we would say the minor was within the major, the contained within the container, the fleeting within the perdurable.

21. What does all this come to? What answer do we give to any who, with no opinion of his own to assert, asks us to explain this presence? And what do we say to the question whether there is one

only mode of presence of the entire soul or different modes, phase and phase?

Of the modes currently accepted for the presence of one thing in another, none really meets the case of the Soul's relation to the body. Thus we are given as a parallel the steersman in the ship;³² this serves adequately to indicate that the Soul is potentially separable, but the mode of presence, which is what we are seeking, it does not exhibit.

We can imagine it within the body in some incidental way – for example, as a voyager in a ship – but scarcely as the steersman: and, of course, too, the steersman is not omnipresent to the ship as the Soul is to the body.

May we, perhaps, compare it to the science or skill that acts through its appropriate instruments – through a helm, let us say, which should happen to be a live thing – so that the soul effecting the movements dictated by seamanship is an indwelling directive force?

No: the comparison breaks down, since the science is something outside of helm and ship.

Is it any help to adopt the illustration of the steersman taking the helm, and to station the Soul within the body as the steersman may be thought to be within the material instrument through which he works? Soul, whenever and wherever it chooses to operate, does in much that way move the body.

No: even in this parallel we have no explanation of the mode of presence within the instrument; we cannot be satisfied without further search, a closer approach.

22. May we think that the mode of the Soul's presence to body is that of the presence of light to the air?

This certainly is presence with distinction: the light penetrates through and through, but nowhere coalesces; the light is the stable thing, the air flows in and out; when the air passes beyond the lit area it is dark; under the light it is lit: we have a true parallel to

what we have been saying of body and soul, for the air is in the light rather than the light in the air.

Plato therefore is wise³³ when, in treating of the All he puts the body in its soul and not its soul in the body, and says that while there is a region of that soul which contains body, there is another region to which body does not enter – certain powers, that is, with which body has no concern. And what is true of the All-Soul is true of the others.

There are, therefore, certain soul-powers whose presence to body must be denied.

The phases present are those which the nature of body demands: they are present without being resident – either in any parts of the body or in the body as a whole.

For the purposes of sensation the sensitive phase of the Soul is present to the entire sensitive being: for the purposes of act, differentiation begins; every soul phase operates at a point peculiar to itself.

23. I explain: a living body is illuminated by soul: each organ and member participates in soul after some manner peculiar to itself; the organ is adapted to a certain function, and this fitness is the vehicle of the soul-faculty under which the function is performed; thus the seeing faculty acts through the eyes, the hearing faculty through the ears, the tasting faculty through the tongue, the faculty of smelling through the nostrils, and the faculty of sentient touch is present throughout, since in this particular form of perception the entire body is an instrument in the Soul's service.

The vehicles of touch are at the ends of the nerves – which, moreover, are vehicles of the faculty by which the movements of the living being are effected – in them the soul-faculty concerned makes itself present; the nerves start from the brain. The brain therefore has been considered as the centre and seat of the principle which determines feeling and impulse and the entire act of the organism as a living thing; where the instruments are found to be linked, there the operating faculty is assumed to be situated.³⁴ But

it would be wiser to say only that there is situated the first activity of the operating faculty: the power to be exercised by the operator – in keeping with the particular instrument – must be considered as concentrated at the point at which the instrument is to be first applied; or, since the Soul's faculty is of universal scope, the sounder statement is that the point of origin of the instrument is the point of origin of the act.

Now, the faculty presiding over sensation and impulse is vested in the sensitive and representative soul; it draws upon the Reason-Principle immediately above itself;³⁵ downward, it is in contact with an inferior of its own: on this analogy the uppermost member of the living being was taken by the ancients to be obviously its seat; they lodged it in the brain, or not exactly in the brain but in that sensitive part which is the medium through which the Reason-Principle impinges upon the brain. They saw that something must be definitely allocated to body – at the point most receptive of the act of reason – while something utterly isolated from body must be in contact with that superior thing which is a form of soul (and not merely of the vegetative or other quasi-corporeal forms but) of that soul apt to the appropriation of the perceptions originating in the Reason-Principle.

Such a linking there must be, since in perception there is some element of judging, in representation something intuitional, and since impulse and appetite derive from representation and reason. The reasoning faculty, therefore, is present where these experiences occur, present not as in a place but in the fact that what is there draws upon it. As regards perception we have already explained in what sense it is local.

But every living being includes the vegetal principle, that principle of growth and nourishment which maintains the organism by means of the blood; this nourishing medium is contained in the veins; the veins and blood have their origin in the liver: from observation of these facts the power concerned was assigned a place; the phase of the Soul, which has to do with desire, was

allocated to the liver. Certainly what brings to birth and nourishes and gives growth must have the desire of these functions. Blood – subtle, light, swift, pure – is the vehicle most apt to animal spirit: the heart, then, its well-spring, the place where such blood is sifted into being, is taken as the fixed centre of the ebullition of the passionate nature.

24. Now comes the question of the Soul leaving the body:³⁶ where does it go?

It cannot remain in this world where there is no natural recipient for it; and it cannot remain attached to anything not of a character to hold it; it can be held here when only it is less than wise, containing within itself something of that which lures it.^{36a}

If it does contain any such alien element it gives itself, with increasing attachment, to the sphere to which that element naturally belongs and tends.

The space open to the Soul's resort is vast and diverse; the difference will come by the double force of the individual condition and of the justice reigning in things. No one can ever escape the suffering entailed by ill deeds done: the divine law is ineluctable, carrying bound up, as one with it, the fore-ordained execution of its doom. The sufferer, all unaware, is swept onward towards his due, hurried always by the restless driving of his errors, until at last wearied out by that against which he struggled, he falls into his fit place and, by self-chosen movement, is brought to the lot he never chose. And the law decrees, also, the intensity and the duration of the suffering while it carries with it, too, the lifting of chastisement and the faculty of rising from those places of pain – all by power of the harmony that maintains the universal scheme.

Souls, body-bound, are apt to body-punishment; clear souls no longer drawing to themselves at any point any vestige of body are, by their very being, outside the bodily sphere; body-free, containing nothing of body – there where Essence is, and Being, and the Divine within the Divinity, among Those, within That, such a soul must be.

If you still ask Where, you must ask where those Beings are – and in your seeking, seek otherwise than with the sight, and not as one seeking for body.

25. Now comes the question, equally calling for an answer, whether those souls that have quitted the places of earth retain memory of their lives – all souls or some, of all things, or of some things, and, again, for ever or merely for some period not very long after their withdrawal.

A true investigation of this matter requires us to establish first what a remembering principle must be – I do not mean what memory is, but in what order of beings it can occur. The nature of memory has been indicated, laboured even, elsewhere;³⁷ we still must try to understand more clearly what characteristics are present where memory exists.

Now a memory has to do with something brought into ken from without, something learned or something experienced; the Memory-Principle, therefore, cannot belong to such things as are immune from experience and from time.

No memory, therefore, can be ascribed to any divine being, or to the Authentic-Existent or the Intellectual-Principle: these are intangibly immune; time does not approach them; they possess eternity centred around Being; they know nothing of past and sequent; all is an unbroken state of identity, not receptive of change. Now a being rooted in unchanging identity cannot entertain memory, since it has not and never had a state differing from any previous state, or any new intellection following upon a former one, so as to be aware of contrast between a present perception and one remembered from before.

But what prevents such a being (from possessing memory in the sense of) perceiving, without variation in itself, such outside changes as, for example, the cosmic periods?

Simply the fact that following the changes of the revolving Cosmos it would have perception of earlier and later: intuition and

memory are distinct.

We cannot hold its self-intellections to be acts of memory; this is no question of something entering from without, to be grasped and held in fear of an escape; if its intellections could slip away from it (as a memory might) its very Essence (as the Hypostasis of inherent Intellection) would be in peril.

For the same reason memory, in the current sense, cannot be attributed to the Soul in connexion with the ideas inherent in its essence: these it holds not as a memory but as a possession, though, by its very entrance into this sphere, they are no longer the mainstay of its Act.

The Soul-action which is to be observed seems to have induced the Ancients to ascribe memory, and 'Recollection' (the Platonic Anamnesis), to souls bringing into outward manifestation the ideas they contain: we see at once that the memory here indicated is another kind; it is a memory outside of time.

But, perhaps, this is treating too summarily a matter which demands minute investigation. It might be doubted whether that recollection, that memory, really belongs to the highest soul and not rather to another, a dimmer, or even to the Couplement,³⁸ the Living-Being. And if to that dimmer soul, when and how has it come to be present; if to the Couplement, again when and how?

We are driven thus to inquire into these several points: in which of the constituents of our nature is memory vested – the question with which we started; if in the Soul, then in what power or part; if in the Animate or Couplement – which has been supposed, similarly, to be the seat of sensation – then by what mode it is present, and how we are to define the Couplement; finally whether sensation and intellectual acts may be ascribed to one and the same agent, or imply two distinct principles.

26. Now if sensations of the active order depend upon the Couplement of soul and body, sensation must be of that double nature. Hence it is classed as one of the shared acts: the Soul, in the

feeling, may be compared to the workman in such operations as boring or weaving,³⁹ the body to the tool employed: the body is passive and menial; the Soul is active, reading such impressions as are made upon the body or discerned by means of the body, perhaps entertaining only a judgement formed as the result of the bodily experiences.

In such a process it is at once clear that the sensation is a shared task; but the memory is not thus made over to the Couplement, since the Soul has from the first taken over the impression, either to retain or to reject.

It might be ventured that memory, no less than sensation, is a function of the Couplement, on the ground that bodily constitution determines our memories good or bad; but the answer would come that, whether the body happens or not to be a hindrance, the act of remembering would still be an act of the Soul. And in the case of matters learned (and not merely felt, as corporeal experiences), how can we think of the Couplement of soul and body as the remembering principle? Here, surely, it must be soul alone?

We may be told that the living-being is a Couplement in the sense of something entirely distinct formed from the two elements (so that it might have memory though neither soul nor body had it). But, to begin with, it is absurd to class the living-being as neither body nor soul; these two things cannot so change as to make a distinct third, nor can they blend so utterly that the Soul shall become a mere potentiality in the animate whole. And, further, supposing they could so blend, memory would still be due to the Soul just as in honey-wine all the sweetness will be due to the honey.

It may be suggested that while the Soul is perhaps not in itself a remembering principle, yet that, having lost its purity and acquired some degree of modification by its presence in body, it becomes capable of reproducing the imprints of sensible objects and experiences, and that, seated, as roughly speaking it is, within the body, it may reasonably be thought capable of accepting such

impressions, and in such a manner as to retain them (thus in some sense possessing memory).

But, to begin with, these imprints are not magnitudes (are not of corporeal nature at all);⁴⁰ there is no resemblance to seal impressions, no stamping of a resistant matter, for there is neither the down-thrust (as of the seal) nor (the acceptance) as in the wax: the process is entirely of the intellect, though exercised upon things of sense; and what kind of resistance (or other physical action) can be affirmed in matters of the intellectual order, or what need can there be of body or bodily quality as a means?

Further there is one order of which the memory must obviously belong to the Soul; it alone can remember its own movements, for example its desires and those frustrations of desire in which the coveted thing never came to the body: the body can have nothing to tell about things which never approached it, and the Soul cannot use the body as a means to the remembrance of what the body by its nature cannot know.

If the Soul is to have any significance – to be a definite principle with a function of its own – we are forced to recognize two orders of fact, an order in which the body is a means but all culminates in soul, and an order which is of the Soul alone. This being admitted, aspiration will belong to soul, and so, as a consequence, will that memory of the aspiration and of its attainment or frustration, without which the Soul's nature would fall into the category of the unstable (that is to say of the undivine, unreal). Deny this character of the Soul and at once we refuse it perception, consciousness, any power of comparison, almost any understanding. Yet these powers of which, embodied, it becomes the source cannot be absent from its own nature. On the contrary; it possesses certain activities to be expressed in various functions whose accomplishment demands bodily organs; at its entry it brings with it (as vested in itself alone) the powers necessary for some of these functions, while in the case of others it brings the very activities themselves.

Memory, in point of fact, is impeded by the body: even as things

are, addition often brings forgetfulness; with thinning and clearing away, memory will often revive. The Soul is a stability; the shifting and fleeting things which body is can be a cause only of its forgetting, not of its remembering – Lethe stream may be understood in this sense – and memory is a fact of the Soul.

27. But of what soul – of that which we envisage as the more divine, by which we are human beings, or that other which springs from the All?⁴¹

Memory must be admitted in both of these, personal memories and shared memories; and when the two souls are together, the memories also are as one; when they stand apart, assuming that both exist and endure, each soon forgets the other's affairs, retaining for a longer time its own. Thus it is that the Shade of Hercules in the lower regions⁴² – this 'Shade', as I take it, being the characteristically human part – remembers all the action and experience of the life, since that career was mainly of the hero's personal shaping; the other souls (soul-phases)⁴³ going to constitute the joint-being could, for all their different standing, have nothing to recount but the events of that same life, doings which they knew from the time of their association: perhaps they would add also some moral judgement.

What the Hercules standing outside the Shade spoke of we are not told: what can we think that other, the freed and isolated, soul would recount?

The soul which still drags a burden will tell of all the man did and felt; but upon death there will appear, as time passes, memories of the lives lived before, some of the events of the most recent life being dismissed as trivial. As it grows away from the body, it will revive things forgotten in the corporeal state, and if it passes in and out of one body after another, it will tell over the events of the discarded life, it will treat as present that which it has just left, and it will remember much from the former existence. But with lapse of time it will come to forgetfulness of many things that

were mere accretion.

Then, free and alone at last, what will it have to remember?

The answer to that question depends on our discovering in what faculty of the Soul memory resides.

28. Is memory vested in the faculty by which we perceive and learn? Or do we remember objects of desire with the desiring faculty and objects of anger with the passionate faculty?

This will be maintained on the ground that there could scarcely be both a first faculty in direct action and a second to remember what that first experiences. It is certain that the desiring faculty is apt to be stirred by what it has once enjoyed; the object presents itself again; evidently, memory is at work; why else, the same object with the same attraction?

But, at that, we might reasonably ascribe to the desiring faculty the very perception of the desired objects and then the desire itself to the perceptive faculty, and so on all through, and in the end conclude that the distinctive names merely indicate the function which happens to be uppermost.

Yet the perception is very different from faculty to faculty; certainly it is sight and not desire that sees the object; desire is stirred merely as a result of the seeing, by a transmission; its act is not in the nature of an identification of an object seen; all is simply blind response (automatic reaction). Similarly with rage; sight reveals the offender and the passion leaps; we may think of a shepherd seeing a wolf at his flock, and a dog, seeing nothing, who springs to the scent or the sound.

In other words the desiring faculty has had the emotion, but the trace it keeps of the event is not a memory; it is a condition, something passively accepted: there is another faculty that was aware of the enjoyment and retains the memory of what has happened. This is confirmed by the fact that many satisfactions which the desiring faculty has enjoyed are not retained in the memory: if memory resided in the desiring faculty, such

forgetfulness could not be.

29. Are we, then, to refer memory to the perceptive faculty and so make one principle of our nature the seat of both awareness and remembrance?

Now supposing the very Shade, as we were saying in the case of Hercules, has memory, then the perceptive faculty is twofold; and even if the faculty that remembers is not the faculty that perceives but some other thing, the remembering faculty is twofold. And, further, if the memory deals with matters learned, the perceptive faculty (in order to be identical with memory) will be concerned with thoughts (as well as with matters of observation and feeling): but these two orders certainly require two separate faculties.

Must we then suppose a common faculty of apprehension (one covering both sense-perceptions and ideas) and assign memory in both orders to this?

The solution might serve if there were one and the same percipient for objects of sense and objects of the Intellectual-Kind; but if these stand in definite duality, then, for all we can say or do, we are left with two separate principles of memory; and, supposing each of the two orders of soul to possess both principles, then we have four.

And, on general grounds, what compelling reason is there that the principle by which we perceive should be the principle by which we remember, that these two acts should be vested in the one faculty? Why must the seat of our intellectual action be also the seat of our remembrance of that action? The most powerful thought does not always go with the readiest memory; people of equal perception are not equally good at remembering; some are especially gifted in perception, others, never swift to grasp, are strong to retain.

But, once more, admitting two distinct principles, something quite separate remembering what sense-perception has first known – still this something must have felt what it is required to

remember?

No; we may well conceive that where there is to be memory of a sense-perception, this perception becomes a mere presentment, and that to this image-grasping power, a distinct thing, belongs the memory, the retention of the object: for in this imagining faculty the perception culminates; the impression passes away but the vision remains present to the imagination.

By the fact of harbouring the presentment of an object that has disappeared, the imagination is, at once, a seat of memory: where the persistence of the image is brief, the memory is poor; people of powerful memory are those in whom the image-holding power is firmer, not easily allowing the record to be jostled out of its grip.

Remembrance, thus, is vested in the imaging faculty; and memory deals with images. Its differing quality or degree from man to man we would explain by difference or similarity in the strength of the individual powers, by conduct like or unlike, by bodily conditions present or absent, producing change and disorder or not – a point this, however, which need not detain us here.

30. But what of the memory of mental acts: do these also fall under the imaging faculty?

If every mental act is accompanied by an image we may well believe that this image, fixed and like a picture of the thought, would explain how we remember the object of knowledge once entertained. But if there is no such necessary image, another solution must be sought. Perhaps memory would be the reception, into the image-making faculty, of the verbal formula which accompanies the mental conception: this mental conception – an indivisible thing, and one that never rises to the exterior of the consciousness – lies unknown below; the verbal formula – the revealer, the bridge between the concept and the image-taking faculty – exhibits the concept as in a mirror; the apprehension by the image-taking faculty would thus constitute the enduring presence of the concept, would be our memory of it.

This explains, also, another fact: the Soul is unfailingly intent upon intellection; only when it acts upon this image-making faculty does its intellection become a human perception: intellection is one thing, the perception of an intellection is another:⁴⁴ we are continuously intuitive but we are not un-brokenly aware: the reason is that the recipient in us receives from both sides, absorbing not merely intellections but also sense-perceptions.

31. But if each of the two phases of the Soul, as we have said, possesses memory, and memory is vested in the imaging faculty, there must be two such faculties. Now that is all very well as long as the two souls stand apart; but, when they are at one in us, what becomes of the two faculties, and in which of them is memory vested?

If each soul has its own imaging faculty the images must in all cases be duplicated, since we cannot think that one faculty deals only with intellectual objects, and the other with objects of sense, a distinction which inevitably implies the co-existence in man of two life-principles utterly unrelated.

And if both orders of image act upon both orders of soul, what difference is there in the souls; and how does the fact escape our knowledge?

The answer is that, when the two souls chime each with each, the two imaging faculties no longer stand apart; the union is dominated by the imaging faculty of the higher soul, and thus the image perceived is as one; the less powerful is like a shadow attending upon the dominant, like a minor light merging into a greater: when they are in conflict, in discord, the minor is distinctly apart, a self-standing thing – though its isolation is not perceived, for the simple reason that the separate being of the two souls escapes observation.

The two have run into a unity in which, yet, one is the loftier: this loftier knows all; when it breaks from the union, it retains some of the experiences of its companion, but dismisses others; thus we accept the talk of our less valued associates, but, on a change of

company, we remember little from the first set and more from those in whom we recognize a higher quality.

32. But the memory of friends, children, wife? Country too, and all that the better sort of man may reasonably remember?

All these, the one (the lower man) retains with emotion, the authentic man passively: for the experience, certainly, was first felt in that lower phase from which, however, the best of such impressions pass over to the graver soul in the degree in which the two are in communication.

The lower soul must be always striving to attain to memory of the activities of the higher: this will be especially so when it is itself of a fine quality, for there will always be some that are better from the beginning and bettered here by the guidance of the higher.

The loftier, on the contrary, must desire to come to a happy forgetfulness of all that has reached it through the lower: for one reason, there is always the possibility that the excellence of the higher goes with a baseness in the lower, which is only kept down by sheer force. In any case the more urgent the intention towards the Supreme, the more extensive will be the Soul's forgetfulness, unless indeed when the entire living has, even here, been such that memory has nothing but the noblest to deal with: in this world itself, all is best when human interests have been held aloof;⁴⁵ so, therefore, it must be with the memory of them. In this sense we may truly say that the good soul is the forgetful. It flees multiplicity; it seeks to escape the unbounded by drawing all to unity, for only thus is it free from entanglement, light-footed, self-conducted. Thus it is that even in this world the soul which has the desire of the other is putting away, amid its actual life, all that is foreign to that order. While it is in the heavenly regions it puts away more again. Little of what is gathered here is taken with it to the Intellectual Realm.

The Hercules of the heavenly regions would still tell of his feats: but there is the other man to whom all of that is trivial; he has been

translated to a holier place; he has won his way to the Intellectual Realm; he is more than Hercules, proven in the combats in which the combatants are the wise.

FOURTH TRACTATE



PROBLEMS OF THE SOUL (II) [28]

1. What, then, will be the Soul's discourse,⁴⁶ what its memories in the Intellectual Realm, when at last it has won its way to that Essence?⁴⁷

Obviously from what we have been saying, it will be in contemplation of that order, and have its Act upon the things among which it now is; failing such Contemplation and Act, its being is not there. Of things of earth it will know nothing; it will not, for example, remember an act of philosophic virtue, or even that in its earthly career it had contemplation of the Supreme.

When we seize anything in the direct intellectual act there is room for nothing else than to know and to contemplate the object; the subject is not included in the act of knowing, but asserts itself, if at all, later and is a sign of the altered; this means that, once purely in the Intellectual, no one of us can have any memory of our experience here. Further, if all intellection is timeless – as appears from the fact that the Intellectual beings are of eternity, not of time – there can be no memory in the intellectual world, not merely none of earthly things but none whatever: all is presence. There; for there is no discursive thought, no passing from one point to another.

No division, then, of genera into species? no progression from lower to higher, to wider generality? For the higher principle (the Intellectual) evidently not, since it is fully realized as a self-contained unity; but why not for the Soul which has attained to the Intellectual Realm? Yet even the Soul may have the intuition, not

by stages and parts, of that Being which is without stage and part.

But that would be in the nature of grasping a pure unity?

No: in the nature of grasping all the intellectual facts of a many that constitutes a unity. For since the object of vision has variety (distinction within its essential oneness) the intuition must be multiple and the intuitions various, just as in a face we see at the one glance eyes and nose and all the rest.

But is not this impossible when the object to be thus divided and treated as a thing of grades is a pure unity?

No: there has already been discrimination within the Intellectual-Principle; the Act of the Soul is little more than a reading of this.

First and last is in the Ideas not a matter of time, and so does not bring time into the Soul's intuition of earlier and later among them. There is a grading by order as well: the ordered disposition of some growing thing begins with root and reaches to topmost point, but, to one seeing the plant as a whole, there is no other first and last than simply that of the order.

Still, the Soul (in this intuition within the divine) looks to what is a unity; next it entertains multiplicity, all that is: how explain this grasping first of the unity and later of the rest?

The explanation is that the unity of the Soul's faculty (of intuition) is not incompatible with multiplicity in the object; it does not possess all its content in a single act of thought; each act is incomplete in itself, but all are being constantly exercised; the faculty is permanently there and its effects are external. The object itself is no unity and can therefore harbour a multiplicity which previously it did not contain.

2. Enough on that point: we come now to the question of memory of the personality.

There will not even be memory of the personality; no thought that the contemplator is the self – Socrates, for example – or that it is Intellect or Soul. In this connexion it should be borne in mind

that, in contemplative vision, especially when it is vivid, we are not at the time aware of our own personality; we are in possession of ourselves, but the activity is towards the object of vision with which the thinker becomes identified; he has made himself over as matter to be shaped; he takes ideal form under the action of the vision while remaining, potentially, himself. This means that he is actively himself when he has intellection of nothing.

Or, if he is himself (pure and simple), he is empty of all: if, on the contrary, he is himself (by the self-possession of contemplation) in such a way as to be identified with what is all, then by the act of self-intellection he has the simultaneous intellection of all: in such a case self-intuition by personal activity brings the intellection, not merely of the self, but also of the total therein embraced; and similarly the intuition of the total of things brings that of the personal self as included among all.

But such a process would appear to introduce into the Intellectual that element of change against which we ourselves have only now been protesting?

The answer is that, while unchangeable identity is essential to the Intellectual-Principle, the Soul, lying so to speak on the borders of the Intellectual Realm, is amenable to change; it has, for example, its inward advance, and obviously anything that attains position near to something motionless does so by a change directed towards that unchanging goal and is not itself motionless in the same degree. Nor is it really change to turn from the self to the constituents of self or from those constituents to the self; and in this case the contemplator is the total; the duality has become unity.

None the less the Soul, even in the Intellectual Realm, is under the dispensation of a variety confronting it and a content of its own?

No: once pure in the Intellectual, it too possesses that same unchangeableness: for it possesses identity of essence; when it is in that region it must of necessity enter into oneness with the

Intellectual-Principle by the sheer fact of its self-orientation, for by that intention all interval disappears; the Soul advances and is taken into unison, and in that association becomes one with the Intellectual-Principle – but not to its own destruction: the two are one, and two. In such a state there is no question of stage and change: the Soul, without motion (but by right of its essential being) would be intent upon its intellectual act, and in possession, simultaneously, of its self-awareness; for it has become one simultaneous existence with the Supreme.

3. But it leaves that conjunction; it cannot suffer that unity; it falls in love with its own powers and possessions, and desires to stand apart;⁴⁸ it leans outward, so to speak: then, it appears to acquire a memory of itself.

In this self-memory a distinction is to be made: the memory dealing with the Intellectual Realm upbears the Soul, not to fall; the memory of things here bears it downwards to this universe; the intermediate memory dealing with the heavenly sphere holds it there too; and, in all its memory, the thing it has in mind it is and grows to; for this bearing-in-mind must be either intuition (i.e. knowledge with identity) or representation by image; and the imaging in the case of the Soul is not a taking in of something (as of an impression) but is vision and condition – so much so, that, in its very sense-sight, it is the lower in the degree in which it penetrates the object. Since its possession of the total of things is not primal but secondary, it does not become all things perfectly (in becoming identical with the All in the Intellectual); it is of the boundary order, situated between two regions, and has tendency to both.

4. In that realm it has also vision, through the Intellectual-Principle, of The Good which does not so hold to itself as not to reach the Soul; what intervenes between them is not body and therefore is no hindrance – and, indeed, where bodily forms do intervene there is still access in many ways from the primal to the tertiaries.

If, on the contrary, the Soul gives itself to the inferior, the same principle of penetration comes into play, and it possesses itself, by

memory and imagination, of the thing it desired: and hence the memory, even dealing with the highest, is not the highest. Memory, of course, must be understood not merely of what might be called the sense of remembrance, but so as to include a condition induced by the past experience or vision. There is such a thing as possessing more powerfully without consciousness than in full knowledge; with full awareness the possession is of something quite distinct from the self; unconscious possession runs very close to identity, and any such approach to identification with the lower means the deeper fall of the Soul.

If the Soul, on abandoning its place in the Supreme, revives its memories of the lower, it must have in some form possessed them even there, though the activity of the beings in that realm kept them in abeyance: they could not be in the nature of impressions permanently adopted – a notion which would entail absurdities – but were no more than a potentiality realized after return. When that energy of the Intellectual world ceases to tell upon the Soul, it sees what it saw in the earlier state before it revisited the Supreme.

5. But this power which determines memory, is it also the principle by which the Supreme becomes effective in us?

At any time when we have not been in direct vision of that sphere, memory is the source of its activity within us; when we have possessed that vision, its presence is due to the principle by which we enjoyed it: this principle awakens where it wakens; and it alone has vision in that order; for this is no matter to be brought to us by way of analogy, or by the syllogistic reasoning whose grounds lie elsewhere; the power which we possess of discoursing upon the Intellectual Beings, so far as such discourse is here possible, is vested in that principle which alone is capable of their contemplation. That we must awaken, so to speak, and thus attain the vision of the Supreme, as one, standing on some lofty height and lifting his eyes, sees what to those that have not mounted with him is invisible.

Memory, by this account, commences after the Soul has left the highest spheres; it is first known in the celestial period.

A soul that has descended from the Intellectual region to the celestial and there comes to rest, may very well be understood to recognize many other souls known in its former state – supposing that, as we have said, it retains recollection of much that it knew here. This recognition would be natural if the bodies with which those souls are vested in the celestial must reproduce the former appearance; supposing the spherical form (of the stars inhabited by souls in the mid-realm) means a change of appearance, recognition would go by character, by the distinctive quality of personality: this is not fantastic; conditions changing need not mean a change of character. If the souls have mutual conversation, this too would mean recognition.⁴⁹

But those whose descent from the Intellectual is complete, how is it with them?

They will recall their memories, of the same things, but with less force than those still in the celestial, since they have had other experiences to remember, and the lapse of time will have utterly obliterated much of what was formerly present to them.

But what way of remembering the Supreme is left if the souls have turned to the sense-known Cosmos, and are to fall into this sphere of process?

They need not fall to the ultimate depth: their downward movement may be checked at some one moment of the way; and as long as they have not touched the lowest of the region of process (the point at which non-being begins) there is nothing to prevent them rising once more.

6. Souls that descend, souls that change their state – these, then, may be said to have memory, which deals with what has come and gone; but what subjects of remembrance can there be for souls whose lot is to remain unchanged?⁵⁰

The question touches memory in the stars in general, and also in

the sun and moon and ends by dealing with the Soul of the All, even by audaciously busying itself with the memories of Zeus himself.⁵¹ The inquiry entails the examination and identification of acts of understanding and of reasoning in these beings, if such acts take place.

Now if, immune from all lack, they neither seek nor doubt, and never learn, nothing being absent at any time from their knowledge – what reasonings, what processes of rational investigation, can take place in them, what acts of the understanding?

Even as regards human concerns they have no need for observation or method; their administration of our affairs and of earth's in general does not go so; the right ordering, which is their gift to the universe, is effected by methods very different.

7. In other words, they have seen God and they do not remember?

Ah, no: it is that they see God still and always, and that as long as they see, they cannot tell themselves they have had the vision; such reminiscence is for souls that have lost it.

Well, but can they not tell themselves that yesterday, or last year, they moved round the earth, that they lived yesterday or at any given moment in their lives?

Their living is eternal, and eternity is an unchanging unity. To identify a yesterday or a last year in their movement would be like isolating the movement of one of the feet, and finding a this or a that and an entire series in what is a single act. The movement of the celestial beings is one movement: it is our measuring that presents us with many movements, and with distinct days determined by intervening nights: There all is one day; series has no place; no yesterday, no last year.

Still: the space traversed is different; there are the various sections of the Zodiac: why, then, should not the Soul say, 'I have traversed that section and now I am in this other?' If, also, it looks down over the concerns of men, must it not see the changes that befall them, that they are not as they were, and, by that

observation, that the beings and the things concerned were otherwise formerly? And does not that mean memory?

8. But, we need not record in memory all we see;⁵² mere incidental concomitants need not occupy the imagination; when things vividly present to intuition, or knowledge, happen to occur in concrete form, it is not necessary – unless for purposes of a strictly practical administration – to pass over that direct acquaintance, and fasten upon the partial sense – preparation, which is already known in the larger knowledge.

I will take this point by point:

First: it is not essential that everything seen should be laid up in the mind; for when the object is of no importance, or of no personal concern, the sensitive faculty, stimulated by the differences in the objects present to vision, acts without accompaniment of the will, and is alone in entertaining the impression. The Soul does not take into its deeper recesses such differences as do not meet any of its needs, or serve any of its purposes. Above all, when the Soul's act is directed towards another order, it must utterly reject the memory of such things, things over and done with now, and not even taken into knowledge when they were present.

On the second point: circumstances, purely accidental, need not be present to the imaging faculty, and if they do so appear they need not be retained or even observed, and in fact the impression of any such circumstance does not entail awareness. Thus in local movement, if there is no particular importance to us in the fact that we pass through first this and then that portion of air, or that we proceed from some particular point, we do not take notice, or even know it as we walk. Similarly, if it were of no importance to us to accomplish any given journey, mere movement in the air being the main concern, we would not trouble to ask at what particular point of place we were, or what distance we had traversed; if we have to observe only the act of movement and not its duration, nothing to do which obliges us to think of time, the minutes are not recorded in our minds.

And finally, it is of common knowledge that, when the understanding is possessed of the entire act undertaken and has no reason to foresee any departure from the normal, it will no longer observe the detail; in a process unfailingly repeated without variation, attention to the unvarying detail is idleness.

So it is with the stars. They pass from point to point, but they move on their own affairs and not for the sake of traversing the space they actually cover; the vision of the things that appear on the way, the journey by, nothing of this is their concern; their passing this or that is of accident not of essence, and their intention is to greater objects: moreover each of them journeys, unchangeably, the same unchanging way; and again, there is no question to them of the time they spend in any given section of the journey, even supposing time-division to be possible in the case. All this granted, nothing makes it necessary that they should have any memory of places or times traversed. Besides, this life of the ensouled stars is one identical thing (since they are one in the All-Soul) so that their very spatial movement is pivoted upon identity and resolves itself into a movement not spatial but vital, the movement of a single living being whose act is directed to itself, a being which to anything outside is at rest, but is in movement by dint of the inner life it possesses, the eternal life. Or we may take the comparison of the movement of the heavenly bodies to a choral dance; if we think of it as a dance which comes to rest at some given period, the entire dance, accomplished from beginning to end, will be perfect while at each partial stage it was imperfect: but if the dance is a thing of eternity, it is in eternal perfection. And if it is in eternal perfection, it has no points of time and place at which it will achieve perfection; it will, therefore, have no concern about attaining to any such points: it will, therefore, make no measurements of time or place; it will have, therefore, no memory of time and place.

If the stars live a blessed life in their vision of the life inherent in their souls, and if, by force of their souls' tendency to become one,

and by the light they cast from themselves upon the entire heavens, they are like the strings of a lyre which, being struck in tune, sing a melody in some natural scale: if this is the way the heavens, as one, are moved, and the component parts in their relation to the whole – the sidereal system moving as one, and each part in its own way, to the same purpose, though each too hold its own place – then our doctrine is all the more surely established; the life of the heavenly bodies is the more clearly an unbroken unity.

9. But Zeus – ordering all, governor, guardian, and disposer, possessor for ever of the kingly soul and the kingly intellect,⁵³ bringing all into being by his providence, and presiding over all things as they come, administering all under plan and system, unfolding the periods of the Cosmos, many of which stand already accomplished – would it not seem inevitable that, in this multiplicity of concern, Zeus should have memory of all the periods, their number, and their differing qualities? Contriving the future, co-ordinating, calculating for what is to be, must he not surely be the chief of all in remembering, as he is chief in producing?

Even this matter of Zeus' memory of the cosmic periods is difficult; it is a question of their being numbered, and of his knowledge of their number. A determined number would mean that the All had a beginning in time (which is not so); if the periods are unlimited, Zeus cannot know the number of his works.

The answer is that he will know himself to be a unity existing in virtue of one life for ever and in this sense unlimited; and his knowledge of the unity will not be as of something seen from outside but as of something embraced in true knowledge, for this unlimited is an eternal indweller within himself – or, to be more accurate, eternally follows upon him – and is seen by an indwelling knowledge; Zeus knows his own unlimited life, and, in that knowledge, knows the activity that flows from him to the Cosmos; but he knows it in its unity not in its process.

10. The ordering principle is twofold;⁵⁴ there is the principle known

to us as the Demiurge and there is the Soul of the All; we apply the appellation Zeus sometimes to the Demiurge and sometimes to the principle conducting the universe.

When under the name of Zeus we are considering the Demiurge we must leave out all notions of stage and progress, and recognize one unchanging and timeless life.

But the life in the Cosmos, the life which carries the leading principle of the universe, still needs elucidation; does it operate without calculation, without searching into what ought to be done?

Yes: for what must be stands shaped before the Cosmos, and is ordered without any setting in order: the ordered things are merely the things that come to be; and the principle that brings them into being is Order itself; this production is an act of a soul linked with an unchangeably established wisdom whose reflection in that soul is Order. It is an unchanging wisdom, and there can therefore be no changing in the soul which mirrors it, not sometimes turned towards it and sometimes away from it – and in doubt because it has turned away – but an unremitting soul performing an unvarying task.

The leading principle of the universe is a unity – and one that is sovran without break, not sometimes dominant and sometimes dominated. What source is there for any such multiplicity of leading principles as might result in contest and hesitation? And this governing unity must always desire the one thing: what could bring it to wish now for this and now for that, to its own greater perplexing? But observe: no perplexity need follow upon any development of this Soul, essentially a unity. The All stands a multiple thing no doubt, having parts, and parts clashing with parts, but that does not imply that it need be in doubt as to its conduct: that Soul does not take its essence from its ultimates or from its parts, but from the Primals; it has its sources in the First and thence, along an unhindered path, it flows into a total of things, conferring grace, and, because it remains one same thing occupied in one task, dominating. To suppose it pursuing one new

object after another is to raise the question whence that novelty comes into being: the Soul, besides, would be in doubt as to its action; its very work, the Cosmos, would be the less well done by reason of the hesitancy which such calculations would entail.

11. The administration of the Cosmos is to be thought of as that of a living unit: there is the action determined by what is external, and has to do with the parts, and there is that determined by the internal and by the principle: thus a doctor basing his treatment on externals and on the parts directly affected will often be baffled and obliged to all sorts of calculation, while Nature will act on the basis of principle and need no deliberation. And in so far as the Cosmos is a conducted thing, its administration and its administrator will follow not the way of the doctor but the way of Nature.

And in the case of the universe, the administration is all the less complicated from the fact that the Soul actually circumscribes, as parts of a living unity, all the members which it conducts. For all the Kinds included in the universe are dominated by one Kind, upon which they follow, fitted into it, developing from it, growing out of it, just as the Kind manifested in the bough is related to the Kind in the tree as a whole.

What place, then, is there for reasoning, for calculation, what place for memory, where wisdom and knowledge are eternal, unfailingly present, effective, dominant, administering in an identical process?

The fact that the product contains diversity and difference does not warrant the notion that the producer must be subject to corresponding variations. On the contrary, the more varied the product, the more certain the unchanging identity of the producer: even in the single animal the events produced by Nature are many and not simultaneous; there are the age periods, the developments at fixed epochs – horns, beard, maturing breasts, the acme of life, procreation – but the principles which initially determined the nature of the being are not thereby annulled; there is process of growth, but no diversity in the initial principle. The identity

underlying all the multiplicity is confirmed by the fact that the principle constituting the parent is exhibited unchanged, undiminished, in the offspring. We have reason, then, for thinking that one and the same wisdom envelops both, and that this is the unalterable wisdom of the Cosmos taken as a whole; it is manifold, diverse and yet simplex, presiding over the most comprehensive of living beings, and in no wise altered within itself by this multiplicity, but stably one Reason-Principle, the concentrated totality of things: if it were not thus all things, it would be a wisdom of the later and partial, not the wisdom of the total, universal living being (the physical Cosmos).

12. It may be urged⁵⁵ that all the multiplicity and development are the work of Nature, but that, since there is wisdom within the All, there must be also, by the side of such natural operation, acts of reasoning and of memory.

But this is simply a human error which assumes wisdom to be what in fact is unwisdom, taking the search for wisdom to be wisdom itself. For what can reasoning be but a struggle, the effort to discover the wise course, to attain the principle which is true and derives from real-being? To reason is like playing the cithara for the sake of achieving the art, like practising with a view to mastery, like any learning that aims at knowing. What reasoners seek, the wise hold: wisdom, in a word, is a condition in a being that possesses repose. Think what happens when one has accomplished the reasoning process: as soon as we have discovered the right course, we cease to reason: we rest because we have come to wisdom. If then we are to range the leading principle of the All among learners, we must allow it reasonings, perplexities, and those acts of memory which link the past with the present and the future: if it is to be considered as a knower, then the wisdom within it consists in a rest possessing the object (absolved, therefore, from search and from remembrance).

Again, if the leading principle of the universe knows the future – as it must – then obviously it will know by what means that future

is to come about; given this knowledge, what further need is there of its reasoning towards it, or confronting past with present? And, of course, this knowledge of things to come – admitting it to exist – is not like that of the diviners; it is that of the actual causing principles holding the certainty that the thing will exist, the certainty inherent in the all-disposers, above perplexity and hesitancy; the notion is constituent and therefore unvarying. The knowledge of future things is, in a word, identical with that of the present; it is a knowledge in repose and thus a knowledge transcending the process of cogitation.

If the leading principle of the universe does not know the future which it is of itself to produce, it cannot produce with knowledge or to purpose; it will produce just what happens to come, that is to say by haphazard. As this cannot be, it must create by some stable principle; its creations, therefore, will be shaped in the model stored up in itself; there can be no varying, for, if there were, there could also be failure.

The produced universe will contain difference, but its diversities spring not from its own action but from its obedience to superior principles which, again, spring from the creating power, so that all is guided by Reason-Principles in their series; thus the creating power is in no sense subjected to experimenting, to perplexity, to that preoccupation which to some minds makes the administration of the All seem a task of difficulty. Preoccupation would obviously imply the undertaking of alien tasks, some business – that would mean – not completely within the powers; but where the power is sovran and sole, it need take thought of nothing but itself and its own will, which means its own wisdom, since in such a being the will is wisdom. Here, then, creating makes no demand, since the wisdom that goes to it is not sought elsewhere, but is the creator's very self, drawing on nothing outside – not, therefore, on reasoning or on memory, which are handlings of the external.

13. But what is the difference between the Wisdom thus conducting

the universe and the principle known as Nature?⁵⁶

This Wisdom is a first (within the All-Soul) while Nature is a last: for Nature is an image of that Wisdom, and, as a last in the Soul, possesses only the last reflection of the Reason-Principle: we may imagine a thick waxen seal, in which the imprint has penetrated to the very uttermost film so as to show on both sides, sharp cut on the upper surface, faint on the under. Nature, thus, does not know, it merely produces: what it holds it passes, automatically, to its next; and this transmission to the corporeal and material constitutes its making power: it acts as a thing warmed communicating to what lies in next contact to it the principle of which it is the vehicle so as to make that also warm in some less degree.

Nature, being thus a mere communicator, does not possess even the imaging act. There is (within the Soul) intellection, superior to imagination; and there is imagination standing midway between the intellection and the impression of which alone Nature is capable. For Nature has no perception or consciousness of anything; imagination (the imaging faculty) has consciousness of the external, for it enables that which entertains the image to have knowledge of the experience encountered, while intellection also engenders – of itself and by an act derived from its own active principle.

Thus the Intellectual-Principle possesses: the Soul of the All eternally receives from it; this is the Soul's life; its consciousness is its intellection of what is thus eternally present to it; what proceeds from it into Matter and is manifested there is Nature, with which – or even a little before it – the series of real being comes to an end, for all in this order are the ultimates of the intellectual order and the beginnings of the imitative.

There is also the decided difference that Nature operates towards Matter, and receives from it: soul, near to Nature but superior, operates towards Matter but without receiving in turn; and there is the still higher phase (the purely Intellectual) with no action whatever upon body or upon Matter.

14. Of the corporeal thus brought into being by Nature the elemental materials of things are its very produce, but how do animal and vegetable forms stand to it?

Are we to think of them as containers of Nature present within them?

Light goes away and the air contains no trace of it, for light and air remain each itself, never coalescing: is this the relation of Nature to the formed object?

It is rather that existing between fire and the object it has warmed: the fire withdrawn, there remains a certain warmth, distinct from that in the fire, a property, so to speak, of the object warmed. For the shape which Nature imparts to what it has moulded must be recognized as a form quite distinct from Nature itself, though it remains a question to be examined whether besides this (specific) form there is also an intermediary, a link connecting it with Nature, the general principle.

The difference between Nature and the Wisdom described as dwelling in the All has been sufficiently dealt with.

15. But there is a difficulty affecting this entire settlement: Eternity is characteristic of the Intellectual-Principle, time of the Soul – for we hold that time has its substantial being in the activity of the Soul, and springs from soul – and, since time is a thing of division and (unlike eternity) comports a past, it would seem that the activity producing it must also be a thing of division, and that its attention to that past must imply that even the All-Soul has memory? We repeat, identity belongs to the eternal, time must be the medium of diversity; otherwise there is nothing to distinguish them, especially since we deny that the activities of the Soul can themselves experience change.

Can we escape by the theory that, while human souls – receptive of change, even to the change of imperfection and lack – are in time, yet the Soul of the All, as the author of time, is itself timeless? But if it is not in time, what causes it to engender time rather than eternity?

The answer must be that the realm it engenders is not that of

eternal things but a realm of things enveloped in time: it is just as the souls (under, or included in, the All-Soul) are not in time, but some of their experiences and productions are. For a soul is eternal, and is before time; and what is in time is of a lower order than time itself: time is folded around what is in time exactly as – we read⁵⁷ – place and number are folded about what is in place and in number.

16. But if in the Soul thing follows thing, if there is earlier and later in its productions, if it engenders or creates in time, then it must be looking towards the future; and if towards the future, then towards the past as well?

No: prior and past are in the things it produces; in itself nothing is past; all, as we have said,⁵⁸ is one simultaneous grouping of Reason-Principles. In the engendered simultaneity has gone, and with it identity of place: hands and feet, spatially undifferentiated in the Reason-Principles, are apart in the realm of sense. Of course, even in that ideal realm there is apartness, but in a characteristic mode, just as in a mode there is priority.

Now, apartness may be explained as simply differentiation: but how account for priority unless on the assumption of some ordering principle arranging from above, and in that disposal necessarily affirming a serial order?

There must be such a principle, or all would exist simultaneously; but the indicated conclusion does not follow unless order and ordering principle are distinct; if the ordering principle is Primal Order, there is no such affirmation of series; there is simply making, the making of this thing after that thing. The affirmation would imply that the ordering principle looks away towards Order and therefore is not, itself, Order.

But how are Order and this orderer one and the same?

Because the ordering principle is no conjoint of matter and idea but is soul, pure idea, the power and energy second only to the Intellectual-Principle: and because the succession is a fact of the things themselves, inhibited as they are from this comprehensive

unity. The ordering soul remains august, a circle, as we may figure it, in complete adaptation to its centre, widening outward, but fast upon it still, an outspreading without interval.

The total scheme may be summarized in the illustration of The Good as a centre, the Intellectual-Principle as an unmoving circle, the Soul as a circle in motion, its moving being its aspiration: the Intellectual-Principle possesses and has ever embraced that which is beyond being; the Soul must seek it still: the sphere of the universe, by its possession of the Soul thus aspirant, is moved to the aspiration which falls within its own nature; this is no more than such power as body may have, the mode of pursuit possible where the object pursued is debarred from entrance; it is the motion of coiling about, with ceaseless return upon the same path – in other words, it is circuit.

17. But how comes it that the intuitions and the Reason-Principles of the Soul are not in the same timeless fashion within ourselves, but that here the later of order is converted into a later of time – bringing in all these doubts?

Is it because in us the governing and the answering principles are many and there is no sovran unity?

That condition; and, further, the fact that our mental acts fall into a series according to the succession of our needs, being not self-determined but guided by the variations of the external: thus the will changes to meet every incident as each fresh need arises and as the external impinges in its successive things and events.

A variety of governing principles must mean variety in the images formed upon the representative faculty, images not issuing from one internal centre, but, by difference of origin and of acting-point, strange to each other, and so bringing compulsion to bear upon the movements and efficiencies of the self.

When the desiring faculty is stirred, there is a presentment of the object – a sort of sensation, in announcement and in picture, of the experience – calling us to follow and to attain: the personality,

whether it resists or follows and procures, is necessarily thrown out of equilibrium. The same disturbance is caused by passion urging revenge and by the needs of the body; every other sensation or experience effects its own change upon our mental attitude; then there is the ignorance of what is good and the indecision of a soul (a human soul) thus pulled in every direction; and, again, the interaction of all these perplexities gives rise to yet others.

But do variations of judgement affect that very highest in us?

No: the doubt and the change of standard are of the Conjoint (of the soul-phase in contact with body); still, the right reason of that highest is weaker by being given over to inhabit this mingled mass: not that it sinks in its own nature: it is much as amid the tumult of a public meeting the best adviser speaks but fails to dominate; assent goes to the roughest of the brawlers and roarers, while the man of good counsel sits silent, ineffectual, overwhelmed by the uproar of his inferiors.

The lowest human type exhibits the baser nature; the man is a compost calling to mind some inferior political organization: in the mid-type we have a citizenship in which some better section sways a demotic constitution not out of control: in the superior type the life is aristocratic; it is the career of one emancipated from what is base in humanity and tractable to the better; in the finest type, where the man has brought himself to detachment, the ruler is one only, and from this master principle order is imposed upon the rest, so that we may think of a municipality in two sections, the superior city and, kept in hand by it, the city of the lower elements.⁵⁹

18. There remains the question⁶⁰ whether the body possesses any force of its own – so that, with the incoming of the Soul, it lives in some individuality – or whether all it has is this Nature we have been speaking of, the superior principle which enters into relations with it.

Certainly the body, container of soul and of nature, cannot even in itself be as a soulless form would be: it cannot even be like air traversed by light; it must be like air storing heat: the body holding

animal or vegetative life must hold also some shadow of soul; and it is body thus modified that is the seat of corporeal pains and pleasures which appear before us, the true human being, in such a way as to produce knowledge without emotion. By 'us, the true human being' I mean the higher soul, for, in spite of all, the modified body is not alien but attached to our nature and is a concern to us for that reason: 'attached', for this is not ourselves nor yet are we free of it; it is an accessory and dependent of the human being; 'we' means the master-principle; the conjoint, similarly, is in its own way an 'ours'; and it is because of this that we care for its pain and pleasure, in proportion as we are weak rather than strong, gripped rather than working towards detachment, regarding the body as the most honourable phase of our being, as the true man, and into this penetrating.

Pleasure and pain and the like must not be attributed to the Soul alone, but to the modified body and to something intermediary between soul and body and made up of both. A unity is independent: thus body alone, a lifeless thing, can suffer no hurt – in its dissolution there is no damage to the body, but merely to its unity – and soul in similar isolation cannot even suffer dissolution, and by its very nature is immune from evil.

But when two distinct things become one in an artificial unity, there is a probable source of pain to them in the mere fact that they were inapt to partnership. This does not, of course, refer to two bodies; that is a question of one nature; and I am speaking of two natures. When one distinct nature seeks to associate itself with another, a different, order of being – the lower participating in the higher, but unable to take more than a faint trace of it – then the essential duality becomes also a unity, but a unity standing midway between what the lower was and what it cannot absorb, and therefore a troubled unity; the association is artificial and uncertain, inclining now to this side and now to that in ceaseless vacillation; and the total hovers between high and low, telling, downward bent, of misery but, directed to the above, of longing for

unison.

19. Thus what we know as pleasure and pain may be identified:⁶¹ pain is our perception of a body despoiled, deprived of the image of the Soul; pleasure our perception of the living frame in which the image of the Soul is brought back to harmonious bodily operation. The painful experience takes place in that living frame; but the perception of it belongs to the sensitive phase of the Soul, which, as neighbouring the living body, feels the change and makes it known to the principle (the imaging faculty) into which the sensations finally merge; then the body feels the pain, or at least the body is affected: thus in an amputation, when the flesh is cut the cutting is an event within the material mass; but the pain felt in that mass is there felt because it is not a mass pure and simple, but a mass under certain (non-material) conditions; it is to that modified substance that the sting of the pain is present, and the Soul feels it by an adoption due to what we think of as proximity.

And, itself unaffected, it feels the corporeal conditions at every point of its being, and is thereby enabled to assign every condition to the exact spot at which the wound or pain occurs. Being present as a whole at every point of the body, if it were itself affected the pain would take it at every point, and it would suffer as one entire being, so that it could not know, or make known, the spot affected; it could say only that at the place of its presence there existed pain – and the place of its presence is the entire human being. As things are, when the finger pains the man is in pain because one of his members is in pain; we class him as suffering, from his finger being painful, just as we class him as fair from his eyes being blue.

But the pain itself is in the part affected unless we include in the notion of pain the sensation following upon it, in which case we are saying only that distress implies the perception of distress. But (this does not mean that the Soul is affected:) we cannot describe the perception itself as distress; it is the knowledge of the distress and, being knowledge, is not itself affected, or it could not know and convey a true message: a messenger, affected, overwhelmed by the event, would either not convey the message or not convey it

faithfully.

20. As with bodily pain and pleasure so with the bodily desires; their origin, also, must be attributed to what thus stands midway, to that modified corporeal nature.

Body undetermined cannot be imagined to give rise to appetite and purpose, nor can pure soul be occupied about sweet and bitter: all this must belong to what is specifically body but chooses to be something else as well, and so has acquired a restless movement unknown to the Soul and by that acquisition is forced to aim at a variety of objects, to seek, as its changing states demand, sweet or bitter, water or warmth, with none of which it could have any concern if it remained untouched by life.

In the case of pleasure and pain we showed how upon distress follows the knowledge of it, and that the Soul, seeking to alienate what is causing the condition, inspires a withdrawal which the member primarily affected has itself indicated, in its own mode, by its contraction. Similarly in the case of desire: there is the knowledge in the sensation (the sensitive phase of the Soul) and in the next lower phase, that described as the 'Nature'⁶² which carries the imprint of the Soul to the body; that Nature knows the fully formed desire which is the culmination of the less formed desire in body; sensation knows the image thence imprinted upon the Nature; and from the moment of the sensation the Soul, which alone is competent, acts upon it, sometimes procuring, sometimes on the contrary resisting, taking control and paying heed neither to that which originated the desire nor to that which subsequently entertained it.

But why, thus, two phases of desire; why should not the body as a determined entity (the living total) be the sole desirer?

Because there are (in man) two distinct things, this Nature and the body, which, through it, becomes a living being: the Nature precedes the determined body which is its creation, made and shaped by it; it cannot originate the desires; they must belong to

the living body meeting the experiences of this life and seeking in its distress to alter its state, to substitute pleasure for pain, sufficiency for want: this Nature must be like a mother reading the wishes of a suffering child, and seeking to set it right and to bring it back to herself; in her search for the remedy she attaches herself by that very concern to the sufferer's desire and makes the child's experience her own.

In sum, the living body may be said to desire of its own motion in a fore-desiring with, perhaps, impulse as well; Nature desires for, and because of, that living body; granting or withholding belongs to another again, the higher soul.

21. That this is the phase of the human being in which desire takes its origin is shown by observation of the different stages of life: in childhood, youth, maturity, the bodily desires differ; health or sickness also may change them, while the (psychic) faculty is of course the same through all: the evidence is clear that the variety of desire in the human being results from the fact that he is a corporeal entity, a living body subject to every sort of vicissitude.

The total movement of desire is not always stirred simultaneously with what we call the impulses to the satisfaction even of the lasting bodily demands; it may refuse assent to the idea of eating or drinking until reason gives the word: this shows us desire – the degree of it existing in the living body – advancing towards some object, with Nature (the lower soul-phase) refusing its co-operation and approval, and as sole arbiter between what is naturally fit and unfit, rejecting what does not accord with the natural need.

We may be told that the changing state of the body is sufficient explanation of the changing desires in the faculty; but that would require the demonstration that the changing condition of a given entity could effect a change of desire in another, in one which cannot itself gain by the gratification; for it is not the desiring faculty that profits by food, liquid, warmth, movement, or by any relief from over-plenty or any filling of a void; all such services

touch the body only.

22. And as regards vegetal forms?⁶³ Are we to distinguish between a sort of corporeal echo and the principle which gives rise to it – a principle that would be tendency or desire in us and is growth in them? Or are we to think that, while the earth (which nourishes them) contains the principle of desire by virtue of containing soul, the vegetal realm possesses only this latter reflection of desire?

The first point to be decided is what soul is present in the earth.

Is it one coming from the sphere of the All, a radiation upon earth from that which Plato seems to represent as the only thing possessing soul primarily?⁶⁴ Or are we to go by that other passage where he describes earth as the first and oldest of all the gods within the scope of the heavens, and assigns to it, as to the other stars, a soul peculiar to itself?

It is difficult to see how earth could be a god if it did not possess a soul thus distinct: but the whole matter is obscure since Plato's statements increase or at least do not lessen the perplexity. It is best to begin by facing the question as a matter of reasoned investigation.

That earth possesses the vegetal soul may be taken as certain from the vegetation upon it. But we see also that it produces animals; why then should we not argue that it is itself animated? And, animated, no small part of the All, must it not be plausible to assert that it possesses an Intellectual-Principle by which it holds its rank as a god? If this is true of every one of the stars, why should it not be so of the earth, a living part of the living All? We cannot think of it as sustained from without by an alien soul and incapable of containing one appropriate to itself.

Why should those fiery globes be receptive of soul and the earthly globe not? The stars are equally corporeal, and they lack the flesh, blood, muscle, and pliant material of earth, which besides is of more varied content and includes every form of body. If the earth's immobility is urged in objection, the answer is that this refers only to spatial movement.

But how can perception and sensation (implied in ensoulment) be supposed to occur in the earth?

How (we return) do they occur in the stars? Feeling does not belong to fleshy matter: soul to have perception does not require body; body, on the contrary, requires soul to maintain its being and its efficiency: judgement (the foundation of perception) belongs to the soul which overlooks the body, and, from what is experienced there, forms its decisions.

But, we will be asked to say what are the experiences, within the earth, upon which the earth-soul is thus to form its decisions: certainly vegetal forms, in so far as they belong to earth, have no sensation or perception: in what then, and through what, does such sensation take place, for (we will be told) sensation without organs is too rash a notion. Besides, what would this sense-perception profit the Soul? It could not be necessary to knowledge: surely the consciousness of wisdom suffices to beings which have nothing to gain from sensation?

This argument is not to be accepted: it ignores the consideration that, apart from all question of practical utility, objects of sense provide occasion for a knowing which brings pleasure: thus we ourselves take delight in looking upon sun, stars, sky, landscape, for their own sake. But we will deal with this point later: for the present we ask, presuming that the earth has perceptions and sensations and is a living being, what objects it would perceive and by what method: this requires us to examine certain difficulties, and above all to decide whether earth could have sensation without organs, and whether this would be directed to some necessary purpose even when incidentally it might bring other results as well.

23. A first principle⁶⁵ is that the knowing of sensible objects is an act of the Soul, or of the living conjoint, becoming aware of the quality of certain corporeal entities, and appropriating the Ideas present in them.

This apprehension must belong either to the Soul isolated, self-

acting, or to Soul in conjunction with some other entity?

Isolated, self-acting, how is it possible? Self-acting, it has knowledge of its own content, and this is not perception but intellection: if it is also to know things outside itself it can grasp them only in one of two ways: either it must assimilate itself to the external objects, or it must enter into relations with something that has been so assimilated.

Now as long as it remains self-centred it cannot assimilate: a single point cannot assimilate itself to an external line: even line cannot adapt itself to line in another order, line of the intellectual to line of the sensible, just as fire of the intellectual and man of the intellectual remain distinct from fire and man of the sensible. Even Nature, the soul-phase which brings man into being, does not come to identity with the man it shapes and informs: it has the faculty of dealing with the sensible, but it remains isolated, and, its task done, ignores all but the intellectual as it is itself ignored by the sensible and utterly without means of grasping it.

Suppose something visible lying at a distance: the Soul sees it; now, admitting to the full that at first only the pure Idea of the thing is seized – a total without discerned part – yet in the end it becomes to the seeing soul an object whose complete detail of colour and form is known: this shows that there is something more here than the outlying thing and the Soul; for the Soul is immune from experience; there must be a third, something not thus exempt; and it is this intermediate that accepts the impressions of shape and the like.

This intermediate must be able to assume the modifications of the material object so as to be an exact reproduction of its states, and it must be of the one elemental-stuff: it, thus, will exhibit the condition which the higher principle is to perceive; and the condition must be such as to preserve something of the originating object, and yet not be identical with it: the essential vehicle of knowledge is an intermediary which, as it stands between the Soul and the originating object, will, similarly, present a condition

midway between the two spheres, of sense and the intellectual – linking the extremes, receiving from one side to exhibit to the other, in virtue of being able to assimilate itself to each. As an instrument by which something is to receive knowledge, it cannot be identical with either the knower or the known: but it must be apt to likeness with both – akin to the external object by its power of being affected, and to the internal, the knower, by the fact that the modification it takes becomes an Idea.

If this theory of ours is sound, bodily organs are necessary to sense-perception, as is further indicated by the reflection that the Soul entirely freed of body can apprehend nothing in the order of sense.

The organ must be either the body entire or some member set apart for a particular function; thus touch for one, vision for another. The tools of craftsmanship will be seen to be intermediaries between the judging worker and the judged object, disclosing to the experimenter the particular character of the matter under investigation: thus a ruler, representing at once the straightness which is in the mind and the straightness of a plank, is used as an intermediary by which the operator proves his work.

Some questions of detail remain for consideration elsewhere:⁶⁶ is it necessary that the object upon which judgement or perception is to take place should be in contact with the organ of perception, or can the process occur across space upon an object at a distance? Thus, is the heat of a fire really at a distance from the flesh it warms, the intermediate space remaining unmodified; is it possible to see colour over a sheer blank intervening between the colour and the eye, the organ of vision reaching to its object by its own power?

For the moment we have one certainty, that perception of things of sense belongs to the embodied soul and takes place through the body.

24. The next question is whether perception is concerned only with need.⁶⁷

The Soul, isolated, has no sense-perception; sensations go with the body; sensation itself therefore must occur by means of the body to which the sensations are due; it must be something brought about by association with the body.

Thus either sensation occurs in a soul compelled to follow upon bodily states – since every graver bodily experience reaches at last to soul – or sensation is a device by which a cause is dealt with before it becomes so great as actually to injure us or even before it has begun to make contact.

At this, sense-impression would aim at utility. They may serve also to knowledge, but that could be service only to some being not living in knowledge but stupefied as the result of a disaster, and the victim of a Lethe calling for constant reminding: they would be useless to any being free from either need or forgetfulness. This reflection enlarges the inquiry: it is no longer a question of earth alone, but of the whole star-system, all the heavens, the Cosmos entire. For it would follow that, in the sphere of things not exempt from modification, sense-perception would occur in every part having relation to any other part: in a whole, however – having relation only to itself, immune, universally self-directed and self-possessing – what perception could there be?

Granted that the percipient must act through an organ and that this organ must be different from the object perceived, then the universe, as an All, can have (no sensation since it has) no organ distinct from object: it can have self-awareness, as we have; but sense-perception, the constant attendant of another order, it cannot have.

Our own apprehension of any bodily condition apart from the normal is the sense of something intruding from without: but besides this, we have the apprehension of one member by another; why then should not the All use the sphere of the fixed stars to perceive the sphere of the planets and the latter to perceive the earth and the earth's content?

Things of earth are certainly affected by what passes in other regions of the All; what, then, need prevent the All from having, in some appropriate way, the perception of those changes? In addition to that self-contemplating vision vested in the sphere of the fixed stars, may it not have a seeing power like that of an eye able to announce to the All-Soul what has passed before it? Even granted that it is entirely unaffected by other experiences, why, still, should it not see like an eye, ensouled as it is, all lightsome?

Still: 'eyes were not necessary to it', we read.⁶⁸ If this meant simply that nothing is left to be seen outside of the All, still there is the inner content, and there can be nothing to prevent it seeing what constitutes itself: if the meaning is that such self-vision could serve to no use, we may think that it has vision not as a main intention for vision's sake but as a necessary concomitant of its characteristic nature: it is difficult to conceive why such a body should be incapable of seeing.

25. But the organ is not the only requisite to vision or to perception of any kind: there must be a state of the Soul inclining it towards the sphere of sense.

Now it is the Soul's character to be ever in the Intellectual sphere, and even though it were apt to sense-perception, this could be prevented by that intention towards the highest; to ourselves when absorbed in the Intellectual, vision and the other acts of sense are in abeyance for the time; and, in general, any special attention blurs every other. The desire of apprehension from part to part – a subject examining itself – is merely curiosity even in beings of our own standing, and, unless for some definite purpose, is waste of energy: and the desire to apprehend something external – for the sake of a pleasant sight – is the sign of suffering or deficiency.

Smelling, tasting flavours (and such animal perceptions) may perhaps be described as mere accessories, distractions of the Soul, while seeing and hearing would belong to the sun and the other heavenly bodies as incidentals to their being. This would not be

unreasonable if seeing and hearing are means by which they apply themselves to their function.

But if they so apply themselves, they must have memory; it is impossible that they should have no remembrance if they are to be benefactors; their service could not exist without memory.

26. Their knowledge of our prayers is due to what we may call an enlinking, a determined relation of things fitted into a system; so, too, the fulfilment of the petitions; in the art of magic all looks to this enlinkment: prayer and its answer, magic and its success, depend upon the sympathy of enchained forces.

This seems to oblige us to accord sense-perception to the earth.

But what perception?

Why not, to begin with, that of contact-feeling, the apprehension of part by part, the apprehension of fire by the rest of the entire mass in a sensation transmitted upwards to the earth's leading principle? A corporeal mass (such as that of the earth) may be sluggish but is not utterly inert. Such perceptions, of course, would not be of trifles, but of the graver movement of things.

But why even of them?

Because those gravest movements could not possibly remain unknown where there is an immanent soul.

And there is nothing against the idea that sensation in the earth exists for the sake of the human interests furthered by the earth. They would be served by means of the sympathy that has been mentioned; petitioners would be heard and their prayers met, though in a way not ours. And the earth, both in its own interest and in that of beings distinct from itself, might have the experiences of the other senses also – for example, smell and taste where, perhaps, the scent of juices or sap might enter into its care for animal's life, as in the constructing or restoring of their bodily part.

But we need not demand for earth the organs by which we, ourselves, act: not even all the animals have these; some, without

ears, perceive sound.

For sight it would not need eyes – though if light is indispensable how can it see?

That the earth contains the principle of growth must be admitted; it is difficult not to allow in consequence that, since this vegetal principle is a member of spirit, the earth is primarily of the spiritual order; and how can we doubt that in a spirit all is lucid? This becomes all the more evident when we reflect that, besides being as a spirit lightsome, it is physically illuminated moving in the light of the cosmic revolution.

There is, thus, no longer any absurdity or impossibility in the notion that the soul in the earth has vision: we must, further, consider that it is the soul of no mean body; that in fact it is a god since certainly soul must be everywhere good.

27. If the earth transmits the generative soul to growing things – or retains it while allowing a vestige of it to constitute the vegetal principle in them – at once the earth is ensouled, as our flesh is, and any generative power possessed by the plant world is of its bestowing; this phase of the Soul is immanent in the body of the growing thing, and transmits to it that better element by which it differs from the broken-off part, no longer a thing of growth but a mere lump of material.

But does the entire body of the earth similarly receive anything from the Soul?

Yes: for we must recognize that earthly material broken off from the main body differs from the same remaining continuously attached; thus stones increase as long as they are embedded, and, from the moment they are separated, stop at the size attained.⁶⁹

We must conclude, then, that every part and member of the earth carries its vestige of this principle of growth, an under-phase of that entire principle which belongs not to this or that member but to the earth as a whole: next in order is the nature (the soul-phase) concerned with sensation, this not interfused (like the vegetal principle) but in contact from above: then the higher soul

and the Intellectual-Principle, constituting together the being known as Hestia (Earth-Mind) and Demeter (Earth-Soul)⁷⁰ – a nomenclature indicating the human intuition of these truths, asserted in the attribution of a divine name and nature.

28. Thus much established, we may return on our path: we have to discuss the seat of the passionate element in the human being.

Pleasures and pains – the conditions, that is, not the perception of them – and the nascent stage of desire, we assigned to the body as a determined thing, the body brought, in some sense, to life: are we entitled to say the same of the nascent stage of passion? Are we to consider passion in all its forms as vested in the determined body or in something belonging to it, for instance in the heart or the bile necessarily taking condition within a body not dead? And are we to think that that which bestows the vestige of the soul is again a distinct entity, or is the vestige in the case of passion an independent thing, and not derived from a vegetal or percipient faculty?

Now in the first case the soul-principle involved, the vegetal, pervades the entire body, and the vestigial phase which it bestows is bestowed upon the entire body, so that pain and pleasure and nascent desire for the satisfaction of need are present all over it – there is possibly some doubt as to the sexual impulse, which, however, it may suffice to assign to the organs by which it is executed – but in general the region about the liver may be taken to be the starting-point of desire, since it is the main acting point of the vegetal principle which transmits the vestige phase of the soul to the liver and body – the seat, because the spring.

But in this other case, of passion, we have to settle what it is, what form of soul it represents: does it act by communicating a lower phase of itself to the regions round the heart, or is it set in motion by the higher soul-phase impinging upon the Conjoint (the animate-total), or is there in such conditions no question of soul-phase, but simply passion itself producing the act or state of (for

example) anger?

Evidently the first point for inquiry is what passion is.

Now we all know that we feel anger not only over our own bodily suffering, but also over that of our friends, and indeed over any victim of unseemly conduct. It is at once evident that anger implies some subject capable of sensation and of judgement: and this consideration suffices to show that the vegetal nature is not its source, that we must look for its origin elsewhere.

On the other hand, anger follows closely upon bodily states; people in whom the blood and the bile are intensely active are as quick to anger as those of cool blood and no bile are slow; animals grow angry though they pay attention to no outside combinations except where they recognize physical danger; all this forces us again to place the seat of anger in the strictly corporeal element, the principle by which the animal organism is held together. Similarly, that anger or its first stirring depends upon the condition of the body follows from the consideration that the same people are more irritable ill than well, fasting than after food: it would seem that the bile and the blood, acting as vehicles of life, produce these emotions.

Our conclusion (reconciling with these corporeal facts the psychic or mental element indicated) will identify, first, some suffering in the body answered by a movement in the blood or in the bile: sensation ensues and the Soul, brought by means of the representative faculty to partake in the condition of the affected body, is directed towards the cause of the pain: the reasoning soul, in turn, from its place above – the phase not inbound with body – acts in its own mode when the breach of order has become manifest to it: it calls in the alliance of that ready passionate faculty which is the natural combatant of the evil disclosed.

Thus anger has two phases; there is firstly that which, rising apart from all process of reasoning, draws reason to itself by the medium of the imaging faculty, and secondly that which, rising in

reason, touches finally upon the specific principle of the emotion. Both these depend upon the existence of that principle of vegetal life and generation by which the body becomes an organism aware of pleasure and pain: this principle it was that made the body a thing of bile and bitterness, and thus it leads the indwelling soul-phase to corresponding states – churlish and angry under stress of environment – so that being wronged itself, it tries, as we may put it, to return the wrong upon its surroundings, and bring them to the same condition.

That this soul-vestige, which determines the movements of passion, is of one essence (consubstantial) with the other is evident from the consideration that those of us less avid of corporeal pleasures, especially those that wholly repudiate the body, are the least prone to anger and to all experiences not rising from reason.

That this vegetal principle, underlying anger, should be present in trees and yet passion be lacking in them cannot surprise us since they are not subject to the movements of blood and bile. If the occasions of anger presented themselves where there is no power of sensation there could be no more than a physical ebullition with something approaching to resentment (an unconscious reaction); where sensation exists there is at once something more; the recognition of wrong and of the necessary defence carries with it the intentional act.

But the division of the unreasoning phase of the Soul into a desiring faculty and a passionate faculty – the first identical with the vegetal principle, the second being a lower phase of it acting upon the blood or bile or upon the entire living organism – such a division would not give us a true opposition, for the two would stand in the relation of earlier phase to derivative.

This difficulty is reasonably met by considering that both faculties are derivatives and making the division apply to them in so far as they are new productions from a common source; for the division applies to movements of desire as such, not to the essence from which they rise.

That essence is not, of its own nature, desire; it is, however, the force which by consolidating itself with the active manifestation proceeding from it makes the desire a completed thing. And that derivative which culminates in passion may not unreasonably be thought of as a vestige-phase lodged about the heart, since the heart is not the seat of the Soul, but merely the centre to that portion of the blood which is concerned in the movements of passion.

29. But – keeping to our illustration,⁷¹ by which the body is warmed by soul and not merely illuminated by it – how is it that when the higher soul withdraws there is no further trace of the vital principle?

For a brief space there is; and, precisely, it begins to fade away immediately upon the withdrawal of the other, as in the case of warmed objects when the fire is no longer near them: similarly hair and nails still grow on the dead; animals cut to pieces wriggle for a good time after; these are signs of a life-force still indwelling.

Besides, simultaneous withdrawal would not prove the identity of the higher and lower phases: when the sun withdraws there goes with it not merely the light emanating from it, guided by it, attached to it, but also at once that light seen upon obliquely situated objects, a light secondary to the sun's and cast upon things outside of its path (reflected light showing as colour); the two are not identical and yet they disappear together.

But is this simultaneous withdrawal or frank obliteration?

The question applies equally to this secondary light and to the corporeal life, that life which we think of as being completely sunk into body.

No light whatever remains in the objects once illuminated; that much is certain: but we have to ask whether it has sunk back into its source or is simply no longer in existence.

How could it pass out of being, a thing that once has been?

But what really was it? We must remember that what we know

as colour belongs to bodies by the fact that they throw off light, yet when corruptible bodies are transformed the colour disappears and we no more ask where the colour of a burned-out fire is than where its shape is.

Still: the shape is merely a configuration, like the lie of the hands clenched or spread; the colour is no such accidental but is more like, for example, sweetness: when a material substance breaks up, the sweetness of what was sweet in it, and the fragrance of what was fragrant may very well not be annihilated, but enter into some other substance, passing unobserved there because the new habitat is not such that the entrant qualities now offer anything solid to perception.

May we not think that, similarly, the light belonging to bodies that have been dissolved remains in being while the solid total, made up of all that is characteristic, disappears?

It might be said that the seeing is merely the sequel to some law (of our own nature), so that what we call qualities do not actually exist in the substances.

But this is to make the qualities indestructible and not dependent upon the composition of the body; it would no longer be the Reason-Principles within the sperm that produce, for instance, the colours of a bird's variegated plumage; these principles would merely blend and place them, or if they produced them would draw also on the full store of colours in the sky, producing in the sense, mainly, of showing in the formed bodies something very different from what appears in the heavens.

But whatever we may think on this doubtful point, if, as long as the bodies remain unaltered, the light is constant and unsevered, then it would seem natural that, on the dissolution of the body, the light – both that in immediate contact and any other attached to that – should pass away at the same moment, unseen in the going as in the coming.

But in the case of the Soul it is a question whether the secondary

phases follow their priors – the derivatives their sources – or whether every phase is self-governing, isolated from its predecessors and able to stand alone; in a word, whether no part of the Soul is sundered from the total, but all the souls are simultaneously one soul and many, and, if so, by what mode; this question, however, is treated elsewhere.⁷²

Here we have to inquire into the nature and being of that vestige of the soul actually present in the living body: if there is truly a soul, then, as a thing never cut off from its total, it will go with soul as soul must: if it is rather to be thought of as belonging to the body, as the life of the body, we have the same question that rose in the case of the vestige of light; we must examine whether life can exist without the presence of soul, except of course in the sense of soul living above and acting upon the remote object.⁷³

30. We have declared acts of memory unnecessary to the stars, but we allow them perceptions, hearing as well as seeing; for we said that prayers to them were heard – our supplications to the sun,⁷⁴ and those, even, of certain other men to the stars. It has, moreover, been the belief that in answer to prayer they accomplish many human wishes, and this so light-heartedly that they become not merely helpers towards good but even accomplices in evil. Since this matter lies in our way it must be considered,⁷⁵ for it carries with it grave difficulties that very much trouble those who cannot think of divine beings as, thus, authors or auxiliaries in unseemliness even including the connexions of loose carnality.

In view of all this it is especially necessary to study the question with which we began, that of memory in the heavenly bodies.

It is obvious that, if they act on our prayers and if this action is not immediate, but with delay and after long periods of time, they remember the prayers men address to them. This is something that our former argument did not concede; though it appeared plausible that, for their better service of mankind, they might have been endowed with such a faculty as we ascribed to Demeter and Hestia – unless only the earth is to be thought of as beneficent to man.

We have, then, to attempt to show: firstly, how acts implying memory in the heavenly bodies are to be reconciled with our system as distinguished from those others which allow them memory as a matter of course; secondly, what vindication of those gods of the heavenly spheres is possible in the matter of seemingly anomalous acts – a question which philosophy cannot ignore; then too, since the charge goes so far, we must ask whether credence is to be given to those who hold that the entire heavenly system can be put under spell by man's skill and audacity: our discussion will also deal with the spirit-beings and how they may be thought to minister to these ends – unless indeed the part played by the Celestials prove to be settled by the decision upon the first questions.

31. Our problem embraces all act and all experience throughout the entire Cosmos – whether due to nature, in the current phrase, or effected by art. The natural proceeds, we must hold, from the All towards its members and from the members to the All, or from member to other member: the artificial either remains, as it began, within the limit of the art – attaining finality in the artificial product alone – or is the expression of an art which calls to its aid natural forces and agencies, and so sets up act and experience within the sphere of the natural.

When I speak of the act and experience of the All I mean the total effect of the entire cosmic circuit upon itself and upon its members: for by its motion it sets up certain states both within itself and upon its parts, upon the bodies that move within it, and upon all that it communicates to those other parts of it, the things of our earth.

The action of part upon part is manifest; there are the relations and operations of the sun, both towards the other spheres and towards the things of earth; and again relations among elements of the sun itself, of other heavenly bodies, of earthly things, and of things in the other stars, demand investigation.

As for the arts: such as look to house building and the like are

exhausted when the object is achieved; there are again those – medicine, farming, and other serviceable pursuits – which deal helpfully with natural products, seeking to bring them to natural efficiency; and there is a class – rhetoric, music, and every other method of swaying mind or soul, with their power of modifying for better or for worse – and we have to ascertain what these arts come to and what kind of power lies in them.

On all these points, in so far as they bear on our present purpose, we must do what we can to work out some approximate explanation.

It is abundantly evident that the Circuit is a cause; it modifies, firstly, itself and its own content, and undoubtedly also it tells on the terrestrial, not merely in accordance with bodily conditions but also by the states of the soul it sets up; and each of its members has an operation upon the terrestrial and in general upon all the lower.

Whether there is a return action of the lower upon the higher need not trouble us now: for the moment we are to seek, as far as discussion can exhibit it, the method by which action takes place; and we do not challenge the opinions universally or very generally entertained.

We take the question back to the initial act of causation. It cannot be admitted that either heat or cold and the like – what are known as the primal qualities of the elements – or any admixture of these qualities, should be the first causes we are seeking; equally unacceptable, that while the sun's action is all by heat, there is another member of the Circuit operating wholly by cold – incongruous in the heavens and in a fiery body – nor can we think of some other star operating by liquid fire.

Such explanations do not account for the differences of things, and there are many phenomena which cannot be referred to any of these causes. Suppose we allow them to be the occasion of moral differences – determined, thus, by bodily composition and constitution under a reigning heat or cold – does that give us a

reasonable explanation of envy, jealousy, acts of violence? Or, if it does, what, at any rate, are we to think of good and bad fortune, rich men and poor, gentle blood, treasure trove?

An immensity of such examples might be adduced, all leading far from any corporeal quality that could enter the body and soul of a living thing from the elements: and it is equally impossible that the will of the stars, a doom from the All, any deliberation among them, should be held responsible for the fate of each and all of their inferiors. It is not to be thought that such beings engage themselves in human affairs in the sense of making men thieves, slave-dealers, burglars, temple-strippers, or debased effeminate practising and lending themselves to disgusting actions: that is not merely unlike gods; it is unlike mediocre men; it is, perhaps, beneath the level of any existing being where there is not the least personal advantage to be gained.

32. If we can trace neither to material agencies (blind elements) nor to any deliberate intention the influences from without which reach to us and to the other forms of life and to the terrestrial in general, what cause satisfactory to reason remains?

The secret is: firstly, that this All is one universally comprehensive living being,⁷⁶ encircling all the living beings within it, and having a soul, one soul, which extends to all its members in the degree of participant membership held by each; secondly, that every separate thing is an integral part of this All by belonging to the total material fabric – unrestrictedly a part by bodily membership, while, in so far as it has also some participation in the All-Soul, it possesses in that degree spiritual membership as well, perfect where participation is in the All-Soul alone, partial where there is also a union with a lower soul.

But, with all this gradation, each several thing is affected by all else in virtue of the common participation in the All, and to the degree of its own participation.

This One-All, therefore, is a sympathetic total and stands as one

living being; the far is near; it happens as in one animal with its separate parts: talon, horn, finger, and any other member are not continuous and yet are effectively near; intermediate parts feel nothing, but at a distant point the local experience is known. Correspondent things not side by side but separated by others placed between, the sharing of experience by dint of like condition – this is enough to ensure that the action of any distant member be transmitted to its distant fellow. Where all is a living thing summing to a unity there is nothing so remote in point of place as not to be near by virtue of a nature which makes of the one living being a sympathetic organism.

Where there is similarity between a thing affected and the thing affecting it, the affection is not alien; where the affecting cause is dissimilar the affection is alien and unpleasant.

Such hurtful action of member upon member within one living being need not seem surprising: within ourselves, in our own activities, one constituent can be harmed by another; bile and animal spirit seem to press and goad other members of the human total: in the vegetal realm one part hurts another by sucking the moisture from it. And in the All there is something analogous to bile and animal spirit, as to other such constituents. For visibly it is not merely one living organism; it is also a manifold. In virtue of the unity the individual is preserved by the All: in virtue of the multiplicity of things having various contacts, difference often brings about mutual hurt; one thing, seeking its own need, is detrimental to another; what is at once related and different is seized as food; each thing, following its own natural path, wrenches from something else what is serviceable to itself, and destroys or checks in its own interest whatever is becoming a menace to it: each, occupied with its peculiar function, assists no doubt anything able to profit by that, but harms or destroys what is too weak to withstand the onslaught of its action, like fire withering things round it or greater animals in their march thrusting aside or trampling under foot the smaller.

The rise of all these forms of being, their destruction, and their modification, whether to their loss or gain, all goes to the fulfilment of the natural unhindered life of that one living being: for it was not possible for the single thing to be as if it stood alone; the final purpose could not serve to that only end, intent upon the partial: the concern must be for the whole to which each item is member: things are different both from each other and in their own stages, therefore cannot be complete in one unchanging form of life; nor could anything remain utterly without modification if the All is to be durable; for the permanence of an All demands varying forms.

33. The Circuit does not go by chance but under the Reason-Principle of the living whole; therefore there must be a harmony between cause and caused; there must be some order ranging things to each other's purpose, or in due relation to each other: every several configuration within the Circuit must be accompanied by a change in the position and condition of things subordinate to it, which thus by their varied rhythmic movement make up one total dance-play.

In our dance-plays⁷⁷ there are outside elements contributing to the total effect – fluting, singing, and other linked accessories – and each of these changes in each new movement: there is no need to dwell on these; their significance is obvious. But besides this there is the fact that the limbs of the dancer cannot possibly keep the same positions in every figure; they adapt themselves to the plan, bending as it dictates, one lowered, another raised, one active, another resting as the set pattern changes. The dancer's mind is on his own purpose; his limbs are submissive to the dance-movement which they accomplish to the end, so that the connoisseur can explain that this or that figure is the motive for the lifting, bending, concealment, effacing, of the various members of the body; and in all this the executant does not choose the particular motions for their own sake; the whole play of the entire person dictates the necessary position to each limb and member as it serves to the plan.

Now this is the mode in which the heavenly beings (the diviner

members of the All) must be held to be causes wherever they have any action, and, when they do not act, to indicate.

Or, a better statement: the entire Cosmos puts its entire life into act, moving its major members with its own action and unceasingly setting them in new positions; by the relations thus established, of these members to each other and to the whole, and by the different figures they make together, the minor members in turn are brought under the system as in the movements of some one living being, so that they vary according to the relations, positions, configurations: the beings thus co-ordinated are not the causes; the cause is the co-ordinating All; at the same time it is not to be thought of as acting upon a material distinct from itself, for there is nothing external to it since it is the cause by actually being all: on the one side the configurations, on the other the inevitable effects of those configurations upon a living being moving as a unit and, again, upon a living being (an All) thus by its nature conjoined and concomitant and, of necessity, at once subject and object to its own activities.

34. For ourselves, while whatever in us belongs to the body of the All should be yielded to its action, we ought to make sure that we submit only within limits, realizing that the entire man is not thus bound to it: intelligent servitors yield a part of themselves to their masters but in part retain their personality, and are thus less absolutely at beck and call, as not being slaves, not utterly chattels.

The changing configurations within the All could not fail to be produced as they are, since the moving bodies are not of equal speed.

Now the movement is guided by a Reason-Principle; the relations of the living whole are altered in consequence; here in our own realm all that happens reacts in sympathy to the events of that higher sphere: it becomes, therefore, advisable to ask whether we are to think of this realm as following upon the higher by agreement, or to attribute to the configurations the powers underlying the events, and whether such powers would be vested in

the configurations simply or in the relations of the particular items.

It will be said that one position of one given thing has by no means an identical effect – whether of indication or of causation – in its relation to another and still less to any group of others, since each several being seems to have a natural tendency (or receptivity) of its own.

The truth is that the configuration of any given group entails both the members and their relationship, and, changing the members, though the relationship remain the same, the effect will be different.

But, this being so, the power will belong, not to the positions but to the beings holding those positions?

To both taken together. For as things change their relations, and as any one thing changes place, there is a change of power.

But what power? That of causation or of indication?

To this double thing – the particular configuration of particular beings – there accrues often the twofold power, that of causation and that of indication, but sometimes only that of indication. Thus we are obliged to attribute powers both to the configuration and to the beings entering into them. In mime dancers each of the hands has its own power, and so with all the limbs; the relative positions have much power; and, for a third power, there is that of the accessories and concomitants; underlying the action of the performers' limbs, there are such items as the clutched fingers and the muscles and veins following suit.

35. But we must give some explanation of these powers. The matter requires a more definite handling. How can there be a difference of power between one triangular configuration and another?⁷⁸

How can there be the exercise of power from star to star; under what law, and within what limits?

The difficulty is that we are unable to attribute causation either to the bodies of the heavenly beings or to their wills: their bodies are excluded because the product transcends the causative power of

body, their will because it would be unseemly to suppose divine beings to produce unseemliness.

Let us keep in mind what we have laid down:

The being we are considering is a living unity, and therefore necessarily self-sympathetic: it is under a law of reason and therefore the unfolding process of its life must be self-accordant: that life has no haphazard, but knows only harmony and ordinance: all the groupings follow reason: all single beings within it, all the members of this living whole in their choral dance are under a rule of Number.

Holding this in mind we are forced to certain conclusions: in the expressive act of the All are comprised equally the configurations of its members and these members themselves, minor as well as major entering into the configurations. This is the mode of life of the All; and its powers work together to this end under the Nature in which the producing agency within the Reason-Principles has brought them into being. The groupings (within the All) are themselves in the nature of Reason-Principles since they are the out-spacing of a living-being, its reason-determined rhythms and conditions, and the entities thus spaced-out and grouped to pattern are its various members: then again there are the powers of the living being – distinct these, too – which may be considered as parts of it, always excluding deliberate will which is external to it, not contributory to the nature of the living All.

The will of any organic thing is one; but the distinct powers which go to constitute it are far from being one: yet all the several wills look to the object aimed at by the one will of the whole: for the desire which the one member entertains for another is a desire within the All: a part seeks to acquire something outside itself, but that external is another part of which it feels the need: the anger of a moment of annoyance is directed to something alien, growth draws on something outside, all birth and becoming has to do with the external; but all this external is inevitably something included among fellow members of the system: through these its limbs and

members, the All is bringing this activity into being while in itself it seeks – or better, contemplates – The Good. Right will, then, the will which stands above accidental experience, seeks The Good and thus acts to the same end with it. When men serve another, many of their acts are done under order, but the good servant is the one whose purpose is in union with his master's.⁷⁹

In all the efficacy of the sun and other stars upon earthly matters we can but believe that though the heavenly body is intent upon the Supreme, yet – to keep to the sun – its warming of terrestrial things, and every service following upon that, all springs from itself, its own act transmitted in virtue of soul, the vastly efficacious soul of Nature. Each of the heavenly bodies, similarly, gives forth a power, involuntary, by its mere radiation: all things become one entity, grouped by this diffusion of power, and so bring about wide changes of condition; thus the very groupings have power since their diversity produces diverse conditions; that the grouped beings themselves have also their efficiency is clear since they produce differently according to the different membership of the groups.

That configuration has power in itself is within our own observation here. Why else do certain groupments, in contradistinction to others, terrify at sight though there has been no previous experience of evil from them? If some men are alarmed by a particular groupment and others by quite a different one, the reason can be only that the configurations themselves have efficacy, each upon a certain type – an efficacy which cannot fail to reach anything naturally disposed to be impressed by it, so that in one groupment things attract observation which in another pass without effect, even for the same observer.

If we are told that beauty is the motive of attraction, does not this mean simply that the power of appeal to this or that mind depends upon pattern, configuration? How can we allow power to colour and none to configuration? It is surely untenable that an entity should have existence and yet have no power to effect: existence carries with it either acting or answering to action,⁸⁰

some things having action alone, others both.

At the same time there are powers apart from pattern: and, in things of our realm, there are many powers dependent not upon heat and cold but upon forces due to differing properties, forces which have been shaped to ideal-quality by the action of Reason-Principles and communicate in the power of Nature: thus the natural properties of stones and the efficacy of plants produce many astonishing results.

36. The Universe is immensely varied, the container of all the Reason-Principles and of infinite and diverse efficacies. In man, we are told, the eye has its power, and the bones have their varied powers, and so with each separate part of hand and of foot; and there is no member or organ without its own definite function, some separate power of its own – a diversity of which we can have no notion unless our studies take that direction. What is true of man must be true of the universe, and much more, since all this order is but a representation of the higher: it must contain an untellably wonderful variety of powers, with which, of course, the bodies moving through the heavens will be most richly endowed.

We cannot think of the universe as a soulless habitation, however vast and varied, a thing of materials easily told off, kind by kind – wood and stone and whatever else there be, all blending into a cosmos: it must be alert throughout, every member living by its own life, nothing that can have existence failing to exist within it.

And here we have the solution of the problem, ‘How an ensouled living form can include the soulless’: for this account allows grades of living within the whole, grades to some of which we deny life only because they are not perceptibly self-moved: in the truth, all of these have a hidden life; and the thing whose life is patent to sense is made up of things which do not live to sense, but, none the less, confer upon their resultant total wonderful powers towards living. Man would never have reached to his actual height if the powers by which he acts were the completely soulless elements of his being; similarly the All could not have its huge life unless its every

member had a life of its own; this however does not necessarily imply a deliberate intention; the All has no need of intention to bring about its acts: it is older than intention, and therefore many things exercise their powers in its service.

37. We must not rob the universe of any factor in its being. If any of our theorists of today seek to explain the action of fire – or of any other such form, thought of as an agent – they will find themselves in difficulties unless they recognize the act to be the object's function in the All, and give a like explanation of other natural forces in common use.

We do not habitually examine or in any way question the normal: we set to doubting and working out identifications when we are confronted by any display of power outside everyday experience: we wonder at a novelty and we wonder at the customary when anyone brings forward some single object and explains to our ignorance the efficacy vested in it.

Some such power, not necessarily accompanied by reason, every single item possesses; for each has been brought into being and into shape within a universe; each in its kind has partaken of soul through the medium of the ensouled All, as being embraced by that definitely constituted thing: each then is a member of an animate being which can include nothing that is less than a full member (and therefore a sharer in the total of power) – though one thing is of mightier efficacy than another, and, especially, members of the heavenly system than the objects of earth, since they draw upon a purer nature – and these powers are widely productive. But productivity does not comport intention in what appears to be the source of the thing accomplished: there is efficacy, too, where there is no will: even attention is not necessary to the communication of power; the very transmission of soul may proceed without either.

A living being, we know, may spring from another without any intention, and as without loss so without consciousness in the begetter: in fact any intention the animal exercised could be a cause of propagation only on condition of being identical with the animal

(i.e. the theory would make intention a propagative animal, not a mental act?).

And, if intention is unnecessary to the propagation of life, much more so is attention.

38. Whatever springs automatically from the All out of that distinctive life of its own, and, in addition to that self-moving activity, whatever is due to some specific agency – for example, to prayers, simple or taking the form of magic incantations – this entire range of production is to be referred, not to some one of the heavenly bodies, but to the nature of the thing produced (i.e. to a certain natural tendency in the product to exist with its own quality).

All that forwards life or some other useful purpose is to be ascribed to the transmission characteristic of the All; it is something flowing from the major of an integral to its minor. Where we think we see the transmission of some force unfavourable to the production of living beings, the flaw must be found in the inability of the subject to take in what would serve it: for what happens does not happen upon a void; there is always specific form and quality; anything that could be affected must have an underlying nature definite and characterized. The inevitable blendings, further, have their constructive effect, every element adding something contributory to the life. Then again some influence may come into play at the time when the forces of a beneficent nature are not acting: the co-ordination of the entire system of things does not always allow to each several entity everything that it needs: and further we ourselves add a great deal to what is transmitted to us.

None the less all entwines into a unity: and there is something wonderful in the agreement holding among these various things of varied source, even of sources frankly opposite; the secret lies in a variety within a unity. When by the standard of the better kind among things of process anything falls short – the reluctance of its material substratum having prevented its perfect shaping under Idea – it may be thought of as being deficient in that noble element

whose absence brings to shame: the thing is a blend, something due to the high beings, an alloy from the underlying nature, something added by the self.

Because all is ever being knit, all brought to culmination in unity, therefore all events are indicated; but 'virtue is not a matter of compulsion';⁸¹ its spontaneity is equally inwoven into the ordered system by the general law that the things of this sphere are pendant from the higher, that the content of our universe lies in the hands of the diviner beings in whom our world is participant.

39. We cannot, then, refer all that exists to Reason-Principles inherent in the seed of things (Spermatic Reasons); the universe is to be traced further back, to the more primal forces, to the principles by which that seed itself takes shape. Such spermatic principles cannot be the containers of things which arise independently of them, such as what enters from Matter (the reasonless) into membership of the All, or what is due to the mere interaction of existences.

No: the Reason-Principle of the universe would be better envisaged as a wisdom uttering order and law to a state, in full knowledge of what the citizens will do and why, and in perfect adaptation of law to custom; thus the code is made to thread its way in and out through all their conditions and actions with the honour or infamy earned by their conduct; and all coalesces by a kind of automatism.

The signification which exists is not a first intention; it arises incidentally by the fact that in a given collocation the members will tell something of each other: all is unity sprung of unity and therefore one thing is known by way of another, a cause in the light of the caused, the sequent as rising from its precedent, the compound from the constituents which must make themselves known in the linked total.

If all this is sound, at once our doubts fall and we need no longer ask whether the transmission of any evil is due to the gods (the stars).

For, in sum: firstly, intentions are not to be considered as the operative causes; necessities inherent in the nature of things account for all that comes from above; it is a matter of the inevitable relation of parts, and, besides, all is the sequence to the living existence of a unity. Secondly, there is the large contribution made by the individual. Thirdly, each several communication, good in itself, takes another quality in the resultant combination. Fourthly, the life in the Cosmos does not look to the individual but to the whole. Finally, there is Matter, the underlie, which being given one thing receives it as something else, and is unable to make the best of what it takes.

40. But magic spells; how can their efficacy be explained?⁸²

By the reigning sympathy and by the fact in Nature that there is an agreement of like forces and an opposition of unlike, and by the diversity of those multitudinous powers which converge in the one living universe.

There is much drawing and spell-binding dependent on no interfering machination; the true magic is internal to the All, its attractions and, not less, its repulsions.⁸³ Here is the primal mage and sorcerer – discovered by men who thenceforth turn those same ensorcellations and magic arts upon one another.

Love is given in Nature; the qualities inducing love induce mutual approach: hence there has arisen an art of magic love-drawing whose practitioners apply by contact certain substances adapted to diverse temperaments and so informed with love as to effect a bond of union; they knit soul to soul as they might train two separate trees towards each other. The magician, too, draws on these patterns of power, and by ranging himself also into the pattern is able tranquilly to possess himself of these forces with whose nature and purpose he has become identified. Supposing the mage to stand outside the All, his evocations and invocations would no longer avail to draw up or to call down; but as things are he operates from no outside standground, he pulls knowing the pull of

everything towards any other thing in the living system.

The tune of an incantation, a significant cry, the mien of the operator, these too have a natural leading power over the Soul upon which they are directed, drawing it with the force of mournful patterns or tragic sounds; for it is the reasonless soul, not the will or wisdom, that is beguiled by music, a form of sorcery which raises no question, whose enchantment, indeed, is welcomed, though not demanded, from the performers. Similarly with regard to prayers; there is no question of a will that grants; the powers that answer to incantations do not act by will; a human being fascinated by a snake has neither perception nor sensation of what is happening; he knows only after he has been caught, and his highest mind is never caught. In other words, some influence falls from the being addressed upon the petitioner – or upon someone else – but that being itself, sun or star, perceives nothing of it all.

41. The prayer is answered by the mere fact that part and other part are wrought to one tone like a musical string which, plucked at one end, vibrates at the other also. Often, too, the sounding of one string awakens what might pass for a perception in another, the result of their being in harmony and tuned to one musical scale; now, if the vibration in a lyre affects another by virtue of the sympathy existing between them, then certainly in the All – even though it is constituted in contraries – there must be one melodic system; for it contains its unisons as well, and its entire content, even to those contraries, is a kinship.

Thus, too, whatever is hurtful to man – the passionate spirit, for example, drawn by the medium of the gall into the principle seated in the liver – comes with no intention of hurt; it is simply as one transferring fire to another might innocently burn him: no doubt, since he actually set the other on fire he is a cause, but only as the attacking fire itself is a cause, that is by the merely accidental fact that the person to whom the fire was being brought blundered in taking it.

42. It follows that, for the purposes which have induced this

discussion, the stars have no need of memory⁸⁴ or of any sense of petitions addressed to them; they give no such voluntary attention to prayers as some have thought: it is sufficient that, in virtue simply of the nature of parts and of parts within a whole, something proceeds from them whether in answer to prayer or without prayer. We have the analogy of many powers – as in some one living organism – which, independently of plan or as the result of applied method, act without any collaboration of the will: one member or function is helped or hurt by another in the mere play of natural forces; and the art of doctor or magic healer will compel some one centre to purvey something of its own power to another centre. Just so the All: it purveys spontaneously, but it purveys also under spell; a petition brings to some one part the power laid up for each: the All gives to its members by a natural act, and the petitioner is no alien. Even though the suppliant be a sinner, the answering need not shock us; sinners draw from the brooks; and the giver does not know of the gift but simply gives – though we must remember that all is one woof and the giving is always consonant with the order of the universe. A man may therefore help himself to what lies open to all, but if he does so beyond what is right, punishment follows by ineluctable law.

In sum, we must hold that the All cannot be affected; its leading principle remains for ever immune whatsoever happens to its members; the affection is really present to them, but since nothing existent can be at strife with the total of existence, no such affection conflicts with its impassivity.

Thus the stars, in so far as they are parts, can be affected and yet are immune on various counts; their will, like that of the All, is untouched, just as their bodies and their characteristic natures are beyond all reach of harm; if they give by means of their souls, their souls lose nothing; their bodies remain unchanged or, if there is ebb or inflow, it is of something going unfelt and coming unawares.

43. And the Proficient (the Sage), how does he stand with regard to magic and philtre-spells?

In the Soul he is immune from magic; his reasoning part cannot be touched by it, he cannot be perverted. But there is in him the

unreasoning element which comes from the (material) All, and in this he can be affected, or rather this can be affected in him. Philtre-love, however, he will not know, for that would require the consent of the higher soul to the trouble stirred in the lower. And, just as the unreasoning element responds to the call of incantation, so the adept himself will dissolve those horrible powers by counter-incantations.⁸⁵ Death, disease, any experience within the material sphere, these may result, yes; for anything that has membership in the All may be affected by another member, or by the universe of members; but the essential man is beyond harm.

That the effects of magic should not be instantaneous but developed is only in accord with Nature's way.

Even the Celestials, the Daimones, are not on their unreasoning side immune: there is nothing against ascribing acts of memory and experiences of sense to them, in supposing them to accept the traction of methods laid up in the natural order, and to give hearing to petitioners; this is especially true of those of them that are closest to this sphere, and in the degree of their concern about it.

For everything that looks to another is under spell to that: what we look to, draws us magically. Only the self-intent go free of magic. Hence every action has magic as its source, and the entire life of the practical man is a bewitchment: we move to that only which has wrought a fascination upon us. This is indicated where we read:⁸⁶ 'for the burgher of great-hearted Erechtheus has a pleasant face (but you should see him naked; then you would be cautious).' For what conceivably turns a man to the external? He is drawn, drawn by the arts not of magicians but of the natural order which administers the deceiving draught and links this to that, not in local contact but in the fellowship of the philtre.

44. Contemplation alone stands untouched by magic; no man self-gathered falls to a spell; for he is one, and that unity is all he perceives, so that his reason is not beguiled but holds the due course, fashioning its own career and accomplishing its task.

In the other way of life, it is not the essential man that gives the impulse; it is not the reason; the unreasoning also acts as a principle, and finds its premisses in emotion. Caring for children, planning marriage – everything that works as bait, taking value by dint of desire – these all tug obviously: so it is with our action, sometimes stirred, not reasonably, by a certain spirited temperament, sometimes as foolishly by greed; political interests, the siege of office, all betray a forth-summoning lust of power; action for security springs from fear; action for gain, from desire; action undertaken for the sake of sheer necessities – that is, for supplying the insufficiency of nature – indicates, manifestly, the cajoling force of nature to the safeguarding of life.

We may be told that no such magic underlies good action, since, at that, Contemplation itself, certainly a good action, implies a magic attraction.

The answer is that there is no magic when actions recognized as good are performed upon sheer necessity with the recollection that the veritable good is elsewhere; this is simply knowledge of need; it is not a bewitchment binding the life to this sphere or to any thing alien; all is permissible under duress of human nature, and in the spirit of adaptation to the needs of existence in general – or even to the needs of the individual existence, since it certainly seems reasonable to fit oneself into life rather than to withdraw from it.

When, on the contrary, the agent falls in love with what is good in those actions, and, cheated by the mere track and trace of the Authentic Good, makes them his own, then, in his pursuit of a lower good, he is the victim of magic. For all dalliance with what wears the mask of the authentic, all attraction towards that mere semblance, tells of a mind misled by the spell of forces pulling towards unreality.

The sorcery of Nature is at work in this; to pursue the non-good as a good, drawn in unreasoning impulse by its specious appearance: it is to be led unknowing down paths unchosen; and what can we call that but magic?

Alone in immunity from magic is he who, though drawn by the alien parts of his total being, withholds his assent to their standards of worth, recognizing the good only where his authentic self sees and knows it, neither drawn nor pursuing, but tranquilly possessing and so never charmed away.

45. From this discussion it becomes perfectly clear that the individual member of the All contributes to that All in the degree of its kind and condition; thus it acts and is acted upon. In any particular animal each of the limbs and organs, in the measure of its kind and purpose, aids the entire being by service performed and counts in rank and utility: it gives what is in its gift and takes from its fellows in the degree of receptive power belonging to its kind; there is something like a common sensitiveness linking the parts, and in the orders in which each of the parts is also animate, each will have, in addition to its rank as part, the very particular functions of a living being.

We have learned, further, something of our human standing; we know that we too accomplish within the All a work not confined to the activity and receptivity of body in relation to body; we know that we bring to it that higher nature of ours, linked as we are by affinities within towards the answering affinities outside us; becoming by our soul and the conditions of our kind thus linked – or, better, being linked by Nature – with our next highest in the celestial or daemonic realm, and thence onwards with those above the Celestials, we cannot fail to manifest our quality. Still, we are not all able to offer the same gifts or to accept identically: if we do not possess good, we cannot bestow it; nor can we ever purvey any good thing to one that has no power of receiving good. Anyone that adds his evil to the total of things is known for what he is and, in accordance with his kind, is pressed down into the evil which he has made his own, and hence, upon death, goes to whatever region fits his quality – and all this happens under the pull of natural forces.

For the good man, the giving and the taking and the changes of state go quite the other way; the particular tendencies of the

nature, we may put it, transpose the cords (so that we are moved by that only which, in Plato's metaphor of the puppets,⁸⁷ draws towards the best).

Thus this universe of ours is a wonder of power and wisdom, everything by a noiseless road⁸⁸ coming to pass according to a law which none may elude – which the base man never conceives though it is leading him, all unknowingly, to that place in the All where his lot must be cast – which the just man knows, and, knowing, sets out to the place he must, understanding, even as he begins the journey, where he is to be housed at the end, and having the good hope that he will be with gods.

In a living being of small scope the parts vary but slightly, and have but a faint individual consciousness, and, unless possibly in a few and for a short time, are not themselves alive. But in a living universe, of high expanse, where every entity has vast scope and many of the members have life, there must be wider movement and greater changes. We see the sun and the moon and the other stars shifting place and course in an ordered progression. It is therefore within reason that the souls, also, should have their changes, not retaining unbrokenly the same quality, but ranged in some analogy with their action and experience – some taking rank as head and some as foot in a disposition consonant with the Universal Being which has its degrees in better and less good. A soul, which neither chooses the highest that is here, nor has lent itself to the lowest, is one which has abandoned another, a purer, place, taking this sphere in free election.

The punishments of wrongdoing are like the treatment of diseased parts of the body – here, medicines to knit sundered flesh; there, amputations; elsewhere, change of environment and condition – and the penalties are planned to bring health to the All by settling every member in the fitting place: and this health of the All requires that one man be made over anew and another, sick here, be taken hence to where he shall be weakly no longer.⁸⁹

EIGHTH TRACTATE



THE SOUL'S DESCENT INTO BODY [6]

SUMMARY

This is an early treatise, of unusual interest both for the piece of spiritual autobiography at the beginning and for the clear presentation it contains of the tension in Plato's works, and in the Platonist tradition, between a positive and a negative evaluation of the material world and the soul's inclusion in it. We also find here an unusually positive view of Matter (ch. 6), and an assertion of the characteristic Plotinian doctrine that there is a part of the individual soul that remains always on the intelligible level of reality (ch. 8).

1. Many times it has happened:⁹⁰ lifted out of the body into myself; becoming external to all other things and self-enclosed; beholding a marvellous beauty; then, more-than ever, assured of community with the loftiest order; enacting the noblest life, acquiring identity with the divine; stationing within It by having attained that activity; poised above whatsoever within the Intellectual is less than the Supreme: yet, there comes the moment of descent from intellection to reasoning, and after that sojourn in the divine, I ask myself how it happens that I can now be descending, and how did the Soul ever enter into my body, the Soul which, even within the body, is the high thing it has shown itself to be.

Heraclitus,⁹¹ who urges the examination of this matter, tells of 'compulsory alternation from contrary to contrary', speaks of ascent and descent, says that 'change reposes', and that 'it is weariness to keep toiling at the same things and to be always overcome by them'; but he seems to teach by metaphor, not concerning himself

about making his doctrine clear to us, probably with the idea that it is for us to seek within ourselves as he sought for himself and found.

Empedocles⁹² – where he says that it is law for faulty souls to descend to this sphere, and that he himself was here because he turned ‘a deserter, wandered from God, in slavery to a raving discord’ – reveals neither more nor less than Pythagoras and his school seem to me to convey on this as on many other matters; but in this case, versification has some part in the obscurity.

We have to fall back on the illustrious Plato,⁹³ who uttered many noble sayings about the Soul, and has in many places dwelt upon its entry into body, so that we may well hope to get some light from him.

What do we learn from this philosopher?

We will not find him so consistent throughout that it is easy to discover his mind.

Everywhere, no doubt, he expresses contempt for all that is of sense, blames the commerce of soul with body as an enchainment, an entombment, and upholds as a great truth the saying of the Mysteries that the Soul is here a prisoner. In the Cavern of Plato and in the Cave of Empedocles, I discern this universe, where the ‘breaking of the fetters’ and the ‘ascent’ from the depths are figures of the wayfaring towards the Intellectual Realm.

In the Phaedrus he makes a failing of the wings the cause of the entry to this realm: and there are Periods which send back the Soul after it has risen; there are judgements and lots and fates and necessities driving other souls down to this order.

In all these explanations he finds guilt in the arrival of the Soul at body. But treating, in the Timaeus, of our universe he exalts the Cosmos and entitles it ‘a blessed god’, and holds that the Soul was given by the goodness of the Creator to the end that the total of things might be possessed of intellect, for thus intellectual it was planned to be, and thus it cannot be except through soul. There is a

reason, then, why the Soul of this All should be sent into it from God: in the same way the Soul of each single one of us is sent, that the universe may be complete; it was necessary that all beings of the Intellectual should be tallied by just so many forms of living creatures here in the realm of sense.

2. Inquiring, then, of Plato as to our own soul, we find ourselves forced to inquire into the nature of soul in general – to discover what there can be in its character to bring it into partnership with body, and, again, what this Cosmos must be in which, willing unwilling or in any way at all, soul has its activity.

We have to face also the question as to whether the Creator has planned well, or whether the World-Soul, it may be, resembles our human souls which, in governing their inferior, the body, must sink deeper and deeper into it if they are to control it.

No doubt the individual body – though in all cases appropriately placed within the universe – is of itself in a state of dissolution, always on the way to its natural terminus, demanding much irksome forethought to save it from every kind of outside assailant, always gripped by need, requiring every help against constant difficulty: but the body inhabited by the World-Soul – complete, competent, self-sufficing, exposed to nothing contrary to its nature – this needs no more than a brief word of command, while the governing soul is undeviatingly what its nature makes it wish to be, and, amenable neither to loss nor to addition, knows neither desire nor distress.⁹⁴

This is how we come to read⁹⁵ that our soul, entering into association with that complete soul and itself thus made perfect, ‘walks the lofty ranges, administering the entire Cosmos’, and that as long as it does not secede and is neither inbound to body nor held in any sort of servitude, so long it tranquilly bears its part in the governance of the All, exactly like the World-Soul itself; for in fact it suffers no hurt whatever by furnishing body with the power to existence, since not every form of care for the inferior need wrest the providing soul from its own sure standing in the highest.

The Soul's care for the universe takes two forms: there is the supervising of the entire system, brought to order by deedless command in a kingly presidency, and there is that over the individual, implying direct action, the hand to the task, one might say, in immediate contact: in the second kind of care the agent absorbs much of the nature of its object.

Now in its comprehensive government of the heavenly system, the Soul's method is that of an unbroken transcendence in its highest phases, with penetration by its lower power: at this, God can no longer be charged with lowering the All-Soul, which has not been deprived of its natural standing and from eternity possesses and will unchangeably possess that rank and habit which could never have been intruded upon it against the course of nature but must be its characteristic quality, neither failing ever nor ever beginning.

Where we read⁹⁶ that the souls of stars stand to their bodily forms as the All-Soul to the body of the All – for these starry bodies are declared to be members of the Soul's circuit – we are given to understand that the star-souls also enjoy the blissful condition of transcendence and immunity that becomes them.

And so we might expect: commerce with the body is repudiated for two only reasons, as hindering the Soul's intellectual act and as filling it with pleasure, desire, pain;⁹⁷ but neither of these misfortunes can befall a soul which has never deeply penetrated into the body, is not a slave but a sovereign ruling a body of such an order as to have no need and no shortcoming and therefore to give ground for neither desire nor fear.

There is no reason why it should be expectant of evil with regard to such a body nor is there any such preoccupied concern, bringing about a veritable descent, as to withdraw it from its noblest and most blessed vision; it remains always intent upon the Supreme, and its governance of this universe is effected by a power not calling upon act.

3. The Human Soul, next:

Everywhere we hear of it as in bitter and miserable durance in body, a victim to troubles and desires and fears and all forms of evil, the body its prison or its tomb, the Cosmos its cave or cavern.

Now this does not clash with the first theory (that of the impassivity of soul as in the All); for the descent of the human Soul has not been due to the same causes (as that of the All-Soul).

All that is Intellectual-Principle has its being – whole and all – in the place of Intellection, what we call the Intellectual Cosmos: but there exist, too, the intellective powers included in its being, and the separate intelligences – for the Intellectual-Principle is not merely one; it is one and many. In the same way there must be both many souls and one, the one being the source of the differing many just as from one genus there rise various species, better and worse, some of the more intellectual order, others less effectively so.

In the Intellectual-Principle a distinction is to be made: there is the Intellectual-Principle itself, which like some huge living organism contains potentially all the other forms; and there are the forms thus potentially included now realized as individuals. We may think of it as a city which itself has soul and life, and includes, also, other forms of life; the living city is the more perfect and powerful, but those lesser forms, in spite of all, share in the one same living quality: or, another illustration, from fire, the universal, proceed both the great fire and the minor fires; yet all have the one common essence, that of fire the universal, or, more exactly, participate in that from which the essence of the universal fire proceeds.

No doubt the task of the Soul, in its more emphatically reasoning phase, is intellection: but it must have another as well, or it would be undistinguishable from the Intellectual-Principle. To its quality of being intellective it adds the quality by which it attains its particular manner of being: it ceases to be an Intellectual-Principle, and has thenceforth its own task, as everything must that exists in the Intellectual Realm.

It looks towards its higher and has intellection; towards itself and orders, administers, governs its lower.

The total of things could not have remained stationary in the Intellectual Cosmos, once there was the possibility of continuous variety, of being inferior but as necessarily existent as their superiors.

4. So it is with the individual souls; the appetite for the divine Intellect urges them to return to their source, but they have, too, a power apt to administration in this lower sphere; they may be compared to the light attached upwards to the sun, but not grudging its bounty to what lies beneath it. In the Intellectual, then, they remain with the All-Soul, and are immune from care and trouble; in the heavenly sphere, inseparable from the All-Soul, they are administrators with it just as kings, associated with the supreme ruler and governing with him, do not descend from their kingly stations: the souls indeed are thus far in the one place; but there comes a stage at which they descend from the universal to become partial and self-centred; in a weary desire of standing apart they find their way, each to a place of its very own. This state long maintained, the Soul is a deserter from the totality; its differentiation has severed it; its vision is no longer set in the Intellectual; it is a partial thing, isolated, weakened, full of care, intent upon the fragment; severed from the whole, it nestles in one form of being; for this it abandons all else, entering into and caring for only the one, for a thing buffeted about by a worldful of things: thus it has drifted away from the universal and, by an actual presence, it administers the particular; it is caught into contact now, and tends to the outer to which it has become present and into whose inner depths it henceforth sinks far.

With this comes what is known as the casting of the wings,⁹⁸ the enchaining in body: the Soul has lost that innocence of conducting the higher which it knew when it stood with the All-Soul, that earlier state to which all its interest would bid it hasten back.

It has fallen: it is at the chain: debarred from expressing itself now through its intellectual phase, it operates through sense; it is a captive; this is the burial, the encavernment, of the Soul.

But in spite of all it has, for ever, something transcendent: by a conversion towards the intellectual act, it is loosed from the shackles and soars – when only it makes its memories the starting-point of a new vision of essential being. Souls that take this way have place in both spheres, living of necessity the life there and the life here by turns, the upper life reigning in those able to consort more continuously with the divine Intellect, the lower dominant where character or circumstances are less favourable.

All this is indicated by Plato,⁹⁹ without emphasis, where he distinguishes those of the second mixing-bowl, describes them as ‘parts’, and goes on to say that, having in this way become partial, they must of necessity experience birth.

Of course, where he speaks of God sowing them, he is to be understood as when he tells of God speaking and delivering orations; what is rooted in the nature of the All is figuratively treated as coming into being by generation and creation: stage and sequence are transferred, for clarity of exposition, to things whose being and definite form are eternal.

5. It is possible to reconcile all these apparent contradictions – the divine sowing to birth, as opposed to a voluntary descent aiming at the completion of the universe; the judgement and the cave; necessity and free choice – in fact the necessity includes the choice; embodiment as an evil; the Empedoclean teaching of a flight from God, a wandering away, a sin bringing its punishment; the ‘solace by flight’ of Heraclitus; in a word, a voluntary descent which is also involuntary.

All degeneration is no doubt involuntary, yet when it has been brought about by an inherent tendency, that submission to the inferior may be described as the penalty of an act.

On the other hand these experiences and actions are determined by an eternal law of nature, and they are due to the movement of a being which in abandoning its superior is running out to serve the needs of another: hence there is no inconsistency or untruth in saying that the Soul is sent down by God; final results are always to

be referred to the starting-point even across many intervening stages.

Still there is a twofold flaw: the first lies in the motive of the Soul's descent (its audacity, its Tolma), and the second in the evil it does when actually here: the first is punished by what the Soul has suffered by its descent: for the faults committed here, the lesser penalty is to enter into body after body – and soon to return – by judgement according to desert, the word judgement indicating a divine ordinance; but any outrageous form of ill-doing incurs a proportionately greater punishment administered under the surveillance of chastising daimons.

Thus, in sum, the Soul, a divine being and a dweller in the loftier realms, has entered body: it is a god, a later phase of the divine: but, under stress of its powers and of its tendency to bring order to its next lower, it penetrates to this sphere in a voluntary plunge: if it turns back quickly all is well; it will have taken no hurt by acquiring the knowledge of evil and coming to understand what sin is, by bringing its force into manifest play, by exhibiting those activities and productions which, remaining merely potential in the unembodied, might as well never have been even there, if destined never to come into actuality, so that the Soul itself would never have known that suppressed and inhibited total.

The act reveals the power, a power hidden, and we might almost say obliterated or non-existent, unless at some moment it became effective: in the world as it is, the richness of the outer stirs us all to the wonder of the inner whose greatness is displayed in acts so splendid.

6. Something besides a unity there must be or all would be indiscernibly buried, shapeless within that unbroken whole: none of the real beings (of the Intellectual Cosmos) would exist if that unity remained at halt within itself: the plurality of these beings, offspring of the unity, could not exist without their own nexts taking the outward path; these are the beings holding the rank of souls.

In the same way the outgoing process could not end with the souls, their issue stifled: every Kind must produce its next; it must unfold from some concentrated central principle as from a seed,¹⁰⁰ and so advance to its term in the varied forms of sense. The prior in its being will remain unalterably in the native seat; but there is the lower phase, begotten to it by an ineffable faculty of its being, native to soul as it exists in the Supreme.

To this power we cannot impute any halt, any limit of jealous grudging; it must move for ever outward until the universe stands accomplished to the ultimate possibility. All, thus, is produced by an inexhaustible power giving its gift to the universe, no part of which it can endure to see without some share in its being.

There is, besides, no principle that can prevent anything from partaking, to the extent of its own individual receptivity, in the nature of Good. If, therefore, Matter has always existed, that existence is enough to ensure its participation in the being which, according to each receptivity, communicates the supreme Good universally: if on the contrary, Matter has come into being as a necessary sequence of the causes preceding it, that origin would similarly prevent it standing apart from the scheme as though it were out of reach of the principle to whose grace it owes its existence.

In sum: the loveliness that is in the sense-realm is an index of the nobleness of the Intellectual sphere, displaying its power and its goodness alike: and all things are for ever linked; the one order Intellectual in its being, the other of sense; one self-existent, the other eternally taking its being by participation in that first, and to the full of its power reproducing the Intellectual nature.

7. The Kind, then, with which we are dealing is twofold, the Intellectual against the sensible: better for the Soul to dwell in the Intellectual, but, given its proper nature, it is under compulsion to participate in the sense-realm also. There is no grievance in its not being, through and through, the highest; it holds mid-rank among the authentic existences, being of divine station but at the lowest

extreme of the Intellectual and skirting the sense-known nature; thus, while it communicates to this realm something of its own store, it absorbs in turn whenever – instead of employing in its government only its safeguarded phase – it plunges in an excessive zeal to the very midst of its chosen sphere; then it abandons its status as whole soul with whole soul, though even thus it is always able to recover itself by turning to account the experience of what it has seen and suffered here, learning, so, the greatness of rest in the Supreme, and more clearly discerning the finer things by comparison with what is almost their direct antithesis. Where the faculty is incapable of knowing without contact, the experience of evil brings the clearer perception of Good.

The outgoing that takes place in the Intellectual-Principle is a descent to its own downward ultimate: it cannot be a movement to the transcendent; operating necessarily outwards from itself, where it may not stay inclosed, the need and law of Nature bring it to its extreme term, to soul – to which it entrusts all the later stages of being while itself turns back on its course.

The Soul's operation is similar: its next lower act is this universe: its immediate higher is the contemplation of the Authentic Existences. To individual souls such divine operation takes place only at one of their phases and by a temporal process when from the lower in which they reside they turn towards the noblest; but that soul, which we know as the All-Soul, has never entered the lower activity, but, immune from evil, has the property of knowing its lower by inspection, while it still cleaves continuously to the beings above itself; thus its double task becomes possible; it takes hence and, since as soul it cannot escape touching this sphere, it gives hither.

8. And – if it is desirable to venture the more definite statement of a personal conviction with the general view¹⁰¹ – even our human Soul has not sunk entire; something of it is continuously in the Intellectual Realm, though if that part, which is in this sphere of sense, hold the mastery, or rather be mastered here and troubled, it keeps us blind to what the upper phase holds in contemplation.

The object of the Intellectual Act comes within our ken only

when it reaches downward to the level of sensation: for not all that occurs at any part of the Soul is immediately known to us; a thing must, for that knowledge, be present to the total soul; thus desire locked up within the desiring faculty remains unknown except when we make it fully ours by the central faculty of perception, or by deliberate choice, or by both at once. Once more, every soul has something of the lower on the body side and something of the higher on the side of the Intellectual-Principle.

The Soul of the All, as an entirety, governs the universe through that part of it which leans to the body side, but since it does not exercise a will based on calculation as we do – but proceeds by purely intellectual act as in the execution of an artistic conception¹⁰² – its ministrance is that of a labourless overpoising, only its lowest phase being active upon the universe it embellishes.

The souls that have gone into division and become appropriated to some thing partial have also their transcendent phase, but are preoccupied by sensation, and in the mere fact of exercising perception they take in much that clashes with their nature and brings distress and trouble since the object of their concern is partial, deficient, exposed to many alien influences, filled with desires of its own and taking its pleasure, that pleasure which is its lure.

But there is always the other (the transcendent phase of soul), that which finds no savour in passing pleasure, but holds its own even way.



THE FIFTH ENNEAD

FIRST TRACTATE



THE THREE INITIAL HYPOSTASES [10]

SUMMARY

This treatise constitutes both an exposition of Plotinus' metaphysical system, and a guided 'ascent' for the soul, leading it from a concern for the material world, through a knowledge of the nature of Soul (ch. 2), and the Intellect (ch. 3–4), to the One (ch. 5–7). We may note also Plotinus' concern to the true to the doctrine of Plato (ch. 8); there is no premium on originally in the finding the hypostases within ourselves.

1. What can it be that has brought the souls to forget the father, God, and, though members of the Divine and entirely of that world, to ignore at once themselves and It?

The evil that has overtaken them has its source in self-will,¹ in the entry into the sphere of process, and in the primal differentiation with the desire for self-ownership. They conceived a pleasure in this freedom and largely indulged their own motion; thus they were hurried down the wrong path, and in the end, drifting further and further, they came to lose even the thought of their origin in the Divine. A child wrenched young from home and brought up during many years at a distance will fail in knowledge

of its father and of itself: the souls, in the same way, no longer discern either the divinity or their own nature; ignorance of their rank brings self-depreciation; they misplace their respect, honouring everything more than themselves; all their awe and admiration is for the alien, and, clinging to this, they have broken apart, as far as a soul may, and they make light of what they have deserted; their regard for the mundane and their disregard of themselves bring about their utter ignoring of the Divine.

Admiring pursuit of the external is a confession of inferiority; and nothing thus holding itself inferior to things that rise and perish, nothing counting itself less honourable and less enduring than all else it admires could ever form any notion of either the nature or the power of God.

A double discipline must be applied if human beings in this pass are to be reclaimed, and brought back to their origins, lifted once more towards the Supreme and One and First.

There is the method, which we amply exhibit elsewhere,² declaring the dishonour of the objects which the Soul holds here in honour; the second teaches or recalls to the Soul its race and worth; this latter is the leading truth, and, clearly brought out, is the evidence of the other.

It must occupy us now, for it bears closely upon our inquiry (as to the Divine Hypostases) to which it is the natural preliminary: the seeker is soul and it must start from a true notion of the nature and quality by which soul may undertake the search; it must study itself in order to learn whether it has the faculty for the inquiry, the eye for the object proposed, whether in fact we ought to seek; for if the object is alien the search must be futile, while if there is relationship the solution of our problems is at once desirable and possible.

2. Let every soul recall, then, at the outset the truth that soul is the author of all living things, that it has breathed the life into them all, whatever is nourished by earth and sea, all the creatures of the air,

the divine stars in the sky; it is the maker of the sun; itself formed and ordered this vast heaven and conducts all that rhythmic motion: and it is a principle distinct from all these to which it gives law and movement and life, and it must of necessity be more honourable than they, for they gather or dissolve as soul brings them life or abandons them, but soul, since it never can abandon itself,³ is of eternal being.

How life was purveyed to the universe of things and to the separate beings in it may be thus conceived:

That great soul must stand pictured before another soul, one not mean, a soul that has become worthy to look, emancipate from the lure, from all that binds its fellows in bewitchment, holding itself in quietude. Let not merely the enveloping body be at peace, body's turmoil stilled, but all that lies around, earth at peace, and sea at peace, and air and the very heavens.⁴ Into that heaven, all at rest, let the great soul be conceived to roll inward at every point, penetrating, permeating, from all sides pouring in its light. As the rays of the sun throwing their brilliance upon a louring cloud make it gleam all gold, so the soul entering the material expanse of the heavens has given life, has given immortality: what was abject it has lifted up; and the heavenly system, moved now in endless motion by the soul that leads it in wisdom, has become a living and a blessed thing; the soul domiciled within, it takes worth where, before the soul, it was stark body – clay and water – or, rather, the blankness of Matter, the absence of Being, and, as an author says, 'the execration of the Gods'.⁵

The Soul's nature and power will be brought out more clearly, more brilliantly, if we consider next how it envelops the heavenly system and guides all to its purposes: for it has bestowed itself upon all that huge expanse so that every interval, small and great alike, all has been ensouled.

The material body is made up of parts, each holding its own place, some in mutual opposition and others variously separated; the Soul is in no such condition; it is not whittled down so that life tells of a part of the Soul and springs where some such separate

portion impinges; each separate life lives by the Soul entire, omnipresent in the likeness of the engendering father, entire in unity and entire in diffused variety. By the power of the Soul the manifold and diverse heavenly system is a unit: through soul this universe is a God: and the sun is a God because it is ensouled; so too the stars: and whatsoever we ourselves may be, it is all in virtue of soul; for 'dead is viler than dung'.⁶

This, by which the gods are divine, must be the oldest God of them all: and our own soul is of that same Ideal nature, so that to consider it, purified, freed from all accretion, is to recognize in ourselves that same value which we have found soul to be, honourable above all that is bodily. For what is body but earth, and even if it be fire (as Stoics think), what (but soul) is its burning power? So it is with all the compounds of earth and fire, even with water and air added to them.

If, then, it is the presence of soul that brings worth, how can a man slight himself and run after other things? You honour the Soul elsewhere; honour then yourself.

3. The Soul once seen to be thus precious, thus divine, you may hold the faith that by its possession you are already nearing God: in the strength of this power make upwards towards Him: at no great distance you must attain: there is not much between.

But over this divine, there is a still diviner: grasp the upward neighbour of the Soul, its prior and source.

Soul, for all the worth we have shown to belong to it, is yet a secondary, an image of the Intellectual-Principle: reason uttered is an image of the reason stored within the Soul,⁷ and in the same way soul is an utterance of the Intellectual-Principle: it is even the total of its activity, the entire stream of life sent forth by that Principle to the production of further being: it is the forthgoing heat of a fire which has also heat essentially inherent. But within the Supreme we must see energy not as an overflow but in the double aspect of integral inherence with the establishment of a new

being. Sprung, in other words, from the Intellectual-Principle, soul is intellective, but with an intellection operating by the method of reasonings: for its perfecting it must look to that Divine Mind, which may be thought of as a father watching over the development of his child born imperfect in comparison with himself.

Thus its substantial existence comes from the Intellectual-Principle; and the Reason within it becomes Act in virtue of its contemplation of that prior; for its thought and act are its own intimate possession when it looks to the Supreme Intelligence; those only are soul-acts which are of this intellective nature and are determined by its own character; all that is less noble is foreign (traceable to Matter) and is accidental to the Soul in the course of its peculiar task.

In two ways, then, the Intellectual-Principle enhances the divine quality of the Soul, as father and as immanent presence; nothing separates them but the fact that they are not one and the same, that there is succession, that over against a recipient there stands the Ideal-Form received; but this recipient, Matter to the Supreme Intelligence, is also noble as being at once informed by divine intellect and uncompounded.

What the Intellectual-Principle must be is carried in the single word that Soul, itself so great, is still inferior.

4. But there is yet another way to this knowledge:

Admiring the world of sense as we look out upon its vastness and beauty and the order of its eternal march, thinking of the gods within it, seen and hidden, and the celestial spirits and all the life of animal and plant, let us mount to its archetype, to the yet more authentic sphere: there we are to contemplate all things as members of the Intellectual – eternal in their own right, vested with a self-springing consciousness and life – and, presiding over all these, the unsoiled Intelligence and the unapproachable wisdom.

That archetypal world is the true Golden Age, age of Kronos,

whose very name suggests (in Greek) Abundance (κόπος) and Intellect (νοῦς).⁸ For here is contained all that is immortal: nothing here but is Divine Mind; all is God; this is the place of every soul. Here is rest unbroken: for how can that seek change, in which all is well; what need that reach to, which holds all within itself; what increase can that desire, which stands utterly achieved? All its content, thus, is perfect, that itself may be perfect throughout, as holding nothing that is less than the divine, nothing that is less than intellective. Its knowing is not by search but by possession, its blessedness inherent, not acquired; for all belongs to it eternally and it holds the authentic Eternity imitated by Time which, circling round the Soul, makes towards the new thing and passes by the old. Soul deals with thing after thing – now Socrates; now a horse: always some one entity from among beings – but the Intellectual-Principle is all and therefore its entire content is simultaneously present in that identity: this is pure being in eternal actuality; nowhere is there any future, for every then is a now; nor is there any past, for nothing there has ever ceased to be; everything has taken its stand for ever, an identity well pleased, we might say, to be as it is;⁹ and everything, in that entire content, is Intellectual-Principle and Authentic-Existence; and the total of all is Intellectual-Principle entire and Being entire. Intellectual-Principle by its intellective act establishes Being, which in turn, as the object of intellection, becomes the cause of intellection and of existence to the Intellectual-Principle – though, of course, there is another cause of intellection which is also a cause to Being, both rising in a source distinct from either.

Now while these two are coalescents, having their existence in common, and are never apart, still the unity they form is two-sided; there is Intellectual-Principle as against Being, the intellectual agent as against the object of intellection; we consider the intellective act and we have the Intellectual-Principle; we think of the object of that act and we have Being.

Such difference there must be if there is to be any intellection;

but similarly there must also be identity (since, in perfect knowing, subject and object are identical).

Thus the Primals (the first ‘Categories’) are seen to be: [10](#) Intellectual-Principle; Existence; Difference; Identity: we must include also Motion and Rest: Motion provides for the intellectual act, Rest preserves identity as Difference gives at once a Knower and a Known, for, failing this, all is one, and silent.

So too the objects of intellection (the ideal content of the Divine Mind) – identical in virtue of the self-concentration of the principle which is their common ground – must still be distinct each from another; this distinction constitutes Difference.

The Intellectual Cosmos thus a manifold, Number and Quantity arise: Quality is the specific character of each of these Ideas which stand as the principles from which all else derives.

5. As a manifold, then, this God, the Intellectual-Principle, exists above the Soul here, the Soul which once for all stands linked a member of the divine, unless by a deliberate apostasy.

Bringing itself close to the divine Intellect, becoming, as it were, one with this, it seeks still further: what Being, now, has engendered this God, what is the Simplex preceding this multiple; what the cause at once of its existence and of its existing as a manifold; what the source of this Number, this Quantity?

Number, Quantity, is not primal: obviously before even duality, there must stand the unity.

The Dyad is a secondary; [11](#) deriving from unity, it finds in unity the determinant needed by its native indetermination: once there is any determination, there is Number, in the sense, of course, of the real (the archetypal) Number. And the Soul is such a number or quantity. For the Primals are not masses or magnitudes; all of that gross order is later real only to the sense-thought; even in seed the effective reality is not the moist substance but the unseen – that is to say Number (as the determinant of individual being) and the Reason-Principle (of the product to be).

Thus by what we call the Number and the Dyad of that higher realm, we mean Reason Principles and the Intellectual-Principle: but while the Dyad is undetermined – representing, as it were, the underlie (or Matter) of the Intellectual World – the number which rises from the Dyad and The One is always a Form-Idea: thus the Intellectual-Principle is, so to speak, shaped by the Ideas rising within it – or rather, it is shaped in a certain sense by The One and in another sense by itself, since its potential vision becomes actual and intellection is, precisely, an act of vision in which subject and object are identical.

6. But how and what does the Intellectual-Principle see and, especially, how has it sprung from that which is to become the object of its vision?

The mind demands the existence of these Beings, but it is still in trouble over the problem endlessly debated by the most ancient philosophers: from such a unity as we have declared The One to be, how does anything at all come into substantial existence, any multiplicity, dyad, or number? Why has the Primal not remained self-gathered so that there be none of this profusion of the manifold which we observe in existence and yet are compelled to trace to that absolute unity?

In venturing an answer, we first invoke God Himself,¹² not in loud word but in that way of prayer which is always within our power, leaning in soul towards Him by aspiration, alone towards the alone. But if we seek the vision of that great Being within the Inner Sanctuary – self-gathered, tranquilly remote above all else – we begin by considering the images stationed at the outer precincts, or, more exactly to the moment, the first image that appears. How the Divine Mind comes into being must be explained:

Everything moving has necessarily an object towards which it advances; but since the Supreme can have no such object, we may not ascribe motion to it: anything that comes into being after it can be produced only as a consequence of its unfailing self-intention;¹³

and, of course, we dare not talk of generation in time, dealing as we are with eternal Beings: where we speak of origin in such reference, it is in the sense, merely, of cause and subordination: origin from the Supreme must not be taken to imply any movement in it: that would make the Being resulting from the movement not a second principle but a third: the Movement would be the second hypostasis.

Given this immobility in the Supreme, it can neither have yielded assent nor uttered decree nor stirred in any way towards the existence of a secondary.

What happened, then? What are we to conceive as rising in the neighbourhood of that immobility?

It must be a circumradiation – produced from the Supreme but from the Supreme unaltering – and may be compared to the brilliant light encircling the sun and ceaselessly generated from that unchanging substance.

All existences, as long as they retain their character, produce – about themselves, from their essence, in virtue of the power which must be in them – some necessary, outward-facing hypostasis continuously attached to them and representing in image the engendering archetypes:¹⁴ thus fire gives out its heat; snow is cold not merely to itself; fragrant substances are a notable instance; for, as long as they last, something is diffused from them and perceived wherever they are present.

Again, all that is fully achieved engenders: therefore the eternally achieved engenders¹⁵ eternally an eternal being. At the same time, the offspring is always minor: what then are we to think of the All-Perfect but that it can produce nothing less than the very greatest that is later than itself? This greatest, later than the divine unity, must be the Divine Mind, and it must be the second of all existence, for it is that which sees The One on which alone it leans while the First has no need whatever of it. The offspring of the prior to Divine Mind can be no other than that Mind itself and thus

is the loftiest being in the universe, all else following upon it – the Soul, for example, being an utterance and act of the Intellectual-Principle as that is an utterance and act of The One. But in soul the utterance is obscured, for soul is an image and must look to its own original: that Principle, on the contrary, looks to the First without mediation – thus becoming what it is – and has that vision not as from a distance but as the immediate next with nothing intervening, close to the One as Soul to it.

The offspring must seek and love the begetter; and especially so when begetter and begotten are alone in their sphere; when, in addition, the begetter is the highest Good, the offspring (inevitably seeking its good) is attached by a bond of sheer necessity, separated only in being distinct.

7. We must be more explicit:

The Intellectual-Principle stands as the image of The One, firstly because there is a certain necessity that the first should have its offspring, carrying onward much of its quality, in other words that there be something in its likeness as the sun's rays tell of the sun. Yet The One is not an Intellectual-Principle; how then does it engender an Intellectual-Principle?

Simply by the fact that in its self-quest¹⁶ it has vision: this very seeing is the Intellectual-Principle. Any perception of the external indicates either sensation or intellection, sensation symbolized by line, intellection by a circle... [corrupt passage].¹⁷

Of course the divisibility belonging to the circle does not apply to The One; here, to be sure, is a unity, but there the Unity which is the potentiality of all existence.

The items of this potentiality the divine intellection brings out, so to speak, from the unity and knows them in detail, as it must if it is to be an intellectual principle.

It has besides a consciousness, as it were, within itself of this same potentiality; it knows that it can of itself beget an hypostasis and can determine its own Being by the virtue emanating from its

prior; it knows that its nature is in some sense a definite part of the content of that First; that it thence derives its essence, that its strength lies there, and that its Being takes perfection as a derivative and a recipient from the First. It sees that, as a member in some sense of the realm of division and part, it receives life and intellection and all else it has and is, from the undivided and partless, since that First is no member of existence, but can be the source of all on condition only of being held down by no one distinctive shape but remaining the undeflected unity.

To be all in itself would place it in the realm of Being. And so the First is not a thing among the things contained by the Intellectual-Principle though the source of all. In virtue of this source things of the later order are essential beings; for from that fact there is determination; each has its form: what has being cannot be envisaged as outside of limit; the nature must be held fast by boundary and fixity; though to the Intellectual Beings this fixity is no more than determination and form, the foundations of their substantial existence.

A being of this quality, like the Intellectual-Principle, must be felt to be worthy of the all-pure: it could not derive from any other than from the first principle of all; as it comes into existence, all other beings must be simultaneously engendered – all the beauty of the Ideas, all the Gods of the Intellectual realm. And it still remains pregnant with this offspring; for it has, so to speak, drawn all within itself again, holding them lest they fall away towards Matter to be brought up in the House of Rhea (in the realm of flux). This is the meaning hidden in the Mysteries, and in the Myths of the gods: Kronos, as the wisest, exists before Zeus; he must absorb his offspring that, full within himself, he may be also an Intellectual-Principle manifest in some product of his plenty; afterwards, the myth proceeds, Kronos engenders Zeus, who already exists as the (necessary and eternal) outcome of the plenty there;¹⁸ in other words the offspring of the Divine Intellect, perfect within itself, is Soul (the life-principle carrying forward the Ideas in the Divine

Mind). The perfection entails the offspring; a power so vast could not remain unfruitful.

Now, even in the Divine the engendered could not be the very highest; it must be a lesser, an image; it will be undetermined, as its progenitor was, but will receive determination, and, so to speak, its shaping idea, from the progenitor.

Yet the offspring of the Intellectual-Principle must be a Reason-Principle, that is to say, a substantial existence (hypostasis) identified with the principle of deliberative thought (in the Timaeus);¹⁹ such then is that (higher Soul) which circles about the Divine Mind, its light, its image inseparably attached to it: on the upper level united with it, filled from it, enjoying it, participant in its nature, intellective with it, but on the lower level in contact with the realm beneath itself, or, rather, generating in turn an offspring which must lie beneath; of this lower we will treat later;²⁰ so far we deal still with the Divine.

8. This is the explanation of Plato's Triplicity, in the passage where he names as the Primals the Beings gathered about the King of All and establishes a Secondary containing the Secondaries and a Third containing the Tertiaries.²¹

He teaches, also, that there is an author of the Cause,²² that is of the Intellectual-Principle, which to him is the Creator who made the Soul, as he tells us, in the famous mixing bowl.²³ This author of the causing principle, of the divine mind, is to him the Good, that which transcends the Intellectual-Principle and transcends Being;²⁴ often too he uses the term 'The Idea' to indicate Being and the Divine Mind. Thus Plato knows the order of generation – from the Good, the Intellectual-Principle; from the Intellectual-Principle, the Soul. These teachings are, therefore, no novelties, no inventions of today, but long since stated, if not stressed; our doctrine here is the explanation of an earlier and can show the antiquity of these opinions on the testimony of Plato himself.

Earlier, Parmenides made some approach to the doctrine in

identifying Being with Intellectual-Principle while separating Real Being from the realm of sense.

‘Knowing and Being are one thing’, he says,²⁵ and this unity is to him motionless in spite of the intellection he attributes to it: to preserve its unchanging identity he excludes all bodily movement from it; and he compares it to a huge sphere in that it holds and envelops all existence and that its intellection is not an outgoing act but internal. Still, with all his affirmation of unity, his own writings lay him open to the reproach that his unity turns out to be a multiplicity.

The Platonic Parmenides is more exact; the distinction is made between the Primal One, a strictly pure Unity, and a secondary One which is a One-Many and a third which is a One-and-Many; thus he too is in accordance with our thesis of the Three Kinds.

9. Anaxagoras,²⁶ again, in his assertion of a Mind pure and unmixed, affirms a simplex First and a sundered One, though writing long ago he failed in precision.

Heraclitus,²⁷ with his sense of bodily forms as things of ceaseless process and passage, knows the One as eternal and intellectual.

In Empedocles,²⁸ similarly, we have a dividing principle, ‘Strife’, set against ‘Friendship’ – which is The One and is to him bodiless, while the elements represent Matter.

Later there is Aristotle;²⁹ he begins by making the First transcendent and intellective but cancels that primacy by supposing it to have self-intellection. Further, he affirms a multitude of other intellective beings – as many indeed as there are orbs in the heavens; one such principle as mover to every orb – and thus his account of the Intellectual Realm differs from Plato’s and, failing necessity, he brings in probability; though it is doubtful whether he has even probability on his side, since it would be more probable that all the spheres, as contributory to one system, should look to a unity, to the First.

We are obliged also to ask whether to Aristotle’s mind all these

Intellectual Beings spring from one, and that one their First; or whether the Principles in the Intellectual are many.

If from one, then clearly the Intellectual system will be analogous to that of the universe of sense – sphere encircling sphere, with one, the outermost, dominating all: the First (in the Intellectual) will envelop the entire scheme and will be an Intellectual (or Archetypal) Cosmos; and as in our universe the spheres are not empty but the first sphere is thick with stars and none without them, so, in the Intellectual Cosmos, those principles of Movement will envelop a multitude of Beings, and that world will be the realm of the greater reality.

If on the contrary each is a principle, then the effective powers become a matter of chance; under what compulsion are they to hold together and act with one mind towards that work of unity, the harmony of the entire heavenly system? Again what can make it necessary that the material bodies of the heavenly system be equal in number to the Intellectual moving principles, and how can these incorporeal Beings be numerically many when there is no Matter to serve as the basis of difference?

For these reasons the ancient philosophers that ranged themselves most closely to the school of Pythagoras and of his later followers and to that of Pherecydes, have insisted upon this Nature,³⁰ some developing the subject in their writings while others treated of it merely in unwritten discourses, some no doubt ignoring it entirely.

10. We have shown the inevitability of certain convictions as to the scheme of things:

There exists a Principle which transcends Being; this is The One, whose nature we have sought to establish in so far as such matters lend themselves to proof. Upon The One follows immediately the Principle which is at once Being and the Intellectual-Principle. Third comes the Principle, Soul.

Now just as these three exist for the system of Nature, so, we

must hold, they exist for ourselves. I am not speaking of the material order – all that is separable – but of what lies beyond the sense realm in the same way as the Primals are beyond all the heavens; I mean the corresponding aspect of man, what Plato calls the Interior Man.³¹

Thus our soul, too, is a divine thing, belonging to another order than sense; such is all that holds the rank of soul, but (above the life-principle) there is the Soul perfected as containing Intellectual-Principle with its double phase, reasoning and giving the power to reason. The reasoning phase of the soul, needing no bodily organ for its thinking but maintaining, in purity, its distinctive Act that its thought may be uncontaminated – this we cannot err in placing, separate and not mingled into body, within the first Intellectual. We may not seek any point of space in which to seat it; it must be set outside of all space: its distinct quality, its separateness, its immateriality, demand that it be a thing alone, untouched by all of the bodily order. That is why we read³² of the universe, that the Demiurge cast the Soul around it from without – understand that phase of soul which is permanently seated in the Intellectual – and of ourselves that the charioteer's head reaches upwards towards the heights.³³

The admonition to sever soul from body³⁴ is not, of course, to be understood spatially – that separation stands made in Nature – the reference is to holding our rank, to use of our thinking, to an attitude of alienation from the body in the effort to lead up and attach to the over-world, equally with the other, that phase of soul seated here and, alone, having to do with body, creating, moulding, spending its care upon it.

11. Since there is a Soul which reasons upon the right and good – for reasoning is an inquiry into the rightness and goodness of this rather than that – there must exist some permanent Right, the source and foundation of this reasoning in our soul; how, else, could any such discussion be held? Further, since the Soul's attention to these matters is intermittent, there must be within us

an Intellectual-Principle acquainted with that Right not by momentary act but in permanent possession. Similarly there must be also the principle of this principle, its cause, God. This Highest cannot be divided and allotted, must remain intangible but not bound to space, it may be present at many points, wheresoever there is anything capable of accepting one of its manifestations: thus a centre is an independent unity;³⁵ everything within the circle has its term at the centre; and to the centre the radii bring each their own. Within our nature is such a centre by which we grasp and are linked and held; and those of us are firmly in the Supreme whose being is concentrated There.

12. Possessed of such powers, how does it happen that we do not lay hold of them, but for the most part, let these high activities go idle – some, even, of us never bringing them in any degree to effect?

The answer is that all the Divine Beings are unceasingly about their own act, the Intellectual-Principle and its Prior always self-intent; and so, too, the Soul maintains its unfailing movement; for not all that passes in the soul is, by that fact, perceptible; we know just as much as impinges upon the faculty of sense. Any activity not transmitted to the sensitive faculty has not traversed the entire Soul: we remain unaware because the human being includes sense-perception; man is not merely a part (the higher part) of the Soul but the total.

None the less every being of the order of soul is in continuous activity as long as life holds, continuously executing to itself its characteristic act: knowledge of the act depends upon transmission and perception. If there is to be perception of what is thus present, we must turn the perceptive faculty inward and hold it to attention there. Hoping to hear a desired voice we let all others pass and are alert for the coming at last of that most welcome of sounds: so here, we must let the hearings of sense go by, save for sheer necessity, and keep the Soul's perception bright and quick to the sounds from above.

SECOND TRACTATE



THE ORIGIN AND ORDER OF THE BEINGS FOLLOWING ON THE FIRST [II]

SUMMARY

This short piece, coming directly after V. 1, discusses the relations of the One with what is below it, particularly Intellect (ch. 1), and then turns to a study of the various levels of Soul, particularly, for some reason, the lowest, the life-principle in plants. It may be that Plotinus saw a particular difficulty for his theory of psychic continuity in the apparent separability of soul-segments at this level.

1. The One is all things and no one of them;³⁶ the source of all things is not all things; and yet it is all things in a transcendental sense – all things, so to speak, having run back to it: or, more correctly, not all as yet are within it, they will be.

But a universe from an unbroken unity, in which there appears no diversity, not even duality?

It is precisely because there is nothing within the One that all things are from it: in order that Being may be brought about, the source must be no Being but Being's generator, in what is to be thought of as the primal act of generation. Seeking nothing, possessing nothing, lacking nothing, the One is perfect and, in our metaphor, has overflowed, and its exuberance has produced the new: this product has turned again to its begetter and been filled and has become its contemplator and so an Intellectual-Principle.

That station towards the One (the fact that something exists in presence of the One) establishes Being; that vision directed upon

the One establishes the Intellectual-Principle; standing towards the One to the end of vision, it is simultaneously Intellectual-Principle and Being; and, attaining resemblance in virtue of this vision, it repeats the act of the One in pouring forth a vast power.

This second outflow is an image or representation of the Divine Intellect as the Divine Intellect represented its own prior, The One.

This active power sprung from essence (from the Intellectual-Principle considered as Being) is Soul.

Soul arises as the idea and act of the motionless Intellectual-Principle – which itself sprang from its own motionless prior – but the Soul's operation is not similarly motionless; its image is generated from its movement. It takes fullness by looking to its source; but it generates its image by adopting another, a downward, movement.

This image of Soul is Sense and Nature, the vegetal principle.³⁷

Nothing, however, is completely severed from its prior. Thus the higher Soul appears to reach away as far down as to the vegetal order: in some sense it does, since the life of growing things is within its province; but it is not present entire; when it has reached the vegetal order it is there in the sense that having moved thus far downwards it produces – by its outgoing and its tendency towards the less good – another hypostasis or form of being, just as its prior (the loftier phase of the Soul) is produced from the Intellectual-Principle which yet remains in untroubled self-possession.

(From end of second chapter)

But does this Soul-phase in the vegetal order produce nothing?

It engenders precisely the Kind in which it is thus present: how, is a question to be handled from another starting-point.³⁸

2. To resume: there is from the first principle to ultimate an outgoing in which unfailingly each principle retains its own seat while its offshoot takes another rank, a lower, though on the other hand every being is in identity with its prior as long as it holds that contact.

In the case of soul entering some vegetal form, what is there is one phase, the more rebellious³⁹ and less intellectual, outgone to that extreme; in a soul entering an animal, the faculty of sensation has been dominant and brought it there; in soul entering man, the movement outward has either been wholly of its reasoning part or has come from the Intellectual-Principle in the sense that the soul, possessing that principle as immanent to its being, has an inborn desire of intellectual activity and of movement in general.

But, looking more minutely into the matter, when shoots or topmost boughs are lopped from some growing thing, where goes the soul that was present in them? Simply, whence it came: soul never knew spatial separation and therefore is always within the source. If you cut the root to pieces, or burn it, where is the life that was present there? In the soul, which never went outside of itself.

No doubt, despite this permanence, the soul must have been in something if it re-ascends; and if it does not, it is still somewhere; it is in some other vegetal soul: but all this means merely that it is not crushed into some one spot; if a soul-power re-ascends, it is within the soul-power preceding it; that in turn can be only in the soul-power prior again, the phase reaching upwards to the Intellectual-Principle. Of course nothing here must be understood spatially: soul never was in space; and the Divine Intellect, again, is distinguished from soul as being still more free.

Soul thus is nowhere but in the Principle which has that characteristic existence at once nowhere and everywhere.

If the soul on its upward path has halted midway before wholly achieving the supreme heights, it has a mid-rank life and has centred itself upon the mid-phase of its being. All in that mid-region is Intellectual-Principle not wholly itself – nothing else because deriving thence (and therefore of that name and rank), yet not that because the Intellectual-Principle in giving it forth is not merged into it.

There exists, thus, a life, as it were, of huge extension, a total in

which each several part differs from its next, all making a self-continuous whole under a law of discrimination by which the various forms of things arise with no effacement of any prior in its secondary. (See end of [chapter 1.](#))

THIRD TRACTATE



THE KNOWING HYPOSTASES AND THE TRANSCENDENT [49]

SUMMARY

This late treatise is particularly concerned with the nature of self-thinking, as the characteristic activity of Intellect, and the relation of that to that of rational soul. The first nine chapters concern this topic, while the latter eight (ch. 10–17) constitute an important statement of the necessity for there to be some principle higher and more unified than Intellect, and what the relation of Intellect to this must be. The One does not itself think nor can it be cognized, properly speaking, by Intellect, though it can be apprehended ecstasically (ch. 17).

1. Are we to think that a being knowing itself must contain diversity, that self-knowledge can be affirmed only when some one phase of the self perceives other phases, and that therefore an absolutely simplex entity would be equally incapable of introversion and of self-awareness?

No: a being that has no parts or phases may have this consciousness; in fact there would be no real self-knowing in an entity presented as knowing itself in virtue of being a compound – some single element in it perceiving other elements – as we may know our own form and entire bodily organism by sense-perception: such knowing does not cover the whole field; the knowing element has not had the required cognizance at once of its associates and of itself; this is not the self-knower asked for; it is merely something that knows something else.

Either we must exhibit the self-knowing of an uncompounded being – and show how that is possible – or abandon the belief that any being can possess veritable self-cognition.

To abandon the belief is not possible in view of the many absurdities thus entailed.

It would be already absurd enough to deny this power to the Soul (or mind), but the very height of absurdity to deny it to the nature of the Intellectual-Principle, presented thus as knowing the rest of things but not attaining to knowledge, or even awareness, of itself.

It is the province of sense and in some degree of understanding and judgement, but not of the Intellectual-Principle, to handle the external, though whether the Intellectual-Principle holds the knowledge of these things is a question to be examined, but it is obvious that the Intellectual-Principle must have knowledge of the Intellectual objects. Now, can it know those objects alone or must it not simultaneously know itself, the being whose function it is to know just those things? Can it have self-knowledge in the sense (dismissed above as inadequate) of knowing its content while it ignores itself? Can it be aware of knowing its members and yet remain in ignorance of its own knowing self? Self and content must be simultaneously present: the method and degree of this knowledge we must now consider.

2. We begin with the Soul, asking whether it is to be allowed self-knowledge and what the knowing principle in it would be and how operating.

The sense-principle in it, we may at once decide, takes cognizance only of the external; even in any awareness of events within the body it occupies, this is still the perception of something external to a principle dealing with those bodily conditions not as within but as beneath itself.

The reasoning-principle in the Soul acts upon the representations

standing before it as the result of sense-perception; these it judges, combining, distinguishing; or it may also observe the impressions, so to speak, rising from the Intellectual-Principle, and has the same power of handling these; and reasoning will develop to wisdom where it recognizes the new and late-coming impressions (those of sense) and adapts them, so to speak, to those it holds from long before – the act which may be described as the Soul's Reminiscence.

So far as this, the efficacy of the Intellectual-Principle in the Soul certainly reaches; but is there also introversion and self-cognition or is that power to be reserved strictly for the Divine Mind?

If we accord self-knowing to this phase of the Soul we make it an Intellectual-Principle and will have to show what distinguishes it from its prior; if we refuse it self-knowing, all our thought brings us step by step to some principle which has this power, and we must discover what such self-knowing consists in. If, again, we do allow self-knowledge in the lower we must examine the question of degree; for if there is no difference of degree, then the reasoning principle in Soul is the Intellectual-Principle unalloyed.

We ask, then, whether the understanding principle in the Soul has equally the power of turning inwards upon itself or whether it has no more than that of comprehending the impressions, superior and inferior, which it receives.

The first stage is to discover what this comprehension is.

3. Sense sees a man and transmits the impression to the understanding. What does the understanding say? It has nothing to say as yet; it accepts and waits; unless, rather, it questions within itself, 'Who is this?' – someone it has met before – and then, drawing on memory, says, 'Socrates'.

If it should go on to develop the impression received, it distinguishes various elements in what the representative faculty has set before it; supposing it to say 'Socrates, if the man is good',⁴⁰

then, while it has spoken upon information from the senses, its total pronouncement is its own; it contains within itself a standard of good.

But how does it thus contain the good within itself?

It is, itself, of the nature of the good and it has been strengthened still towards the perception of all that is good by the irradiation of the Intellectual-Principle upon it; for this pure phase of the Soul welcomes to itself the images implanted from its prior.

But why may we not distinguish this understanding phase as Intellectual-Principle and take Soul to consist of the later phases from the sensitive downwards?

Because all the activities mentioned are within the scope of a reasoning faculty, and reasoning is characteristically the function of Soul.

Why not, however, absolve the question by assigning self-cognizance to this phase?

Because we have allotted to Soul the function of dealing – in thought and in multiform action – with the external, and we hold that observation of self and of the content of self must belong to Intellectual-Principle.

If any one says, ‘Still; what precludes the reasoning Soul from observing its own content by some special faculty?’ he is no longer positing a principle of understanding or of reasoning but, simply, bringing in the Intellectual-Principle unalloyed.

But what precludes the Intellectual-Principle from being present, unalloyed, within the Soul? Nothing, we admit; but are we entitled therefore to think of it as a phase of Soul?

We cannot describe it as belonging to the Soul though we do describe it as our Intellectual-Principle, something distinct from the understanding, advanced above it, and yet ours even though we cannot include it among soul-phases: it is ours and not ours; and therefore we use it sometimes and sometimes not, whereas we always have use of the understanding; the Intellectual-Principle is

ours when we act by it, not ours when we neglect it.

But what is this acting by it? Does it mean that we become the Intellectual-Principle so that our utterance is the utterance of the Intellectual-Principle, or that (at best) we represent it?

We are not the Intellectual-Principle; we represent it in virtue of that highest reasoning faculty which draws upon it.

Again; we perceive by means of the perceptive faculty and are not, ourselves, the percipients: may we then say the same of the understanding (the principle of reasoning and discursive thought)?

No: our reasoning is our own; we ourselves think the thoughts that occupy the understanding – for this is actually the We⁴¹ – but the operation of the Intellectual-Principle enters from above us as that of the sensitive faculty from below; the We is the Soul at its highest, the mid-point between two powers, between the sensitive principle, inferior to us, and the intellectual principle superior. We think of the perceptive act as integral to ourselves because our sense-perception is uninterrupted; we hesitate as to the Intellectual-Principle both because we are not always occupied with it and because it exists apart,⁴² not a principle inclining to us but one to which we incline when we choose to look upwards.

The sensitive principle is our scout; the Intellectual-Principle our King.

4. But we, too, are king when we are moulded to the Intellectual-Principle.

That correspondence may be brought about in two ways: either through laws of conduct engraved upon our souls as tablets or else by our being, as it were, filled full of the Divine Mind, which again may have become to us a thing seen and felt as a presence.

Hence our self-knowing ensues because it is in virtue of this thing present that we know all other things; or because we know the faculty which discerns this principle of knowledge by means of the faculty itself; or because we become actually identical with the principle.

Thus the self-knower is a double person: there is the one that takes cognizance of the principle in virtue of which understanding occurs in the Soul or mind; and there is the higher, knowing himself by the Intellectual-Principle with which he becomes identical: this latter knows the self as no longer man but as a being that has become something other through and through: he has thrown himself⁴³ as one thing over into the superior order, taking with him only that better part of the Soul which alone is winged for the Intellectual Act and gives the man, once established There, the power to appropriate what he has seen.

We can scarcely suppose this understanding faculty to be unaware that it has understanding; that it takes cognizance of things external; that in its judgements it decides by the rules and standards within itself held directly from the Intellectual-Principle; that there is something higher than itself, something which, moreover, it has no need to seek but fully possesses. What can we conceive to escape the self-knowledge of a principle which admittedly knows the place it holds and the work it has to do? It affirms that it springs from Intellectual-Principle whose second and image it is, that it holds all within itself, the universe of things, engraved, so to say, upon it as all is held There by the eternal engraver. Aware so far of itself, can it be supposed to halt at that? Are we to suppose that all we can do is to apply a distinct power of our nature and come thus to awareness of that Intellectual-Principle as aware of itself? Or may we not appropriate that principle – which belongs to us as we to it – and thus attain to awareness, at once, of it and of ourselves? Yes: this is the necessary way if we are to experience the self-knowledge vested in the Intellectual-Principle. And a man becomes Intellectual-Principle when, ignoring all other phases of his being, he sees through that only and sees only that and so knows himself by means of the self – in other words attains the self-knowledge which the Intellectual-Principle possesses.

5. Does it all come down, then, to one phase of the self knowing another phase? That would be a case of knower distinguished from known, and would not be self-knowing.

What, then, if the total combination were supposed to be of one piece, knower quite undistinguished from known, so that, seeing any given part of itself as identical with itself, it sees itself by means of itself, knower and known thus being entirely without differentiation?

To begin with, the distinction in one self thus suggested is a strange phenomenon. How is the self to make the partition? The thing cannot happen of itself. And, again, which phase makes it? The phase that decides to be the knower or that which is to be the known? Then how can the knowing phase know itself in the known when it has chosen to be the knower and put itself apart from the known? In such self-knowledge by sundering it can be aware only of the object, not of the agent; it will not know its entire content, or itself as an integral whole; it knows the phase seen but not the seeing phase and thus has knowledge of something else, not self-knowledge.

In order to perfect self-knowing it must bring over from itself the knowing phase as well: seeing subject and seen objects must be present as one thing. Now if in this coalescence of seeing subject with seen objects the objects were merely representations of the reality, the subject would not possess the realities: if it is to possess them it must do so not by seeing them as the result of any self-division but by knowing them, containing them, before any self-division occurs.

At that, the object known must be identical with the knowing act (or agent), the Intellectual-Principle, therefore, identical with the Intellectual Realm. And in fact, if this identity does not exist, neither does truth; the Principle that should contain realities is found to contain a transcript, something different from the realities; that constitutes non-Truth; Truth cannot apply to something conflicting with itself; what it affirms it must also be.

Thus we find that the Intellectual-Principle, the Intellectual Realm, and Real Being constitute one thing, which is the Primal Being; the primal Intellectual-Principle is that which contains the realities or, rather, which is identical with them.

But taking Primal Intellection and its intellectual object to be a unity, how does that give an Intellective Being knowing itself? An intellection enveloping its object or identical with it is far from exhibiting the Intellectual-Principle as self-knowing.

All turns on the identity. The intellectual object is itself an activity, not a mere potentiality; it is not lifeless; nor are the life and intellection brought into it as into something naturally devoid of them, some stone or other dead matter; no, the intellectual object is essentially existent, the primal reality. As an active force, the first activity, it must be, also itself, the noblest intellection, intellection possessing real being since it is entirely true; and such an intellection, primal and primally existent, can be no other than the primal principle of Intellection: for that primal principle is no potentiality and cannot be an agent distinct from its act and thus, once more, possessing its essential being as a mere potentiality. As an act – and one whose very being is an act – it must be undistinguishably identical with its act: but Being and the Intellectual object are also identical with that act; therefore the Intellectual-Principle, its exercise of intellection, and the object of intellection all are identical. Given its intellection identical with intellectual object and the object identical with the Principle itself, it cannot but have self-knowledge: its intellection operates by the intellectual act, which is itself, upon the intellectual object, which similarly is itself. It possesses self-knowing, thus, on every count; the act is itself; and the object, seen in that act-self, is itself.

6. Thus we have shown that there exists that which in the strictest sense possesses self-knowing.

This self-knowing agent, perfect in the Intellectual-Principle, is modified in the Soul.

The difference is that, while the Soul knows itself as within something else, the Intellectual-Principle knows itself as self-dependent, knows all its nature and character, and knows by right of its own being and by simple introversion. When it looks upon the authentic existences it is looking upon itself; its vision is its effective existence, and this efficacy is itself since the Intellectual-Principle and the Intellectual Act are one: this is an integral seeing itself by its entire being, not a part seeing by a part.

But has our discussion issued in an Intellectual-Principle having a persuasive activity (furnishing us with probability)?

No: it brings compulsion, not persuasion; compulsion belongs to the Intellectual-Principle, persuasion to the Soul or mind, and we seem to desire to be persuaded rather than to see the truth in the pure intellect.

As long as we were Above, collected within the Intellectual nature, we were satisfied; we were held in the intellectual act; we had vision because we drew all into unity – for the thinker in us was the Intellectual Principle telling us of itself – and the Soul or mind was motionless, assenting to that act of its prior. But now that we are once more here – living in the secondary, the Soul – we seek for persuasive probabilities: it is through the image we desire to know the archetype.

Our way is to teach our Soul how the Intellectual-Principle exercises self-vision; the phase thus to be taught is that which already touches the intellective order, that which we call the understanding or intelligent Soul, indicating by the very name (*διάνοια*)⁴⁴ that it is already of itself in some degree an Intellectual-Principle or that it holds its peculiar power through and from that Principle. This phase must be brought to understand by what means it has knowledge of the thing it sees and warrant for what it affirms: if it became what it affirms, it would by that fact possess self-knowing. All its vision and affirmation being in the Supreme or deriving from it – There where itself also is – it will possess self-knowledge by its right as a Reason-Principle, claiming its kin and

bringing all into accord with the divine imprint upon it.

The Soul therefore (to attain self-knowledge) has only to set this image (that is to say, its highest phase) alongside the veritable Intellectual-Principle which we have found to be identical with the truths constituting the objects of intellection, the world of Primals and Reality: for this Intellectual-Principle, by very definition, cannot be outside of itself, the Intellectual Reality: self-gathered and unalloyed, it is Intellectual-Principle through all the range of its being – for unintelligent intelligence is not possible – and thus it possesses of necessity self-knowing, as a being immanent to itself and one having for function and essence to be purely and solely Intellectual-Principle. This is no doer; the doer, not self-intent but looking outward, will have knowledge, in some kind, of the external, but, if wholly of this practical order, need have no self-knowledge; where, on the contrary, there is no action – and of course the pure Intellectual-Principle cannot be straining after any absent good – the intention can be only towards the self; at once self-knowing becomes not merely plausible but inevitable; what else could living signify in a being immune from action and existing in Intellect?

7. The contemplating of God,⁴⁵ we might answer.

But to admit its knowing God is to be compelled to admit its self-knowing. It will know what it holds from God, what God has given forth or may; with this knowledge, it knows itself at the stroke, for it is itself one of those given things – in fact is all of them. Knowing God and His power,⁴⁶ then, it knows itself, since it comes from Him and carries His power upon it; if, because here the act of vision is identical with the object, it is unable to see God clearly, then all the more, by the equation of seeing and seen, we are driven back upon that self-seeing and self-knowing in which seeing and thing seen are undistinguishably one thing.

And what else is there to attribute to it?

Repose, no doubt; but to an Intellectual-Principle Repose is not

an abdication from intellect; its Repose is an Act, the act of abstention from the alien: in all forms of existence repose from the alien leaves the characteristic activity intact, especially where the Being is not merely potential but fully realized.

In the Intellectual-Principle, the Being is an Act and in the absence of any other object it must be self-directed; by this self-intellection it holds its Act within itself and upon itself; all that can emanate from it is produced by this self-centring and self-intention; first self-gathered, it then gives itself or gives something in its likeness; fire must first be self-centred and be fire, true to fire's natural Act; then it may reproduce itself elsewhere.

Once more, then: the Intellectual-Principle is a self-intent activity, but Soul has the double phase, one inner, intent upon the Intellectual-Principle, the other outside it and facing to the external; by the one it holds the likeness to its source; by the other, even in its unlikeness, it still comes to likeness in this sphere, too, by virtue of action and production; in its action it still contemplates,⁴⁷ and its production produces forms – detached intellections, so to speak – with the result that all its creations are representations of the divine Intellection and of the divine Intellect, moulded upon the archetype, of which all are emanations and images, the nearer more true, the very latest preserving some faint likeness of the source.

8. Now comes the question: what sort of thing does the Intellectual-Principle see in seeing the Intellectual Realm and what in seeing itself?

We are not to look for an Intellectual realm reminding us of the colour or shape to be seen on material objects: the intellectual antedates all such things; and even in our sphere the production is very different from the Reason-Principle in the seeds from which it is produced. The seed principles are invisible and the beings of the Intellectual still more characteristically so; the Intellectuals are of one same nature with the Intellectual Realm which contains them,

just as the Reason-Principle in the seed is identical with the Soul, or life-principle, containing it.

But the Soul (considered as apart from the Intellectual-Principle) has no vision of what it thus contains, for it is not the producer but, like the Reason-Principles also, an image of its source: that source is the brilliant, the authentic, the primarily existent, the thing self-sprung and self-intent; but its image, Soul, is a thing which can have no permanence except by attachment, by living in that order; the very nature of an image is that as a secondary it shall have its being in something else, if at all it exist apart from its original.⁴⁸ Hence this image (Soul) has not vision, for it has not the necessary light, and if it should see, then, as finding its completion elsewhere, it sees another, not itself.

In the pure Intellectual there is nothing of this: the vision and the envisioned are a unity; the seen is as the seeing and seeing as seen.

What, then, is there that can pronounce upon the nature of this all-unity?

That which sees: and to see is the function of the Intellectual-Principle. Even in our own sphere (we have a parallel to this self-vision of a unity), our vision is light or rather becomes one with the light, and it sees light for it sees colours. In the intellectual, the vision sees not through some medium but by and through itself alone, for its object is not external: by one light it sees another, not through any intermediate agency; a light sees a light, that is to say a thing sees itself. This light shining within the Soul enlightens it; that is, it makes the Soul intellective, working it into likeness with itself, the light above.

Think of the traces of this light upon the Soul, then say to yourself that such, and more beautiful and broader and more radiant, is the light itself; thus you will approach to the nature of the Intellectual-Principle and the Intellectual Realm, for it is this light, itself lit from above, which gives the Soul its brighter life.

It is not the source of the generative life of the Soul which, on the contrary, it draws inward, preserving it from such diffusion, holding it to the love of the splendour of its Prior.

Nor does it give the life of perception and sensation, for that looks to the external and to what acts most vigorously upon the senses, whereas one accepting that light of truth may be said no longer to see the visible, but the very contrary.

This means in sum that the life the Soul takes thence is an intellectual life, a trace of the life in the (divine) Intellect, in which alone the authentic exists.

The life in the Divine Intellect is also an Act: it is the primal light outlamping to itself primarily, its own torch; lightgiver and lit at once; the authentic intellectual object, knowing at once and known, seen to itself and needing no other than itself to see by, self-sufficing to the vision, since what it sees it is; known to us by that very same light, our knowledge of it attained through itself, for from nowhere else could we find the means of telling of it. By its nature, its self-vision is the clearer but, using it as our medium, we too may come to see by it.

In the strength of such considerations we lead up our own Soul to the Divine, so that it poses itself as an image of that Being, its life becoming an imprint and a likeness of the Highest, its every act of thought making it over into the Divine and the Intellectual.

If the Soul is questioned as to the nature of that Intellectual-Principle – the perfect and all-embracing, the primal self-knower – it has but to enter into that Principle, or to sink all its activity into that, and at once it shows itself to be in effective possession of those priors whose memory it never lost: thus, as an image of the Intellectual-Principle, it can make itself the medium by which to attain some vision of it; it draws upon that within itself which is most closely resemblant, as far as resemblance is possible between divine Intellect and any phase of Soul.

9. In order, then, to know what the Divine Mind is we must observe

Soul and especially its most God-like phase.

One certain way to this knowledge is to separate first, the man from the body – yourself, that is, from your body; next to put aside that Soul which moulded the body, and, very earnestly, the system of sense with desires and impulses and every such futility,⁴⁹ all setting definitely towards the mortal: what is left is the phase of the Soul which we have declared to be an image of the Divine Intellect, retaining some light from that source, like the light of the sun which goes beyond its spherical mass, issues from it and plays about it.

Of course we do not pretend that the sun's light (as the analogy might imply) remains a self-gathered and sun-centred thing: it is at once outrushing and indwelling; it strikes outward continuously, lap after lap, until it reaches us upon our earth: we must take it that all the light, including that which plays about the sun's orb, has travelled; otherwise we would have next to the orb a void expanse. The Soul, on the contrary – a light springing from the Divine Mind and shining about it – is in closest touch with that source; it is not in transit but remains centred there, and, in likeness to that principle, it has no place: the light of the sun is actually in the air, but the Soul is clean of all such contact so that its immunity is patent to itself and to any other of the same order.

And by its own characteristic act, though not without reasoning process, it knows the nature of the Intellectual-Principle which, on its side, knows itself without need of reasoning, for it is ever self-present whereas we become so by directing our Soul towards it; our life is broken and there are many lives, but that principle needs no changings of life or of things; the lives it brings to being are for others, not for itself: it cannot need the inferior; nor does it for itself produce the less when it possesses or is the all, nor the images when it possesses or is the prototype.

Anyone not of the strength to lay hold of the first Soul, that possessing pure intellection, must grasp that which has to do with our ordinary thinking and thence ascend: if even this prove too

hard, let him turn to account the sensitive phase which carries the ideal forms of the less fine degree, that phase which, too, with its powers, is immaterial and lies just within the realm of Ideal-principles.

One may even, if it seem necessary, begin as low as the reproductive Soul and its very production and thence make the ascent, mounting from those ultimate ideal principles to the ultimates in the higher sense, that is to the primals.

10. This matter need not be elaborated at present: it suffices to say that if the created were all, it would not be ultimate: but the Supreme does include primals, the Primals because the producers. In other words, there must be, with the made, the making source and these must be identical; otherwise there will be need of a Transcendent. But will not this Transcendent demand in turn a further transcendent? No: the demand comes from the Intellectual-Principle. If we are asked why this Transcendent also should not have self-vision (a duality to be transcended), our answer is that it has no need of vision; but this we will discuss later: for the moment we go back, since the question at issue is gravely important.

We repeat that the Intellectual-Principle must have, actually has, self-vision, firstly because it has multiplicity, next because it exists for the external and therefore must be a seeing power, one seeing that external; in fact its very essence is vision. Given some external, there must be vision; and if there be nothing external the Intellectual-Principle (Divine Mind) exists in vain. Unless there is something beyond bare unity, there can be no vision: vision must converge with a visible object. And this which the seer is to see can be only a multiple, no undistinguishable unity; nor could a universal unity find anything upon which to exercise any act; all, one and desolate, would be utter stagnation; in so far as there is action, there is diversity. If there be no distinctions, what is there to do, what direction in which to move? An agent must either act upon the extern or be a multiple and so able to act upon itself: making no advance towards anything other than itself, it is motionless, and where it could know only blank fixity it can know

nothing.

The intellective power, therefore, when occupied with the intellectual act, must be in a state of duality, whether one of the two elements stand actually outside or both lie within: the intellectual act will always comport diversity as well as the necessary identity, and in the same way its characteristic objects (the Ideas) must stand to the Intellectual-Principle as at once distinct and identical. This applies equally to the single object; there can be no intellection except of something containing separable detail and, since the object is a Reason-Principle (a discriminated Idea), it has the necessary element of multiplicity. The Intellectual-Principle, thus, is informed of itself by the fact of being a multiple organ of vision, an eye receptive of many illuminated objects. If it had to direct itself to a memberless unity, it would be dereasoned: what could it say or know of such an object? The self-affirmation of (even) a memberless unity implies the repudiation of all that does not enter into the character: in other words, it must be multiple as a preliminary to being itself.

Then, again, in the assertion 'I am this particular thing', either the 'particular thing' is distinct from the assertor – and there is a false statement – or it is included within it, and, at once, multiplicity is asserted: otherwise the assertion is 'I am what I am', or 'I am I'.

If it be no more than a simple duality able to say 'I and that other phase', there is already multiplicity, for there is distinction and ground of distinction, there is number with all its train of separate things.

In sum, then, a knowing principle must handle distinct items: its object must, at the moment of cognition, contain diversity; otherwise the thing remains unknown; there is mere conjunction,⁵⁰ such a contact, without affirmation or comprehension, as would precede knowledge, the intellect not yet in being, the impinging agent not percipient.

Similarly the knowing principle itself cannot remain simplex, especially in the act of self-knowing: all silent though its self-perception be, it is dual to itself.

Of course The One has no need of minute self-handling since it has nothing to learn by an intellective act; it is in full possession of its being before Intellect exists. Knowledge implies desire, for it is, so to speak, discovery crowning a search; the utterly undifferentiated remains self-centred and makes no inquiry about that self: anything capable of analysing its content must be a manifold.

11. Thus the Intellectual-Principle, in the act of knowing the Transcendent, is a manifold. It knows the Transcendent in very essence but, with all its effort to grasp that prior as a pure unity, it goes forth amassing successive impressions, so that, to it, the object becomes multiple: thus in its outgoing to its object it is not (fully realized) Intellectual-Principle; it is an eye that has not yet seen; in its return it is an eye possessed of the multiplicity which it has itself conferred: it sought something of which it found the vague presentment within itself; it returned with something else, the manifold quality with which it has of its own act invested the simplex.

If it had not possessed a previous impression of the Transcendent it could never have grasped it, but this impression, originally of unity, becomes an impression of multiplicity; and the Intellectual-Principle in taking cognizance of that multiplicity knows the Transcendent and so is realized as an eye possessed of its vision.

It is now Intellectual-Principle since it actually holds its object, and holds it by the act of intellection: before, it was no more than a tendance, an eye blank of impression: it was in motion towards the transcendental; now that it has attained, it has become Intellectual-Principle: always implicit (in the Transcendent), it now, in virtue of this intellection, holds the character of Intellectual-Principle, of Essential Existence, and of Intellectual Act where, previously, not possessing the Intellectual Object, it was not Intellectual Perception, and, not yet having exercised the Intellectual Act, it was not

Intellectual-Principle.

The Principle before all these principles is no doubt the first principle of the universe, but not as immanent: immanence is not for primal sources but for engendering secondaries; that which stands as primal source of everything is not a thing but is distinct from all things: it is not, then, a member of the total but earlier than all, earlier, thus, than the Intellectual-Principle – which in fact envelops the entire train of things.

Thus we come, once more, to a Being above the Intellectual-Principle and, since the sequent amounts to no less than the All, we recognize, again, a Being above the All. This assuredly cannot be one of the things to which it is prior. We may not call it Intellect; therefore, too, we may not call it the Good, if the Good is to be taken in the sense of some one member of the universe; if we mean that which precedes the universe of things, the name may be allowed.

The Intellectual-Principle is established in multiplicity; its intellection, self-sprung though it be, is in the nature of something added to it (some accidental dualism) and makes it multiple: the utterly simplex, and therefore first of all beings, must, then, transcend the Intellectual-Principle; and, obviously, if this had intellection it would no longer transcend the Intellectual-Principle but be it, and at once be a multiple.

12. But why, after all, should it not be such a manifold as long as it remains one substantial existence, having the multiplicity not of a compound being but of a unity with a variety of activities?

Now, no doubt, if these various activities are not themselves substantial existences – but merely manifestations of latent potentiality – there is no compound; but, on the other hand, it remains incomplete until its substantial existence be expressed in act. If its substantial existence consists in its Act, and this Act constitutes multiplicity, then its substantial existence will be strictly proportioned to the extent of the multiplicity.

We allow this to be true for the Intellectual-Principle to which we have allotted (the multiplicity of) self-knowing; but for the first principle of all, never. Before the manifold, there must be The One, that from which the manifold rises: in all numerical series, the unit is the first.

But – we will be answered – for number, well and good, since the suite makes a compound; but in the real beings why must there be a unit from which the multiplicity of entities shall proceed?

Because (failing such a unity) the multiplicity would consist of disjointed items, each starting at its own distinct place and moving accidentally to serve to a total.

But, they will tell us, the Activities in question do proceed from a unity, from the Intellectual-Principle, a simplex.

By that they admit the existence of a simplex prior to the Activities; and they make the Activities perdurable and class them as substantial existences (hypostases); but as Hypostases they will be distinct from their source, which will remain simplex; while its product will in its own nature be manifold and dependent upon it.

Now if these activities arise from some unexplained first activity in that principle, then it too contains the manifold: if on the contrary they are the very earliest activities and the source and cause of any multiple product and the means by which that Principle is able, before any activity occurs, to remain self-centred, then they are allocated to the product of which they are the cause; for this principle is one thing, the activities going forth from it are another, since it is not, itself, in act. If this be not so, the first act cannot be the Intellectual-Principle: the One does not provide for the existence of an Intellectual-Principle which thereupon appears; that provision would be something (an Hypostasis) intervening between the One and the Intellectual-Principle its offspring. There could, in fact, be no such providing in The One, for it was never incomplete; and such provision could name nothing that ought to be provided. It cannot be thought to possess only some part of its

content, and not the whole; nor did anything exist to which it could turn in desire. Clearly anything that comes into being after it arises without shaking to its permanence in its own habit. It is essential to the existence of any new entity that the First remain in self-gathered repose throughout:⁵¹ otherwise, it moved before there was motion and had intellectual act before any intellection – unless, indeed, that first act (as motionless and without intelligence) was incomplete, nothing more than a tendency. And what could be the object of such a tendency born of frustration?

The only reasonable explanation of act flowing from it lies in the analogy of light from a sun.⁵² The entire intellectual order may be figured as a kind of light with the One in repose at its summit as its King; but this manifestation is not cast out from it – that would cause us to postulate another light before the light – but the One shines eternally, resting upon the Intellectual Realm; this, not identical with its source, is yet not severed from it nor of so remote a nature as to be less than Real-Being; it is no blind thing, but is seeing, self-knowing, the primal knower.

The One, as transcending Intellect, transcends knowing: above all need, it is above the need of the knowing which pertains solely to the Secondary Nature. Knowing is a unitary thing, but defined: the first is One, but undefined: a defined One would not be the One-Absolute: the absolute is prior to the definite.

13. Thus The One is in truth beyond all statement: any affirmation is of a thing; but ‘all-transcending, resting above even the most august divine Mind’⁵³ – this is the only true description, since it does not make it a thing among things, nor name it where no name could identify it: we can but try to indicate, in our own feeble way, something concerning it. When in our perplexity we object, ‘Then it is without self-perception, without self-consciousness, ignorant of itself’, we must remember that we have been considering it only in its opposites.

If we assume within it the distinction of knowing and known, we make it a manifold; and if we allow intellection in it, we make it at

that point indigent: supposing that in fact intellection accompanies it, intellection by it must be superfluous.

Self-intellection – which is the truest – implies the entire perception of a total self formed from a variety converging into an integral; every single unit in this variety is self-subsistent and has no need to look outside itself: if its intellectual act is, on the other hand, directed upon something outside, then the agent is deficient and the intellection faulty.

The wholly simplex and veritable self-sufficing can be lacking at no point: self-intellection begins in that principle which, secondarily self-sufficing, yet needs itself and therefore needs to know itself; this principle, by its self-presence, achieves its sufficiency in virtue of its entire content (it is the all): it becomes thus competent from the total of its being, in the act of living towards itself and looking upon itself.

Consciousness, as the very word indicates, is a conperception,⁵⁴ an act exercised upon a manifold: and even intellection, earlier (nearer to the divine) though it is, implies that the agent turns back upon itself, upon a manifold, then. If that agent says no more than ‘I am a being’, it speaks (by the implied dualism) as a discoverer of the extern; and rightly so, for being is a manifold; when it faces towards the unmanifold and says, ‘I am that being’, it misses both itself and the being (since the simplex cannot be thus divided into knower and known): if it is to utter truth it cannot indicate by ‘being’ something (single) like a stone; in the one phrase multiplicity is asserted; for the being thus affirmed – the veritable, as distinguished from such a mere container of some trace of being as ought not to be called a being since it stands merely as image to archetype – this must possess multiplicity.

But will not each item in that multiplicity be an object of intellection to us?

Taken bare and single, no: but Being itself is manifold within itself, and whatever else you may name has Being.

This accepted, it follows that anything that is to be thought of as the most utterly simplex of all, cannot have self-intellection; to have that would mean being multiple. The Transcendent, thus, neither knows itself nor is known in itself.

14. How, then, do we ourselves come to be speaking of it?

No doubt we deal with it, but we do not state it; we have neither knowledge nor intellection of it.⁵⁵

But in what sense do we even deal with it when we have no hold upon it?

We do not, it is true, grasp it by knowledge, but that does not mean that we are utterly void of it; we hold it not so as to state it, but so as to be able to speak about it. And we can and do state what it is not, while we are silent as to what it is: we are, in fact, speaking of it in the light of its sequels; unable to state it, we may still possess it.

Those divinely possessed and inspired have at least the knowledge that they hold some greater thing within them though they cannot tell what it is; from the movements that stir them and the utterances that come from them they perceive the power, not themselves, that moves them: in the same way, it must be, we stand towards the Supreme when we hold the Intellectual-Principle pure; we know the divine Mind within, that which gives Being and all else of that order: but we know, too, that other, know that it is none of these, but a nobler principle than anything we know as Being; fuller and greater; above reason, mind, and feeling; conferring these powers, not to be confounded with them.

15. Conferring – but how? As itself possessing them or not? How can it convey what it does not possess, and yet if it does possess how is it simplex? And if, again, it does not, how is it the source of the manifold?

A single, unmanifold emanation we may very well allow – how even that can come from a pure unity may be a problem, but we may always explain it on the analogy of the irradiation from a

luminary – but a multitudinous production raises question.

The explanation is, that what comes from the Supreme cannot be identical with it and assuredly cannot be better than it – what could be better than The One or could exceed it in any sense? The emanation, then, must be less good, that is to say, less self-sufficing: now what must that be which is less self-sufficing than The One? Obviously the Not-One, that is to say, multiplicity but a multiplicity striving towards unity; that is to say, a One-that-is-many.⁵⁶

All that is not One is conserved by virtue of the One, and from the One derives its characteristic nature: if it had not attained such unity as is consistent with being made up of multiplicity we could not affirm its existence: if we are able to affirm the nature of single things, this is in virtue of the unity, the identity even, which each of them possesses. But the all-transcendent, utterly void of multiplicity, has no mere unity of participation but is unity's self, independent of all else, as being that from which, by whatever means, all the rest take their degree of unity in their standing, near or far, towards it.

The second principle shows that it is next in order (after the all-transcendent One) by the fact that its multiplicity is at the same time an all-embracing unity: all the variety lies in the midst of a sameness, and identity cannot be separated from diversity since all stands as one;⁵⁷ each item in that content, by the fact of participating in life, is a One-many: for the item could not make itself manifest as a One-and-all.

Only the Transcendent can be that; it is the great beginning, and the beginning must be a really existent One, wholly and truly One, while its sequent, poured down in some way from the One, is all, a total which has participation in unity and whose every member is similarly all and one.

What then is the All?

The total of which the Transcendent is the Source.

But in what way is it that source? In the sense, perhaps, of

sustaining things as bestower of the unity of each single item?

That too; but also as having established them in being.

But how? As having, perhaps, contained them previously?

We have indicated that, thus, the First would be a manifold.

May we think, perhaps, that the First contained the universe as an indistinct total whose items are elaborated to distinct existence within the Second by the Reason-Principle there? That Second is certainly an Activity; the Transcendent would contain only the potentiality of the universe to come.

But the nature of this contained potentiality would have to be explained: it cannot be that of Matter, a receptivity, for thus the Source becomes passive, the very negation of production.⁵⁸

How then does it produce what it does not contain? Certainly not at haphazard and certainly not by selection. How then?

We have observed that anything that may spring from the One must be different from it. Differing, it is not One, since then it would be the Source. If unity has given place to duality, from that moment there is multiplicity; for there is variety side by side with identity,⁵⁹ and this imports quality and all the rest.

We may take it as proved that the emanation of the Transcendent must be a Not-One, something other than pure unity: but that it is a multiplicity, and especially that it is such a multiplicity as is exhibited in the sequent universe, this is a statement worthy of deliberation: some further inquiry must be made, also, as to the necessity of any sequel to the First.

16. We have, of course, already seen that a secondary must follow upon the First, and that this is a power immeasurably fruitful; and we indicated that this truth is confirmed by the entire order of things since there is nothing, not even in the lowest ranks, void of the power of generating. We have now to add that, since things engendered tend downwards and not upwards and, especially, move towards multiplicity, the first principle of all must be less a manifold than any.

That which engenders the world of sense cannot itself be a sense-world; it must be the Intellect and the Intellectual world; similarly, the prior which engenders the Intellectual-Principle and the Intellectual world cannot be either, but must be something of less multiplicity. The manifold does not rise from the manifold: the intellectual multiplicity has its source in what is not manifold; by the mere fact of being manifold, the thing is not the first principle: we must look to something earlier.

All must be grouped under a unity which, as standing outside of all multiplicity and outside of any ordinary simplicity, is the veritably and essentially simplex.

But how does the offspring of unity become a Reason-Principle, characteristically a manifold, a total, when the source is obviously not a Reason-Principle? Yet if it is not, how can we explain the derivation of Reason-Principle from non-Reason-Principle?

And how does the secondarily good (the imaged Good) derive from The Good, the Absolute? What does it hold from the Absolute Good to entitle it to the name?

Similarity to the prior is not enough, it does not help towards goodness; we demand similarity only to an actually existent Good: the goodness must depend upon derivation from a Prior of such a nature that the similarity is desirable because that Prior is good, just as the similarity would be undesirable if the Prior were not good.

Does the similarity with the Prior consist, then, in a voluntary resting upon it?

It is rather that, finding its condition satisfying, it seeks nothing: the similarity depends upon the all-sufficiency of what it possesses; its existence is agreeable because all is present to it, and present in such a way as not to be even different from it (Intellectual-Principle is Being).

All life⁶⁰ belongs to it, life brilliant and perfect; thus all in it is at once life-principle and Intellectual-Principle, nothing in it aloof

from either life or intellect: it is therefore self-sufficing and seeks nothing: and if it seeks nothing this is because it has in itself what, lacking, it must seek. It has, therefore, its Good within itself, either by being of that order – in what we have called its life and intellect – or in some other quality or character going to produce these.

If this (secondary principle) were The Good (The Absolute) nothing could transcend these things, life and intellect: but, given the existence of something higher, this Intellectual-Principle must possess a life directed towards that Transcendent, dependent upon it, deriving its being from it, living towards it as towards its source. The First, then, must transcend this principle of life and intellect which directs thither both the life in itself, a copy of the Reality of the First, and the intellect in itself which is again a copy, though of what original there we cannot know.

17. But what can it be which is loftier than that existence – a life compact of wisdom, untouched by struggle and error, or than this Intellect which holds the Universe with all there is of life and intellect?

If we answer ‘The Making Principle’, there comes the question, ‘making by what virtue?’ and unless we can indicate something higher there than in the made, our reasoning has made no advance: we rest where we were.

We must go higher – if it were only for the reason that the self-sufficiency of the Intellectual-Principle is that of a totality of which each member is patently indigent, and that each has participated in The One and, as drawing on unity, is itself not unity.

What then is this in which each particular entity participates, the author of being to the universe and to each item of the total?

Since it is the author of all that exists, and since the multiplicity in each thing is converted into a self-sufficing existence by this presence of The One, so that even the particular itself becomes self-sufficing, then clearly this principle, author at once of Being and of self-sufficingness, is not itself a Being but is above Being and above

even self-sufficing.

May we stop, content, with that?⁶¹ No: the Soul is yet, and even more, in pain. Is she ripe, perhaps, to bring forth, now that in her pangs she has come so close to what she seeks? No: we must call upon yet another spell if anywhere the assuagement is to be found. Perhaps in what has already been uttered, there lies the charm if only we tell it over often? No: we need a new, further, incantation. All our effort may well skim over every truth, and through all the verities in which we have part, and yet the reality escape us when we hope to affirm, to understand: for the understanding, in order to its affirmation, must possess itself of item after item; only so does it traverse all the field: but how can there be any such peregrination of that in which there is no variety?

All the need is met by a contact purely intellective. At the moment of touch there is no power whatever to make any affirmation; there is no leisure; reasoning upon the vision is for afterwards. We may know we have had the vision when the Soul has suddenly taken light. This light is from the Supreme and is the Supreme; we may believe in the Presence when, like that other God on the call of a certain man,⁶² He comes bringing light: the light is the proof of the advent. Thus, the Soul unlit remains without that vision; lit, it possesses what it sought. And this is the true end set before the Soul, to take that light, to see the Supreme by the Supreme and not by the light of any other principle – to see the Supreme which is also the means to the vision; for that which illumines the Soul is that which it is to see, just as it is by the sun's own light that we see the sun.

But how is this to be accomplished?

Cut away everything.

FOURTH TRACTATE



HOW THE SECONDARIES RISE FROM THE FIRST: AND ON THE ONE [7]

SUMMARY

This short, early treatise covers very much the same ground as the slightly later V. 1, but in its second chapter presents a most interesting, and troublesome, description of the One as possessing some sort of conscious inner life, in terms less cautious than Plotinus would later have allowed himself

1. Anything existing after The First must necessarily arise from that First, whether immediately or as tracing back to it through intervenients; there must be an order of secondaries and tertiaries, in which any second is to be referred to The First, any third to the second.⁶³

Standing before all things, there must exist a Simplex, differing from all its sequel, self-gathered not interblended with the forms that rise from it, and yet able in some mode of its own to be present to those others: it must be authentically a unity, not merely something elaborated into unity and so in reality no more than unity's counterfeit; it will debar all telling and knowing except that it may be described as transcending Being⁶⁴ – for if there were nothing outside all alliance and compromise, nothing authentically one, there would be no Source. Untouched by multiplicity, it will be wholly self-sufficing, an absolute First, whereas any not-first demands its earlier, and any non-simplex needs the simplicities within itself as the very foundations of its composite existence.

There can be only one such being: if there were another, the two (as indiscernible) would resolve into one, for we are not dealing with two corporal entities.

Our One-First is not a body: nothing simplex can be a body and, as a thing of process cannot be a First, the Source cannot be a thing of generation:⁶⁵ only a principle outside of body, and utterly untouched by multiplicity, could be The First.

Any unity, then, later than The First must be no longer simplex; it can be no more than a unity in diversity.

Whence must such a sequent arise?

It must be an offspring of The First; for suppose it the product of chance, that First ceases to be the Principle of All.

But how does it arise from The First?

If The First is perfect, utterly perfect above all, and is the beginning of all power, it must be the most powerful of all that is, and all other powers must act in some partial imitation of it. Now other beings, coming to perfection, are observed to generate; they are unable to remain self-closed; they produce: and this is true not merely of beings endowed with will, but of growing things where there is no will; even lifeless objects impart something of themselves, as far as they may; fire warms, snow chills, drugs have their own outgoing efficacy; all things to the utmost of their power imitate the Source in some operation tending to eternity and to service.

How then could the most perfect remain self-set – the First Good, the Power towards all, how could it grudge⁶⁶ or be powerless to give of itself, and how at that would it still be the Source?

If things other than itself are to exist, things dependent upon it for their reality, it must produce since there is no other source. And, further, this engendering principle must be the very highest in worth; and its immediate offspring, its secondary, must be the best of all that follows.

2. If the Intellectual-Principle were the engendering Source, then the engendered secondary, while less perfect than the Intellectual-Principle, would be close to it and similar to it: but since the engendering Source is above the Intellectual-Principle, the secondary can only be that principle.

But why is the Intellectual-Principle not the generating source?

Because (it is not a self-sufficing simplex): the Act of the Intellectual-Principle is intellection, which means that, seeing the intellectual object towards which it has turned, it is consummated, so to speak, by that object, being in itself indeterminate like sight (a vague readiness for any and every vision) and determined by the intellectual object. This is why it has been said that ‘out of the indeterminate Dyad and The One arise the Ideas and the numbers’;⁶⁷ for the Ideas and the numbers constitute the Intellectual-Principle.

Thus it is not a simplex; it is manifold; it exhibits a certain composite quality – within the Intellectual or divine order, of course – as the principle that sees the manifold. It is, further, itself simultaneously object and agent of intellection and is on that count also a duality: and it possesses, besides, another object of intellection in the order following upon The First.

But how can the Intellectual-Principle be a product of the Intellectual Object?

In this way: the intellectual object is self-gathered (self-compact) and is not deficient as the seeing and knowing principle must be – deficient, I mean, as needing an object – it is therefore no unconscious thing: all its content and accompaniment are its possession; it is self-distinguishing throughout; it is the seat of life as of all things; it is, itself, that self-intellection which takes place in eternal repose, that is to say, in a mode other than that of the Intellectual-Principle.⁶⁸

But if something arises from an entity which in no way looks outside itself, it must arise when that entity is in the fullness of its being: stable in its identity,⁶⁹ it produces; but the product is that of

an unchanged being:⁷⁰ the producer is unchangeably the intellectual object, the product is produced as the Intellectual Act, an Act taking intellection of its source – the only object that exists for it – and so becoming Intellectual-Principle, that is to say, becoming another intellectual being, resembling its source, a reproduction and image of that.

But how from amid perfect rest can an Act arise?

There is in everything the Act of the Essence and the Act going out from the Essence: the first Act is the thing itself in its realized identity, the second Act is an inevitably following outgo from the first, an emanation distinct from the thing itself.

Thus even in fire there is the warmth comported by its essential nature and there is the warmth going instantaneously outward from that characterizing heat by the fact that the fire, remaining unchangeable fire, utters the Act native to its essential reality.

So it is in the divine also: or rather we have there the earlier form of the double act: the divine remains in its own unchanging being, but from its perfection and from the Act included in its nature there emanates the secondary or issuing Act which – as the output of a mighty power, the mightiest there is – attains to Real Being as second to that which stands above all Being. That transcendent was the potentiality of the All; this secondary is the All made actual.

And if this is all things, that must be above and outside of all, and, so, must transcend real being. And again, if that secondary is all things, and if above its multiplicity there is a unity not ranking among those things, once more this unity transcends Real Being and therefore transcends the Intellectual-Principle as well. There is thus something transcending Intellectual-Principle, for we must remember that real being is no corpse, the negation of life and of intellection, but is in fact identical with the Intellectual-Principle. The Intellectual-Principle is not something taking cognizance of things as sensation deals with sense objects existing independently

of sense: on the contrary, it actually is the things it knows: it does not merely possess their images or representations: whence could it have taken them? No: it resides with its objects, identical with them, making a unity with them; knowledge of the immaterial is universally identical with its objects.⁷¹

FIFTH TRACTATE



THAT THE INTELLECTUAL BEINGS ARE NOT OUTSIDE THE INTELLECTUAL-PRINCIPLE: AND ON THE NATURE OF THE GOOD [32]

SUMMARY

This is actually the third part of the great treatise divided by Porphyry into III. 8, V. 8, V. 5 and II. 9. Combined with V. 8, it constitutes the second process of ascent to the One which Plotinus takes us through, the first being in III. 8. Here however, we begin, not from Nature, but from Intellect, and with a study of its truth (following on a study of its beauty in V. 8). This leads (ch. 5–11) to a discussion of the nature of what is beyond Intellect, the One or Good, which is one of the most important accounts of its nature, and the way in which it may be apprehended (cf. ch. 7–8).

1. The Intellectual-Principle, [72](#) the veritably and essentially intellective, can this be conceived as ever falling into error, ever failing to think reality?

Assuredly no: it would no longer be intelligent and therefore no longer Intellectual-Principle: it must know unceasingly and never forget; and its knowledge can be no guess-work, no hesitating assent, no acceptance of an alien report. Nor can it call on demonstration or, if we are told it may at times act by this method, at least there must be something patent to it in virtue of its own nature. In actual fact reason tells us that all its knowledge is thus inherent to it, for there is no means by which to distinguish between the spontaneous knowledge and the other. But, in any case, some knowledge, it is conceded, is inherent to it. Whence are

we to understand the certainty of this knowledge to come to it or how do its objects carry the conviction of their reality?

Consider sense-knowledge: its objects seem most patently certified, yet the doubt returns whether the apparent reality may not lie in the states of the percipient rather than in the material before him; the decision demands intelligence or reasoning. Besides, even granting that what the senses grasp is really contained in the objects, none the less what is thus known by the senses is an image: sense can never grasp the thing itself; this remains for ever outside.

Now, if the Intellectual-Principle in its act – that is in knowing the intellectual – is to know these its objects as alien, we have to explain how it makes contact with them: obviously it might never come upon them, and so might never know them; or it might know them only upon the meeting: its knowing, at that, would not be an enduring condition. If we are told that the Intellectual-Principle and the Intellectual Objects are linked in a standing unity, we demand the description of this unity.

Next, the intellections would be impressions, that is to say not native act but violence from without: now how is such impressing possible and what shape could the impressions bear?

Intellection, again, becomes at this a mere handling of the external, exactly like sense-perception. What then distinguishes it unless that it deals with objects of less extension? And what certitude can it have that its knowledge is true? Or what enables it to pronounce that the object is good, beautiful, or just, when each of these Ideas is to stand apart from itself? The very principles of judgement, by which it must be guided, would be excluded: with objects and canons alike outside it, so is truth.

Again; either the objects of the Intellectual-Principle are senseless and devoid of life and intellect or they are in possession of Intellect.

Now, if they are in possession of Intellect, that realm is a union

of both, and is Truth. This combined Intellectual realm will be the Primal Intellect: we have only then to examine how this reality, conjoint of Intellectual-Principle and its object, is to be understood, whether as combining self-united identity with yet duality and difference, or what other relation holds between them.

If on the contrary the objects of Intellectual-Principle are without intelligence and life, what are they? They cannot be premisses, axioms, or predicates:⁷³ as predicates they would not have real existence; they would be affirmations linking separate entities, as when we affirm that justice is good though justice and good are distinct realities.

If we are told that they are self-standing entities – the distinct beings Justice and Good – then (supposing them to be outside) the Intellectual Realm will not be a unity nor be included in any unity: all is sundered individuality. Where, then, are they and what spatial distinction keeps them apart? How does the Intellectual-Principle come to meet with them as it travels round; what keeps each true to its character; what gives them enduring identity; what conceivable shape or character can they have? They are being presented to us as some collection of figures, in gold or some other material substance, the work of some unknown sculptor or graver: but at once the Intellectual-Principle which contemplates them becomes sense-perception; and there still remains the question how one of them comes to be Justice and another something else.

But the great argument is that if we go so far as to allow that these objects of Intellection are outside the Intellectual-Principle which therefore must see them as external, then inevitably it cannot possess the truth of them.

In all it looks upon, it sees falsely; for those objects must be the authentic things; yet it looks upon them without containing them and in such knowledge holds only their images; that is to say, not containing the authentic, adopting phantasms of the true, it holds the false; it never possesses reality. If it knows that it possesses the false, it must confess itself excluded from the truth; if it fails of this

knowledge also, imagining itself to possess the truth which has eluded it, then the doubled falsity puts it the deeper into error.

It is thus, I suppose, that in sense-perception we have belief instead of truth; belief is our lief;⁷⁴ we satisfy ourselves with something very different from the original which is the occasion of perception.

In fine, there would be on the hypothesis no truth in the Intellectual-Principle. But such an Intellectual-Principle would not be truth, nor truly an Intellectual-Principle. There would be no Intellectual-Principle at all (no Divine Mind): yet elsewhere truth cannot be.

2. Thus we may not look for the Intellectual objects (the Ideas) outside of the Intellectual-Principle, treating them as impressions of reality upon it: we cannot strip it of truth and so make its objects unknowable and non-existent and in the end annul the Intellectual-Principle itself. We must provide for knowledge and for truth; we must secure reality; being must become knowable essentially and not merely in that knowledge of quality⁷⁵ which could give us a mere image or vestige of the reality in lieu of possession, intimate association, absorption.

The only way to this is to leave nothing outside of the veritable Intellectual-Principle which thus has knowledge in the true knowing (that of identification with the object), cannot forget, need not go wandering in search. At once truth is there, this is the seat of the authentic Existents, it becomes living and intellectual: these are the essentials of that most lofty Principle; and, failing them, where is its worth, its grandeur?

Only thus (by this inherence of the Ideas) is it dispensed from demonstration and from acts of faith in the truth of its knowledge: it is its entire self, self-perspicuous: it knows a prior by recognizing its own source; it knows a sequent to that prior by its self-identity; of the reality of this sequent, of the fact that it is present and has authentic existence, no outer entity can bring it surer conviction.

Thus veritable truth is not accordance with an external; it is self-

accordance; it affirms nothing other than itself and is nothing other; it is at once existence and self-affirmation. What external, then, can call it to the question and from what source of truth could the refutation be brought? Any counter affirmation (of truth) must fall into identity with the truth which first uttered itself; brought forward as new it has to appear before the Principle which made the earlier statement and to show itself identical with that: for there is no finding anything truer than the true.

3. Thus we have here one identical Principle, the Intellect, which is the universe of authentic beings, the Truth: as such it is a great god or, better, not a god among gods but the Godhead entire. It is a god, a secondary god manifesting before there is any vision of that other, the Supreme which rests over all, enthroned in transcendence upon that splendid pediment, the nature following close upon it.⁷⁶

The Supreme in its progress could never be borne forward upon some soulless vehicle nor even directly upon the Soul: it will be heralded by some ineffable beauty: before the great King in his progress there comes first the minor train, then rank by rank the greater and more exalted, closer to the King the kinglier; next his own honoured company until, last among all these grandeurs, suddenly appears the Supreme Monarch himself, and all – unless indeed for those who have contented themselves with the spectacle before his coming and gone away – prostrate themselves and hail him.

In that royal progress the King is of another order from those that go before him, but the King in the Supreme is no ruler over externs; he holds that most just of governances, rooted in nature, the veritable kingship, for he is King of Truth, holding sway by all reason over a dense offspring his own, a host that shares his divinity, King over a king and over kings and even more justly called father of Gods.

Zeus⁷⁷ (Universal Soul) is in this a symbol of him, Zeus who is not content with the contemplation of his father (Kronos, divine Intellect) but looks to that father's father (to Ouranos, the

Transcendent) as what may be called the divine energy working to the establishment of real being.

4. We have said⁷⁸ that all must be brought back to a unity: this must be an authentic unity, not belonging to the order in which multiplicity is unified by participation in what is truly a One; we need a unity independent of participation, not a combination in which multiplicity holds an equal place: we have exhibited, also, the Intellectual Realm and the Intellectual-Principle as more closely a unity than the rest of things, so that there is nothing closer to The One. Yet even this is not The purely One.

This purely One, essentially a unity untouched by the multiple, this we now desire to penetrate if in any way we may.

Only by a leap can we reach to this One which is to be pure of all else, halting sharp in fear of slipping ever so little aside and impinging on the dual: for if we fail of the centre, we are in a duality which does not even include The authentic One but belongs, on both sides, to the later order. The One does not bear to be numbered in with anything else, with a one or a two or any such quantity; it refuses to take number because it is measure and not the measured; it is no peer of other entities to be found among them; for thus, it and they alike would be included in some container and this would be its prior, the prior it cannot have. Not even essential (ideal or abstract) number can belong to The One⁷⁹ and certainly not the still later number applying to quantities; for essential number first appears as the unfailing provider of Substance to the divine Intellection, while quantitative number is that (still later and lower) which furnishes the Quantity found in conjunction with other things or which provides for Quantity independent of things, if this is to be thought of as number at all. The Principle which in objects having quantitative number looks to the unity from which they spring is a copy (or lower phase) of the Principle which in the earlier order of number (in essential or ideal number) looks to the veritable One; and it attains its existence without in the least degree dissipating or shattering that prior

unity: the dyad has come into being, but the precedent monad still stands; and this monad is quite distinct within the dyad from either of the two constituent unities, since there is nothing to make it one rather than the other: being neither, but simply that thing apart, it is present without being inherent.

But how are the two unities distinct and how is the dyad a unity, and is this unity the same as the unity by which each of the constituents is one thing?

Our answer must be that the unity is that of a participation in the primal unity with the participants remaining distinct from that in which they partake; the dyad, in so far as it is one thing, has this participation, but in a certain degree only; the unity of an army is not that of a single building; and even the building, as a thing of extension, is not strictly a unit either quantitatively or in manner of being.

Are we then to take it that the monads in the pentad and decad differ while the unity in the pentad is the same as that in the decad?

Yes, in the sense in which, big and little, ship is one with ship, army with army, city with city; otherwise, no. But certain difficulties in this matter will be dealt with later.⁸⁰

5. We return to our statement that The First remains intact even when other entities spring from it.

In the case of numbers the unit remains intact while something else produces, and thus number arises in dependence on the unit: much more then does the unit, The One, remain intact in the principle which is before all beings; especially since the entities produced in its likeness, while it thus remains intact, owe their existence to no other, but to its own all-sufficient power.

And just as there is, primarily or secondarily, some form or idea from the monad in each of the successive numbers – the later still participating, though unequally, in the unit – so the series of Beings following upon The First bear, each, some form or idea derived

from that source. In Number the participation establishes Quantity; in the realm of Being, the trace of The One establishes reality: existence is a trace of The One – our word for entity may probably be connected with that for unity.

What we know as Being, the first sequent upon The One, advanced a little outward, so to speak, then chose to go no further, turned inward again and comes to rest and is now the reality and hearth (οὐσία and ἔστιά) of the universe.⁸¹ Pressing (with the rough breathing) on the word for Being (ὄν) we have the word for One (ἓν), an indication that in our very form of speech we tell, as far as may be, that Being (the weaker) is that which proceeds from (the stronger,) The One. Thus both the thing that comes to be and Being itself are carriers of a copy, since they are outflows from the power of The Primal One: the Soul sees and in its emotion tries to represent what it sees and breaks into speech ‘ὄν – εἶναι – οὐσία – ἔστιά’ (Existent; Existence; Essence; Hestia or Hearth), sounds which labour to express the essential nature of the universe produced by the travail of the utterer and so to represent, as far as sounds may, the origin of reality.

6. All this, however, we may leave to the individual judgement: to proceed:

This produced reality is an Ideal-form – for certainly nothing springing from the Supreme can be less – and it is not a particular form but the form of all, beside which there is no other; it follows that The First must be without form, and, if without form, then it is no Being; Being must have some definition and therefore be limited; but the First cannot be thought of as having definition and limit, for thus it would be not the Source but the particular item indicated by the definition assigned to it. If all things belong to the produced, which of them can be thought of as the Supreme? Not included among them, this can be described only as transcending them: but they are Being and the Beings; it therefore transcends Being.⁸²

Note that the phrase ‘transcending Being’ assigns no character, makes no assertion, allots no name, carries only the denial of particular being; and in this there is no attempt to circumscribe it: to seek to throw a line about that illimitable Nature would be folly, and anyone thinking to do so cuts himself off from any slightest and most momentary approach to its least vestige.

As one wishing to contemplate the Intellectual Nature will lay aside all the representations of sense and so may see what transcends the sense-realm, in the same way one wishing to contemplate what transcends the Intellectual attains by putting away all that is of the intellect, taught by the intellect, no doubt, that the Transcendent exists but never seeking to define it.

Its definition, in fact, could be only ‘the indefinable’: what is not a thing is not some definite thing. We are in agony for a true expression; we are talking of the untellable; we name, only to indicate for our own use as best we may. And this name, The One, contains really no more than the negation of plurality: under the same pressure the Pythagoreans found their indication in the symbol ‘Apollo’ (α = not; $\pi\omicron\lambda\lambda\acute{\omega}\nu$ = of many) with its repudiation of the multiple.⁸³ If we are led to think positively of The One, name and thing, there would be more truth in silence: the designation, a mere aid to inquiry, was never intended for more than a preliminary affirmation of absolute simplicity to be followed by the rejection of even that statement: it was the best that offered, but remains inadequate to express the nature indicated. For this is a principle not to be conveyed by any sound; it cannot be known on any hearing but, if at all, by vision; and to hope in that vision to see a form is to fail of even that.

7. Consider the act of ocular vision:

There are two elements here; there is the form perceptible to the sense and there is the medium by which the eye sees that form. This medium is itself perceptible to the eye, distinct from the form to be seen, but the cause of the seeing; it is perceived at the one

stroke in that form and on it and hence is not distinguished from it, the eye being held entirely by the illuminated object. When on the contrary this medium presents itself alone it is seen directly – though even then actual sight demands some solid base; there must be something besides the medium which unless embracing some object eludes perception; thus the light inherent to the sun would not be perceived but for the solidity of the mass. If it is objected that the sun is light entire, this would only be a proof of our assertion: no other visible form will contain light which must, then, have no other property than that of visibility, and in fact all other visible objects are something more than light alone.

So it is with the act of vision in the Intellectual Principle.

This vision sees, by another light, the objects illuminated by the First Principle: setting itself among them, it sees veritably; declining towards the lower nature, that upon which the light from above rests, it has less of that vision. Passing over the visible and looking to the medium by which it sees, then it holds the Light and the source of Light.

But since the Intellectual-Principle is not to see this light as something external we return to our analogy: the eye is not wholly dependent upon an outside and alien light; there is an earlier light within itself, a more brilliant, which it sees sometimes in a momentary flash. At night in the darkness a gleam leaps from within the eye: or again we make no effort to see anything; the eyelids close; yet a light flashes before us; or we rub the eye and it sees the light it contains. This is sight without the act, but it is the truest seeing, for it sees light whereas its other objects were the lit, not the light.

It is certainly thus that the Intellectual-Principle, hiding itself from all the outer, withdrawing to the inmost, seeing nothing, must have its vision – not of some other light in some other thing but of the light within itself, unmingled, pure, suddenly gleaming before it;

8. so that we are left wondering whence it came, from within or without; and when it has gone, we say, 'It was here. Yet no; it was beyond!' But we ought not to question whence; there is no whence, no coming or going in place; now it is seen and now not seen. We must not run after it, but fit ourselves for the vision and then wait tranquilly for its appearance, as the eye waits on the rising of the sun, which in its own time appears above the horizon – out of the ocean, as the poets say⁸⁴ – and gives itself to our sight.

This Principle, of which the sun is an image, where has it its dawning, what horizon does it surmount to appear?

It stands immediately above the contemplating Intellect which has held itself at rest towards the vision, looking to nothing else than the good and beautiful, setting its entire being to that in a perfect surrender, and now tranquilly filled with power and taking a new beauty to itself, gleaming in the light of that presence.

This advent, still, is not by expectation: it is coming without approach; the vision is not of something that must enter but of something present before all else, before the Intellect itself made any movement. Yet it is the Intellect that must move, to come and to go – going because it has not known where it should stay and where that presence stays, the nowhere contained.

And if the Intellect, too, could hold itself in that nowhere – not that it is ever in place; it too is uncontained, utterly unplaced – it would remain for ever in the vision of its prior, or, indeed, not in vision but in identity, all duality annulled. But it is Intellect (having a sphere of its own) and when it is to see it must see by that in it which is not Intellect (by its divinest power).

No doubt it is wonderful that The First should thus be present without any coming, and that, while it is nowhere, nowhere is it not: but wonderful though this be in itself, the contrary would be more wonderful to those who know. Of course neither this contrary nor the wonder at it can be entertained. But we must explain:

9. Everything brought into being under some principle not itself is contained either within its maker or, if there is any intermediate,

within that: having a prior essential to its being, it needs that prior always, otherwise it would not be contained at all. It is the order of nature: the last in the immediately preceding lasts, these in their priors, and so thing within thing up to the very pinnacle of source.

That Source, having no prior, cannot be contained: uncontained by any of those other forms of being, each held within the series of priors, it is orb'd round all, but so as not to be pointed off to hold them part for part; it possesses but is not possessed. Holding all – though itself nowhere held – it is omnipresent, for where its presence failed something would elude its hold. At the same time, in the sense that it is nowhere held it is not present: thus it is both present and not present; not present as not being circumscribed by anything; yet, as being utterly unattached, not inhibited from presence at any point. That inhibition would mean that the First was determined by some other being; the later series, then, would be without part in the Supreme; God has His limit and is no longer self-governed but mastered by inferiors.

While the contained must be where its container is, what is uncontained by place is not debarred from any: for, imagine a place where it is not and evidently some other place retains it; at once it is contained and there is an end of its placelessness.⁸⁵

But if the 'nowhere' is to stand and the ascription of a 'where', implying station in the extern, is to fall, then nothing can be left void; and at once – nothing void, yet no point containing – God is sovrantly present through all. We cannot think of something of God here and something else there, nor of all God gathered at some one spot: there is an instantaneous presence everywhere, nothing containing and nothing left void, everything therefore fully held by the divine.

Consider our universe. There is none before it and therefore it is not, itself, in a universe or in any place – what place was there before the universe came to be? – its linked members form and occupy the whole. But Soul is not in the universe, on the contrary the universe is in the Soul; bodily substance is not a place to the

Soul; Soul is contained in Intellectual-Principle and is the container of body.⁸⁶ The Intellectual-Principle in turn is contained in something else; but that prior principle has nothing in which to be: the First is therefore in nothing, and, therefore, nowhere. But all the rest must be somewhere; and where but in the First?

This can mean only that the First is neither remote from things nor directly within them; there is nothing containing it; it contains all. It is The Good to the universe if only in this way, that towards it all things have their being, all dependent upon it, each in its mode, so that thing rises above thing in goodness according to its fuller possession of authentic being.

10. Still, do not, I urge you, look for The Good through any of these other things; if you do, you will see not itself but its trace: you must form the idea of that which is to be grasped, cleanly standing to itself, not in any combination, the unheld in which all have hold: for no other is such, yet one such there must be.

Now it is clear that we cannot possess ourselves of the power of this principle in its concentrated fullness: so to do one must be identical with it: but some partial attainment is within our reach.

You who make the venture will throw forward all your being but you will never tell it entire – for that, you must yourself be the divine Intellect in Act⁸⁷ – and at your utmost success it will still pass from you or, rather, you from it. When you see The Good, see it entire: later you may think of it and identify with The Good whatever you can remember.⁸⁸

It is The Good since, being a power (being effective outwardly), it is the cause of the intelligent and intellective life as of life and intellect: for these grow from it as from the source of essence and of existence, the Source as being One (where all else has duality), simplex and first because before it was nothing. All derives from this: it is the origin of the primal movement which it does not possess and of the repose which is but its absence of need; for neither rest nor movement can belong to that which has no place in which either could occur;⁸⁹ centre, object, ground, all are alike

unknown to it, for it is before all. Yet its being is not limited; what is there to set bounds to it? Nor, on the other hand, is it infinite in the sense of magnitude; what place can there be to which it must extend, or why should there be movement where there is no lacking? All its infinitude resides in its power: it does not change and will not fail; and in it all that is unfailing finds duration.

11. It is infinite also by right of being a pure unity with nothing towards which to direct any partial content. Absolutely One, it has never known measure and stands outside of number, and so is under no limit either in regard to any extern or within itself; for any such determination would bring something of the dual into it. And having no constituent parts it accepts no pattern, forms no shape.

Reason recognizing it as such a nature, you may not hope to see it with mortal eyes, nor in any way that would be imagined by those who make sense the test of reality and so annul the supremely real. For what passes for the most truly existent is most truly non-existent – the thing of extension least real of all – while this unseen First is the source and principle of Being and sovran over Reality.

You must turn appearances about or you will be left void of God. You will be like those at the festivals who in their gluttony cram themselves with things which none going to the gods may touch; they hold these goods to be more real than the vision of the God who is to be honoured and they go away having had no share in the sanctities of the shrine.

In these rites of philosophy, the unseen god leaves those in doubt of his existence who think nothing patent but what may be known to the flesh: it happens as if a man slept a life through and took the dream world in perfect trust; wake him, and he would refuse belief to the report of his open eyes and settle down to sleep again.

12. Knowing demands the organ fitted to the object; eyes for one kind, ears for another: similarly some things, we must believe, are to be known by the Intellectual-Principle in us. We must not confuse intellection with hearing or seeing; this would be trying to

look with the ears or denying sound because it is not seen. Certain people, we must keep in mind, have forgotten that to which from the beginning onwards their longing and effort are pointed: for all that exists desires and aspires towards the Supreme by a compulsion of nature, as if all had received the oracle that without it they cannot be.

The perception of Beauty and the awe and the stirring of passion towards it are for those already in some degree knowing and awakened: but the Good, as possessed long since and setting up a natural tendency, is inherently present to even those asleep and brings them no wonder when some day they see it, since it is no occasional reminiscence but is always with them though in their drowse they are not aware of it: the love of Beauty on the contrary sets up pain when it appears, for those that have seen it must pursue. This love of Beauty then is later than the love of Good and comes with a more sophisticated understanding; hence we know that Beauty is a secondary: the more primal appetite, not patent to sense, our movement towards our good, gives witness that The Good is the earlier, the prior.

Again; all that have possessed themselves of The Good feel it sufficient; they have attained the end: but Beauty not all have known and those that have judge it to exist for itself and not for them, as in the charm of this world the beauty belongs only to its possessor.

Then, too, it is thought enough to appear loveable whether one is so or not: but no one wants his Good in semblance only.⁹⁰ All are seeking The First as something ranking before aught else, but they struggle venomously for Beauty as something secondary like themselves: thus some minor personage may perhaps challenge equal honour with the King's right-hand man on pretext of similar dependence, forgetting that, while both owe their standing to the monarch, the other holds the higher rank.

The source of the error is that while both The Good and The Beautiful participate in the common source, The One precedes both;

and that, in the Supreme also, The Good has no need of The Beautiful, while The Beautiful does need The Good.

The Good is gentle and friendly and tender, and we have it present when we but will. Beauty is all violence and stupefaction; its pleasure is spoiled with pain, and it even draws the thoughtless away from The Good as some attraction will lure the child from the father's side: these things tell of youth. The Good is the older – not in time but by degree of reality – and it has the higher and earlier power, all power in fact, for the sequent holds only a power subordinate and delegated of which the prior remains sovereign.

Not that God has any need of His derivatives: He ignores all that produced realm, never necessary to Him, and remains identically what He was before He brought it into being. So too, had the secondary never existed, He would have been unconcerned, exactly as He would not have grudged existence to any other universe that might spring into being from Him, were any such possible; of course no other such could be since there is nothing that has not existence once the All exists.

But God never was the All; that would make Him dependent upon the universe: transcending all, He was able at once to make all things and to leave them to their own being, He above.

13. The Supreme, as the Absolute Good and not merely a good being or thing, can contain nothing, since there is nothing that could be its good.⁹¹

Anything it could contain must be either good to it or not good; but in the supremely and primally Good there can be nothing not good; nor can the Absolute Good be a container to the Good: containing, then, neither the good nor the not-good it contains nothing and, containing nothing, it is alone: it is void of all but itself.

If the rest of being either is good – without being the absolute good – or is not good while on the other hand the Supreme contains neither what is good nor what is not good, then, containing

nothing, it is The Good by that very absence of content.

Thus we rob it of its very being as The Absolute Good if we ascribe anything to it, existence or intellect or goodness. The only way is to make every denial and no assertion, to feign no quality or content there but to permit only the 'It is' in which we pretend to no affirmation of non-existent attribute: there is an ignorant praise which, missing the true description, drags in qualities beneath the real worth and so abases; philosophy must guard against attaching to the Supreme what is later and lower: moving above all that order, it is the cause and source of all these, and is none of them.

For, once more, the nature of the Good is not such as to make it all things or a thing among all: that would range it under the same classification with them all and it would differ, thus, only by its individual quality, some speciality, some addition. At once it becomes not a unity but a duality; there is one common element not good and another element that is good; but a combination so made up of good and not-good cannot be the purely good, the primarily good; the primarily good must be that principle in which the better element has more effectively participated and so attained its goodness. Any good thing has become so by communion; but that in which it has communion is not a thing among the things of the All; therefore the Good is not a thing of the All.

Since there is this Good in any good thing – the specific difference by which the combination becomes good – it must enter from elsewhere than the world of things: it must be simplex, good alone: and therefore – and much more – must that source be a Good absolute and isolated.

Thus is revealed to us the Primarily existent, the Good, above all that has being, good unalloyed, containing nothing in itself, utterly unmingling, all-transcending, cause of all.

Certainly neither Being nor Beauty springs from evil or from the neutral; the maker, as the more consummate, must surpass the made.

SEVENTH TRACTATE



IS THERE AN IDEAL ARCHETYPE OF PARTICULAR BEINGS? [18]

SUMMARY

This treatise has the peculiar interest of being an argument in favour of there being Forms of particulars, a notion that runs counter to traditional Platonist doctrine, according to which there can only be Forms of universals, which Plotinus appears to accept elsewhere (V. 9 [5] 12, earlier than the present treatise, and VI. 5 [23] 8, later than it). Plotinus here seems to accept the notion of Forms of particulars, influenced to some extent by the Stoic doctrine of the idiôs poion, the peculiar quality of each individual, but he really only contemplates this in the case of individual human beings. (On this question, see H. J. Blumenthal, 'Did Plotinus believe in Ideas of Individuals?', Phronesis XI (1966), pp. 61–80.)

1. We have to examine the question⁹² whether there exists an ideal archetype of individuals, in other words whether I and every other human being go back to the Intellectual, every (living) thing having origin and principle There.

If Socrates, Socrates' soul, is eternal, then the Authentic Socrates – to adopt the term – must be There, that is to say, the individual soul has an existence in the Supreme as well as in this world. If there is no such permanent endurance – and what was Socrates may with change of time become another soul and be Pythagoras or someone else – then the individual Socrates has not that existence in the Divine.

But if the Soul of the individual contains the Reason-Principles

of all that it traverses, once more all men have their (archetypic) existence There: and it is our doctrine that every soul contains all the Reason-Principles that exist in the Cosmos: since then the Cosmos contains the Reason-Principles not merely of man, but also of all individual living things, so must the Soul. Its content of Reason-Principles, then, must be limitless, unless there be a periodical renovation⁹³ bounding the boundlessness by the return of a former series.

But if (in virtue of this periodic return) each archetype may be reproduced by numerous existents, what need is there that there be distinct Reason-Principles and archetypes for each existent in any one period? Might not one (archetypal) man suffice for all, and similarly a limited number of souls produce a limitless number of men?

No: one Reason-Principle cannot account for distinct and differing individuals: one human being does not suffice as the exemplar for many distinct each from the other not merely in material constituents but by innumerable variations of ideal type: this is no question of various pictures or images reproducing an original Socrates; the beings produced differ so greatly as to demand distinct Reason-Principles. The entire soul-period conveys with it all the requisite Reason-Principles and so too the same existents appear once more under their action.

There is no need to balk at this limitlessness in the Intellectual; it is an infinitude having nothing to do with number or part; what we may think of as its outgoing is no other than its characteristic Act.

2. But individuals are brought into being by the union of the Reason-Principles of the parents male and female: this seems to do away with a definite Reason-Principle for each of the offspring: one of the parents – the male let us say – is the source; and the offspring is determined not by Reason-Principles differing from child to child but by one only, the father's or that of the father's father.

No: a distinct Reason-Principle may be the determinant for the

child since the parent contains all: they would become effective at different times.

And so of the differences among children of the same parents: it is a matter of varying dominance: not that the offspring – whether it so appears or not – has been mainly determined by, now, the male, now, the female but, while each principle has given itself entire and lies there within, yet the bodily substance may be effectively moulded by both or by one only of the two.

And how are the differences to be explained which are caused by birth in different parts of the womb?

Is the differentiating element to be found in the varying resistance of the material of the body?

No: if this were so, all men with the exception of one only would be untrue to nature.

Difference often results in beauty, and so there must be differing archetypes. Deformity alone should be explained by a power in Matter to thwart nature by overmastering the perfect Reason-Principles, hidden but given, all.

Still, admitting the diversity of the Reason-Principles, why need there be as many as there are men born in each Period, once it is granted that different beings may take external manifestation under the presence of the same principles?

Under the presence of all; agreed: but with the dominance of the very same? That is still open to question.

May we not take it that there may be identical reproduction from one Period to another but not in the same Period?

3. In the case of twin birth among human beings how can we make out the Reason-Principles to be different; and still more when we turn to the animals and especially those with litters?

Where the young are precisely alike, there is one Reason-Principle.

But this would mean that after all there are not as many Reason-

Principles as separate beings?

As many as there are of differing beings, differing by something more than a mere failure in complete reproduction of their Idea.

And why may there not be different Reason-Principles even in beings untouched by differentiation, if indeed there be any such?

A craftsman even in constructing an object identical with a model must envisage that identity in a mental differentiation enabling him to make a second thing by bringing in some difference side by side with the identity: similarly in nature, where the new thing comes about not by reasoning but in sole virtue of Reason-Principles, that differentiation must be included in the archetypal idea, though it is not in our power to perceive the difference.

The consideration of Quantity brings the same result:

If production is undetermined in regard to Quantity, we need another line of approach; but if there is a measured system the Quantity has been determined by the unrolling and unfolding of the Reason-Principles of all the existences.

Thus when the universe has reached its term, there will be a fresh beginning, since the entire Quantity which the Cosmos is to exhibit, every item that is to emerge in its course, all is laid up from the first in the Being that contains the Reason-Principles.

Are we, then, looking to the brute realm, to hold that there are as many Reason-Principles as distinct creatures born in a litter?

Why not? There is nothing alarming about such limitlessness in generative forces and in Reason-Principles, when Soul is there to sustain all.

As in Soul (principle of Life) so in Divine Mind (principle of Idea) there is this infinitude of recurring generative powers; the Beings there are unfailing.

EIGHTH TRACTATE



ON THE INTELLECTUAL BEAUTY [31]

SUMMARY

This is the second section of the large treatise divided by Porphyry into four tractates: III. 8, V. 8, V. 5 and II. 9. It seeks to penetrate for us the nature of Intellect, by means, initially, of a contemplation of beauty and order, inspired ultimately by Diotima's speech in the Symposium (210Aff.). The tractate contains (ch. 4–7) a penetrating analysis of the nature of non-discursive thought proper to intellect. In ch. 10–13 we find an interesting allegorization of Zeus and Kronos, as symbols of the sensible and intelligible worlds respectively.

1. It is a principle with us that one who has attained to the vision of the Intellectual Cosmos and grasped the beauty of the Authentic Intellect will be able also to come to understand the Father and Transcendent of that Divine Being.⁹⁴ It concerns us, then, to try to see and say, for ourselves and as far as such matters may be told, how the Beauty of the divine Intellect and of the Intellectual Cosmos may be revealed to contemplation.

Let us go to the realm of magnitudes: – suppose two blocks of stone lying side by side: one is unpatterned, quite untouched by art; the other has been minutely wrought by the craftsman's hands into some statue of god or man, a Grace or a Muse, or if a human being, not a portrait but a creation in which the sculptor's art has concentrated all loveliness.

Now it must be seen that the stone thus brought under the

artist's hand to the beauty of form is beautiful not as stone – for so the crude block would be as pleasant – but in virtue of the Form or Idea introduced by the art. This form is not in the material; it is in the designer before ever it enters the stone; and the artificer holds it not by his equipment of eyes and hands but by his participation in his art. The beauty, therefore, exists in a far higher state in the art; for it does not come over integrally into the work; that original beauty is not transferred; what comes over is a derivative and a minor: and even that shows itself upon the statue not integrally and with entire realization of intention but only in so far as it has subdued the resistance of the material.

Art, then, creating in the image of its own nature and content, and working by the Idea or Reason-Principle of the beautiful object it is to produce, must itself be beautiful in a far higher and purer degree since it is the seat and source of that beauty, indwelling in the art, which must naturally be more complete than any comeliness of the external. In the degree in which the beauty is diffused by entering into matter, it is so much the weaker than that concentrated in unity; everything that reaches outwards is the less for it, strength less strong, heat less hot, every power less potent, and so beauty less beautiful.

Then again every prime cause must be, within itself, more powerful than its effect can be: the musical does not derive from an unmusical source but from music; and so the art exhibited in the material work derives from an art yet higher.

Still the arts are not to be slighted on the ground that they create by imitation of natural objects; for, to begin with, these natural objects are themselves imitations; then, we must recognize that they give no bare reproduction of the thing seen but go back to the Reason-Principles from which Nature itself derives, and, furthermore, that much of their work is all their own; they are holders of beauty and add where nature is lacking. Thus Pheidias⁹⁵ wrought the Zeus upon no model among things of sense but by apprehending what form Zeus must take if he chose to become

manifest to sight.

2. But let us leave the arts and consider those works produced by Nature and admitted to be naturally beautiful which the creations of art are charged with imitating, all reasoning life and unreasoning things alike, but especially the consummate among them, where the moulder and maker has subdued the material and given the form he desired. Now what is the beauty here? It has nothing to do with the blood or the menstrual process: either there is also a colour and form apart from all this or there is nothing unless sheer ugliness or (at best) a bare recipient, as it were the mere Matter of beauty.

Whence shone forth the beauty of Helen, battle-sought; or of all those women like in loveliness to Aphrodite; or of Aphrodite herself; or of any human being that has been perfect in beauty; or of any of these gods manifest to sight, or unseen but carrying what would be beauty if we saw?

In all these is it not the Idea, something of that realm but communicated to the produced from within the producer, just as in works of art, we held, it is communicated from the arts to their creations? Now we can surely not believe that, while the made thing and the Idea thus impressed upon Matter are beautiful, yet the Idea not so alloyed but resting still with the creator – the Idea primal, immaterial, firmly a unity – is not Beauty.

If material extension were in itself the ground of beauty, then the creating principle, being without extension, could not be beautiful: but beauty cannot be made to depend upon magnitude since, whether in a large object or a small, the one Idea equally moves and forms the mind by its inherent power. A further indication is that as long as the object remains outside us we know nothing of it; it affects us by entry; but only as an Idea can it enter through the eyes which are not of scope to take an extended mass: we are, no doubt, simultaneously possessed of the magnitude which, however, we take in not as mass but by an elaboration upon the presented form.

Then again the principle producing the beauty must be, itself,

ugly, neutral, or beautiful: ugly, it could not produce the opposite; neutral, why should its product be the one rather than the other? The Nature, then, which creates things so lovely must be itself of a far earlier beauty; we, undisciplined in discernment of the inward, knowing nothing of it, run after the outer, never understanding that it is the inner which stirs us; we are in the case of one who sees his own reflection⁹⁶ but not realizing whence it comes goes in pursuit of it.

But that the thing we are pursuing is something different and that the beauty is not in the concrete object is manifest from the beauty there is in matters of study, in conduct and custom;⁹⁷ briefly, in soul or mind. And it is precisely here that the greater beauty lies, perceived whenever you look to the wisdom in a man and delight in it, not wasting attention on the face, which may be hideous, but passing all appearance by and catching only at the inner comeliness, the truly personal; if you are still unmoved and cannot acknowledge beauty under such conditions, then looking to your own inner being you will find no beauty to delight you and it will be futile in that state to seek the greater vision, for you will be questing it through the ugly and impure.

This is why such matters are not spoken of to everyone; you, if you are conscious of beauty within, remember.

3. Thus there is in the Nature-Principle itself an Ideal archetype of the beauty that is found in material forms and, of that archetype again, the still more beautiful archetype in Soul, source of that in Nature.⁹⁸ In the proficient soul this is brighter and of more advanced loveliness: adorning the soul and bringing to it a light from that greater light which is Beauty primally, its immediate presence sets the soul reflecting upon the quality of this prior, the archetype which has no such entries, and is present nowhere but remains in itself alone, and thus is not even to be called a Reason-Principle but is the creative source of the very first Reason-Principle which is the Beauty to which Soul serves as Matter.

This prior, then, is the Intellectual-Principle, the veritable, abiding and not fluctuant since not taking intellectual quality from

outside itself. By what image, thus, can we represent it? We have nowhere to go but to what is less. Only from itself can we take an image of it; that is, there can be no representation of it, except in the sense that we represent gold by some portion of gold – purified, either actually or mentally, if it be impure – insisting at the same time that this is not the total thing gold, but merely the particular gold of a particular parcel. In the same way we learn in this matter from the purified Intellect in ourselves, or, if you like, from the gods and the glory of the Intellect in them.

For assuredly all the gods are august and beautiful in a beauty beyond our speech.⁹⁹ And what makes them so? Intellect; and especially Intellect operating within them (divine sun and stars) to visibility. It is not through the loveliness of their corporeal forms: even those that have body are not gods by that beauty; it is in virtue of Intellect that they, too, are gods, and as gods beautiful. They do not veer between wisdom and folly: in the immunity of Intellect unmoving and pure, they are wise always, all-knowing, taking cognizance not of the human but of their own being and of all that lies within the contemplation of Intellect. Those of them whose dwelling is in the heavens are ever in this meditation – what task prevents them? – and from afar they look, too, into that further heaven by a lifting of the head.¹⁰⁰ The gods belonging to that higher Heaven itself, they whose station is upon it and in it, see and know in virtue of their omnipresence to it. For all There is heaven;¹⁰¹ earth is heaven, and sea heaven; and animal and plant and man; all is the heavenly content of that heaven: and the gods in it, despising neither men nor anything else that is there where all is of the heavenly order, traverse all that country and all space in peace.

4. To ‘live at ease’¹⁰² is There; and to these divine beings verity is mother and nurse, existence and sustenance; all that is not of process but of authentic being they see, and themselves in all: for all is transparent, nothing dark, nothing resistant; every being is lucid to every other, in breadth and depth; light runs through light.

And each of them contains all within itself, and at the same time sees all in every other, so that everywhere there is all, and all is all and each all, and infinite the glory. Each of them is great; the small is great; the sun, There, is all the stars; and every star, again, is all the stars and sun. While some one manner of being is dominant in each, all are mirrored in every other.

Movement There is pure (as self-caused), for the moving principle is not a separate thing to complicate it as it speeds.

So, too, Repose is not troubled, for there is no admixture of the unstable; and the Beauty is all beauty since it is not resident in what is not beautiful. Each There walks upon no alien soil; its place is its essential self; and, as each moves, so to speak, towards what is Above, it is attended by the very ground from which it starts: there is no distinguishing between the Being and the Place; all is Intellect, the Principle and the ground on which it stands, alike. Thus we might think that our visible sky (the ground or place of the stars), lit as it is, produces the light which reaches us from it, though of course this is really produced by the stars (as it were, by the Principles of light alone, not also by the ground as the analogy would require).

In our realm all is part rising from part and nothing can be more than partial; but There each being is an eternal product of a whole and is at once a whole and an individual manifesting as part but, to the keen vision There, known for the whole it is.

The myth of Lynceus¹⁰³ seeing into the very deeps of the earth tells us of those eyes in the divine. No weariness overtakes this vision which yet brings no such satiety as would call for its ending; for there never was a void to be filled so that, with the fullness and the attainment of purpose, the sense of sufficiency be induced: nor is there any such incongruity within the divine that one Being There could be repulsive to another: and of course all There are unchangeable. This absence of satisfaction means only a satisfaction leading to no distaste for that which produces it; to see is to look the more, since for them to continue in the contemplation of an

infinite self and of infinite objects is but to acquiesce in the bidding of their nature.

Life, pure, is never a burden; how then could there be weariness There where the living is most noble? That very life is wisdom, not a wisdom built up by reasonings but complete from the beginning, suffering no lack which could set it inquiring, a wisdom primal, unborrowed, not something added to the Being, but its very essence. No wisdom, thus, is greater; this is the authentic knowing, assessor to the divine Intellect as projected into manifestation simultaneously with it; thus, in the symbolic saying, Justice is assessor to Zeus.¹⁰⁴

(Perfect wisdom:) for all the Principles of this order, dwelling There, are as it were visible images projected from themselves, so that all becomes an object of contemplation to contemplators immeasurably blessed.¹⁰⁵ The greatness and power of the wisdom There we may know from this, that it embraces all the real Beings, and has made all and all follow it, and yet that it is itself those beings, which sprang into being with it, so that all is one and the essence There is wisdom. If we have failed to understand, it is that we have thought of knowledge as a mass of theorems and an accumulation of propositions, though that is false even for our sciences of the sense-realm. But in case this should be questioned, we may leave our own sciences for the present, and deal with the knowing in the Supreme at which Plato glances where he speaks of ‘that knowledge which is not a stranger in something strange to it’^{105a} – though in what sense, he leaves us to examine and declare, if we boast ourselves worthy of the discussion. This is probably our best starting-point.

5. All that comes to be, work of nature or of craft, some wisdom has made: everywhere a wisdom presides at a making.

No doubt the wisdom of the artist may be the guide of the work; it is sufficient explanation of the wisdom exhibited in the arts; but the artist himself goes back, after all, to that wisdom in Nature

which is embodied in himself; and this is not a wisdom built up of theorems but one totality, not a wisdom consisting of manifold detail co-ordinated into a unity but rather a unity working out into detail.

Now, if we could think of this as the primal wisdom, we need look no further, since, at that, we have discovered a principle which is neither a derivative nor a 'stranger in something strange to it'.¹⁰⁶ But if we are told that, while this Reason-Principle is in Nature, yet Nature itself is its source, we ask how Nature came to possess it; and, if Nature derived it from some other source, we ask what that other source may be; if, on the contrary, the principle is self-sprung, we need look no further: but if (as we assume) we are referred to the Intellectual-Principle we must make clear whether the Intellectual-Principle engendered the wisdom: if we learn that it did, we ask whence: if from itself, then inevitably it is itself Wisdom.

The true Wisdom, then (found to be identical with the Intellectual-Principle), is Real Being; and Real Being is Wisdom; it is wisdom that gives value to Real Being; and Being is Real in virtue of its origin in wisdom. It follows that all forms of existence not possessing wisdom are, indeed, Beings in right of the wisdom which went to their forming, but, as not in themselves possessing it, are not Real Beings.

We cannot, therefore, think that the divine Beings of that sphere, or the other supremely blessed There, need look to our apparatus of science: all of that realm (the very Beings themselves), all is noble image, such images as we may conceive to lie within the soul of the wise – but There not as inscription¹⁰⁷ but as authentic existence. The ancients had this in mind when they declared the Ideas (Forms) to be Beings, Essentials.

6. Similarly, as it seems to me, the wise of Egypt – whether in precise knowledge or by a prompting of nature – indicated the truth where, in their effort towards philosophical statement, they left aside the writing-forms that take in the detail of words and

sentences – those characters that represent sounds and convey the propositions of reasoning – and drew pictures instead, engraving in the temple-inscriptions a separate image for every separate item: thus they exhibited the absence of discursiveness in the Intellectual Realm.¹⁰⁸

For each manifestation of knowledge and wisdom is a distinct image, an object in itself, an immediate unity, not an aggregate of discursive reasoning and detailed willing. Later from this wisdom in unity there appears, in another form of being, an image, already less compact, which announces the original in terms of discourse and unravels the causes by which things are such that the wonder rises how a generated world can be so excellent.

For, one who knows must declare his wonder that this wisdom, while not itself containing the causes by which Being exists and takes such excellence, yet imparts them to the entities produced according to its canons. This excellence, whose necessity is scarcely or not at all manifest to search, exists, if we could but find it out, before all searching and reasoning.

What I say may be considered in one chief thing, and thence applied to all the particular entities:

7. Consider the universe: we are agreed that its existence and its nature come to it from beyond itself; are we, now, to imagine that its maker first thought it out in detail – the earth, and its necessary situation in the middle; water and, again, its position as lying upon the earth; all the other elements and objects up to the sky in due place and order; living beings with their appropriate forms as we know them, their inner organs and their outer limbs – and that having thus appointed every item beforehand, he then set about the execution?

Such designing was not even possible;¹⁰⁹ how could the plan for a universe come to one that had never looked outward? Nor could he work on material gathered from elsewhere as our craftsmen do, using hands and tools; feet and hands are of the later order.

One way, only, remains: all things must exist in something else; of that prior – since there is no obstacle, all being continuous

within the realm of reality – there has suddenly appeared a sign, an image, whether given forth directly or through the ministry of soul or of some phase of soul matters nothing for the moment: thus the entire aggregate of existence springs from the divine world, in greater beauty There because There unmingled but mingled here.

From the beginning to end all is gripped by the Forms of the Intellectual Realm: Matter itself is held by the Ideas of the elements and to these Ideas are added other Ideas and others again, so that it is hard to work down to crude Matter beneath all that sheathing of Idea. Indeed since Matter itself is, in its degree, an Idea¹¹⁰ – the lowest – all this universe is Idea and there is nothing that is not Idea as the archetype was. And all is made silently, since nothing had part in the making but Being and Idea – a further reason why creation went without toil. The Exemplar was the Idea of an All and so an All must come into being.

Thus nothing stood in the way of the Idea, and even now it dominates, despite all the clash of things: the creation is not hindered on its way even now; it stands firm in virtue of being All. To me, moreover, it seems that if we ourselves were archetypes, Ideas, veritable Being, and the Idea with which we construct here were our veritable Essence, then our creative power, too, would toillessly effect its purpose: as man now stands, he does not produce in his work a true image of himself: become man, he has ceased to be the All; ceasing to be man – we read¹¹¹ – ‘he soars aloft and administers the Cosmos entire’; restored to the All he is maker of the All.

But – to our immediate purpose – it is possible to give a reason why the earth is set in the midst and why it is round and why the ecliptic runs precisely as it does, but, looking to the creating principle, we cannot say that because this was the way therefore things were so planned: we can say only that because the Exemplar is what it is, therefore the things of this world are good; the causing principle, we might put it, reached the conclusion before all formal reasoning and not from any premisses, not by sequence or plan but

before either, since all of that order is later, all reason, demonstration, persuasion.

Since there is a Source, all the created must spring from it and in accordance with it; and we are rightly told¹¹² not to go seeking the causes impelling a Source to produce, especially when this is the perfectly sufficient Source and identical with the Term: a Source which is Source and Term must be the All-Unity, complete in itself.

8. This then is Beauty primally: it is entire and omnipresent as an entirety; and therefore in none of its parts or members lacking in beauty; beautiful thus beyond denial. Certainly it cannot be anything (be, for example, Beauty) without being wholly that thing; it can be nothing which it is to possess partially or in which it utterly fails (and therefore it must entirely be Beauty entire).

If this principle were not beautiful, what other could be? Its prior does not deign to be beautiful; that which is the first to manifest itself— Form and object of vision to the intellect — cannot but be lovely to see. It is to indicate this that Plato,¹¹³ drawing on something well within our observation, represents the Creator as approving the work he has achieved: the intention is to make us feel the lovable beauty of the archetype and of the Divine Idea; for to admire a representation is to admire the original upon which it was made.

It is not surprising if we fail to recognize what is passing within us: lovers, and those in general that admire beauty here, do not stay to reflect that it is to be traced, as of course it must be, to the Beauty There. That the admiration of the Demiurge is to be referred to the Ideal Exemplar is deliberately made evident by the rest of the passage: ‘He admired; and determined to bring the work into still closer likeness with the Exemplar’: he makes us feel the magnificent beauty of the Exemplar by telling us that the Beauty sprung from this world is, itself, a copy from That.

And indeed if the divine did not exist, the transcendently beautiful, in a beauty beyond all thought, what could be lovelier than the things we see? Certainly no reproach can rightly be

brought against this world save only that it is not That.114

9. Let us, then, make a mental picture of our universe:115 each member shall remain what it is, distinctly apart; yet all is to form, as far as possible, a complete unity so that whatever comes into view, say the outer orb of the heavens, shall bring immediately with it the vision, on the one plane, of the sun and of all the stars with earth and sea and all living things as if exhibited upon a transparent globe.

Bring this vision actually before your sight, so that there shall be in your mind the gleaming representation of a sphere, a picture holding all the things of the universe moving or in repose or (as in reality) some at rest, some in motion. Keep this sphere before you, and from it imagine another, a sphere stripped of magnitude and of spatial differences; cast out your inborn sense of Matter, taking care not merely to attenuate it: call on God, maker of the sphere whose image you now hold, and pray Him to enter. And may He come bringing His own Universe with all the gods that dwell in it – He who is the one God and all the gods, where each is all, blending into a unity, distinct in powers but all one god in virtue of that one divine power of many facets.

More truly, this is the one God who is all the gods; for, in the coming to be of all those, this, the one, has suffered no diminishing. He and all have one existence, while each again is distinct. It is distinction by state without interval: there is no outward form to set one here and another there and to prevent any from being an entire identity; yet there is no sharing of parts from one to another. Nor is each of those divine wholes a power in fragment, a power totalling to the sum of the measurable segments: and so great is God that his very members are infinites. What place can be named to which He does not reach?

Great, too, is this firmament of ours and all the powers constellated within it, but it would be greater still, unspeakably, but that there is inbound in it something of the petty power of body; no doubt the powers of fire and other bodily substances might

themselves be thought very great, but in fact, it is through their failure in the true power that we see them burning, destroying, wearing things away, and slaving towards the production of life; they destroy because they are themselves in process of destruction, and they produce because they belong to the realm of the produced.

The power in that other world has merely Being and Beauty of Being. Beauty without Being could not be, nor Being voided of Beauty: abandoned by Beauty, Being loses something of its essence. Being is desirable because it is identical with Beauty; and Beauty is loved because it is Being. How then can we debate which is the cause of the other, where the nature is one? The very figment of Being needs some imposed image of Beauty to make it passable, and even to ensure its existence; it exists to the degree in which it has taken some share in the beauty of Idea; and the more deeply it has drawn on this, the less imperfect it is, precisely because the nature which is essentially the beautiful has entered into it the more intimately.

10. This is why Zeus, although the oldest of the gods and their sovereign, advances first (in the Phaedrus myth)¹¹⁶ towards that vision, followed by gods and demigods and such souls as are of strength to see. That Being appears before them from some unseen place and rising loftily over them pours its light upon all things, so that all gleams in its radiance; it upholds some beings, and they see; the lower are dazzled and turn away, unfit to gaze upon that sun, the trouble falling the more heavily on those most remote.

Of those looking upon that Being and its content, and able to see, all take something but not all the same vision always: intently gazing, one sees the fount and principle of Justice, another is filled with the sight of Moral Wisdom, the original of that quality as found, sometimes at least, among men, copied by them in their degree from the divine virtue which, covering all the expanse, so to speak, of the Intellectual Realm is seen, last attainment of all, by those who have known already many splendid visions.

The gods see, each singly and all as one. So, too, the souls; they see all There in right of being sprung, themselves, of that universe and therefore including all from beginning to end and having their existence There if only by that phase which belongs inherently to the Divine,¹¹⁷ though often too they are There entire, those of them that have not incurred separation.

This vision Zeus takes and it is for such of us, also, as share his love and appropriate our part in the Beauty There, the final object of all seeing, the entire beauty upon all things; for all There sheds radiance, and floods those that have found their way thither so that they too become beautiful; thus it will often happen that men climbing heights where the soil has taken a yellow glow will themselves appear so, borrowing colour from the place on which they move. The colour flowering on that other height we speak of is Beauty; or rather all There is light and beauty, through and through, for the beauty is no mere bloom upon the surface.

To those that do not see entire, the immediate impression is along taken into account; but those drunken with this wine, filled with the nectar,¹¹⁸ all their soul penetrated by this beauty, cannot remain mere gazers: no longer is there a spectator outside gazing on an outside spectacle; the clear-eyed hold the vision within themselves, though, for the most part, they have no idea that it is within but look towards it as to something beyond them and see it as an object of vision caught by a direction of the will.

All that one sees as a spectacle is still external; one must bring the vision within and see no longer in that mode of separation but as we know ourselves; thus a man filled with a god – possessed by Apollo or by one of the Muses – need no longer look outside for his vision of the divine being; it is but finding the strength to see divinity within.

11. Similarly any one, unable to see himself, but possessed by that God, has but to bring that divine-within before his consciousness and at once he sees an image of himself,¹¹⁹ himself lifted to a better beauty: now let him ignore that image, lovely though it is, and sink

into a perfect self-identity, no such separation remaining; at once he forms a multiple unity with the God silently present; in the degree of his power and will, the two become one; should he turn back to the former duality, still he is pure and remains very near to the God; he has but to look again and the same presence is there.

This conversion brings gain: at the first stage, that of separation, a man is aware of self; but retreating inwards, he becomes possessor of all; he puts sense away behind him in dread of the separated life and becomes one in the Divine; if he plans to see in separation, he sets himself outside.

The novice must hold himself constantly under some image of the Divine Being and seek in the light of a clear conception; knowing thus, in a deep conviction, whither he is going – into what a sublimity he penetrates – he must give himself forthwith to the inner and, radiant with the Divine Intellections (with which he is now one), be no longer the seer, but, as that place has made him, the seen.

Still, we will be told, one cannot be in beauty and yet fail to see it. The very contrary: to see the divine as something external is to be outside of it; to become it is to be most truly in beauty: since sight deals with the external, there can here be no vision unless in the sense of identification with the object.

And this identification amounts to a self-knowing, a self-consciousness, guarded by the fear of losing the self in the desire of a too wide awareness.

It must be remembered that sensations of the ugly and evil impress us more violently than those of what is agreeable and yet leave less knowledge as the residue of the shock: sickness makes the rougher mark, but health, tranquilly present, explains itself better; it takes the first place, it is the natural thing, it belongs to our being; illness is alien, unnatural, and thus makes itself felt by its very incongruity, while the other conditions are native and we take no notice. Such being our nature, we are most completely aware of ourselves when we are most completely identified with the object

of our knowledge.

This is why in that other sphere, when we are deepest in that knowledge by intellection, we are aware of none; we are expecting some impression on sense, which has nothing to report since it has seen nothing and never could in that order see anything. The unbelieving element is sense; it is the other, the Intellectual-Principle, that sees; and if this too doubted, it could not even credit its own existence, for it can never stand away and with bodily eyes apprehend itself as a visible object.

12. We have told how this vision it to be procured, whether by the mode of separation or in identity: now, seen in either way, what does it give to report?

The vision has been of God in travail of a beautiful offspring,¹²⁰ God engendering a universe within himself in a painless labour and – rejoiced in what he has brought into being, proud of his children – keeping all closely by Him, for the pleasure He has in his radiance and in theirs.

Of this offspring – all beautiful, but most beautiful those that have remained within – only one has become manifest without; from him (Zeus, sovran over the visible universe), the youngest born, we may gather, as from some image, the greatness of the Father and of the Brothers that remain within the Father's house.

Still the manifested God cannot think that he has come forth in vain from the father; for through him another universe has arisen, beautiful as the image of beauty, and it could not be lawful that Beauty and Being should fail of a beautiful image.

This second Cosmos at every point copies the archetype: it has life and being in copy, and has beauty as springing from that diviner world. In its character of image it holds, too, that divine perpetuity without which it would only at times be truly representative and sometimes fail like a construction of art; for every image whose existence lies in the nature of things must stand during the entire existence of the archetype.

Hence it is false¹²¹ to put an end to the visible sphere as long as the Intellectual endures, or to found it upon a decision taken by its maker at some given moment.

That teaching shirks the penetration of such a making as is here involved: it fails to see that as long as the Supreme is radiant there can be no failing of its sequel but, that existing, all exists. And – since the necessity of conveying our meaning compels such terms – the Supreme has existed for ever and for ever will exist.

13. The God fettered (as in the Kronos Myth) to an unchanging identity leaves the ordering of this universe to his son (to Zeus), for it could not be in his character to neglect his rule within the divine sphere, and, as though sated with the Authentic-Beauty, seek a lordship too recent and too poor for his might. Ignoring this lower world, Kronos (Intellectual-Principle) claims for himself his own father (Ouranos, the Absolute, or One) with all the upward-tending between them: and he counts all that tends to the inferior, beginning from his son (Zeus, the All-Soul), as ranking beneath him. Thus he holds a mid-position determined on the one side by the differentiation implied in the severance from the very highest and, on the other, by that which keeps him apart from the link between himself and the lower: he stands between a greater father and an inferior son. But since that father is too lofty to be thought of under the name of Beauty, the second God remains the primally beautiful.

Soul also has beauty, but is less beautiful than Intellect as being its image and therefore, though beautiful in nature, taking increase of beauty by looking to that original. Since then the All-Soul – to use the more familiar term – since Aphrodite herself¹²² is so beautiful, what name can we give to that other? If Soul is so lovely in its own right, of what quality must that prior be? And since its being is derived, what must that power be from which the Soul takes the double beauty, the borrowed and the inherent?

We ourselves possess beauty when we are true to our own being; our ugliness is in going over to another order; our self-knowledge, that is to say, is our beauty; in self-ignorance we are ugly.

Thus beauty is of the Divine and comes Thence only.

Do these considerations suffice to a clear understanding of the Intellectual Sphere¹²³ or must we make yet another attempt by another road?

NINTH TRACTATE



THE INTELLECTUAL-PRINCIPLE, THE IDEAS, AND THE AUTHENTIC EXISTENCE [5]

SUMMARY

This is the earliest example, chronologically, of a characteristic feature of Plotinus' philosophizing, the guided ascent of the mind to intelligible reality, and ultimately, to the One (though the One hardly occurs in this treatise). The bulk of the treatise is taken up with an analysis of the world of Forms, such as we find pursued with more sophistication and complexity in the later VI. 7, 1–15. The basic Platonist question, of what things there are Forms, is discussed in ch. 9–14 – evils, the arts and crafts, individuals, casual compounds, etc.?

All human beings from birth onward live to the realm of sense more than to the Intellectual.

Forced of necessity to attend first to the material, some of them elect to abide by that order and, their life throughout, make its concerns their first and their last; the sweet and the bitter of sense are their good and evil; they feel they have done all if they live along pursuing the one and barring the doors to the other. And those of them that pretend to reasoning have adopted this as their philosophy; they are like the heavier birds which have incorporated much from the earth and are so weighted down that they cannot fly high for all the wings Nature has given them.¹²⁴

Others do indeed lift themselves a little above the earth; the better in their soul urges them from the pleasant to the nobler, but

they are not of power to see the highest and so, in despair of any surer ground, they fall back, in virtue's name, upon those actions and options of the lower from which they sought to escape.

But there is a third order – those godlike men who, in their mightier power, in the keenness of their sight, have clear vision of the splendour above and rise to it from among the cloud and fog of earth and hold firmly to that other world, looking beyond all here, delighted in the place of reality, their native land, like a man returning after long wanderings to the pleasant ways of his own country.¹²⁵

2. What is this other place and how is it accessible?

It is to be reached by those who, born with the nature of the lover, are also authentically philosophic by inherent temper; in pain of love towards beauty but not held by material loveliness, taking refuge from that in things whose beauty is of the soul – such things as virtue, knowledge, institutions, law and custom – and thence, rising still a step, reach to the source of this loveliness of the Soul, thence to whatever be above that again, until the uttermost is reached,¹²⁶ The First, the Principle whose beauty is self-springing: this attained, there is an end to the pain inassuageable before.

But how is the ascent to be begun? Whence comes the power? In what thought is this love to find its guide?

The guiding thought is this: that the beauty perceived on material things is borrowed.

The pattern giving beauty to the corporeal rests upon it as Idea to its Matter and the substrate may change and from being pleasant become distasteful, a sign, in all reason, that the beauty comes by participation.

Now, what is this that gives grace to the corporeal?

Two causes in their degree: the participation in beauty and the power of Soul, the maker, which has imprinted that form.

We ask then: Is soul, of itself, a thing of beauty? We find it is not

since differences are manifest, one soul wise and lovely, another foolish and ugly: soul-beauty is constituted by wisdom.

The question thus becomes, What principle is the giver of wisdom to the soul? and the only answer is 'The Intellectual-Principle', the veritably intellectual, wise without intermission and therefore beautiful of itself.

But does even this suffice for our First?

No; we must look still inward beyond the Intellectual, which, from our point of approach, stands before the Supreme Beginning, in whose forecourt, as it were,¹²⁷ it announces in its own being the entire content of the Good, that prior of all, locked in unity, of which this is the expression already touched by multiplicity.

3. We will have to examine this Nature, the Intellectual, which our reasoning identifies as the authentically existent and the veritable essential: but first we must take another path and make certain that such a principle does necessarily exist.

Perhaps it is ridiculous to set out inquiring whether an Intellectual-Principle has place in the total of being: but there may be some to hesitate even as to this and certainly there will be the question whether it is as we describe it, whether it is a separate existence, whether it actually is the real beings, whether it is the seat of the Ideas; to this we now address ourselves.

All that we see, and describe as having existence, we know to be compound; hand-wrought or compacted by nature, nothing is simplex. Now the hand-wrought, with its metal or stone or wood, is not realized out of these materials until the appropriate craft has produced statue, house, or bed, by imparting the particular Idea from its own content. Similarly with natural forms of being; those including several constituents, compound bodies as we call them, may be analysed into the materials and the Idea imposed upon the total; the human being, for example, into soul and body; and the human body into the four elements. Finding everything to be a compound of Matter and shaping principle – since the Matter of the

elements is of itself shapeless – you will inquire whence this forming Idea comes; and you will ask whether in the Soul we recognize a simplex or whether this also has constituents, something representing Matter and something else representing Form, namely, the Intellectual Principle within it, this corresponding both to the shape on the statue and to the artist giving the shape.

Applying the same method to the total of things, here too we discover the Intellectual-Principle and this we set down as veritably the maker and creator of the All. The underlie has adopted, we see, certain shapes by which it becomes fire, water, air, earth; and these shapes have been imposed upon it by something else. This other is Soul which, hovering over the Four (the elements), imparts the pattern of the Cosmos, the Ideas for which it has itself received from the Intellectual-Principle as the soul or mind of the craftsman draws upon his craft for the plan of his work.

The Intellectual-Principle is in one phase the Form of the Soul, its shape; in another phase it is the giver of the shape – the sculptor, possessing inherently what is given – imparting to Soul nearly the authentic reality while what body receives is but image and imitation.

4. But, Soul reached, why need we look higher; why not make this The First?

A main reason is that the Intellectual-Principle is at once something other and something more powerful than Soul and that the more powerful is in the nature of things the prior. For it is certainly not true, as people imagine,¹²⁸ that the Soul, brought to perfection, produces Intellect. How could that potentiality come to actuality unless there be, first, an effective principle to induce the actualization which, left to chance, might never occur?

The Firsts must be supposed to exist in actuality, looking to nothing else, self-complete. Anything incomplete must be sequent upon these, and take its completion from the principles

engendering it which, like fathers, labour in the improvement of an offspring born imperfect: the produced is as Matter to the producing principle and is worked over by it into a shapely perfection.

And if, further, Soul is passible while something impassible there must be or by the mere passage of time all wears away, here too we are led to something above Soul.

Again there must be something prior to Soul because Soul is in the world and there must be something outside a world in which, all being corporeal and material, nothing has enduring reality: failing such a prior, neither man nor the Ideas would be eternal or have true identity.

These and many other considerations establish the necessary existence of an Intellectual-Principle prior to Soul.

5. This Intellectual-Principle, if the term is to convey the truth, must be understood to be not a principle merely potential and not one maturing from unintelligence to intelligence – that would simply send us seeking, once more, a necessary prior – but a principle which is intelligence in actuality and in eternity.

Now a principle whose wisdom is not borrowed must derive from itself any intellection it may make; and anything it may possess within itself it can hold only from itself: it follows that, intellective by its own resource and upon its own content, it is itself the very things on which its intellection acts.

For supposing its essence to be separable from its intellection and the objects of its intellection to be not-itself, then its essence would be unintellectual; and it would be intellectual not actually but potentially. The intellection and its object must then be inseparable – however the habit induced by our conditions may tempt us to distinguish, There too, the thinker from the thought.

What then is its characteristic Act and what the intellection which makes knower and known here identical?

Clearly, as authentic Intellection, it has authentic intellection of

the authentically existent, and establishes their existence. Therefore it is the Authentic Beings.

Consider: it must perceive them either somewhere else or within itself as its very self: the somewhere else is impossible – where could that be? – they are therefore itself and the content of itself.

Its objects certainly cannot be the things of sense, as people think:¹²⁹ no First could be of the sense-known order; for in things of sense the Idea (Form) is but an image of the authentic, an image thrown upon Matter, and every Idea thus derivative and exiled traces back to that original and is no more than an image of it.

Further, if the Intellectual-Principle is to be the maker of this All,¹³⁰ it cannot make by looking outside itself to what does not yet exist. The Authentic Beings must, then, exist before this All, no copies made on a model but themselves archetypes, primals, and the essence of the Intellectual-Principle.

We may be told that Reason-Principles suffice (to the subsistence of the All): but then these, clearly, must be eternal; and if eternal, if immune, then they must exist in an Intellectual-Principle such as we have indicated, a principle earlier than condition, than nature, than soul,¹³¹ than anything whose existence is potential (or contingent).

The Intellectual-Principle, therefore, is itself the authentic existences, not a knower knowing them in some sphere foreign to it. The Authentic Beings, thus, exist neither before nor after it: it is the primal legislator to Being or, rather, is itself the law of Being. Thus it is true that ‘Intellection and Being are identical’;¹³² in the immaterial the knowledge of the thing is the thing. And this is the meaning of the dictum ‘I sought myself,’ namely, as one of the Beings: it also bears on reminiscence.¹³³

For none of the Beings is outside the Intellectual-Principle or in space; they remain for ever in themselves, accepting no change, no decay, and by that are the authentically existent. Things that arise and fall away draw on real being as something to borrow from;

they are not of the real; the true being is that on which they draw.

It is by participation that the sense-known has the being we ascribe to it; the underlying nature has taken its shape from elsewhere; thus bronze and wood are shaped into what we see by means of an image introduced by sculpture or carpentry; the craft permeates the materials while remaining integrally apart from the material and containing in itself the reality of statue or couch.¹³⁴ And it is so, of course, with all corporeal things.

This universe, characteristically participant in images, shows how the image differs from the authentic beings: against the variability of the one order, there stands the unchanging quality of the other, self-situate, not needing space because having no magnitude, holding an existence intellective and self-sufficing. The body-kind seeks its endurance in another kind; the Intellectual-Principle, sustaining by its marvellous Being the things which of themselves must fall, does not itself need to look for a staying ground.

6. We take it, then, that the Intellectual-Principle is the authentic existences and contains them all – not as in a place but as possessing itself and being one thing with this its content. All are one¹³⁵ There and yet are distinct: similarly the mind holds many branches and items of knowledge simultaneously, yet none of them merged into any other, each acting its own part at call quite independently, every conception coming out from the inner total and working singly. It is after this way, though in a closer unity, that the Intellectual-Principle is all Being in one total – and yet not in one, since each of these beings is a distinct power which, however, the total Intellectual-Principle includes as the species in a genus, as the parts in a whole. This relation may be illustrated by the powers in seed; all lies undistinguished in the unit, the formative ideas gathered as in one kernel; yet in that unit there is eye-principle, and there is hand-principle, each of which is revealed as a separate power by its distinct material product. Thus each of the powers in the seed is a Reason-Principle one and complete yet including all the parts over which it presides: there will be something bodily, the liquid for example, carrying mere Matter; but

the principle itself is Idea and nothing else, Idea identical with the generative Idea belonging to the lower soul, image of a higher. This power is sometimes designated as Nature¹³⁶ in the seed-life; its origin is in the divine; and, outgoing from its priors as light from fire, it converts and shapes the matter of things, not by push and pull and the lever work of which we hear so much,¹³⁷ but by bestowal of the Ideas.

7. Knowledge in the reasoning soul is on the one side concerned with objects of sense, though indeed this can scarcely be called knowledge and is better indicated as opinion or surface-knowing; it is of later origin than the objects since it is a reflection from them: but on the other hand there is the knowledge handling the intellectual objects and this is the authentic knowledge; it enters the reasoning soul from the Intellectual-Principle and has no dealing with anything in sense. Being true knowledge it actually is everything of which it takes cognizance; it carries as its own content the intellectual act and the intellectual object since it carries the Intellectual-Principle which actually is the primals and is always self-present and is in its nature an Act, never by any want forced to seek, never acquiring or traversing the remote – for all such experience belongs to soul – but always self-gathered, the very Being of the collective total, not an extern creating things by the act of knowing them.

Not by its thinking God does God come to be; not by its thinking Movement does Movement arise. Hence it is an error to call the Ideas intellections in the sense that, upon an intellectual act in this Principle, one such Idea or another is made to exist or exists.¹³⁸ No: the object of this intellection must exist before the intellectual act (must be the very content not the creation of the Intellectual-Principle). How else could that Principle come to know it? Certainly not (as an external) by luck or by haphazard search.

8. If, then, the Intellection is an act upon the inner content (of the Intellectual-Principle), that content is the Form, and the Form is the Idea.

What, then, is that content?

An Intellectual-Principle and an Intellective Essence, no Idea

distinguishable from the Intellectual-Principle, each actually being that Principle. The Intellectual-Principle entire is the total of the Ideas, and each of them is the (entire) Intellectual-Principle in a special form. Thus a science entire is the total of the relevant considerations each of which, again, is a member of the entire science, a member not distinct in space yet having its individual efficacy in a total.

This Intellectual-Principle, therefore, is a unity while by that possession of itself it is, tranquilly, the eternal abundance.¹³⁹

If the Intellectual-Principle were envisaged as preceding Being, it would at once become a principle whose expression, its intellectual Act, achieves and engenders the Beings: but, since we are compelled to think of existence as preceding that which knows it, we can but think that the Beings are the actual content of the knowing principle and that the very act, the intellection, is inherent to the Beings, as fire stands equipped from the beginning with fire-act; in this conception, the Beings contain the Intellectual-Principle as one and the same with themselves, as their own activity. But Being is itself an activity: there is one activity, then, in both or, rather, both are one thing.

Being, therefore, and the Intellectual-Principle are one Nature: the Beings, and the Act of that which is, and the Intellectual-Principle thus constituted, all are one; and the resultant Intellections are the Idea of Being and its shape and its act.

It is our separating habit that sets the one order before the other: for there is a separating intellect, of another order than the true, distinct from the Intellect, inseparable and unseparating, which is Being and the universe of things.

9. What, then, is the content – inevitably separated by our minds – of this one Intellectual-Principle? For there is no resource but to represent the items in accessible form just as we study the various articles constituting one science.

This universe is a living thing capable of including every form of

life; but its Being and its modes are derived from elsewhere; that source is traced back to the Intellectual-Principle: it follows that the all-embracing archetype is in the Intellectual Principle, which, therefore, must be an intellectual Cosmos, that indicated by Plato in the phrase 'The living existent'.¹⁴⁰

Given the Reason-Principle (the outgoing divine Idea) of a certain living thing and the Matter to harbour this seed-principle, the living thing must come into being: in the same way once there exists an intellective Nature, all powerful, and with nothing to check it – since nothing intervenes between it and that which is of a nature to receive it – inevitably the higher imprints the Cosmic form and the lower accepts it. The recipient holds the Idea in division, here man, there sun, while in the giver all remains in unity.

10. All, then, that is present in the sense realm as Idea comes from the Supreme. But what is not present as Idea, does not. Thus of things conflicting with nature, none is There:¹⁴¹ the inartistic is not contained in the arts; lameness is not in the seed; for a lame leg is either inborn through some thwarting of the Reason-Principle or is a marring of the achieved form by accident. To that Intellectual Cosmos belong qualities, accordant with Nature, and quantities; number and mass; origins and conditions; all actions and experiences not against nature; movement and repose, both the universals and the particulars: but There time is replaced by eternity and space by its intellectual equivalent, mutual inclusiveness.

In that Intellectual Cosmos, where all is one total, every entity that can be singled out is an intellective essence and a participant in life: it is identity and difference, movement and rest, the object moving and the object at rest, essence and quality.¹⁴² All There is pure essence; for every real being must be in actuality, not merely in potentiality, and therefore quality is never separated from essence.

This suggests the question whether the Intellectual Cosmos contains the form only of the things of sense or of other existents as

well. But first we will consider how it stands with artistic creations: there is no question of an ideal archetype of evil: the evil of this world is begotten of need, privation, deficiency, and is a condition peculiar to Matter distressed and to what has come into likeness with Matter.

11. Now as to the arts and crafts and their productions:

The imitative arts – painting, sculpture, dancing, pantomimic gesturing – are, largely, earth-based; they follow models found in sense, since they copy forms and movements and reproduce seen symmetries; they cannot therefore be referred to that higher sphere except indirectly, through the Reason-Principle in humanity.

On the other hand any skill which, beginning with the observation of the symmetry of living things, grows to the symmetry of all life, will be a portion of the Power There which observes and meditates the symmetry reigning among all beings in the Intellectual Cosmos. Thus all music – since its thought is upon melody and rhythm – must be the earthly representation of the music there is in the rhythm of the Ideal Realm.

The crafts such as building and carpentry which give us Matter in wrought forms, may be said, in that they draw on pattern, to take their principles from that realm and from the thinking There: but in that they bring these down into contact with the sense-order, they are not wholly in the Intellectual, except as contained in the Idea of man. So agriculture, dealing with material growths; so medicine watching over physical health; so the art which aims at corporeal strength and well-being: power and well-being mean something else There, the fearlessness and self-sufficing quality of all that lives.

Oratory and generalship, administration and sovereignty – under any forms in which their activities are associated with Good and when they look to that – possess something derived thence and building up their knowledge from the knowledge There.

Geometry, as a science of the Intellectual entities, holds place

There: so, too, philosophy, whose high concern is Being.

For the arts and products of art, these observations may suffice.

12. It should, however, be added that if the Idea of man exists in the Supreme, there must exist the Idea of reasoning man and of man with his arts and crafts, such arts as are the offspring of intellect must be There.

It must be observed that the Ideas will be of universals; not of Socrates but of Man: though as to man we may inquire whether the individual may not also have place There.¹⁴³ Ideas of individual men may be justified by the fact that the same feature varies from man to man, the simian type, for example, and the aquiline: the aquiline and the simian must be taken to be differences in the Idea of Man as there are different types of the animal: but Matter also has its effect in bringing about the degree of aquilinity. Similarly with difference of complexion, determined partly by the Reason-Principle, partly by Matter and by diversity of place.

13. It remains to decide whether only what is known in sense exists There or whether on the contrary, as Absolute-Man differs from individual man, so there is in the Supreme an Absolute-Soul differing from Soul and an Absolute-Intellect differing from Intellectual-Principle.

It must be stated at the outset that we cannot take all that is here to be image of archetype, or Soul to be an image of Absolute-Soul: one soul, doubtless, ranks higher than another, but here too, though perhaps not as identified with this realm, is the Absolute-Soul.

Every soul, authentically a soul, has some form of rightness and moral wisdom; in the souls within ourselves there is true knowing: and these attributes are no images or copies from the Supreme, as in the sense-world, but actually are those very originals in a mode peculiar to this sphere. For those Beings are not set apart in some defined place; wherever there is a soul that has risen from body, there too these are: the world of sense is one-where, the Intellectual Cosmos is everywhere. Whatever the freed soul attains to here, that

it is There.

Thus, if by the content of the sense-world we mean simply the visible objects, then the Supreme contains not only what is in the realm of sense but more: if in the content of the Cosmos we mean to include Soul and the Soul-things, then all is here that is There.

14. There is, thus, a Nature comprehending in the Intellectual all that exists, and this Principle must be the source of all. But how, seeing that the veritable source must be a unity, simplex utterly?

The mode by which from the unity arises the multiple, how all this universe comes to be, why the Intellectual-Principle is all and whence it springs, these matters demand another approach.¹⁴⁴

But on the question as to whether the repulsive and the products of putridity have also their Idea – whether there is an Idea of filth and mud – it is to be observed that all that the Intellectual-Principle derived from The First is of the noblest; in those Ideas the base is not included: these repulsive things point not to the Intellectual-Principle but to the Soul which, drawing upon the Intellectual-Principle, takes from Matter certain other things, and among them these.

But all this will be more clearly brought out when we turn to the problem of the production of multiplicity from unity. Compounds, we shall see – as owing existence to hazard and not to the Intellectual-Principle, having been fused into objects of sense by their own impulse – are not to be included under Ideas.

The products of putrefaction are to be traced to the Soul's inability to bring some other thing to being – something in the order of nature, which, else, it would – but producing where it may.

In the matter of the arts and crafts, all that are to be traced to the needs of human nature are laid up in the Absolute Man.

And before the particular Soul there is another Soul, a universal, and, before that, an Absolute-Soul,¹⁴⁵ which is the Life existing in the Intellectual-Principle before Soul came to be and therefore rightly called (as the Life in the Divine) the Absolute-Soul.



THE SIXTH ENNEAD

FOURTH TRACTATE



ON THE INTEGRAL OMNIPRESENCE OF THE AUTHENTIC EXISTENT (I) [22]

SUMMARY

This, if Porphyry's chronology may be trusted (Life, ch. 5), was the first treatise that Plotinus composed after Porphyry's arrival – divided by Porphyry into two consecutive tractates. It is a key document for understanding a doctrine of great importance in Plotinus' philosophy, that of the mode of presence of spiritual being in the physical realm. For this purpose, there is not much difference between Soul and Intellect, and it is notable that not much distinction is made between them in this treatise, nor, indeed, is much mention made of the One (but cf. VI 5, 1 and 4, at least). This only serves to remind us that Plotinus' universe is more fluid and dynamic than it is often thought to be. Porphyry's division does in fact represent a break in Plotinus' thought: VI. 4 concerns primarily our experience of being a soul in a body (note the distinction of higher and lower self made in ch. 14–15), while VI. 5 takes its start from our awareness of the presence of the One God within us. Both enquiries, however, tend in the same direction.

1. How are we to explain the omnipresence of the Soul? Does it depend upon the definite magnitude of the material universe coupled with some native tendency in Soul to distribute itself over material mass,¹ or is it a characteristic of Soul apart from body?

In the latter case, Soul will not appear just where body may

bring it; body will meet Soul awaiting it everywhere; wheresoever body finds place, there Soul lay before ever body was; the entire material mass of the universe has been set into an existent Soul.

But if Soul spread thus wide before material extension existed, then as covering all space it would seem to be of itself a thing of magnitude, and in what mode could it exist in the All before the All was in being, before there was any All? And who can accept a Soul described as partless and massless and yet, for all that absence of extension, extending over a universe? We may perhaps be told that, though extended over the corporeal, it does not itself become so: but thus to give it magnitude as an accidental attribute leaves the problem still unsolved: precisely the same question must in all reason arise: How can the Soul take magnitude even in the mode of accident?

We cannot think of Soul being diffused as a quality is, say sweetness or colour, for while these are actual states of the masses affected so that they show that quality at every point, none of them has an independent existence; they are attributes of body and known only as in body; such quality is necessarily of a definite extension. Further, the colour at any point is independent of that at any other; no doubt the Form, White, is the same all over, but there is not arithmetical identity; in Soul there is; it is one Soul in foot and in hand, as the facts of perception show. And yet in the case of qualities the one is observably distributed part for part; in the Soul the identity is undistributed; what we sometimes call distribution is simply omnipresence.

Obviously, we must take hold of the question from the very beginning in the hope of finding some clear and convincing theory as to how Soul, immaterial and without magnitude, can be thus broad-spread, whether before material masses exist or as enveloping them. Of course, should it appear that this omnipresence may occur apart from material things, there is no difficulty in accepting its occurrence within the material.

2. Side by side exist the Authentic All and its counterpart, the visible universe. The Authentic is contained in nothing, since nothing existed before it; of necessity anything coming after it must, as a first condition of existence, be contained by this All, especially since it depends upon the Authentic and without that could have neither stability nor movement.

We may be reminded that the universe cannot be contained in the Authentic as in a place, where place would mean the boundaries of some surrounding extension considered as an envelope, or some space formerly a part of the Void and still remaining unoccupied even after the emergence of the universe, that it can only support itself, as it were, upon the Authentic and rest in the embrace of its omnipresence; but this objection is merely verbal and will disappear if our meaning is grasped; we mention it for another purpose; it goes to enforce our real assertion, that the Authentic All, at once primal and veritable, needs no place and is in no way contained. The All, as being an integral, cannot fall short of itself; it must ever have fulfilled its own totality, ever reached to its own equivalence; as far as the sum of entities extends, there this is; for this is the All.

Inevitably, also, anything other than this All that may be stationed therein must have part in the All, merge into it, and hold by its strength; it is not that the thing detaches a portion of the All but that within itself it finds the All which has entered into it while still unbrokenly self-abiding, since Being cannot lodge in non-Being, but, if anything, non-Being within Being.

Being, then, is present to all Being; an identity cannot tear itself asunder; the omnipresence asserted of it must be presence within the realm of Being; that is, it must be a self-presence. And it is in no way strange that the omnipresence should be at once self-abiding and universal; this is merely saying omnipresence within a unity.

It is our way to limit Being to the sense-known and therefore to think of omnipresence in terms of the concrete; in our overestimate of the sensible, we question how that other Nature can reach over

such vastness; but our great is small, and this, small to us, is great; it reaches integrally to every point of our universe – or, better, our universe, moving from every side and in all its members towards this, meets it everywhere as the omnipresent All ever stretching beyond.

The universe in all its reach can attain nothing further – that would mean overpassing the total of Being – and therefore is content to circle about it; not able to encompass or even to fill the All, it is content to accept place and subordination, for thus it preserves itself in neighbouring the higher present to it – present and yet absent; self-holding, whatever may seek its presence.

Wherever the body of the universe may touch, there it finds this All; it strives for no further advance, willing to revolve in that one circle,² since to it that is the All and in that movement its every part embraces the All.

If that higher were itself in place there would be the need of seeking that precise place by a certain right path; part of seeker must touch part of sought, and there would be far and near. But since there is no far and near there must be, if presence at all, presence entire. And presence there indubitably is; this highest is present to every being of those that, free of far and near, are of power to receive.

3. But are we to think of this Authentic Being as, itself, present, or does it remain detached, omnipresent in the sense only that powers from it enter everywhere?³

Under the theory of presence by powers, souls are described as rays;⁴ the source remains self-locked and these are flung forth to impinge upon particular living things.

Now, in beings whose unity does not reproduce the entire nature of that principle, any presence is presence of an emanant power: even this, however, does not mean that the principle is less than integrally present; it is not sundered from the power which it has uttered; all is offered, but the recipient is able to take only so

much.⁵ But in Beings in which the plenitude of these powers is manifested, there clearly the Authentic itself is present, though still as remaining distinct; it is distinct in that, becoming the informing principle of some definite thing, it would abdicate from its standing as the total and from its uttermost self-abiding and would belong, in some mode of accident, to another thing as well. Still it is not the property of what may seek to join with it; it chooses where it will and enters as the participant's power may allow, but it does not become a chattel; it remains the quested and so in another sense never passes over. There is nothing disquieting in omnipresence after this mode where there is no appropriation: in the same accidental way, we may reasonably put it, Soul concurs with body, but it is Soul self-holding, not inbound with Matter, free even of the body which it has illuminated through and through.

Nor does the placelessness of Being make it surprising that it be present universally to things of place; on the contrary, the wonder would be – the more than wonder, the impossibility – if from a place of its own it were present at all – and, especially present, as we assert, integrally.

But set it outside of place, and reason tells us that it will be present entire where it is present at all and that, present to the total, it must be present in the same completeness to every several unity; otherwise something of it is here and something there and at once it is fragmentary, it is body.

How can we so dispart Being? We cannot break Life into parts; if the total was Life, the fragment is not. But do we not thus sunder Intelligence, one intelligence in this man, another in that? No; such a fragment would not be Intelligence. But the Being of the individual? Once more, if the total thing is Being, then a fragment could not be. Are we told that in a body, a total of parts, every member is also a body? But here we are dividing not body but a particular quantity of body, each of those divisions being described as body in virtue of possessing the Form or Idea that constitutes body; and this Idea has no magnitude, is incapable of magnitude.

4. But how explain beings by the side of Being, and the variety of intelligences and of souls, when Being has the unity of omnipresent identity and not merely that of a species, and when intellect and Soul are likewise numerically one? We certainly distinguish between the Soul of the All and the particular souls.⁶

This seems to conflict with our view which, moreover, for all its logical necessity, scarcely carries conviction against our mental reluctance to the notion of unity identically omnipresent. It would appear more plausible to suppose a partition of the All – the original remaining undiminished – or, in a more legitimate phrase, an engendering from the All.

Thus the Authentic would be left self-gathered, while what we think of as the parts – the separate souls – would come into being to produce the multiple total of the universe.

But if the Authentic Being is to be kept unattached in order to remove the difficulty of integral omnipresence, the same considerations must apply equally to the souls; we would have to admit that they cannot be integrally omnipresent in the bodies they are described as occupying; either, Soul must be distributed, part to body's part, or it is lodged entire at some one point in the body giving forth some of its powers to the other points; and these very powers, again, present the same difficulty.

A further objection is that some one spot in the body will hold the Soul, the others no more than a power from it.

Still, how account for the many souls, many intelligences, the beings by the side of Being?

No doubt the beings proceed from the Priors in the mode only of numerical distinction and not as concrete masses, but the difficulty remains as to how they come to constitute the plenitude of the material universe.

This explanation by progression does not clear the problem.

We are agreed that diversity within the Authentic depends not upon spatial separation but sheerly upon differentiation; all Being, despite this plurality, is a unity still; 'Being neighbours Being'; 'all

holds together';⁷ and thus the Intellectual-Principle (which is Being and the Beings) remains an integral, multiple by differentiation, not by spatial distinction.

Soul too? Souls too. That principle distributed over material masses⁸ we hold to be in its own nature incapable of distribution; the magnitude belongs to the masses; when this soul principle enters into them – or rather they into it – it is thought of as distributable only because, within the discrimination of the corporeal, the animating force is to be recognized at any and every point. For Soul is not articulated, section of Soul to section of body; there is integral omnipresence manifesting the unity of that principle, its veritable partlessness.

Now as in Soul unity does not debar variety, so with Being and the Beings; in that order multiplicity does not conflict with unity. Multiplicity. This is not due to the need of flooding the universe with life; nor is the extension of the corporeal the cause of the multiplicity of souls; before body existed, Soul was one and many; the many souls fore-existed in the All not potentially but each effectively; that one collective Soul is no bar to the variety; the variety does not abrogate the unity; the souls are apart without partition, present each to all as never having been set in opposition; they are no more hedged off by boundaries than are the multiple items of knowledge in one mind; the one Soul so exists as to include all souls; the nature of such a principle must be utterly free of boundary.

5. Herein lies its greatness, not in mass; mass is limited and may be whittled down to nothingness; in that order no such paring off is possible – nor, if it were, could there be any falling short. Where limitation is unthinkable, what fear can there be of absence at any point? Nowhere can that principle fail⁹ which is the unfailing, the everlasting, the undwindling; suppose it in flux and it must at some time flow to its end; since it is not in flux – and, besides, (as the All) it has nowhere to flow to – it lies spread over the universe; in fact it is the universe, too great to be held by body, giving, therefore, to the material universe but little of itself, the little which that

participant can take.

We may not make this principle the lesser, or if in the sense of mass we do, we must not begin to mistrust the power of that less to stretch to the greater. Of course, we have in fact no right to affirm it less or to measure the thing of magnitude against that which has none; as well talk of a doctor's skill being smaller than his body. This greatness is not to be thought of in terms of quantity; the greater and less of body have nothing to do with Soul.

The nature of the greatness of Soul is indicated by the fact that as the body grows, the larger mass is held by the same Soul that sufficed to the smaller; it would be in many ways absurd to suppose a corresponding enlargement in the Soul.

6. But why does not one same soul enter more than one body?

Because any second body must approach, if it might; but the first has approached and received and keeps.

Are we to think that this second body, in keeping its soul with a like care, is keeping the same soul as the first?

Why not: what difference is there? Merely some additions (from the experiences of life, none in the Soul itself).

We ask further why one soul in foot and hand and not one soul in the distinct members of the universe.

Sensations no doubt differ from soul to soul but only as do the conditions and experiences; this is difference not in the judging principle but in the matters coming to judgement; the judge is one and the same soul pronouncing upon various events, and these not its own but belonging to a particular body; it is only as a man pronounces simultaneously upon a pleasant sensation in his finger and a pain in his head.

But why is not the soul in one man aware, then, of the judgement passed by another?

Because it is a judgement made, not a state set up; besides, the soul that has passed the judgement does not pronounce but simply

judges: similarly a man's sight does not report to his hearing, though both have passed judgement; it is the reason above both that reports, and this is a principle distinct from either. Often, as it happens, reason does become aware of a verdict formed in another reason and takes to itself an alien experience: but this has been dealt with elsewhere.¹⁰

7. Let us consider once more how it is possible for an identity to extend over a universe. This comes to the question how each variously placed entity in the multiplicity of the sense order can have its share in one identical Principle.

The solution is in the reasons given for refusing to distribute that principle; we are not to parcel it out among the entities of the multiple; on the contrary, we bring the distributed multiples to the unity. The unity has not gone forth to them: from their dispersion we are led to think of it as broken up to meet them, but this is to distribute the controller and container equally over the material handled.

A hand¹¹ may very well control an entire mass, a long plank, or anything of that sort; the control is effective throughout and yet is not distributed, unit for unit, over the object of control: the power is felt to reach over the whole area, though the hand is only hand-long, not taking the extension of the mass it wields; lengthen the object and, provided that the total is within the strength, the power handles the new load with no need of distributing itself over the increased area. Now let us eliminate the corporeal mass of the hand, retaining the power it exerted: is not that power, the impartible, present integrally over the entire area of control?

Or imagine a small luminous mass serving as centre to a transparent sphere, so that the light from within shows upon the entire outer surface, otherwise unlit: we surely agree that the inner core of light, intact and immobile, reaches over the entire outer extension; the single light of that small centre illuminates the whole field. The diffused light is not due to any bodily magnitude of that central point which illuminates not as body but as body lit, that is

by another kind of power than corporeal quality: let us then abstract the corporeal mass, retaining the light as power: we can no longer speak of the light in any particular spot; it is equally diffused within and throughout the entire sphere. We can no longer even name the spot it occupied so as to say whence it came or how it is present; we can but seek, and wonder as the search shows us the light simultaneously present at each and every point in the sphere. So with the sunlight: looking to the corporeal mass you are able to name the source of the light shining through all the air, but what you see is one identical light in integral omnipresence. Consider too the effect of bodies which intercept the sun's light (as in an eclipse): without letting the light pass through them to the side opposite to its source, they yet do not divide it. And supposing, as before, that the sun were simply an unembodied illuminant, the light would no longer be fixed to any one definite spot: having no starting-point, no centre of origin, it would be an integral unity omnipresent.

8. The light of our world can be allocated because it springs from a corporeal mass of known position, but conceive an immaterial entity, independent of body as being of earlier nature than all body, a nature firmly self-based or, better, without need of base: such a principle, incorporeal, autonomous, having no source for its rising, coming from no place, attached to no material mass, this cannot be allotted part here and part there: that would be to give it both a previous position and a present attachment. Finally, anything participating in such a principle can participate only as entirety with entirety; the principle is unaffected, undivided.

A principle attached to body might be exposed, at least by way of accident, to such partition and so be definable as passive and partible in view of its close relationship with the body of which it is so to speak a state or a Form; but that which is not inbound with body, which on the contrary body must seek, will of necessity go utterly free of every bodily modification and especially of the very possibility of partition which is entirely a phenomenon of body, belonging to its very essence. As partibility goes with body, so impartibility with the bodiless: what partition is possible where

there is no magnitude? If a thing of magnitude participates to any degree in what has no magnitude, it must be by a participation without division; divisibility implies magnitude.

When we affirm unity in multiplicity we do not mean that the unity has become the multiples; we link the variety in the multiples with the unity which we discern, undivided, in them; and the unity must be understood as for ever distinct from them, from separate item and from total; that unity remains true to itself, remains itself, and so long as it remains itself cannot fail within its own scope (and therefore does reach over the multiple), yet it is not to be thought of as coextensive with the material universe or with any member of the All; utterly outside of the quantitative, it cannot be coextensive with anything.

Extension is of body; what is not of body, but of the opposed order, must be kept free of extension; but where there is no extension there is no spatial distinction, nothing of the here and there which would end its freedom of presence. Since, then, partition goes with place – each part occupying a place of its own – how can the placeless be parted? The unity must remain self-concentrated, immune from part, however much the multiple aspire or attain to contact with it. This means that any movement towards it is movement towards its entirety, and any participation attained is participation in its entirety. Its participants, then, link with it as with something unparticipated, something never appropriated: thus only can it remain intact within itself and within the multiples in which it is manifested. And if it did not remain thus intact, it would cease to be itself; any participation, then, would not be in the object of quest but in something never quested.

9. If in such a partition of the unity, that which entered into each participant were an entire – always identical with the first – then, in the progressive severance, the firsts would become numerous, each particular becoming a first: and then what prevents these many firsts from reconstituting the collective unity? Certainly not the bodies they have entered, for those firsts cannot be present in the

material masses as their Forms if they are to remain identical with the First from which they come. On the other hand, taking the part conceived as present in the multiple to be simply a power (emanating from the First), at once such a part ceases to be the unity; we have then to ask how these powers come to be cut off, to have abandoned their origin; they certainly have not moved away with no purpose in their movement.

Again, are those powers, entering the universe of sense, still within the First or not?

If they are not, we have the absurdity that the First has been lessened, disempowered, stripped of power originally possessed. Besides, how could powers thus cut off subsist apart from the foundations of their being? Suppose these powers to be at once within the First and elsewhere; then the universe of sense contains either the entire powers or parts of them; if parts of powers, the other parts are There; if entires, then either the powers There are present here also undivided – and this brings us back to an identity omnipresent in integral identity – or they are each an entire which has taken division into a multiplicity of similars so that attached to every essence there is one power only – that particularly appropriated to it – the other powers remaining powers unattached: yet power apart from Being is as impossible as Being apart from power; for There power is Being or something greater than Being.

Or, again, suppose the powers coming Thence are other than their source – lesser, fainter, as a bright light dwindles to a dim – but each attached to its essence as a power must always be: such secondary powers would be perfectly uniform and at once we are forced to admit the omnipresence of the one same power or at the least the presence – as in one and the same body – of some undivided identity integral at every point.

And if this is the case with a particular body, why not with the entire universe?

If we think of the single power as being endlessly divided, it is no longer a power entire; partition means lessening of power; and,

with part of power for part of body, the conditions of consciousness cease.

Further, a vestigial cut off from its source disappears – for example, a reflected light – and in general an emanant ceases to exist once it is severed from the original which it reproduces: just so the powers derived from that source must vanish if they do not remain attached to it.

This being so, where these powers appear, their source must be present with them; thus, once more, that source must itself be omnipresent as an undivided whole.

10. We may be told that an image need not be thus closely attached to its archetype, that we know images holding in the absence of their archetype and that a warmed object may retain its heat when the fire is withdrawn.

To begin with the image and archetype: if we are reminded of an artist's picture we observe that here the image was produced by the artist, not by his subject; even in the case of a self-portrait, the picture is no 'image of archetype', since it is not produced by the painter's body, the original represented: the reproduction is due to the effective laying on of the colours.

Nor is there strictly any such making of image as we see in water or in mirrors or in a shadow; in these cases the original is the cause of the image which, at once, springs from it and cannot exist apart from it. Now, it is in this sense that we are to understand the weaker powers to be images of the Priors. As for the illustration from the fire and the warmed object, the warmth cannot be called an image of the fire unless we think of warmth as containing fire so that the two are separate things. Besides, the fire removed, the warmth does sooner or later disappear, leaving the object cold.

If we are told that these powers fade out similarly, we are left with only one imperishable: the souls, the Intellectual-Principle, become perishable; then since Being (identical with the Intellectual-Principle) becomes transitory, so also must the Beings, its

productions. Yet the sun, so long as it holds its station in the universe, will pour the same light upon the same places; to think its light may be lessened is to hold its mass perishable. But it has been abundantly stated¹² that the emanants of the First are not perishable, that the souls, and the Intellectual-Principle with all its content, cannot perish.

11. Still, this integral omnipresence admitted, why do not all things participate in the Intellectual Order in its entirety? Why has it a first participant, a second, and so on?

We can but see that presence is determined by the fitness of the participant¹³ so that, while Being is omnipresent to the realm of Being, never falling short of itself, yet only the competent possess themselves of that presence which depends not upon situation but upon adequacy; the transparent object and the opaque answer very differently to the light. These firsts, seconds, thirds,¹⁴ of participation are determined by rank, by power, not by place but by differentiation; and difference is no bar to coexistence, witness soul and Intellectual-Principle: similarly our own knowledge, the trivial next the gravest; one and the same object yields colour to our sight, fragrance to smell, to every sense a particular experience, all presented simultaneously.

But would not this indicate that the Authentic is diverse, multiple?

That diversity is simplex still; that multiple is one; for it is a Reason Principle, which is to say a unity in variety: all Being is one; the differing being is still included in Being; the differentiation is within Being,¹⁵ obviously not within non-Being. Being is bound up with the unity which is never apart from it; wheresoever Being appears, there appears its unity; and the unity of Being is self-standing, for presence in the sensible does not abrogate independence: things of sense are present to the Intellectual – where this occurs – otherwise than as the Intellectual is present within itself; so too body's presence to soul differs from that of

knowledge to soul; two items of knowledge within the same mind are present to each other in a different manner again; a body's presence to body is, once more, another form of relation.

12. Think of a sound passing through the air¹⁶ and carrying a word; an ear within range catches and comprehends; and the sound and word will strike upon any other ear you may imagine within the intervening void, upon any that attends; from a great distance many eyes look to the one object and all take it fully; all this, because eye and ear exist. In the same way what is apt for soul will possess itself of soul, while from the one identical presence another will draw, and then another.

Now the sound was diffused throughout the air not in sections but as one sound, entire at every point of that space. So with sight: if the air carries a shape impressed upon it this is one undivided whole; for, wherever there be an eye, there the shape will be grasped; even to such as reject this particular theory of sight,¹⁷ the facts of vision still stand as an example of participation determined by an identical unity.

The sound is the clearer illustration: the form conveyed is an entirety over all the air space, for unless the spoken word were entire at every point, for every ear to catch the whole alike, the same effect could not be made upon every listener; the sound, evidently, is not strung along the air, section to section. Why, then, need we hesitate to think of Soul as a thing not extended in broken contact, part for part, but omnipresent within the range of its presence, indwelling in totality at every point throughout the All?

Entered into such bodies as are apt to it, the soul is like the spoken sound present in the air; before that entry, like the speaker about to speak – though even embodied it remains at once the speaker and the silent.

No doubt these illustrations are imperfect,¹⁸ but they carry a serviceable similitude: the soul belongs to that other Kind, and we must not conceive a part of it embodied and a part intact; it is at once a self-enclosed unity and a principle manifested in diversity.

Further, any newcoming entity achieving soul receives mysteriously that same principle which was equally in the previously ensouled; for it is not in the dispensation that a given part of soul situate at some given point should enter here and there; what is thought of as entering was always a self-enclosed entire and, for all the seeming entry, so remains: no real entry is conceivable. If, then, the soul never entered and yet is now seen to be present – present without waiting upon the participant – clearly it is present, here too, without breach of its self-inclusion. This can mean only that the participant came to soul; it lay outside the veritable reality but advanced towards it and so established itself in the cosmos of life. But this cosmos of life is a self-gathered entire, not divisible into constituent masses but prior to mass; whatever enters is not entering a mass; in other words, the participation is of entire in entire. Any newcomer into that cosmos of life will participate in it entire. Admitting, then, that this cosmos of life is present entire in the universe, it must be similarly entire in each several entity; an identity numerically one, it must be an undivided entire, omnipresent.

13. But how account, at this, for its extension over all the heavens and all living beings?¹⁹

There is no such extension. Sense-perception, by insistence upon which we doubt, tells of Here and There; but reason certifies that the Here and There do not attach to that principle; the extended has participated in that cosmos of life which itself has no extension.

Clearly no participant can participate in itself; self-participation would be merely identity. Body, then, as participant does not participate in body; body it has; its participation must be in what is not body. So too magnitude does not participate in magnitude; it has it: not even in addition of quantity does the initial magnitude participate in magnitude: the two cubits do not themselves become three cubits; what occurs is that an object totalling to a certain quantity now totals to another: for magnitude to participate in

magnitude the actual two cubits must themselves become the new three (which cannot occur).

If, then, the divided and quantitatively extended is to participate in another kind, is to have any sort of participation, it can participate only in something undivided, unextended, wholly outside of quantity. Therefore, that which is to be introduced by the participation must enter as itself an omnipresent indivisible.

This indivisibility must, of course, not be taken in any sense of littleness: littleness would be still divisible, could not cover the extension of the participant and could not maintain integral presence against that expansion. Nor is it the indivisibility of a geometric point: the participant mass is no single point but includes an infinity of points; so that on the theory this principle must be an infinity of points, not a continuous whole, and so, again, will fail to cover the participant.

If, then, the participant mass in its entirety is to contain that principle entire, the universe must hold that one soul present at its every point.

14. But, admitting this one soul at every point, how is there a particular soul of the individual and how the good soul and the bad?

The one principle reaches to the individual but none the less contains all souls and all intelligences; this, because it is at once a unity and an infinity; it holds all its content as one yet with each item distinct, though not to the point of separation. Except by thus holding all its content as one – life entire, soul entire, all intelligence – it could not be infinite; since the individualities are not fenced off from each other, it remains still one thing. It was to hold life not single but infinite and yet one life, one in the sense not of an aggregate built up but of the retention of the unity in which all rose. Strictly, of course, it is a matter not of the rising of the individuals but of their being eternally what they are; in that order, as there is no beginning, so there is no apportioning except as an

interpretation by the recipient. What is of that realm is the ancient and primal; the relation to it of the thing of process must be that of approach and apparent merging with always dependence.

But we ourselves, what are We?²⁰

Are we that higher or the participant newcomer, the thing of beginnings in time?

Before we had our becoming Here we existed There, men other than now, some of us gods: we were pure souls, Intelligence inbound with the entire of reality, members of the Intellectual, not fenced off, not cut away, integral to that All. Even now, it is true, we are not put apart; but upon that primal Man there has intruded another, a man seeking to come into being and finding us there, for we were not outside of the universe. This other has wound himself about us, foisting himself upon the Man that each of us was at first. Then it was as if one voice sounded, one word was uttered, and from every side an ear attended and received and there was an effective hearing, possessed through and through of what was present and active upon it: now we have lost that first simplicity; we are become the dual thing, sometimes indeed no more than that later foisting, with the primal nature dormant and in a sense no longer present.

15. But how did this intruder find entrance?

It had a certain aptitude and it grasped at that to which it was apt. In its nature it was capable of soul: but what is unfitted to receive soul entire – present entire but not for it – takes what share it may; such are the members of the animal and vegetal order. Similarly, of a significant sound some forms of being take sound and significance together, others only the sound, the blank impact.

A living thing comes into existence containing soul, present to it from the Authentic, and by soul is inbound with Reality entire; it possesses also a body; but this body is not a husk having no part in soul, not a thing that earlier lay away in the soulless; the body had its aptitude and by this draws near: now it is not body merely, but

living body. By this neighbouring it is enhanced with some impress of soul – not in the sense of a portion of soul entering into it, but that it is warmed and lit by soul entire: at once there is the ground of desire, pleasure, pain: the body of the living form that has come to be was certainly no unrelated thing.

The Soul sprung from the divine lay self-enclosed at peace, true to its own quality; but its neighbour,²¹ in uproar through weakness, instable of its own nature and beaten upon from without, cries upon the living total, spreading the disorder at large. Thus, at an assembly the Elders may sit in tranquil meditation, but an unruly populace, crying for food and casting up a host of grievances, will bring the whole gathering into ugly turmoil; when this sort of people hold their peace so that a word from a man of sense may reach them, some passable order is restored and the baser part ceases to prevail; otherwise the silence of the better allows the rabble to rule, the distracted assembly unable to take the word from above.

This is the evil of state and of council: and this is the evil of man; man includes an inner rabble – pleasures, desires, fears – and these become masters when the man, the manifold, gives them play.

But one that has reduced his rabble and gone back to the Man he was, lives to that and is that Man again, so that what he allows to the body is allowed as to something separate.

There is the man, too, that lives partly in the one allegiance and partly in the other; he is a blend of the good that is himself with the evil that is alien.

16. But if that Principle can never fall to evil and we have given a true account of the Soul's entry or presence to body, what are we to say of the periodic Descents and Returns,²² the punishments, the banishment into animal forms? That teaching we have inherited from those ancient philosophers who have best probed into soul and we must try to show that our own doctrine is accordant with it, or at least not conflicting.

We have seen that the participation of things here in that higher means not that the soul has gone outside of itself to enter the corporeal, but that the corporeal has approached soul and is now participant in it; the coming affirmed by the ancients²³ can be only that approach of the body to the higher by which it partakes of life and of soul; this has nothing to do with local entry but is some form of communion; by the descent and embodiment of current phrasing must be understood not that soul becomes an appanage of body but that it gives out to it something of itself; similarly, the soul's departure is the complete cessation of that communion.

The various rankings of the universe will determine various degrees of the communion; soul, ultimate of the Intellectual, will give forth freely to body as being more nearly of the one power and standing closer, as distance holds in that order.

The soul's evil will be this association, its good the release. Why? Because, even unmerged, a soul in any way to be described as attached to this universe is in some degree fallen from the All into a state of partition; essentially belonging to the All, it no longer directs its act Thither: thus, a man's knowledge is one whole, but he may guide himself by no more than some single item of it, where his good would lie in living not by some such fragment but by the total of his knowing.

That One Soul – member of the Intellectual cosmos and there merging what it has of partial into the total – has broken away,²⁴ so to speak, from the All to the part and to that devotes itself, becoming partial with it; thus fire that might consume everything may be set to ply its all-power upon some trifle. So long as the soul remains utterly unattached it is soul not singled out; when it has accepted separation – not that place but that of act determining individualities – it is a part, no longer the soul entire, or at least not entire in the first sense; when, on the contrary, it exercises no such outward control it is perfectly the All-Soul, the partial in it latent.

As for the entry into the World of the Shades, if this means into the unseen,²⁵ that is its release; if into some lower place, there is

nothing strange in that, since even here the soul is taken to be where the body is, in place with the body.

But on the dissolution of the body?

So long as the image-soul²⁶ has not been discarded, clearly the higher will be where that is; if, on the contrary, the higher has been completely emancipated by philosophic discipline, the image-soul may very well go alone to that lower place, the authentic passing uncontaminated into the Intellectual, separated from that image but none the less the soul entire.

Let the image – offspring of the individuality – fare as it may, the true soul when it turns its light upon itself, chooses the higher and by that choice blends into the All, neither acting now nor extinct.

But it is time to return to our main theme:²⁷

FIFTH TRACTATE



ON THE INTEGRAL OMNIPRESENCE OF THE AUTHENTIC EXISTENT (II) [23]

1. The integral omnipresence of a unity numerically identical is in fact universally received;²⁸ for all men instinctively affirm the god in each of us to be one, the same in all. It would be taken as certain if no one asked how or sought to bring the conviction to the test of reasoning; with this effective in their thought, men would be at rest, finding their stay in that oneness and identity, so that nothing would wrench them from this unity. This principle, indeed, is the most solidly established of all, proclaimed by our very souls; we do not piece it up item by item, but find it within beforehand; it precedes even the principle by which we affirm unquestionably that all things seek their good; for this universal quest of good depends on the fact that all aim at unity and possess unity and that universally effort is towards unity.

Now this unity in going forth, so far as it may, towards the Other Order must become manifest as multiplicity and in some sense become multiple; but the primal nature and the appetite of the good, which is appetite of unity, lead back to what is authentically one; to this every form of Being is urged in a movement towards its own reality. For the good to every nature possessing unity is to be self-belonging, to be itself, and that means to be a unity.

In virtue of that unity the Good may be regarded as truly inherent. Hence the Good is not to be sought outside; it could not have fallen outside of what is; it cannot possibly be found in non-Being; within Being the Good must lie, since it is never a non-Being.

If that Good has Being and is within the realm of Being, then it is present, self-contained, in everything; we, therefore, are not separated from Being; we are in it; nor is Being separated from us: therefore all beings are one.

2. Now the reasoning faculty which undertakes this problem is not a unity but a thing of parts; it brings the bodily nature into the inquiry, borrowing its principles from the corporeal: thus it thinks of the Essential Existence as corporeal and as a thing of parts; it baulks at the unity because it does not start from the appropriate convincing principles to the discussion of the Unity, of perfect Being: we must hold to the Intellectual principles which alone apply to the Intellectual Order and to Real Being.

On the one hand²⁹ there is the unstable, exposed to all sorts of change, distributed in place, not so much Being as Becoming: on the other, there is that which exists eternally, not divided, subject to no change of state, neither coming into being nor falling from it, set in no region or place or support, emerging from nowhere, entering into nothing, fast within itself.

In dealing with that lower order we would reason from its own nature and the characteristics it exhibits; thus, on a plausible foundation, we achieve plausible results by a plausible system of deduction: similarly, in dealing with the Intellectual, the only way is to grasp the nature of the essence concerned and so lay the sure foundations of the argument, not forgetfully straying over into that other order but basing our treatment on what is essential to the Nature with which we deal.

In every entity the essential nature is the governing principle³⁰ and, as we are told, a sound definition brings to light many even of the concomitants: where the essential nature is the entire being, we must be all the more careful to keep to that, to look to that, to refer all to that.

3. If this principle is the Authentic Existent and holds unchanging identity, does not go forth from itself, is untouched by any process of becoming or, as we have said, by any situation in place, then it

must be always self-gathered, never in separation, not partly here and partly there, not giving forth from itself: any such instability would set it in thing after thing or at least in something other than itself: then it would no longer be self-gathered; nor would it be immune, for anything within which it were lodged would affect it; immune, it is not in anything. If, then, not standing away from itself, not distributed by part, not taking the slightest change, it is to be in many things while remaining a self-concentrated entire, there is some way in which it has multi-presence; it is at once self-enclosed and not so: the only way is to recognize that while this principle itself is not lodged in anything, all other things participate in it – all that are apt and in the measure of their aptitude.

Thus, we either cancel all that we have affirmed and the principles laid down, and deny the existence of any such Nature, or, that being impossible, we return to our first position:

There is a unity, numerically identical, undistributed, an unbroken entire, which yet stands remote from nothing that exists by its side; but it does not, for that, need to pour itself forth: it is not necessary either that certain portions of it enter into things, or again that, while it remains self-abiding, something produced and projected from it enter at various points into that other order. Either would imply something of it remaining there while the emanant is elsewhere: thus separated from what has gone forth, it would experience local division. And would those emanants be, each in itself, whole or part? If part, the unity has lost its nature, that of an entire, as we have already indicated;³¹ if whole, then either the whole is broken up to coincide point for point with that in which it is become present or we are admitting that an unbroken identity can be omnipresent.

This is a reasoning, surely, founded on the thing itself and its essential nature, not introducing anything foreign, anything belonging to the Other Order.

4. Then consider this god (in man) whom we cannot think to be absent at some point and present at another. All that have insight into the nature of the divine beings hold the omnipresence of this

god and of all the gods, and reason assures us that so it must be.

Now all-pervasion is inconsistent with partition; that would mean no longer the god throughout but part of the god at one point and part at another; the god ceases to be one god, just as a mass cut up ceases to be a mass, the parts no longer giving the first total. Further, the god becomes corporeal.

If all this is impossible, the disputed doctrine presents itself again; holding the god to pervade the Being of man, we hold the omnipresence of an integral identity.

Again, if we think of the divine nature as infinite – and certainly it is confined by no bounds – this must mean that it nowhere fails; its presence must reach to everything; at the point to which it does not reach, there it has failed; something exists in which it is not.

Now, admitting any sequent to the unity itself, that sequent must be bound up with it; any third will be about that second and move towards it, linked to it as its offspring. In this way all participants in the later will have share in the first.³² The Beings of the Intellectual are thus a plurality of firsts and seconds and thirds attached like one sphere to one centre, not separated by interval but mutually present; where, therefore, the Intellectual tertiaries are present the secondaries and firsts are present too.

5. Often for the purpose of exposition – as a help towards stating the nature of the produced multiplicity – we use the example of many lines radiating from one centre;³³ but while we provide for individualization we must carefully preserve mutual presence. Even in the case of our circle we need not think of separated radii; all may be taken as forming one surface: where there is no distinction even upon the one surface but all is power and reality undifferentiated, all the beings may be thought of as centres uniting at one central centre: we ignore the radial lines and think of their terminals at that centre, where they are at one. Restore the radii; once more we have lines, each touching a generating centre of its own, but that centre remains coincident with the one first centre; the centres all unite in that first centre and yet remain what they were, so that they are as many as are the lines to which they serve

as terminals; the centres themselves appear as numerous as the lines starting from them and yet all those centres constitute a unity.

Thus we may liken the Intellectual Beings in their diversity to many centres coinciding with the one centre and themselves at one in it but appearing multiple on account of the radial lines – lines which do not generate the centres but merely lead to them. The radii, thus, afford a serviceable illustration for the mode of contact by which the Intellectual Unity manifests itself as multiple and multipresent.

6. The Intellectual Beings, thus, are multiple and one; in virtue of their infinite nature their unity is a multiplicity, many in one and one over many,³⁴ a unit-plurality. They act as entire upon entire; even upon the partial thing they act as entire; but there is the difference that at first the partial accepts this working only partially though the entire enters later. Thus, when Man enters into human form there exists a particular man who, however, is still Man. From the one thing Man – man in the Idea – material man has come to constitute many individual men: the one identical thing is present in multiplicity, in multi-impression, so to speak, from the one seal.

This does not mean that Man Absolute, or any Absolute, or the Universe in the sense of a Whole, is absorbed by multiplicity; on the contrary, the multiplicity is absorbed by the Absolute, or rather is bound up with it. There is a difference between the mode in which a colour may be absorbed by a substance entire and that in which the soul of the individual is identically present in every part of the body: it is in this latter mode that Being is omnipresent.

7. To Real Being we go back, all that we have and are; to that we return as from that we came. Of what is There we have direct knowledge, not images or even impressions; and to know without image is to be; by our part in true knowledge we are those Beings; we do not need to bring them down into ourselves, for we are There among them. Since not only ourselves but all other things also are those Beings, we all are they; we are they while we are also one with all: therefore we and all things are one.

When we look outside of that on which we depend we ignore

our unity; looking outward we see many faces; look inward and all is the one head. If a man could but be turned about – by his own motion or by the happy pull of Athene³⁵ – he would see at once God and himself and the All. At first no doubt all will not be seen as one whole, but when we find no stop at which to declare a limit to our being we cease to rule ourselves out from the total of reality; we reach to the All as a unity – and this not by any stepping forward, but by the fact of being and abiding there where the All has its being.

8. For my part I am satisfied that anyone considering the mode in which Matter participates in the Ideas will be ready enough to accept this tenet of omnipresence in identity, no longer rejecting it as incredible or even difficult. This because it seems reasonable and imperative to dismiss any notion of the Ideas lying apart³⁶ with Matter illumined from them as from somewhere above – a meaningless conception, for what have distance and separation to do here?

This participation cannot be thought of as elusive or very perplexing; on the contrary, it is obvious, accessible in many examples.

Note, however, that when we sometimes speak of the Ideas illuminating Matter this is not to suggest the mode in which material light pours down on a material object; we use the phrase in the sense only that, the material being image while the Ideas are archetypes, the two orders are distinguished somewhat in the manner of illuminant and illuminated. But it is time to be more exact.

We do not mean that the Idea, locally separate, shows itself in Matter like a reflection in water; the Matter touches the Idea at every point though not in a physical contact, and, by dint of neighbourhood – nothing to keep them apart – is able to absorb thence all that lies within its capacity, the Idea itself not penetrating, not approaching, the Matter, but remaining self-locked.

We take it, then, that the Idea, say of Fire – for we had best deal

with Matter as underlying the elements³⁷ – is not in the Matter. The Ideal Fire, then, remaining apart, produces the form of fire throughout the entire en-fired mass. Now let us suppose – and the same method will apply to all the so-called elements – that this Fire in its first material manifestation is a multiple mass. That single Fire is seen producing an image of itself in all the sensible fires; yet it is not spatially separate; it does not, then, produce that image in the manner of our visible light; for in that case all this sensible fire, supposing that it were a whole of parts (as the analogy would necessitate), must have generated spatial positions out of itself, since the Idea or Form remains in a non-spatial world; for a principle thus pluralized must first have departed from its own character in order to be present in that many and to ensure frequent participation in the one same Form.

The Idea, impartible, gives nothing of itself to the Matter;³⁸ its unbreaking unity, however, does not prevent it shaping that multiple by its own unity and being present to the entirety of the multiple, bringing it to pattern not by acting part upon part but by presence entire to the object entire. It would be absurd to introduce a multitude of Ideas of Fire, each several fire being shaped by a particular idea; the Ideas of fire would be infinite. Besides, how would these resultant fires be distinct, when fire is a continuous unity? and if we apply yet another fire to certain matter and produce a greater fire, then the same Idea must be allowed to have functioned in the same way in the new matter as in the old; obviously there is no other Idea.

9. The elements in their totality, as they stand produced, may be thought of as one spheric figure; this cannot be the piecemeal product of many makers each working from some one point on some one portion. There must be one cause; and this must operate as an entire, not by part executing part; otherwise we are brought back to a plurality of makers. The making must be referred to a partless unity, or, more precisely, the making principle must be a partless unity not permeating the sphere but holding it as one dependent thing. In this way the sphere is enveloped by one

identical life in which it is inset; its entire content looks to the one life; thus all the souls are one, a one, however, which yet is infinite.

It is in this understanding that the Soul has been taken to be a numerical principle,³⁹ while others think of it as in its nature a self-increasing number; this latter notion is probably designed to meet the consideration that the Soul at no point fails but, retaining its distinctive character, is ample for all, so much so that were the Cosmos vaster yet the virtue of Soul would still compass it – or rather the Cosmos still be sunk in Soul entire.

Of course, we must understand this adding of extension not as a literal increase but in the sense that the Soul, essentially a unity, becomes adequate to omnipresence; its unity sets it outside of quantitative measurement, the characteristic of that other order which has but a counterfeit unity, an appearance by participation.

The essential unity is no aggregate to be annulled upon the loss of some one of the constituents; nor is it held within any allotted limits, for so it would be the less for a set of things, more extensive than itself, outside its scope; or it must wrench itself asunder in the effort to reach to all; besides, its presence to things would be no longer as whole to all but by part to part; in vulgar phrase, it does not know where it stands; dismembered, it no longer performs any one single function.

Now if this principle is to be a true unity – where the unity is of the essence – it must in some way be able to manifest itself as including the contrary nature, that of potential multiplicity, while by the fact that this multiplicity belongs to it not as from without but as from and by itself, it remains authentically one, possessing boundlessness and multiplicity within that unity; its nature must be such that it can appear as a whole at every point; this, as encircled by a single self-embracing Reason-Principle, which holds fast about that unity, never breaking with itself but over all the universe remaining what it must be.

The unity is in this way saved from the local division of the things in which it appears; and, of course, existing before all that is

in place, it could never be founded upon anything belonging to that order of which, on the contrary, it is the foundation; yet, for all that they are based upon it, it does not cease to be wholly self-gathered; if its fixed seat were shaken, all the rest would fall with the fall of their foundation and stay; nor could it be so unintelligent as to tear itself apart by such a movement and, secure within its own being, trust itself to the insecurity of place which, precisely, looks to it for safety.

10. It remains, then, poised in wisdom within itself; it could not enter into any other; those others look to it and in their longing find it where it is. This is that 'Love waiting at the door',⁴⁰ ever coming up from without, striving towards the beautiful, happy when to the utmost of its power it attains. Even here the lover does not so much possess himself of the beauty he has loved as wait before it; that Beauty is abidingly self-enfolded but its lovers, the Many, loving it as an entire, possess it as an entire when they attain, for it was an entire that they loved. This seclusion does not prevent it sufficing to all, but is the very reason for its adequacy; because it is thus entire for all it can be The Good to all.

Similarly wisdom is entire to all, and thus 'shared by all';⁴¹ it is not distributed parcelwise; it cannot without absurdity be fixed to place; it is not spread about like a colouring, for it is not corporeal; any true participation in wisdom must be participation in an identical unity wholly self-gathered. So must it be in our participation in the Supreme; we shall not take our several portions of it, nor you some separate entire and I another. Think of what happens in Assemblies and all kinds of meetings; the road to sense is the road to unity; singly the members are far from wise; as they begin to grow together, each, in that true growth, generates wisdom while he recognizes it. There is nothing to prevent our intelligences meeting at one centre from their several positions; all one, they seem apart to us as when without looking we touch one object or sound one string with different fingers and think we feel several. Or take our souls in their possession of good; it is not one good for me and another for you; it is the same for both and not in

the sense merely of distinct products of an identical source, the good somewhere above with something streaming from it into us; in any real receiving of good, giver is in contact with taker and gives not as to a recipient outside but to one in intimate contact.

The Intellectual giving is not an act of transmission; even in the case of corporeal objects, with their local separation, the mutual giving (and taking) is of things of one order and their communication, every effect they produce, is upon their like; what is corporeal in the All acts and is acted upon within itself, nothing external impinging upon it. Now if in body, whose very nature is self-eluding, there is no incursion of the alien, how can there be any in the order in which no partition exists?

It is therefore by identification that we see the good and touch it, brought to it by becoming identical with what is of the Intellectual within ourselves. In that realm exists what is far more truly a cosmos of unity; otherwise there will be two sensible universes, divided into correspondent parts; the Intellectual sphere, if a unity only as this sphere is, will be undistinguishable from it – except, indeed, that it will be less worthy of respect since in the nature of things extension is appropriate in the lower while the Intellectual will have wrought out its own extension with no motive, in a departure from its very character.

And what is there to hinder this unification? There is no question of one member pushing another out as occupying too much space, any more than happens in our own minds where we take in the entire fruit of our study and observation, all uncrowded.

We may be told that this unification is not possible in Real Beings; it certainly would not be possible, if the Reals had extension.

11. But how can the unextended reach over the defined extension of the corporeal? How can it, so, maintain itself as a unity, an identity?

This is a problem often raised and reason calls vehemently for a

solution of the difficulties involved. The fact stands abundantly evident but there is still the need of intellectual satisfaction.

We have, of course, no slight aid to conviction, indeed the very strongest, in the exposition of the character of that principle. It is not like a stone, some vast block lying where it lies, covering the space of its own extension, held within its own limits, having a fixed quantity of mass and of assigned stone-power. It is a First Principle, measureless, not bounded within determined size – such measurement belongs to another order – and therefore it is all-power, nowhere under limit. Being so, it is outside of Time.

Time in its ceaseless onward sliding produces parted interval; Eternity stands in identity,⁴² pre-eminent, vaster by unending power than Time with all the vastness of its seeming progress; Time is like a radial line running out apparently to infinity but dependent upon that, its centre, which is the pivot of all its movement; as it goes it tells of that centre, but the centre itself is the unmoving principle of all the movement.

Time stands, thus, in analogy with the principle which holds fast in unchanging identity of essence: but that principle is infinite not only in duration but also in power: this infinity of power must also have its counterpart, a principle springing from that infinite power and dependent upon it; this counterpart will, after its own mode, run a course – corresponding to the course of Time – in keeping with that stationary power which is its greater as being its source: and in this too the source is present throughout the full extension of its lower correspondent.

This secondary of Power, participating as far as it may in that higher, must be identified.

Now the higher power is present integrally but, in the weakness of the recipient material, is not discerned at every point; it is present as an identity everywhere, not in the mode of the material triangle – identical though in many representations numerically multiple – but in the mode of the immaterial, ideal triangle which

is the source of the material figures. If we are asked why the omnipresence of the immaterial triangle does not entail that of the material figure, we answer that not all Matter enters into the participation necessary; Matter accepts various forms and not all Matter is apt for all form; the First Matter,⁴³ for example, does not lend itself to all but is for the First Kinds first and for the others in due order, though these, too, are omnipresent.

12. To return: How is that Power present to the universe?

As a One Life.

Consider the life in any living thing; it does not reach only to some fixed point, unable to permeate the entire being; it is omnipresent. If on this again we are asked, How?, we appeal to the character of this power, not subject to quantity but such that though you divide it mentally for ever you still have the same power, infinite to the core; in it there is no Matter to make it grow less and less according to the measured mass.

Conceive it as a power of an ever-fresh infinity,⁴⁴ a principle unfailing, inexhaustible, at no point giving out, brimming over with its own vitality. If you look to some definite spot and seek to fasten on some definite thing, you will not find it. The contrary is your only way; you cannot pass on to where it is not; you will never halt at a dwindling point where it fails at last and can no longer give; you will always be able to move with it – better, to be in its entirety – and so seek no further; denying it, you have strayed away to something of another order and you fall; looking elsewhere you do not see what stands there before you.

But supposing you do thus ‘seek no further’, how will you ever be convinced of attainment?

In that you have entered into the All, no longer content with the part; you cease to think of yourself as under limit but, laying all such determination aside, you become an All. No doubt you were always that, but there has been an addition and by that addition you are diminished; for the addition was not from the realm of

Being – you can add nothing to Being – but from non-Being. It is not by some admixture of non-Being that one becomes an entire, but by putting non-Being away. By the lessening of the alien in you, you increase. Cast it aside and there is the All within you: engaged in the alien, you will not find the All. Not that it has to come and so be present to you; it is you that have turned from it. And turn though you may, you have not severed yourself; it is there; you are not in some far region: still there before it, you have faced to its contrary.

It is so with the lesser gods; of many standing in their presence it is often one alone that sees them; that one alone was alone in the power to see. These are the gods who ‘in many guises seek our cities’;⁴⁵ but there is That Other whom the cities seek, and all the earth and heaven – Him who is everywhere self-abiding and from whom derives Being and the Real Beings down to Soul and Life, all bound to Him and so moving to that unity which by its very lack of extension is infinite.

SEVENTH TRACTATE



HOW THE MULTIPLICITY OF THE IDEAL-FORMS CAME INTO BEING; AND ON THE GOOD [38]

SUMMARY

This tractate, one of the longest in the Enneads, is, as the double title suggests, an extended treatment of two major issues in Plotinus' metaphysics, the relation of intellect to its contents, the Forms (ch. 1–15), and the relation of Intellect to the One, or the Good (ch. 16–42). This, much longer, latter portion, however, may be broken down into various distinct topics: ch. 16–23: the ascent from the intelligible world to its source; ch. 24–30: a sort of interlude comprising an analysis of the concept of the Good; ch. 31–35: the mode of activity of the One in relation to Intellect and Soul; ch. 36–42: how the Good may be known, and what apprehension it can have of itself.

The first section also comprises various interesting enquiries, notably the degree of planning that it is appropriate to attribute to the activity of intellect (dramatized as the Demiurge), and the way in which every aspect of this physical world is mirrored at the intelligible level. Plotinus' analytical mind probes to the limit the concept of an individual Form, raising, on the whole, more problems than solutions.

1. God, or some one of the gods, in sending the souls to their birth, placed eyes in the face to catch the light and allotted to each sense the appropriate organ, providing thus for the safety which comes by seeing and hearing in time and seeking or avoiding under guidance of touch.⁴⁶

But what led to this provision?

It cannot be that other forms of being were produced first and that, these perishing in the absence of the senses, the maker at last supplied the means by which men and other living beings might avert disaster.

We may be told that it lay within the divine knowledge that animal life would be exposed to heat and cold and other such experiences incident to body and that in this knowledge he provided the senses and the organs apt to their activity in order that the living total might not fall an easy prey.

Now, either he gave these organs to souls already possessing the sensitive powers or he gave senses and organs alike.

But if the souls were given the powers as well as the organs, then, souls though they were, they had no sensation before that giving. If they possessed these powers from the moment of being souls and became souls in order to their entry into process, then it is of their very nature to belong to process, unnatural to them to be outside of process and within the intellectual: they were made in the intent that they should belong to the alien and have their being amid evil; the divine provision would consist in holding them to their disaster; this is God's reasoned purpose, this is the plan entire.

Now what is the foundation of reasoned plan?

Precedent planning, it may be; but still we are forced back to some thing or things determining it. What would these be here?

Either sense-perception or intellect. But sense-perception does not yet exist: intellect is life; yet, starting from intellect, the conclusion will be knowledge, not therefore the handling of the sensible; what begins with the intellectual and proceeds to the intellectual can certainly not end in dealings with the sensible. Providence, then, whether over living beings or over the sensible universe in general, was never the outcome of plan.

There is in fact no planning There; we speak of reasoned purpose in the world of things only to convey that the universe is of the character which in the later order would point to a wise purposing;

Providence implies that things are as in the later order a competent foreplanning would produce them. Reasoning serves, in beings not of the order above that need, to supply for the higher power; foresight is necessary in the lack of power which could dispense with it; it labours towards some one occurrence in preference to another and it goes in a sort of dread of the unfitting; where only the fitting can occur, there is no foreseeing. So with planning, where one only of two things can be, what place is there for plan? The alone and one and utterly simplex cannot have an explicated plan, a 'this to avert that': if 'this' could not be, the 'that' must; the serviceable thing appeared and at once approved itself so.

But surely this is foreseeing, deliberating: are we not back at what was said at the beginning, that God did to this end give both the senses and the powers, however perplexing that giving be?

No: all turns on the necessary completeness of Act; we cannot think anything belonging to God to be other than a whole and all and therefore in anything of God's that in all must be contained; God therefore must take in the future, present beforehand. Certainly there is no later in the divine; what is There as present is future for elsewhere. If then the future is present, it must be present as having been fore-conceived for later coming to be; at that divine stage therefore it lacks nothing and therefore can never lack; all existed, eternally and in such a way that at the later stage any particular thing may be said to exist for this or that purpose; the All, in its extension and so to speak unfolding, is able to present succession, but while it is bound up together, it is a single total fact: in other words, it contains its cause (as well as everything else) within itself.

2. Thus we have even here the means of knowing the nature of the Intellectual-Principle, though, seeing it more closely than anything else, we still see it at less than its worth. We know that it exists but its cause we do not see, or, if we do, we see that cause as something apart. We see a man – or an eye, if you like – but this is an image or part of an image; what is in that Principle is at once Man and the

reason of his being; for There man – or eye – must be, itself, an intellectual thing and a cause of its being; it could not exist at all unless it were that cause, whereas here everything partial is separate, and so is the cause of each. In the Intellectual all is at one so that the thing is identical with the cause.

Even here the thing and its cause are often identical – an eclipse furnishes an example⁴⁷ – what then is there to prevent other things too being identical with their cause and this cause being the essence of the thing? It must be so; and by this search after the cause the thing's essence is reached, for the essence of a thing is its cause. I am not here saying that the informing Idea is the cause of the thing – though this is true – but that the Idea itself, unfolded, reveals the cause inherent in it.

A thing of inactivity, a lifeless thing, cannot include its own cause; but where could a Forming-Idea, a member of the Intellectual-Principle, turn in quest of its cause? We may be answered, 'In the Intellectual-Principle'; but the two are not distinct; the Idea is the Intellectual-Principle; and if that Principle must contain the Ideas complete, their cause must be contained in them. The Intellectual-Principle itself contains every cause of the things of its content; but these of its content are identically Intellectual-Principle, each of them Intellectual-Principle; none of them, thus, can lack its own cause; each springs into being carrying with it the reason of its being. No result of chance, each must rise complete with its cause; it is an integral and so includes the excellence bound up with the cause. This is how all participants in the Idea are put into possession of their cause.

In our universe, a coherent total of multiplicity, the several items are linked each to the other, and by the fact that it is an all every cause is included in it: even in the particular thing the part is discernibly related to the whole, for the parts do not come into being separately and successively but are mutually cause and caused at one and the same moment. Much more in the higher realm must all the singles exist for the whole and each for itself: if

then that world is the conjoint reality of all, of an all not chance-ruled and not sectional, the caused There must include the causes: every item must hold, in its very nature, the uncaused possession of its cause; uncaused, independent, and standing apart from cause, they must be self-contained, cause and all.

Further, since nothing There is chance-sprung, and the multiplicity in each comprehends the entire content, then the cause of every member can be named; the cause was present from the beginning, inherent, not a cause but a fact of the being; or, rather, cause and manner of being were one. What could an Idea have, as cause, over and above the Intellectual-Principle? It is a thought of that Principle and cannot, at that, be considered as anything but a perfect product. If it is thus perfect we cannot speak of anything in which it is lacking nor cite any reason for such lack. That thing must be present and we can say why. The why is inherent, therefore, in the entity, that is to say in every thought and activity of the Intellectual-Principle. Take for example the Idea of Man; Man entire is found to contribute to it; he is in that Idea in all his fullness including everything that from the beginning belonged to Man. If Man were not complete There, so that there were something to be added to the Idea, that additional must belong to a derivative: but Man exists from eternity and must therefore be complete; the man born is the derivative.

3. What then is there to prevent man having been the object of planning There?

No: all stands in that likeness, nothing to be added or taken away; this planning and reasoning is based only on an assumption; things are taken to be in process and this suggests planning and reasoning; insist on the eternity of the process⁴⁸ and planning falls to the ground. There can be no planning over the eternal; that would imply forgetfulness of a first state; further, if the second state were better, things stood ill at first; if they stood well, so they must remain.

Only in conjunction with their causes are things good; even in this sphere a thing is good in virtue of being complete; form means that the thing is complete, the Matter duly controlled; this control means that nothing has been left crude; but something is so left if anything belonging to the shape be missing – eye, or other part. Thus to state cause is to state the thing complete. Why eyes or eyebrows? For completion: if you say ‘For preservation’, you affirm an indwelling safeguard of the essence, something contributory to the being: the essence, then, preceded the safeguard and the cause was inbound with the essence; distinct, this cause is in its nature a part of the essence.

All parts, thus, exist in regard to each other: the essence is all-embracing, complete, entire; the excellency is inbound with the cause and embraced by it; the being, the essence, the cause, all are one.

But, at this, sense-perception – even in its particular modes – is involved in the Idea by eternal necessity, in virtue of the completeness of the Idea; Intellectual-Principle, as all-inclusive, contains in itself all by which we are brought, later, to recognize this perfection in its nature; the cause, There, was one total, all-inclusive; thus Man in the Intellectual was not purely intellect, sense-perception being an addition made upon his entry into birth: all this would seem to imply a tendance in that great Principle towards the lower, towards this sphere. Sense-perception is, precisely, perception of the world of sense.

But surely it is untenable on the one hand that the capacity for sense-perception should exist There, from eternity, and on the other that only upon the debasement of the soul should there be actual sense-perception here and the accomplishment in this realm of the Act of what was always a power in that?

4. To meet the difficulty we must make a close examination of the nature of Man in the Intellectual; perhaps, though, it is better to begin with the man of this plane lest we be reasoning to Man There

from a misconception of Man here. There may even be some who deny the difference.

We ask first whether man as here is a Reason-Principle different to that soul which produces him as here and gives him life and thought; or is he that very soul or, again, the (yet lower) soul using the human body?⁴⁹

Now if man is a reasonable living being and by living being is meant a conjoint of soul and body, the Reason-Principle of man is not identical with soul. But if the conjoint of rational soul and body is the reason-principle of man, how can man be an eternal reality, seeing that it is only when soul and body have come together that the Reason-Principle so constituted appears?

That Reason-Principle will be the foreteller of the man to be, not the Man Absolute with which we are dealing but more like his definition, and not at that indicating his nature since what is indicated is not the Idea that is to enter Matter but only that of the known thing, the conjoint. We have not yet found the Man we are seeking, the equivalent of the Reason-Principle.

But – it may be said – the Reason-Principle of such beings must be some conjoint, one element in another.⁵⁰

This does not define the principle of either. If we are to state with entire accuracy the Reason-Principles of the Forms in Matter and associated with Matter, we cannot pass over the generative Reason-Principle, in this case that of Man, especially since we hold that a complete definition must cover the essential manner of being.

What, then, is this essential of Man? What is the indwelling, inseparable something which constitutes Man as here? Is the Reason-Principle itself a reasoning living being, or is the living being the conjoint of Form and Matter, while the Reason-Principle is merely a maker of that reasoning life-form? and what is it apart from that act of making?

The living being corresponds to a reasoning life in the Reason-

Principle; man therefore is a reasoning life: but there is no life without soul; either, then, the soul supplies the reasoning life – and man therefore is not an essence but simply an activity of the soul – or the soul is the man.

But if reasoning soul is the man, why does it not constitute man upon its entry into some other animal form?

5. Man, thus, must be some Reason-Principle other than soul. But why should he not be some conjoint – a soul in a certain Reason-Principle – the Reason-Principle being, as it were, a definite activity which however could not exist without that which acts?

This is the case with the Reason-Principles in seed which are neither soulless nor entirely soul. For these productive principles cannot be devoid of soul and there is nothing surprising in such essences being Reason-Principles.

But these principles producing man, of what phase of soul are they activities? Of the vegetal soul? Rather of that which produces animal life, a brighter soul and therefore one more intensely living.

The soul of that order, the soul that has entered into Matter of that order, is man by having, apart from body, a certain disposition; within body it shapes all to its own fashion, producing another form of Man, man reduced to what body admits, just as an artist may make a reduced image of that again.

It is this other (lower) form of Man that holds the pattern and Reason-Principles of Man, the natural tendencies, the dispositions and powers – all feeble since this is not the Primal Man – and it contains also its own kinds of sensation, different from those in the archetype, bright to all seeming, but images and dim in comparison with those of the earlier order.

The higher Man, above this sphere, rises from the more godlike soul, a soul possessed of a nobler humanity and brighter perceptions. This must be the Man of Plato's definition⁵¹ ('Man is Soul') where the addition (Soul as using body) marks the distinction

between the soul which uses body directly and the soul, poised above, which touches the body only through that intermediary.

The Man of the realm of birth has sense-perception: the higher soul enters to bestow a brighter life, or rather does not so much enter as simply impart itself; for soul does not leave the Intellectual but maintaining that contact holds the lower life as pendant from it, blending with it by the natural link of Reason-Principle to Reason-Principle: and man, the dimmer, brightens under that illumination.

6. But how can that higher soul have sense-perception?

It is the perception of what falls under perception There, sensation in the mode of that realm: it is the source of the lower soul's perception of the correspondences in the sense-realm. Man as sense-percipient becomes aware of these correspondences and accommodates the sense-realm to the lowest extremity of its counterpart There, proceeding from the fire here to the fire Intellectual which was perceptible to the higher soul in a manner corresponding to its own nature as Intellectual fire. If material things existed There, the soul would perceive them; Man in the Intellectual, Man as Intellectual soul, would be aware of the terrestrial. This is how the secondary Man, copy of Man in the Intellectual, contains the Reason-Principles in copy; and Man in the Intellectual-Principle contained the Man that existed before any man.⁵² The diviner shines out upon the secondary and the secondary upon the tertiary; and even the latest possesses them all – not in the sense of identifying itself with them all but as standing in under-parallel to them. Some of us act by this lowest; in another rank there is a double activity, a trace of the next higher being included; in yet another there is a blending of the third (i.e. highest) grade with the others: each is that Man by which he acts while each too contains all the grades, though in some sense not so. On the separation of the third life and third Man from the body, then if the second also departs – of course not losing hold on the Above – the two, as we are told, will occupy the same place. No

doubt it seems strange that a soul which has been the Reason-Principle of a man should come to occupy the body of an animal: but the soul has always been all, and will at different times be this and that.

Pure, not yet fallen to evil, the soul chooses man and is man, for this is the higher and it produces the higher. It produces also the still loftier beings, the Celestials (Daimones), who are of one Form with the soul that makes Man: higher still stands that Being more entirely of the Celestial rank, in truth a god; and God is reproduced in the Celestial who is as closely bound to God as man to the Celestial. For that Being to which man is bound is not to be called a god; there remains the difference which distinguishes souls, all of the same race though they be. This is taking 'Celestial' ('Daimon') in the sense of Plato.⁵³

When a soul which in the human state has been thus attached chooses animal nature⁵⁴ and descends to that, it is giving forth the Reason-Principle – necessarily in it – of the animal as it was in the Intellectual: this it contained and the activity has been to the lower.

7. But if it is by becoming evil and inferior that the soul produces the animal nature, the making of ox or horse was not at the outset in its character; the Reason-Principle of the animal, and the animal itself, must lie outside of the natural plan?

Inferior, yes; but outside of nature, no. The thing There (Soul in the Intellectual) was in some sense horse and dog from the beginning; given the condition, it produces the higher kind; let the condition fail, then since produce it must, it produces what it may: it is like a skilful craftsman competent to create all kinds of works of art but reduced to making what is ordered and what the aptitude of his material indicates.

The power of the All-Soul, as Reason-Principle of the universe, may be considered as laying down a pattern before the effective separate powers go forth from it: this plan would be something like a tentative illumining of Matter; the elaborating soul would give

minute articulation to these representations of itself; every separate effective soul would become that towards which it tended, assuming that particular form as the choral dancer adapts himself to the action set down for him.⁵⁵

But this is to anticipate: our inquiry was, How there can be sense-perception in man without the implication that the Divine addresses itself to the realm of process. We maintained, and proved, that the Divine does not look to this realm but that things here are dependent upon those and represent them and that man here holding his powers from Thence is directed Thither, so that, while sense makes the environment of what is of sense in him, the Intellectual in him is linked to the Intellectual.

What we have called the perceptibles of that realm enter into cognizance in a way of their own, since they are not material, while the sensible sense here is fainter than the perception belonging to that higher world, but gains a specious clarity because its objects are bodies; the man of this sphere has sense-perception because apprehending in a less true degree and taking only enfeebled images of things There: perceptions here are Intellections of the dimmer order, and the Intellections There are vivid perceptions.

8. So much for the faculty of sensation;⁵⁶ but it would appear that the prototype There of the whole animal, horse or any other, must look deliberately towards this sphere; and that being so, the idea of horse must have been worked out in order that there be a horse here?

Yet what was that There to present the idea of the horse it was desired to produce? Obviously the idea of horse must exist before there was any planning to make a horse; it could not be thought of in order to be made; there must have been horse unproduced before that which was later to come into being. If then the thing existed before it was produced – if it cannot have been thought of in order to its production – the Being that held the horse as There held it in presence without any looking to this sphere; it was not with intent

to set horse and the rest in being here that they were contained There; it is that, the primal existing, the reproduction followed of necessity since the total of things was not to halt at the Intellectual. Who was there to call a halt to a power capable at once of self-concentration and of outflow?

But how come these animals of earth to be There? What have they to do within God? Reasoning beings, all very well; but this host of the unreasoning, what is there august in them? Surely the very contrary?

The answer is that obviously the unity of this (the Intellectual) universe must be that of a manifold since it is subsequent to that Unity-Absolute; otherwise it would be not next to that but the very same thing. As a next it could not hold the higher rank of being more perfectly a unity; it must fall short: since the best is a unity, inevitably there must be something more than unity, for deficiency involves plurality.

But why should it not be simply a dyad?

Because neither of the constituents could ever be a pure unity,⁵⁷ but at the very least a duality and so progressively (in an endless dualization). Besides, in that first duality of the hypothesis there would be also movement and rest, Intellect and the life included in Intellect, all-embracing Intellect and life complete. That means that it could not be one Intellect; it must be Intellect agglomerate including all the particular intellects, a thing therefore as multiple as all the Intellects and more so; and the life in it would not be that of one soul but of all the souls with the further power of producing the single souls: it would be the 'entire living universe'⁵⁸ containing much besides man; for if it contained only man, man would be alone here.

9. Admitted, then – it will be said – for the nobler forms of life; but how can the divine contain the man, the unreasoning? The man is the unreasoning since value depends upon reason and the worth of the intellective implies worthlessness whereintellection is lacking.

Yet how can there be question of the unreasoning or unintellective when all particulars exist in the divine and come forth from it?

In taking up the refutation of these objections, we must insist upon the consideration that neither man nor animals here can be thought of as identical with the counterparts in the higher realm; those ideal forms must be taken in a larger way. And again the reasoning thing is not of that realm: here the reasoning. There the pre-reasoning.

Why then does man alone reason here, the others remaining reasonless?

Degrees of reasoning here correspond to degrees of Intellection in that other sphere, as between man and the other living beings There; and those others do in some measure act by understanding.

But why are they not at man's level of reason: why also the difference from man to man?

We must reflect that since the many forms of lives are movements – and so with the Intellections – they cannot be identical: there must be different lives, distinct intellections, degrees of lightsomeness and clarity: there must be firsts, seconds, thirds, determined by nearness to the Firsts. This is how some of the Intellections are gods, others of a secondary order having what is here known as reason, while others again belong to the so-called unreasoning: but what we know here as unreasoning was There a Reason-Principle; the unintelligent was an Intellect; the Thinker of Horse was Intellect and the Thought, Horse, was an Intellect.

But (it will be objected) if this were a matter of mere thinking we might well admit that the intellectual concept, remaining concept, should take in the unintellectual, but where concept is identical with thing⁵⁹ how can the one be an Intellection and the other without intelligence? Would not this be Intellect making itself unintelligent?

No: the thing is not unintelligent; it is Intelligence in a particular mode, corresponding to a particular aspect of Life; and just as life

in whatever form it may appear remains always life, so Intellect is not annulled by appearing in a certain mode. Intellectual-Principle adapted to some particular living being does not cease to be the Intellectual-Principle of all, including man: take it where you will, every manifestation is the whole, though in some special mode; the particular is produced but the possibility is of all. In the particular we see the Intellectual-Principle in realization; the realized is its latest phase; in one case the last aspect is horse; at horse ended the progressive outgoing towards the lesser forms of life, as in another case it will end at something lower still. The unfolding of the powers of this Principle is always attended by some abandonment in regard to the highest; the outgoing is by loss and the loss is various, but according to the deficiency of the life-form produced by their failing they find the means of adding various requisites; the safeguards of life becoming inadequate, there appear nail, talon, fang, horn. Thus the Intellectual-Principle re-emerges at the very point of its descent by reason of the perfect sufficiency of its natural constitution, finding there within itself the remedy of the failure.

10. But failure There? What can defensive horns serve to There? To sufficiency as living form, to completeness. That principle must be complete as living form, complete as Intellect, complete as life, so that if it is not to be one thing it may be another. Its characteristic difference is in this power of being now this, now that, so that, summing all, it may be the completest life-form, Intelligence complete, life in the greatest fullness with each of the particulars complete in its degree while yet, over all that multiplicity, unity reigns.

It is a manifold, but not a manifold of identical parts: that would make it nothing but a self-sufficing unity. Like every compound it must consist of things progressively differing in form and safeguarded in that form. This is in the very nature of shape and Reason-Principle; a shape, that of man let us suppose, must include a certain number of differences of part but all dominated by a unity; there will be the noble and the inferior, eye and finger, but

all within a unity; the part will be inferior in comparison with the total but best in its place. Man is by definition living form and something else, something distinct from living form. It is so with virtue also; it contains at once the universal and the particular; and the total is good because the universal is not differentiated.

11. The very heavens, patently multiple, cannot be thought to disdain any form of life since this universe holds everything. Now how do these things come to be here? Does the higher realm contain all of the lower?

All that has been shaped by Reason-Principle and conforms to Idea.

But, having fire (warmth) and water, it will certainly have vegetation; how does vegetation exist There? How does fire live? Earth, too? Either these are alive or they are There as dead things and then not everything There has life. How in sum can the things of this realm be also There?

Vegetal life we can well admit, for the plant is a Reason-Principle established in life. If in the plant the Reason-Principle, entering Matter and constituting the plant, is a certain form of life, a definite soul, then, since every Reason-Principle is a unity, either this of plant-life is the primal or before it there is a primal plant, source of its being: that first plant would be a unity; those here, being multiple, must derive from a unity. This being so, that primal must have much the truer life and be the veritable plant, the plants here deriving from it in the secondary and tertiary degree and living by a vestige of its life.

But earth; how is there earth There? What is the being of earth and how are we to represent to ourselves the living earth of that realm?

First, what is earth in the physical realm, what the mode of its being?

Earth, here and There alike, must possess shape and a Reason-

Principle. Now in the case of the vegetal, the Reason-Principle of the plant here was found to have life: is there such a Reason-Principle in our earth?

Take the most earthy of things produced and found shaped in earth and they exhibit, even they, the indwelling earth-principle. The growing and shaping of stones, the internal moulding of mountains as they rise, reveal the working of an ensouled Reason-Principle fashioning them from within and bringing them to that shape: this, we must take it, is the creative earth-principle corresponding to what we call the specific principle of a tree; what we know as earth is like the wood of the tree; to cut out a stone is like lopping a twig from a tree, whereas if the stone remains a member of the earth, it is as the twig uncut from the living tree.

Realizing thus that the creative force inherent in our earth is life within a Reason-Principle, we are easily convinced that the earth There is much more primally alive, that it is a reasoned Earth-Livingness, the earth of Real-Being, earth primally, the source of ours.

Fire, similarly, with other such things, must be a Reason-Principle established in Matter: fire certainly does not originate in the friction to which it may be traced; the friction merely brings out a fire already existent in the scheme and contained in the materials rubbed together. Matter does not in its own character possess this fire-power: the true cause is something informing the Matter, that is to say, a Reason-Principle, obviously therefore a soul having the power of bringing fire into being; that is, a life and a Reason-Principle in one.

It is with this in mind that Plato says⁶⁰ there is soul in everything of this sphere. That soul is the cause of the fire of the sense-world; the cause of fire here is a certain Life of fiery character, the more authentic fire. That transcendent fire being more truly fire will be more veritably alive; the fire absolute possesses life. And the same principles apply to the other elements, water and air.

Why, then, are water and air not ensouled as earth is?

Now, it is quite certain that these are equally within the living total, parts of the living all; life does not appear visibly in them; but neither does it in the case of the earth where its presence is inferred by what earth produces: but there are living things in fire and still more manifestly in water and there are systems of life in the air. The particular fire, rising only to be quenched, eludes the soul animating the universe; it slips away from the magnitude that would manifest the soul within it; so with air and water. If these Kinds could naturally be fastened down to magnitude they would exhibit the soul within them, now concealed by the fact that their function requires them to be loose or flowing. It is much as in the case of the fluids within ourselves; the flesh and all that is formed out of the blood into flesh show the soul within, but the blood itself, not bringing us any sensation, seems not to have soul; yet it must; the blood is not subject to blind force; its nature obliges it to abstain from the soul which none the less is indwelling in it. This must be the case with the three elements; it is the fact that the living beings formed from the close conglomeration of air (the Celestials, or Daimones) are not susceptible to suffering. But just as air, so long as it remains itself, eludes the light which is and remains unyielding, so too, by the effect of its circular movement, it eludes soul – and, in another sense, does not. And so with fire and water.

12. Or take it another way: Since in our view this universe stands to that as copy to original, the living total must exist There beforehand; that is the realm of complete Being⁶¹ and everything must exist There.

The sky There must be living and therefore not bare of stars, here known as the heavens – for stars are included in the very meaning of the word. Earth too will be There, and not void but even more intensely living and containing all that lives and moves upon our earth and the plants obviously rooted in life; sea will be

There and all waters with the movement of their unending life and all the living things of the water; air too must be a member of that universe with the living things of air as here.

The content of that living thing must surely be alive – as in this sphere – and all that lives must of necessity be There. The nature of the major parts determines that of the living forms they comprise; by the being and content of the heaven There are determined all the heavenly forms of life; if those lesser forms were not There, that heaven itself would not be.

To ask how those forms of life come to be There is simply asking how that heaven came to be; it is asking whence comes the living form, and so, whence comes life, whence the All-Life, whence the All-Soul, whence collective Intellect: and the answer is that There no indigence or impotence can exist but all must be teeming, seething,⁶² with life. All flows, so to speak, from one fount not to be thought of as some one breath or warmth but rather as one quality englobing and safeguarding all qualities – sweetness with fragrance, wine-quality, and the savours of everything that may be tasted, all colours seen, everything known to touch, all that ear may hear, all melodies, every rhythm.

13. For Intellectual-Principle is not a simplex, nor is the Soul that proceeds from it: on the contrary things include variety in the degree of their simplicity, that is to say in so far as they are not compounds but Principles and Activities; the activity of the lowest is simple in the sense of being a fading-out, that of the First is the total of all activity. Intellectual-Principle is moved in a movement unfaillingly true to one course but its unity and identity are not those of the partial; they are those of its universality; and indeed the partial itself is not a unity but divides to infinity.

We know that Intellectual-Principle has a source and advances to some term as its ultimate; now, is the intermediate between source and term to be thought of as a line or as some distinct kind of body uniform and unvaried?

Where at that would be its worth? If it had no change, if no differentiation woke it into life, it would not be a Force; that condition would in no way differ from mere absence of power and, even calling it movement, it would still be the movement of a life not all-varied but indiscriminate; now it is of necessity that life be all-embracing, covering all the realms, and that nothing fail of life. Intellectual-Principle, therefore, must move in every direction upon all, or more precisely must ever have so moved.

A simplex moving retains its character; either there is no change, movement has been null, or if there has been advance it still remains a simplex and at once there is a permanent duality: if the one member of this duality is identical with the other, then it is still as it was, there has been no advance; if one member differs from the other, it has advanced with differentiation, and, out of a certain identity and difference, it has produced a third unity.⁶³ This production, based on Identity and Difference, must be in its nature identical and different; it will be not some particular different thing but Collective Difference, as its Identity is Collective Identity.

Being thus at once Collective Identity and Collective Difference, Intellectual-Principle must reach over all different things; its very nature then is to modify itself into a universe. If the realm of different things existed before it, these different things must have modified it from the beginning; if they did not, this Intellectual-Principle produced all, or rather was all.

Beings could not exist save by the activity of Intellectual-Principle; wandering down every way it produces thing after thing, but wandering always within itself in such self-bound wandering as authentic Intellect may know; this wandering permitted to its nature is among real beings which keep pace with its movement; but it is always itself; this is a stationary wandering, a wandering within 'the Meadow of Truth' from which it does not stray.⁶⁴

It holds and covers the universe which it has made the space, so to speak, of its movement, itself being also that universe which is space to it. And this Meadow of Truth is varied so that movement

through it may be possible; suppose it not always and everywhere varied, the failing of diversity is a failure of movement; failure in movement would mean a failing of the Intellectual Act; halting, it has ceased to exercise its Intellectual Act; this ceasing, it ceases to be.

The Intellectual-Principle is the Intellectual Act; its movement is complete, filling Being complete; and the entire of Being is the Intellectual Act entire, comprehending all life and the unfailing succession of things. Because this Principle contains Identity with Difference its division is ceaselessly bringing the different things to life. Its entire movement is through life and among living things. To a traveller over land all is earth but earth abounding in difference: so in this journey the life through which Intellectual-Principle passes is one life but, in its ceaseless changing, a varied life.

Throughout this endless variation it maintains the one course because it is not, itself, subject to change but on the contrary is present as identical and unvarying Being to the rest of things. For if there be no such principle of unchanging identity to things, all is dead, activity and actuality exist nowhere. These 'other things' through which it passes are also Intellectual-Principle itself; otherwise it is not the all-comprehending principle: if it is to be itself, it must be all-embracing; failing that, it is not itself. If it is complete in itself, complete because all-embracing, and there is nothing which does not find place in this total, then there can be nothing belonging to it which is not different; only by difference can there be such co-operation towards a total. If it knew no otherness but was pure identity its essential Being would be the less for that failure to fulfil the specific nature which its completion requires.

14. On the nature of the Intellectual-Principle we get light from its manifestations; they show that it demands such diversity as is incompatible with its being a monad. Take what principle you will, that of plant or animal: if this principle were a pure unity and not a

specifically varied thing, it could not so serve as principle; its product would be Matter, the principle not having taken all those forms necessary if Matter is to be permeated and utterly transformed. A face is not one mass; there are nose and eyes; and the nose is not a unity but has the differences which make it a nose; as bare unity it would be mere mass.

There is infinity in Intellectual-Principle since, of its very nature, it is a multiple unity,⁶⁵ not with the unity of a mass but with that of a Reason-Principle, multiple in itself: in the one Intellectual design it includes within itself, as it were in outline, all the outlines, all the patterns. All is within it, all the powers and intellections; the division is not determined by a boundary but goes ever inward; this content is held as the living universe holds the natural forms of the living creatures in it from the greatest to the least, down even to the minutest powers where there is a halt at the individual form. The discrimination is not of items huddled within a sort of unity; this is what is known as the Universal Sympathy,⁶⁶ not of course the sympathy known here which is a copy and prevails amongst things in separation; that authentic Sympathy consists in all being a unity and never discriminate. Discrimination, we read, is the mode of the physical universe.

15. That Life, the various, the all-including, the primal and one, who can consider it without longing to be of it, disdaining all the other?

All other life is darkness, petty and dim and poor; it is unclean and polluting the clean, for if you do but look upon it you no longer see nor live this life which includes all living, in which there is nothing that does not live and live in a life of purity void of all that is ill. For evil is here where life is in copy and Intellect in copy; There is the archetype (the Intellectual-Principle) which has the form of Good – we read⁶⁷ – as holding the Good in its Forms (or Ideas). That Good is distinct from Intellectual-Principle itself which maintains its life by contemplation (of the Good);⁶⁸ and it sees also as good the objects of its contemplation because it holds them in its

act of contemplating the Principle of Good. But the Good comes to it not as it was in its primal state but in accord with the condition of the Intellectual-Principle. The Good is the source from which the objects of contemplation come to be seen in the Intellectual-Principle; Intellectual-Principle has produced them by its vision of the Good. In the very law, never, looking to That, could it fail of Intellectual Act; never, on the other hand, could its objects be in the Good – otherwise it (the Intellectual-Principle) would not produce them itself. Thence it must draw its power to bring forth, to teem with offspring of itself; but the Good bestows what itself does not possess. From that Unity came multiplicity to Intellectual-Principle; it could not sustain the power poured upon it and therefore broke it up; it turned that one power into variety so as to carry it piecemeal.

All its production, effected in the power of The Good, contains goodness; it is good, itself, since it is constituted by these things of good; it is Good made diverse. It might be likened to a living sphere teeming with variety, to a globe of faces radiant with faces all living, to a unity of souls, all the pure souls, not the faulty but the perfect, with Intellect enthroned over all so that the place entire glows with Intellectual splendour.

But this would be to see it from without, one thing seeing another; the true way is to become Intellectual-Principle and be, our very selves, what we are to see.

16. But even there we are not to remain always, in that beauty of the multiple; we must make haste yet higher,⁶⁹ above this heaven of ours and even that; leaving all else aside we ask in awe, Who produced that realm and how? Everything There is a single Idea in an individual impression and, informed by The Good, possesses a common property which extends to all. Everything possesses Being as a common property, and possesses also the Form of Living-Being in virtue of that life which belongs to all alike; and perhaps possesses much else in common.

But what is the Nature of this Transcendent in view of which and by way of which the Ideas are good?

The best way of putting the question is to ask whether, when Intellectual-Principle looked towards The Good, it had Intellection of that unity as a multiplicity and, itself a unity, applied its Act by breaking into parts what it was too feeble to know as a whole.

At first it was not Intellect looking upon the Good; it was a looking void of Intellection.⁷⁰ We must think of it not as looking but as living; dependent upon That, it kept itself turned Thither; all the tendance taking place There and upon That must be a movement teeming with life and must so fill the looking Principle; there is no longer bare Act, there is a filling to saturation. Forthwith it becomes all things, knows that fact in virtue of its self-knowing and at once becomes Intellectual-Principle, filled so as to hold within itself that object of its vision, seeing all by the light from the Giver and bearing that light with it.

In this way the Supreme may be understood to be the cause at once of essential reality and of the knowing of reality.⁷¹ The sun, cause of the existence of sense-things and of their being seen, is indirectly the cause of sight, without being either the faculty or the object: similarly this Principle, The Good, cause of Being and Intellectual-Principle, is a light appropriate to what is to be seen There and to their seer; neither the Beings nor the Intellectual-Principle, it is their source and by the light it sheds upon both makes them objects of Intellection. This filling procures the existence; after the filling the being; the existence achieved, the seeing followed: the beginning is that state of not yet having been filled, though there is, also, the beginning which means that the Filling Principle was in some sense outside and by that act of filling gave shape to the filled.

17. But how does Intellectual-Principle acquire its content, and how does it come to exist, if the content was not in the Filling Principle? It was not in the filled which before that moment was void of all content.

Giving need not comport possessing; in this order we are to

think of a giver as a greater and of a gift as a lower; this is the meaning of origin among real Beings. First there must be an actualized thing;⁷² its later must be potentially their own priors; a first must transcend its derivatives; the giver transcends the given, as a superior. If therefore there is a prior to actuality, that prior transcends Activity and so transcends Life. Our sphere containing life, there is a Giver of Life, a principle of greater good, of greater worth than Life; this possessed Life and had no need to look for it to any giver in possession of Life's variety.

But the Life was a vestige of that Primal, not a life lived by it; Life, then, as it looked towards That was undetermined;⁷³ having looked it had determination though That had none. Life looks to unity and is determined by it, taking bound, limit, form. But this form is in the shaped, the shaper had none; the limit was not external as something drawn about a magnitude; the limit was that of the multiplicity of the Life There, limitless itself as radiated from its great Prior; the Life itself was not that of some determined being, or it would be no more than the life of an individual. Yet it is defined; it must then have been defined as the Life of a unity including multiplicity; certainly too each item of the multiplicity is determined, determined as multiple by the multiplicity of Life but as a unity by the fact of limit.

As what, then, is its unity determined?

As Intellectual-Principle: determined Life is Intellectual-Principle. And the multiplicity?

As the multiplicity of Intellectual-Principles: all its multiplicity resolves itself into Intellectual-Principles – on the one hand the collective Principle, on the other the particular Principles.

But does this collective Intellectual-Principle include each of the particular Principles as identical with each other?

No: it would be thus the container of only the one thing; since there are many Intellectual-Principles within the collective, there must be differentiation.

Once more, how does the particular Intellect come to this differentiation?

It takes its characteristic difference by becoming entirely a unity within the collective whose totality could not be identical with any particular.

Thus the Life in the Supreme was the collectivity of power; the vision taking place There was the potentiality of all; Intellectual-Principle, thus arising, is manifested as this universe of Being. It stands over the Beings not as itself requiring base but that it may serve them as base through its vision of that Form of the Firsts,⁷⁴ the Formless Form. And it takes position towards the soul, becoming a light to the soul as itself finds its light in the One; whenever Intellectual-Principle becomes the determinant of soul it shapes it into Reasoning Soul, by communicating a trace of what itself has come to possess.

Thus Intellectual-Principle is a vestige of the Supreme; but since the vestige is a Form going out into extension, into plurality, that Prior, as the source of Form, must be itself without shape and Form: if the Prior were a Form, the Intellectual-Principle itself could be only a Reason-Principle. It was necessary that The First be utterly without multiplicity, for otherwise it must be again referred to a prior.

18. But in what way is the content of Intellectual-Principle participant in good? Is it because each member of it is an Idea or because of their beauty, or how?

Anything coming from The Good carries the image and type belonging to that original or deriving from it, as anything going back to warmth or sweetness carries the memory of those originals: Life entered into Intellectual-Principle from The Supreme, for its origin is in the Activity streaming Thence; Intellectual-Principle springs from the Supreme, and with it the beauty of the Ideas; at once all these, Life, Intellectual-Principle, Idea, must inevitably have goodness.

But what is the common element in them? Derivation from the First is not enough to procure identical quality; there must be some element held in common by the things derived: one source may produce many differing things as also one outgoing thing may take difference in various recipients: what enters into the First Act is different from what that Act transmits and there is difference, again, in the effect here. None the less every item may be good in a degree of its own. To what, then, is the highest degree due?

But first we must ask whether Life is a good – bare Life, or only the Life streaming Thence, very different from the Life known here? Once more, then, what constitutes the goodness of Life?

The Life of The Good, or rather not its Life but that given forth from it.

But if in that higher Life there must be something from That, something which is the Authentic Life, we must admit that since nothing worthless can come Thence that Authentic Life in which it appears is good; so too we must admit in the case of Authentic and Primary Intellectual-Principle that it is good; thus it becomes clear that every Idea is good and informed by the Good. The Ideas must have something of good whether as a common property or as a distinct attribution or as held in some distinct measure.

Thus it is established that the particular Idea contains in its essence something of good and thereby becomes a good thing; for Life we found to be good not in the bare being but in its derivation from the Authentic, the Supreme whence it sprung: and the same is true of Intellectual-Principle: we are forced therefore to admit a certain identity.

When, with all their differences, things may be affirmed to have a measure of identity, the matter of the identity may very well be established in their very essence and yet be mentally abstracted; thus life in man or horse yields the notion of animal; from water or fire we may get that of warmth; the first case is a definition of kind, the other two cite qualities, primary and secondary respectively.

The other possibility is that two or more terms might receive the same predicate by an equivocation.

Is The Good, then, inherent in the Ideas essentially? Each of them is good as a whole, but the goodness is not that of the Unity-Good. How, then, is it present?

By the mode of parts.

But The Good is without parts?

No doubt The Good is a unity; but here it has become particularized. The First Activity is good and anything determined in accord with it is good, as also is any resultant. There is the good that is good by origin in The First, the good that is an ordered system derived from that earlier, and the good that is in the actualization (in the thing participant). Derived, then, not identical – like the speech and walk and other characteristics of one man, each playing its due part.

Here, it is obvious, goodness depends upon order, rhythm, but what equivalent exists There?

We might answer that in the case of the sense-order, too, the good is imposed since the ordering is of things different from the Orderer but that There the very things are good.

But why are they thus good in themselves? We cannot be content with the conviction of their goodness on the ground of their origin in that realm: we do not deny that things deriving Thence are good, but our subject demands that we discover the mode by which they come to possess that goodness.

19. Are we to rest all on pursuit and on the soul? Is it enough to put faith in the soul's affection and call that good which the soul pursues, never asking ourselves the motive of its pursuit? We marshal demonstration as to the nature of everything else; is the good to be dismissed as choice?

Several absurdities would be entailed. The good becomes a mere contingency; pursuers are many and pursue different objects, so

that mere choice gives no assurance that the thing chosen is the best; in fact we cannot know the best until we know the good.

Are we to determine the good by the respective values of things?

This is to make Idea and Reason-Principle the test: all very well; but arrived at these, what explanation have we to give as to why Idea and Reason-Principle themselves are good? In the lower we recognize goodness – in its less perfect form – by comparison with what is poorer still; we are without a standard. There where no evil exists, the Bests holding the field, alone. Reason demands to know what constitutes goodness; those principles are good in their own nature and we are left in perplexity because cause and fact are identical: and even though we should state a cause, the doubt still remains until our reason claims its rights. There. But we need not abandon the search; another path may lead to the light.

20. Since we are not entitled to make desire the test by which to decide on the nature and quality of the good, we may perhaps have recourse to standards.

We would apply the opposition of things⁷⁵ – order, disorder; symmetry, irregularity; health, illness; form, shapelessness; real-being, decay: in a word, continuity against dissolution. The first in each pair, no one could doubt, belong to the concept of good and therefore whatever tends to produce them must be ranged on the good side.

Thus virtue and Intellectual-Principle and life and soul – reasoning soul, at least – belong to the idea of good and so therefore does all that a reasoned life aims at.

Why not halt, then – it will be asked – at Intellectual-Principle and make that The Good? Soul and Life are traces of Intellectual-Principle; that principle is the Term of Soul which on judgement sets itself towards Intellectual-Principle, pronouncing right preferable to wrong and virtue in every form to vice, and thus ranking by its choosing.

The soul aiming only at that Principle would need a further lessoning; it must be taught that Intellectual-Principle is not the ultimate, that not all things look to that while all do look to The Good. Not all that is outside of Intellectual-Principle seeks to attain it; what has attained it does not halt there but looks still towards good. Besides, Intellectual-Principle is sought upon motives of reasoning, The Good before all reason. And in any striving towards life and continuity of existence and activity, the object is aimed at not as Intellectual-Principle but as good, as rising from good and leading to it: life itself is desirable only in view of good.

21. Now what in all these objects of desire is the fundamental making them good?

We must be bold:

Intellectual-Principle and that life are of the order of good and hold their desirability, even they, in virtue of belonging to that order; they have their goodness, I mean, because Life is an Activity in The Good – or rather, streaming from The Good – while Intellectual-Principle is the Activity as already defined; both are of radiant beauty and, because they come Thence and lead Thither, they are sought after by the soul – sought, that is, as things congenial though not veritably good while yet, as belonging to that order, not to be rejected; the related if not good is shunned in spite of that relationship, and even remote and ignobler things may at times prove attractive.

The intense love called forth by Life and Intellectual-Principle is due not to what they are but to their receiving from above something quite apart from their own nature.

Material forms containing light incorporated in them need still a light apart from them that their own light may be manifest; just so the Beings of that sphere, all lightsome, need another and a lordlier light or even they would not be visible to themselves and beyond.

22. That light known, then indeed we are stirred towards those Beings in longing and rejoicing over the radiance about them, just as earthly love is not for the material form but for the Beauty manifested upon it. Every one of those Beings exists for itself but becomes an object of desire by the colour cast upon it from The Good, source of those graces and of the love they evoke. The soul taking that outflow⁷⁶ from the divine is stirred; seized with a Bacchic passion, goaded by these goads, it becomes Love. Before that, even Intellectual-Principle with all its loveliness did not stir the soul; for that beauty is dead until it take the light of The Good, and the soul lies supine, cold to all, unquickened even to Intellectual-Principle there before it. But when there enters into it a glow from the divine, it gathers strength, awakens, spreads true wings, and however urged by its nearer environing, speeds its buoyant way elsewhere, to something greater to its memory: so long as there exists anything loftier than the near, its very nature bears it upwards, lifted by the giver of that love. Beyond Intellectual-Principle it passes but beyond The Good it cannot, for nothing stands above That. Let it remain in Intellectual-Principle and it sees the lovely and august, but it is not there possessed of all it sought; the face it sees is beautiful no doubt but not of power to hold its gaze because lacking in the radiant grace which is the bloom upon beauty.

Even here we have to recognize that beauty is that which irradiates symmetry rather than symmetry itself and is that which truly calls out our love.

Why else is there more of the glory of beauty upon the living and only some faint trace of it upon the dead though the face yet retains all its fullness and symmetry? Why are the most living portraits the most beautiful, even though the other happen to be more symmetric? Why is the living ugly more attractive than the sculptured handsome? It is that the one is more nearly what we are looking for, and this because there is soul there, because there is more of the Idea of The Good, because there is some glow of the light of The Good and this illumination awakens and lifts the soul and all that goes with it, so that the whole man is won over to goodness and in the fullest measure stirred to life.

23. That which soul must quest, that which sheds its light upon Intellectual-Principle, leaving its mark wherever it falls, surely we need not wonder that it be of power to draw to itself, calling back from every wandering to rest before it. From it came all and so there is nothing mightier; all is feeble before it. Of all things the best, must it not be The Good? If by The Good we mean the principle most wholly self-sufficing, utterly without need of any other, what can it be but this? Before all the rest it was what it was, when evil had yet no place in things.

If evil is a Later, there found where there is no trace of This – among the very ultimates, so that on the downward side evil has no beyond – then to This evil stands full contrary with no linking intermediate: This therefore is The Good: either good there is none, or if there must be, This and no other is it.

And to deny The Good would be to deny evil also; there can then be no difference in objects coming up for choice: but that is untenable.

To This looks all else that passes for good; This, to nothing.

What then does it effect out of its greatness?

It has produced Intellectual-Principle, it has produced Life, the souls which Intellectual-Principle sends forth and everything else that partakes of Reason, of Intellectual-Principle, or of Life. Source and spring⁷⁷ of so much, how describe its goodness and greatness?

But what does it effect now?

Even now it is preserver of what it produced; by it the Intellectual Beings have their Intellection and the living their life; it breathes Intellect in, breathes Life in and, where life is impossible, existence.⁷⁸

24. But ourselves – how does it touch us?

We may recall what we have said of the nature of the light shining from it into Intellectual-Principle and so by participation into the soul. But for the moment let us leave that aside and put another question:⁷⁹

Does The Good hold that nature and name because some outside thing finds it desirable? May we put it that a thing desirable to one is good to that one and that what is desirable to all is to be recognized as The Good?

No doubt this universal questing would make the goodness evident but still there must be in the nature something to earn that name.

Further, is the questing determined by the hope of some acquisition or by sheer delight? If there is acquisition, what is it? If it is a matter of delight, why here rather than in something else?

The question comes to this: Is goodness in the appropriate or in something apart, and is The Good good as regards itself also or good only as possessed?

Any good is such, necessarily, not for itself but for something outside; and whatever may be the nature for which it is good, there is always some other for which it is not good.

And we must not overlook what some surly critic will surely bring up against us:

What's all this: you scatter praises here, there, and everywhere: Life is good, Intellectual-Principle is good: and yet The Good is above them; how then can Intellectual-Principle itself be good? What good do we gain by contemplating the Ideas? Do we merely see a particular Idea (and not also the Good)? If we are happy in this contemplation we may be deceived into thinking it a good, and life itself a good when it is merely pleasant. But suppose our lot unhappy, why should we speak of good? Is mere personal existence good? What profit is there in it? What is the advantage in existence over utter non-existence – unless goodness is to be founded upon our love of self? It is the deception rooted in the nature of things and our dread of dissolution that lead to all the 'goods' of your positing.

25. It is in view, probably, of this difficulty that Plato in the

Philebus⁸⁰ makes pleasure an element in the Term; the good is not defined as a simplex or set in Intellectual-Principle alone; while he rightly refrains from identifying the good with the pleasant, yet he does not allow Intellectual-Principle, in the absence of pleasure, to be The Good, since he sees no attractive power in it. He may also have had in mind that the good, to answer to its name, must be a thing of delight and that an object of pursuit must at least hold some pleasure for those that acquire and possess it, so that where there is no joy the good too is absent; further that pleasure, implying pursuit, cannot pertain to the First and that therefore good cannot.

All this was very well; there the inquiry was not as to the Primal Good but as to ours; the good dealt with in that passage pertains to a distinct subject; it is a good falling short of that higher; it is a mingled thing. We are to understand that good does not hold place in the One and Alone whose being is too great and different for that.

The good must, no doubt, be a thing pursued, not, however, good because it is pursued but pursued because it is good.

The solution, it would seem, lies in priority:

To the lowest of things the good is its immediate higher; each step represents the good to what stands lower so long as the movement does not tend away but advances continuously towards the superior: thus there is a halt at the Ultimate, beyond which no ascent is possible: that is the First Good, the authentic, the supremely sovran, the source of good to the rest of things.

Matter would have Forming-Idea for its good, since were it conscious it would welcome that; body would look to soul, without which it could not be or endure; soul must look to virtue; still higher stands Intellectual-Principle; above that again is the principle we call the Primal. Each of these progressive priors must have act upon those minors to which they are, respectively, the good: some will confer order and place, others life, others wisdom and the good life: Intellectual-Principle will draw upon the Authentic Good which we hold to enter into it, both as being an

Activity put forth from it and as even now taking what we designate as light from it. This good we will define later.⁸¹

26. Any conscious being, if the good come to him, will know the good and affirm his possession of it.

But what if one be deceived?

In that case there must be some resemblance to account for the error: the good will be the original which the delusion counterfeited and whenever the true presents itself we turn from the spurious.

All the striving, all the pain, show that to everything something is a good: the lifeless finds its share in something outside itself; where there is life the longing for good sets up pursuit: the very dead are cared for and mourned for by the living; the living plan for their own good. The witness of attainment is betterment, absence of regret, satisfaction, settlement, suspension of pursuit. Here pleasure shows itself inadequate: its choice does not hold; repeated, it is no longer the same; it demands endless novelty. The good, worthy of the name, can be no such tasting of the casual; anyone that takes this kind of thing for the good goes empty, carrying away nothing but an emotion which the good might have produced. No one could be content to take his pleasure thus in an emotion over a thing not possessed any more than over a child not there; I cannot think that those setting their good in bodily satisfactions find table-pleasure without the meal or love-pleasure without intercourse with their chosen or any pleasure where nothing is done.

27. But what is that whose entry supplies every such need?

Some Idea, we maintain. There is a Form to which Matter aspires: to soul moral excellence is this Form.

But is this Form a good to the thing as being apt to it, does the striving aim at the apt?

No: the aptest would be the most resemblant to the thing itself, but that, however sought and welcomed, does not suffice for the good: the good must be something more: to be a good to another a thing must have something beyond aptness; that only can be adopted as the good which represents the apt in its better form and is best to what is best in the quester's self, to that which the quester tends potentially to be.

A thing is potentially that to which its nature looks; this, obviously, it lacks; what it lacks, of its better, is its good. Matter is of all the most in need; its next is the lowest Form; Form at lowest is just one grade higher than Matter. If a thing is a good to itself, much more must its perfection, its Form, its better, be a good to it; this better, good in its own nature, must be good also to the quester whose good it procures.

But why should the Form which makes a thing good be a good to that thing? As being most apt?

No: but because it is, itself, a portion of the Good.⁸² This is why the least alloyed and nearest to the good are most at peace within themselves (have most 'self-aptness').

It is surely out of place to ask why a thing which is good should be good to itself; we can hardly suppose that it must strain outside its essential quality to find goodness, instead of enjoying the good which it effectually is.

There remains the question with regard to the Simplex: where there is utter absence of distinction does this self-aptness constitute the good to that Simplex?

If thus far we have been right, the striving of the lower possesses itself of the good as of a thing resident in a certain kind and it is not the striving that constitutes the good but the good that calls out the striving: where the good is attained something is acquired and on this acquisition there follows pleasure. But the question of whether the good must be chosen though no pleasure ensued demands separate inquiry.⁸³

28. Now to see what all this reasoning has established:

Universally what approaches as a good is a Form; Matter itself contains this good which is Form: are we to conclude that if Matter had will it would desire to be Form unalloyed?

No: that would be desiring its own destruction whereas everything seeks what will do it good. But perhaps Matter would not wish to remain at its own level but would prefer to attain Being and, this acquired, to lay aside its evil.

If we are asked how the evil thing can have tendency towards the good, we answer that we have not attributed tendency to Matter; our argument needed the hypothesis of sensation in Matter – in so far as possible consistently with retention of its character – and we asserted that the entry of Form, that dream of the Good, must raise it to a nobler order. If then Matter is Evil, there is no more to be said; if it is something else – a wrong thing, let us say – then in the hypothesis that its essence acquire sensation would not the appropriate upon the next or higher plane be its good, as in the other cases? But not what is evil in Matter would be the quester of good but that element in it (lowest Form) which is associated with evil.

But if Matter by very essence is evil how could it choose the good?

This question implies that if Evil were self-conscious it would admire itself: but how can the unadmirable be admired; and did we not decline to identify the good with what was apt to the nature?

There that question may rest. But if universally the good is Form and the higher the ascent the more there is of Form – Soul more truly Form than body is and phases of soul progressively of higher Form and Intellectual-Principle standing as Form to soul collectively – then the Good advances by the opposite of Matter and, therefore, by a cleansing and casting away to the utmost possible at each stage: and the greatest good must be there where all that is of Matter has disappeared. The Principle of Good

rejecting Matter entirely – or rather never having come near it at any point or in any way – must hold itself aloft with that Formless in which Primal Form takes its origin. But we will return to this.

29. Suppose, however, that pleasure did not result from the good but there were something preceding pleasure and accounting for it, would not this be a thing to be embraced?

But when we say ‘to be embraced’ we say ‘pleasure’.

But what if accepting its existence, we think of that existence as leaving still the possibility that it were not a thing to be embraced?

This would mean the good being present and the sentient possessor failing, none the less, to perceive it.

It would seem possible, however, to perceive and yet be unmoved by the possession; this is quite likely in the case of the wiser and least dependent – and indeed it is so with the First, immune not merely because simplex, but because pleasure by acquisition implies lack.

But all this will become clear on the solution of our remaining difficulties and the rebuttal of the stubborn argument brought up against us. This takes the form of the question: What gain is there in the Good to one who, fully conscious, feels nothing when he hears of these things, whether because he has no grasp of them but takes merely the words or because he holds to false values, perhaps being all in search of sense, finding his good in money or such things?

The answer is that even in his disregard of the good proposed he is with us in setting a good before him but fails to see how the good we define fits into his own conception. It is impossible to say ‘Not that’ if one is utterly without experience or conception of the ‘That’; there will generally have been, even, some inkling of the good beyond Intellection. Besides, one attaining or approaching the Good but not recognizing it may assure himself in the light of its contraries; otherwise he will not even hold ignorance an evil

though everyone prefers to know and is proud of knowing so that our very sensations seek to ripen into knowledge.

If the knowing principle – and specially primal Intellectual-Principle – is valuable and beautiful, what must be present to those of power to see the Author and Father of Intellect? Anyone thinking slightly of this principle of Life and Being brings evidence against himself and all his state: of course distaste for the life that is mingled with death does not touch that Life Authentic.

30. Whether pleasure must enter into the good, so that life in the contemplation of the divine things and especially of their source remains still imperfect, is a question not to be ignored in any inquiry into the nature of the Good.

Now to found the good upon the Intellect⁸⁴ and upon that state of soul or mind which springs from wisdom does not imply that the end or the Absolute Good is the conjunction (of Intellect and state): it would follow merely that Intellect is the good and that we feel happy in possession of that good. That is one theory; another associates pleasure with Intellect in the sense that the good is taken to be some one thing founded upon both but depending upon our attaining or at least contemplating an Intellect so modified; this theory would maintain that the isolated and unrelated could not be the good, could not be an object of desire.

But how could Intellect and pleasure combine into one mutually complementary nature?

Bodily pleasure no one, certainly, would think capable of blending in with Intellect; the unreasoning satisfactions of soul (or lower mind) are equally incompatible with it.

Every activity, state, and life will be followed and as it were escorted by the overdwelling consciousness; sometimes as these take their natural course they will be met by hindrance and by intrusion of the conflicting so that the life is the less self-guided; sometimes the natural activity is unmixed, wholly free, and then the life goes brilliantly; this last state of the Intellect is judged the

pleasantest, the most to be chosen; so, for lack of an accurate expression, we hear of 'Intellect in conjunction with pleasure'. But this is no more than metaphor, like a hundred others drawn by the poets from our natural likings – 'Drunk with nectar',⁸⁵ 'To banquet and feast', 'The father smiled'. No: the veritably pleasant lies away in that other realm, the most to be loved and sought for, not something brought about and changing but the very principle of all the colour and radiance and brightness found here. This is why we read⁸⁶ of 'Truth introduced into the Mixture' and of the 'measuring standard as a prior condition' and are told that the symmetry and beauty necessary to the Mixture come Thence into whatever has beauty; it is in this way that we have our share in Beauty; but in another way, also, we achieve the truly desirable, that is by leading ourselves up to what is best within us; this best is what is symmetry, beauty, collective Idea, life clear, intellective, and good.

31. But since the beauty and light in all come from That which is before all, it is Thence that Intellectual-Principle took the brilliance of the Intellectual Energy which flashed Nature (Soul?)⁸⁷ into being; Thence soul took power towards life, in virtue of that fuller life streaming into it. Intellectual-Principle was raised thus to that Supreme and remains with it, happy in that presence. Soul too, that soul which as possessing knowledge and vision was capable, clung to what it saw; and as its vision so its rapture; it saw and was stricken; but having in itself something of that principle it felt its kinship and was moved to longing like those stirred by the image of the beloved to desire of the veritable presence. Lovers here mould themselves to the beloved; they seek to increase their attraction of person and their likeness of mind; they are unwilling to fall short in moral quality or in other graces lest they be distasteful to those possessing such merit – and only among such can true love be. In the same way the soul loves the Supreme Good, from its very beginnings stirred by it to love.

The soul which has never strayed from this love waits for no reminding from the beauty of our world: holding that love – perhaps unawares – it is ever in quest, and, in its longing to be borne Thither, passes over what is lovely here and with one glance

at the beauty of the universe dismisses all; for it sees that all is put together of flesh and Matter, befouled by its housing, made fragmentary by corporal extension, not the Authentic Beauty which could never venture into the mud of body to be soiled, annulled.

By only noting the flux of things it knows at once that from elsewhere comes the beauty that floats upon them and so it is urged Thither, passionate in pursuit of what it loves: never – unless someone robs it of that love – never giving up till it attain.

There indeed all it saw was beautiful and veritable; it grew in strength by being thus filled with the life of the True; itself becoming veritable Being and attaining veritable knowledge, it enters by that neighbouring into conscious possession of what it has long been seeking.

32. Where, then? where exists the author of this beauty and life, the begetter of the veritable?

You see the splendour over all the manifold Forms or Ideas; well might we linger here: but amid all these things of beauty we cannot but ask whence they come and whence the beauty. This source can be none of the beautiful objects; were it so, it too would be a mere part. It can be no shape, no power, nor the total of powers and shapes that have had the becoming that has set them here; it must stand above all the powers, all the patterns. The origin of all this must be the formless – formless not as lacking shape but as the very source of even shape Intellectual.

In the realm of process anything coming to be must come to be something; to every thing its distinctive shape: but what shape can that have which no one has shaped? It can be none of existing things; yet it is all: none, in that beings are later; all, as the wellspring from which they flow. That which can make all can have, itself, no extension; it must be limitless⁸⁸ and so without magnitude; magnitude itself is of the Later and cannot be an element in that which is to bring it into being. The greatness of the Authentic cannot be a greatness of quantity; all extension must

belong to the subsequent: the Supreme is great in the sense only that there can be nothing to equal it, nothing with anything in common with it: how then could anything be equal to any part of its content? Its eternity and universal reach entail neither measure nor measurelessness; given either, how could it be the measure of things? So with shape: granted beauty, the absence of shape or form to be grasped is but enhancement of desire and love; the love will be limitless as the object is, an infinite love.

Its beauty, too, will be unique, a beauty above beauty: it cannot be beauty since it is not a thing among things. It is lovable and the author of beauty; as the power to all beautiful shape, it will be the ultimate of beauty, that which brings all loveliness to be; it begets beauty and makes it yet more beautiful by the excess of beauty streaming from itself, the source and height of beauty. As the source of beauty it makes beautiful whatsoever springs from it. And this conferred beauty is not itself in shape; the thing that comes to be is without shape, though in another sense shaped; what is denoted by shape is, in itself, an attribute of something else, shapeless at first. Not the beauty but its participant takes the shape.

33. When therefore we name beauty, all such shape must be dismissed; nothing visible is to be conceived, or at once we descend from beauty to what but bears the name in virtue of some faint participation. This formless Form is beautiful as Form, beautiful in proportion as we strip away all shape, even that given in thought to mark difference, as for instance the difference between Justice and Sophrosyny,⁸⁹ beautiful in their difference.

The Intellectual-Principle is the less for seeing things as distinct, even in its act of grasping in unity the multiple content⁹⁰ of its Intellectual realm; in its knowing of the particular it possesses itself of one Intellectual shape; but, even thus, in this dealing with variety as unity, it leaves us still with the question how we are to envisage that which stands beyond this all-lovely, beyond this principle at once multiple and above multiplicity, the Supreme for

which the soul hungers though unable to tell why such a being should stir its longing – reason, however, urging that This at last is the Authentic Term because the Nature best and most to be loved may be found there only where there is no least touch of Form. Bring something under Form and present it so before the mind; immediately we ask what Beyond imposed that shape; reason answers that while there exists the giver having shape to give – a giver that is shape, idea, an entirely measured thing – yet this is not alone, is not adequate in itself, is not beautiful in its own right but is a mingled thing. Shape and idea and measure will always be beautiful, but the Authentic Beauty, or rather the Beyond-Beauty, cannot be under measure and therefore cannot have admitted shape or be Idea: the primal Beauty, The First, must be without Form; the beauty of that higher realm must be, simply, the Nature of the Intellectual Good.

Take an example from love: so long as the attention is upon the visible form, love has not entered: when from that outward form the lover elaborates within himself, in his own partless soul, an immaterial image, then it is that love is born, then the lover longs for the sight of the beloved to make that fading image live again. If he could but learn to look elsewhere, to the more nearly formless, his longing would be for that: his first experience was loving a great luminary by way of some thin gleam from it.

Shape is an impress from the unshaped; it is the unshaped that produces shape, not shape the unshaped; and Matter is needed for the producing; Matter, in the nature of things, is the furthest away, since of itself it has not even the lowest degree of shape. Thus loveliness does not belong to Matter but to that which draws upon Form: the Form upon Matter comes by way of soul; soul is more nearly Form and therefore more lovable; Intellectual-Principle, nearer still, is even more to be loved: by these steps we are led to know that the primary nature of Beauty must be formless.

34. No longer can we wonder that the principle evoking such

longing should be utterly free from shape, even shape Intellectual. The very soul, once it has conceived the straining love towards this, lays aside all the shape it has taken, even to the Intellectual shape that has informed it. There is no vision, no union, for those handling or acting by any thing other; the soul must see before it neither evil nor good nor anything else, that alone it may receive the Alone.

Suppose the soul to have attained: the highest has come to her, or rather has revealed its presence; she has turned away from all about her and made herself apt, beautiful to the utmost, brought into likeness with the divine – by those preparings and adornings which come unbidden to those growing ready for the vision – she has seen that presence suddenly manifesting within her, for there is nothing between: here is no longer a duality but a two in one; for, so long as the presence holds, all distinction fades: it is as lover and beloved here, in a copy of that union, long to blend; the soul has now no further awareness of being in body and will give herself no foreign name, not man, not living being, not being, not all; any observation of such things falls away; the soul has neither time nor taste for them; This she sought and This she has found and on This she looks and not upon herself; and who she is that looks she has not leisure to know. Once There she will barter for This nothing the universe holds; not though one would make over the heavens entire to her; than This there is nothing higher, nothing of more good; above This there is no passing; all the rest however lofty lies on the downgoing path: she is of perfect judgement and knows that This was her quest, that nothing higher is. Here can be no deceit; where could she come upon truer than the truth? and the truth she affirms, that she is herself; but all the affirmation is later and is silent. In this happiness she knows beyond delusion that she is happy; for this is no affirmation of an excited body but of a soul become again what she was in the time of her early joy. All that she had welcomed of old – office, power, wealth, beauty, knowledge – of all she tells her scorn as she never could had she not found their better; linked to This she can fear no disaster, nor even once she

has had the vision; let all about her fall to pieces, so she would have it that she may be wholly with This, so huge the happiness she has won to.

35. Such in this union⁹¹ is the soul's temper that even the act of Intellect once so intimately loved she now dismisses; Intellection is movement and she has no wish to move; the object of her vision has itself, she says, no Intellection, even though it is by means of the Intellectual-Principle that she has attained the vision, herself made over into Intellectual-Principle and becoming that principle so as to be able to take stand in that Intellectual space. Entered there and making herself over to that, she at first contemplates that realm, but once she sees that higher still she leaves all else aside. Thus when a man enters a house rich in beauty he might gaze about and admire the varied splendour before the master appears; but, face to face with that great person – no thing of ornament but calling for the truest attention – he would ignore everything else and look only to the master. In this state of absorbed contemplation there is no longer question of holding an object: the vision is continuous so that seeing and seen are one thing; object and act of vision have become identical; of all that until then filled the eye no memory remains. And our comparison would be closer if instead of a man appearing to the visitor who had been admiring the house it were a god, and not a god manifesting to the eyes but one filling the soul.

Intellectual-Principle, thus, has two powers, first that of grasping intellectually its own content, the second that of an advancing and receiving whereby to know its transcendent; at first it sees, later by that seeing it takes possession of Intellectual-Principle, becoming one only thing with that: the first seeing is that of Intellect knowing, the second that of Intellect loving; stripped of its wisdom in the intoxication of the nectar,⁹² it comes to love; by this excess it is made simplex and is happy; and to be drunken is better for it than to be too staid for these revels.

But is its vision parcelwise, thing here and thing there?

No; reason unravelling gives process; Intellectual-Principle has unbroken knowledge and has, moreover, an Act unattended by

knowing, a vision by another approach. In this seeing of the Supreme it becomes pregnant and at once knows what has come to be within it; its knowledge of its content is what is designated by its Intellection; its knowing of the Supreme is the virtue of that power within it by which, in a later (lower) stage, it is to become 'Intellective'.

As for soul, it attains that vision by – so to speak – confounding and annulling the Intellectual-Principle within it; or rather that Principle immanent in soul sees first and thence the vision penetrates to soul and the two visions become one.

The Good spreading out above them and adapting itself to that union which it hastens to confirm is present to them as giver of a blessed sense and sight; so high it lifts them that they are no longer in space or in that realm of difference where everything is rooted in some other thing; for The Good is not in place but is the container of the Intellectual place; The Good is in nothing but itself.

The soul now knows no movement since the Supreme knows none; it is now not even soul since the Supreme is not in life but above life; it is no longer Intellectual-Principle, for the Supreme has not Intellection and the likeness must be perfect; this grasping is not even by Intellection, for the Supreme is not known intellectually.⁹³

36. We need not carry this matter further; we turn to a question already touched but demanding still some brief consideration. We may proceed from the point we have reached and follow the logical development.

Knowing of The Good or contact with it is the all-important: this – we read⁹⁴ – is the grand learning, the learning, we are to understand, not of looking towards it but attaining, first, some knowledge of it. We come to this learning by analogies,⁹⁵ by abstractions, by our understanding of its subsequents, of all that is derived from The Good, by the upward steps towards it. Purification has The Good for goal; so the virtues, all right

ordering, ascent within the Intellectual, settlement therein, banqueting upon the divine – by these methods one becomes, to self and to all else, at once seen and seer; identical with Being and Intellectual-Principle and the entire living all, we no longer see the Supreme as an external; we are near now, the next is That and it is close at hand, radiant above the Intellectual.

Here, we put aside all the learning; disciplined to this pitch, established in beauty, the quester holds knowledge still of the ground he rests on, but, suddenly, swept beyond it all by the very crest of the wave of Intellect surging beneath, he is lifted and sees, never knowing how; the vision floods the eyes with light, but it is not a light showing some other object, the light is itself the vision. No longer is there thing seen and light to show it, no longer Intellect and object of Intellection; this is the very radiance that brought both Intellect and Intellectual object into being for the later use and allowed them to occupy the quester's mind. With This he himself becomes identical, with that radiance whose Act is to engender Intellectual-Principle, not losing in that engendering but for ever unchanged, the engendered coming to be simply because that Supreme exists. If there were no such principle above change, no derivative could rise.

37. Those ascribing Intellection to the First⁹⁶ have not supposed him to know the lesser, the emanant – though, indeed, some have thought it impossible that he should not know everything. But those denying his knowing of the lesser have still attributed self-knowing to him, because they find nothing nobler; we are to suppose that so he is the more august, as if Intellection were something nobler than his own manner of being, not something whose value derives from him.

But we ask in what must his grandeur lie, in his Intellection or in himself. If in the Intellection, he has no worth or the less worth; if in himself, he is perfect before the Intellection, not perfected by it. We may be told that he must have Intellection because he is an Act, not a potentiality. Now if this means that he is an essence eternally

intellective, he is represented as a duality – essence and Intellective Act – he ceases to be a simplex; an external has been added: it is just as the eyes are not the same as their sight though the two are inseparable. If on the other hand by this actualization it is meant that he is Act and Intellection, then as being Intellection he does not exercise it, just as movement is not itself in motion.

But do not we ourselves assert that the Beings There are essence and Act?

The Beings, yes, but they are to us manifold and differentiated: the First we make a simplex; to us Intellection begins with the emanant in its seeking of its essence, of itself, of its author; bent inward for this vision and having a present thing to know, there is every reason why it should be a principle of Intellection; but that which, never coming into being, has no prior but is ever what it is, how could that have motive to Intellection? As Plato rightly says,⁹⁷ it is above Intellect.

An Intelligence not exercising Intellection would be unintelligent;⁹⁸ where the nature demands knowing, not to know is to fail of intelligence; but where there is no function, why import one and declare a defect because it is not performed? We might as well complain because the Supreme does not act as a physician. He has no task, we hold, because nothing can present itself to him to be done; he is sufficient; he need seek nothing beyond himself, he who is over all; to himself and to all he suffices by simply being what he is.

38. And yet this ‘He is’ does not truly apply:⁹⁹ the Supreme has no need of Being: even ‘He is good’ does not apply since it indicates Being: the ‘is’ should not suggest something predicated of another thing; it is to state identity. The word ‘good’ used of him is not a predicate asserting his possession of goodness; it conveys an identification. It is not that we think it exact to call him either good or The Good: it is that sheer negation does not indicate; we use the term The Good to assert identity without the affirmation of Being.

But how admit a Principle void of self-knowledge, self-awareness; surely the First must be able to say 'I possess Being'?

But he does not possess Being.

Then, at least he must say 'I am good.'?

No: once more, that would be an affirmation of Being.

But surely he may affirm merely the goodness, adding nothing: the goodness would be taken without the being and all duality avoided?

No: such self-awareness as good must inevitably carry the affirmation 'I am the Good'; otherwise there would be merely the unattached conception of goodness with no recognition of identity; any such intellection would inevitably include the affirmation 'I am'.

If that intellection were the Good, then the intellection would not be self-intellection but intellection of the Good; not the Supreme but that intellection would be the Good: if on the contrary that intellection of the Good is distinct from the Good, at once the Good exists before its knowing; ail-sufficiently good in itself, it needs none of that knowing of its own nature.

Thus the Supreme does not know itself as Good.

As what then?

No such foreign matter is present to it: it can have only an immediate intuition self-directed.

39. Since the Supreme has no interval, no self-differentiation, what can have this intuitional approach to it but itself? Therefore it quite naturally assumes difference at the point where Intellectual-Principle and Being are differentiated.

Intellect, to act at all, must inevitably comport difference with identity; otherwise it could not distinguish itself from its object by standing apart from it, nor could it ever be aware of the realm of things whose existence demands otherness, nor could there be so much as a duality.

Again, if the Supreme is to have intellection it cannot know only itself; that would not be intellection, for, if it did know itself, nothing could prevent it knowing all things – certainly not lack of power. With self-intellection it would no longer be simplex; any intellection, even in the Supreme, must be aware of something distinct; as we have been saying, the inability to see the self as external is the negation of intellection. That act requires a manifold – agent, object, movement, and all the other conditions of a thinking principle. Further we must remember what has been indicated elsewhere¹⁰⁰ that, since every intellectual act in order to be what it must be requires variety, every movement simple and the same throughout, though it may comport some form of contact, is devoid of the intellective.

It follows that the Supreme will know neither itself nor anything else but will hold an august repose.¹⁰¹ All the rest is later; before them all, This was what This was; any awareness of that other would be acquired, the shifting knowledge of the instable. Even in knowing the stable he would be manifold, for it is not possible that, while in the act of knowing the laters possess themselves of their object the Supreme should know only in some unpossessing observation.

As regards Providence, that is sufficiently saved by the fact that This is the source from which all proceeds; the dependent he cannot know when he has no knowledge of himself but keeps that august repose. Plato dealing with essential Being allows it intellection but not this august repose: intellection then belongs to Essential Being; this august repose to the Principle in which there is no intellection. Repose, of course, is used here for want of a fitter word; we are to understand that the most august, the truly so, is That which transcends (the movement of) Intellection.

40. That there can be no intellection in the First will be patent to those that have had such contact;¹⁰² but some further confirmation is desirable, if indeed words can carry the matter; we need

overwhelming persuasion.

It must be borne in mind that all intellection rises in some principle and takes cognizance of an object. But a distinction is to be made:

There is the intellection that remains within its place of origin; it has that source as substratum but becomes a sort of addition to it in that it is an activity of that source perfecting the potentiality there, not by producing anything but as being a completing power to the principle in which it inheres. There is also the intellection inbound with Being – Being's very author – and this could not remain confined to the source since there it could produce nothing; it is a power to production; it produces therefore of its own motion and its act is Real-Being and there it has its dwelling. In this mode the intellection is identical with Being; even in its self-intellection no distinction is made save the logical distinction of thinker and thought with, as we have often observed,¹⁰³ the implication of plurality.

This is a first activity and the substance it produces is Essential Being; it is an image, but of an original so great that the very copy stands a reality. If instead of moving outward it remained with the First, it would be no more than some appurtenance of that First, not a self-standing existent.

As the earliest activity and earliest intellection it can be preceded by no act or intellection: if we pass beyond this being and this intellection we come not to more being and more intellection but to what overpasses both, to the wonderful which has neither, asking nothing of these products and standing its unaccompanied self.

That all-transcending cannot have had an activity by which to produce this activity – acting before act existed – or have had thought in order to produce thinking – applying thought before thought exists – all intellection, even of the Good, is beneath it.

In sum, this intellection of the Good is impossible: I do not mean

that it is impossible to have intellection of the Good – we may admit the possibility – but there can be no intellection by The Good itself, for this would be to include the inferior with the Good.

If intellection is the lower, then it will be bound up with Being; if intellection is the higher, its object is lower. Intellection, then, does not exist in the Good; as a lesser, taking its worth through that Good, it must stand apart from it, leaving the Good unsoiled by it as by all else. Immune from intellection the Good remains uncontaminably what it is, not impeded by the presence of the intellectual act which would annul its purity and unity.

Anyone making the Good at once Thinker and Thought identifies it with Being and with the Intellection vested in Being so that it must perform that act of intellection: at once it becomes necessary to find another principle, one superior to that Good: for either this act, this intellection, is a completing power of some such principle serving as its ground, or it points, by that duality, to a prior principle having intellection as a characteristic. It is because there is something before it that it has an object of intellection; even in its self-intellection it may be said to know its content by its vision of that prior.

What has no prior and no external accompaniment could have no intellection, either of itself or of anything else. What could it aim at, what desire? To essay its power of knowing? But this would make the power something outside itself; there would be, I mean, the power it grasped and the power by which it grasped: if there is but the one power, what is there to grasp at?

41. Intellection seems to have been given as an aid to the diviner but weaker beings, an eye to the blind. But the eye itself need not see Being since it is itself the light; what must take the light through the eye needs the light because of its darkness. If then intellection is the light and light does not need the light, surely that brilliance (The First) which does not need light can have no need of intellection, will not add this to its nature. What could it do with

intellection? What could even intellection need and add to itself for the purpose of its act?

The First has no self-awareness; there is no need. It is no duality – or rather, no manifold consisting of itself, its intellectual act distinct from itself, and the inevitable third, the object of intellection. No doubt since knower, knowing, and known are identical, all merges into a unity: but the distinction has existed and, once more, such a unity cannot be the First; we must put away all otherness from the Supreme which can need no such support; anything we add is so much lessening of what lacks nothing.

To us intellection is a boon since the soul needs it; to the Intellectual-Principle it is appropriate as being one thing with the very essence of the principle constituted by the Intellectual Act, so that principle and act coincide in a continuous self-consciousness carrying the assurance of identity, of the unity of the two. But pure unit must be independent, in need of no such assurance.

‘Know yourself’ is a precept for those who, being manifold, have the task of appraising themselves so as to become aware of the number and nature of their constituents, some or all of which they ignore as they ignore their very principle and their manner of being. The First, on the contrary, if it have content must exist in a way too great to have any knowledge, intellection, perception of it. To itself it is nothing; accepting nothing, self-sufficing, it is not even a good to itself: to others it is good for they have no need of it; but it could not lack itself: it would be absurd to suppose The Good standing in need of goodness.

It does not see itself: seeing aims at acquisition: all this it abandons to the subsequent: in fact nothing found elsewhere can be There; even Being cannot be There. Nor therefore has it intellection since Being goes with intellection: the first and true Intellection is identical with Being. Reason, perception, intelligence,¹⁰⁴ none of these can have place in that Principle in which no presence can be affirmed.

42. Faced by the difficulty of placing these powers, you must in reason allocate to the secondaries what you count august: secondaries must not be foisted upon the First or tertiaries upon the secondaries. Secondaries are to be ranged under the First, tertiaries under the secondaries: this is giving everything its place, the later dependent on their priors, circling about them, those priors free.

This is included in that true saying,¹⁰⁵ 'About the King of All, all has being and in view of Him all is': we are to understand from the attribution of all things to Him and from the words 'in view of Him' that He is their cause and they reach to Him as to something differing from them all and containing nothing that they contain: for they will be less than 'all' if anything of the later be in Him.

Thus, Intellectual-Principle, finding place in the universe (the 'all'), cannot have place in Him. Where we read that He is the cause of all beauty we are clearly to understand that beauty depends upon the Forms, He being set above all that is beautiful here. The Forms are in that passage secondaries, their sequels being attached to them as dependent thirds: 'about the thirds', it is clear, their products are ranged – this world, dependent upon soul.

Soul dependent upon Intellectual-Principle and Intellectual-Principle upon the Good, all is linked to the Supreme by intermediaries, some close, some nearing those of the closer attachment, while the order of sense stands remotest, dependent upon soul.

EIGHTH TRACTATE



ON FREE WILL AND THE WILL OF THE ONE [39]

SUMMARY

This essay, following immediately in the chronological order on VI. 7, addresses first the nature of free will in general, (ch. 1–6) and then the question of how far the opposition of free will and necessity can be properly applied to the One (ch. 7–21). Plotinus' main statement on free will, in relation to providence and fate, comes in III. 2–3, but here he questions the status of free will in a still more radical way, suggesting that freedom of choice – what we would regard as freedom of will – is a characteristic of inferior entities, marred by ignorance. This leads to his enquiry into whether Soul, Intellect, or the One can be regarded as subject to necessity or, if not, whether their existence is 'accidental'. He transcends this unwelcome antithesis by propounding the doctrine of the Will of the One (ch. 13–21), its self-generating and self-determining power, which is coextensive with its essence. Though 'free' in the sense of unconstrained and self-caused, however, the One cannot be thought of as free to commit evil, or even to act otherwise than it does (ch. 21). All in all, the second part of this tractate is a most important document of Neoplatonic theology.

1. Can there be question as to whether the gods have voluntary action? Or are we to take it that while we may well inquire in the case of men with their combination of powerlessness and hesitating power, the gods must be declared omnipotent, not merely some things but all lying at their nod? Or is power entire, freedom of action in all things, to be reserved to one alone, of the rest some being powerful, others powerless, others again a blend of power

and impotence? All this must come to the test: we must dare it even of the Firsts and of the All-Transcendent and if we find omnipotence possible work out how far freedom extends. The very notion of power¹⁰⁶ must be scrutinized lest in this ascription we be really setting up an antithesis of power (potency) and Act, and identifying power with Act not yet achieved.

But for the moment we may pass over these questions to deal with the traditional problem of freedom of action in ourselves.

To begin with, what must be intended when we assert that something is in our power; what is the conception here?

To establish this will help to show whether we are to ascribe freedom to the gods and still more to God, or to refuse it, or again, while asserting it, to question still, in regard both to the higher and lower, the mode of its presence.

What then do we mean when we speak of freedom in ourselves and why do we question it?

My own reading is that, moving as we do amid adverse fortunes, compulsions, violent assaults of passion crushing the soul, feeling ourselves mastered by these experiences, playing slave to them, going where they lead, we have been brought by all this to doubt whether we are anything at all and dispose of ourselves in any particular.

This would indicate that we think of our free act as one which we execute of our own choice, in no servitude to chance or necessity or overmastering passion, nothing thwarting our will; the voluntary is conceived as an event amenable to will and occurring or not as our will dictates. Everything will be voluntary that is produced under no compulsion and with knowledge; our free act is what we are masters to perform.¹⁰⁷

Differing conceptually, the two conditions will often coincide but sometimes will clash. Thus a man would be master to kill but the act will not be voluntary if in the victim he had failed to recognize his own father. Perhaps, however, that ignorance is not compatible with real freedom: for the knowledge necessary to a

voluntary act cannot be limited to certain particulars but must cover the entire field. Why, for example, should killing be involuntary in the failure to recognize a father and not so in the failure to recognize the wickedness of murder? If because the killer ought to have learned, still ignorance of the duty of learning and the cause of that ignorance remain alike involuntary.

2. A cardinal question is where are we to place the freedom of action ascribed to us.¹⁰⁸

It must be founded in impulse or in some appetite, as when we act or omit in lust or rage or upon some calculation of advantage accompanied by desire.

But if rage or desire implied freedom we must allow freedom to animals, infants, maniacs, the distraught, the victims of malpractice producing uncontrollable delusions. And if freedom turns on calculation with desire, does this include faulty calculation? Sound calculation, no doubt, and sound desire; but then comes the question whether the appetite stirs the calculation or the calculation the appetite.

Where the appetites are dictated by the very nature they are either the desires of the conjoint of soul and body and then soul lies under physical compulsions: or they spring in the soul as an independent, and then much that we take to be voluntary is in reality outside of our free act. Further, does the soul advance any reasoning which is free from emotion, and can a compelling imagination, an appetite drawing us where it will, be supposed to leave us masters in the ensuing act? How can we be masters when we are compelled? Need, inexorably craving satisfaction, is not free in face of that to which it is forced: and how at all can a thing have efficiency of its own when it rises from an extern, has an extern for very principle, thence taking its being as it stands? It lives by that extern, lives as it has been moulded: if this be freedom, there is freedom in even the soulless; fire acts in accordance with its characteristic being.

We may be reminded that the Living Form and the Soul know what they do. But if this is knowledge by perception it does not help towards the freedom of the act; perception gives awareness, not mastery: if true knowing is meant, either this is the knowing of something happening – once more awareness – with the motive-force still to seek, or the reasoning and knowledge have acted to quell the appetite; then we have to ask to what this repression is to be referred and where it has taken place. If it is that the mental process sets up an opposing desire we must assure ourselves how; if it merely stills the appetite with no further efficiency and this is our freedom, then freedom does not depend upon act but is a thing of the mind – and in truth all that has to do with act, the very most reasonable, is still of mixed value and cannot carry freedom.

3. All this calls for examination; the inquiry must bring us close to the solution as regards the gods.

We have traced self-disposal to will, will to reasoning and, next step, to right reasoning; perhaps to right reasoning we must add knowledge, for however sound opinion and act may be they do not yield true freedom¹⁰⁹ when the adoption of the right course is the result of hazard or of some presentment from the fancy with no knowledge of the foundations of that rightness.

Taking it that the presentment of fancy is not a matter of our will and choice, how can we think those acting at its dictation to be free agents? Fancy strictly, in our use, takes its rise from conditions of the body; lack of food and drink steps up presentments and so does the meeting of these needs; similarly with seminal abundance and other humours of the body. We refuse to range under the principle of freedom those whose conduct is directed by such fancy: the baser sort, therefore, mainly so guided, cannot be credited with self-disposal or voluntary act.¹¹⁰ Self-disposal, to us, belongs to those who, through the activities of the Intellectual-Principle, live above the states of the body. The spring of freedom is the activity of Intellectual-Principle, the highest in our being; the proposals

emanating thence are freedom; such desires as are formed in the exercise of the Intellectual act cannot be classed as involuntary; the gods, therefore, that live in this state, living by Intellectual-Principle and by desire conformed to it, possess freedom.

4. It will be asked how act rising from desire can be voluntary since desire pulls outward and implies need; to desire is still to be drawn, even though towards the good.

Intellectual-Principle itself comes under the doubt; having a certain nature and acting by that nature can it be said to have freedom and self-disposal – in an act which it cannot leave unenacted? It may be asked, also, whether freedom may strictly be affirmed of such beings as are not engaged in action.

However that may be, where there is such act there is compulsion from without, since, failing motive, act will not be performed. These higher beings, too, obey their own nature; where then is their freedom?

But, on the other hand, can there be talk of constraint where there is no compulsion to obey an extern; and how can any movement towards a good be counted compulsion? Effort is free once it is towards a fully recognized good; the involuntary is, precisely, motion away from a good and towards the enforced, towards something not recognized as a good; servitude lies in being powerless to move towards one's good, being debarred from the preferred path in a menial obedience. Hence the shame of slavery is incurred not only when one is held from the hurtful but when the personal good must be yielded in favour of another's.

Further, this objected obedience to the characteristic nature would imply a duality, master and mastered; but an undivided Principle, a simplex Activity, where there can be no difference of potentiality and act, must be free; there can be no thought of 'action according to the nature', in the sense of any distinction between the being and its efficiency, there where being and act are identical. Where act is performed neither because of another nor at

another's will, there surely is freedom. Freedom may of course be an inappropriate term: there is something greater here: it is self-disposal in the sense, only, that there is no disposal by the extern, no outside master over the act.

In a principle, act and essence must be free. No doubt Intellectual-Principle itself is to be referred to a yet higher; but this higher is not extern to it; Intellectual-Principle is within the Good; possessing its own good in virtue of that indwelling, much more will it possess freedom and self-disposal which are sought only for the sake of the good. Acting towards the good, it must all the more possess self-disposal, for by that Act it is directed towards the Principle from which it proceeds, and this its act is self-centred and must entail its very greatest good.

5. Are we, however, to make freedom and self-disposal exclusive to Intellectual-Principle as engaged in its characteristic Act, Intellectual-Principle unassociated, or do they belong also to soul acting under that guidance and performing acts of virtue?

If freedom is to be allowed to soul in its Act, it certainly cannot be allowed in regard to issue, for we are not master of events: if in regard to fine conduct and all inspired by Intellectual-Principle, that may very well be freedom; but is the freedom ours?

Because there is war, we perform some brave feat; how is that our free act, since had there been no war it could not have been performed? So in all cases of fine conduct; there is always some impinging event leading out our quality to show itself in this or that act. And suppose virtue itself given the choice whether to find occasion for its exercise – war evoking courage; wrong, so that it may establish justice and good order; poverty that it may show liberality – or to remain inactive, everything going well, it would choose the peace of inaction, nothing calling for its intervention, just as a physician like Hippocrates would prefer no one to stand in need of his skill.

If this virtue whose manifestation requires action becomes

inevitably a collaborator under compulsion, how can it have untrammelled self-disposal?

Should we, perhaps, distinguish between compulsion in the act and freedom in the preceding will and reasoning?

But in setting freedom in those preceding functions, we imply that virtue has a freedom and self-disposal apart from all act; then we must state what is the reality of the self-disposal attributed to virtue as state or disposition. Are we to put it that virtue comes in to restore the disordered soul, taming passions and appetites? In what sense, at that, can we hold our goodness to be our own free act, our 'fine conduct to be uncompelled'?¹¹¹ In that we will and adopt, in that this entry of virtue prepares freedom and self-disposal, ending our slavery to the masters we have been obeying. If then virtue is, as it were, a second Intellectual-Principle, and heightens the Soul to Intellectual quality, then, once more, our freedom is found to lie not in act but in Intellectual-Principle immune from act.

6. How then did we come to place freedom in the will when we made out free action to be that produced – or as we also indicated, suppressed – at the dictate of will?¹¹²

If what we have been saying is true and our former statement is consistent with it, the case must stand thus:

Virtue and Intellectual-Principle are sovran and must be held the sole foundation of our self-disposal and freedom; both then are free; Intellectual-Principle is self-confined: Virtue in its government of the soul which it seeks to lift into goodness would wish to be free; in so far as it does so it is free and confers freedom; but inevitably experiences and actions are forced upon it by its governance: these it has not planned for, yet when they do arise it will watch still for its sovranity, calling these also to judgement. Virtue does not follow upon occurrences as a saver of the imperilled; at its discretion it sacrifices a man; it may decree the jettison of life, means, children, country even; it looks to its own high aim and not to the

safeguarding of anything lower. Thus our freedom of act, our self-disposal, must be referred not to the doing, not to the external thing done but to the inner activity, to the Intellection, to virtue's own vision.

So understood, virtue is a mode of Intellectual-Principle, a mode not involving any of the emotions or passions controlled by its reasonings, since such experiences, amenable to morality and discipline, touch closely – we read¹¹³ – on body.

This makes it all the more evident that the unembodied is the free; to this our self-disposal is to be referred; herein lies our will which remains free and self-disposing in spite of any orders which it may necessarily utter to meet the external. All then that issues from will and is the effect of will is our free action, whether the will is directed outwards or remains unattached; all that will adopts and brings, unimpeded, into existence is in the highest degree at our free disposal.

The contemplating Intellect, the first or highest, has self-disposal to the point that its operation is utterly independent; it turns wholly upon itself; its very action is itself; at rest in its good it is without need, complete, and may be said to live to its will; there the will is intellection: it is called will because it expresses the Intellectual-Principle in the willing-phase and, besides, what we know as will imitates this operation taking place within the Intellectual-Principle. Will strives towards the good which the act of Intellectual-Principle realizes. Thus that principle holds what will seeks, that good whose attainment makes will identical with Intellection.

But if self-disposal is founded thus on the will aiming at the good, how can it possibly be denied to that principle permanently possessing the good, sole object of the aim?

Anyone scrupulous about setting self-disposal so high may find some loftier word.

7. Soul becomes free when it moves without hindrance, through Intellectual-Principle, towards The Good; what it does in that spirit is its free act; Intellectual-Principle is free in its own right. That principle of Good is the sole object of desire and the source of self-disposal to the rest, to soul when it fully attains, to Intellectual-Principle by connate possession.

How then can the sovran of all that august sequence – the first in place, that to which all else strives to mount,¹¹⁴ all dependent upon it and taking from it their powers even to this power of self-disposal – how can This be brought under the freedom belonging to you and me, a conception applicable only by violence to Intellectual-Principle itself?

It is rash thinking¹¹⁵ drawn from another order that would imagine a First Principle to be chance-made what it is, controlled by a manner of being imposed from without, void therefore of freedom or self-disposal, acting or refraining under compulsion. Such a statement is untrue to its subject and introduces much difficulty; it utterly annuls the principle of free will with the very conception of our own voluntary action, so that there is no longer any sense in discussion upon these terms, empty names for the non-existent. Anyone upholding this opinion would be obliged to say not merely that free act exists nowhere but that the very word conveys nothing to him. To admit understanding the word is to be easily brought to confess that the conception of freedom does apply where it is denied. No doubt a concept leaves the reality untouched and unappropriated, for nothing can produce itself, bring itself into being; but thought insists upon distinguishing between what is subject to others and what is independent, bound under no allegiance, lord of its own act.

This state of freedom belongs in the absolute degree to the Eternals in right of that eternity and to other beings in so far as without hindrance they possess or pursue. The Good which, standing above them all, must manifestly be the only good they can reasonably seek.

To say that The Good exists by chance must be false; chance belongs to the later,¹¹⁶ to the multiple; since the First has never come to be we cannot speak of it either as coming by chance into being or as not master of its being. Absurd also the objection that it acts in accordance with its being if this is to suggest that freedom demands act or other expression against the nature. Neither does its nature as the unique annul its freedom when this is the result of no compulsion but means only that The Good is no other than itself, is self-complete and has no higher.

The objection would imply that where there is most good there is least freedom. If this is absurd, still more absurd to deny freedom to The Good on the ground that it is good and self-concentred, not needing to lean upon anything else but actually being the Term to which all tends, itself moving to none.

Where – since we must use such words – the essential act is identical with the being – and this identity must obtain in The Good since it holds even in Intellectual-Principle – there the act is no more determined by the Being than the Being by the Act. Thus ‘acting according to its nature’ does not apply; the Act, the Life, so to speak, cannot be held to issue from the Being; the Being accompanies the Act in an eternal association: from the two (Being and Act) it forms itself into The Good, self-springing and unspringing.

8. But it is not, in our view, as an attribute that this freedom is present in the First. In the light of free acts, from which we eliminate the contraries, we recognize There self-determination self-directed and, failing more suitable terms, we apply to it the lesser terms brought over from lesser things and so tell it as best we may: no words could ever be adequate or even applicable to that from which all else – the noble, the august – is derived. For This is principle of all, or, more strictly, unrelated to all and, in this consideration, cannot be made to possess such laterals as even freedom and self-disposal, which in fact indicate manifestation upon the extern – unhindered but implying the existence of other beings

whose opposition proves ineffective.

We cannot think of the First as moving towards any other; He holds his own manner of being before any other was; even Being we withhold and therefore all relation to beings.

Nor may we speak of any 'conforming to the nature'; this again is of the later; if the term be applicable at all in that realm it applies only to the secondaries – primally to Essential Existence as next to this First. And if a 'nature' belongs only to things of time, this conformity to nature does not apply even to Essential Existence. On the other hand, we are not to deny that the Being of the One is self-derived, for that would be to take away its existence and would imply derivation from something else.

Does this mean that the First is to be described as happening to be?

No; that would be just as false; nothing 'happens' to the First; it stands in no such relationship; happening belongs only to the multiple where, first, existence is given and then something is added. And how could the Source 'happen to be'? There has been no coming so that you can put it to the question, 'How does this come to be? What chance brought it here or produced it?' Chance did not yet exist; there was no 'automatic action': these imply something before themselves and occur in the realm of process.

9. If we cannot but speak of Happening we must not halt at the word but look to the intention. And what is that? That the Supreme by possession of a certain nature and power is the Principle. Obviously if its nature were other it would be that other and if the difference were for the worst it would manifest itself as that lesser being. But we must add in correction that as Principle of All, it could not be some chance product; it is not enough to say that it could not be inferior; but it could not even be in some other way good, for instance in some less perfect degree; the Principle of All must be of higher quality than anything that follows it. It is therefore in a sense determined – determined, I mean, by its uniqueness and not in any sense of being under compulsion;

compulsion did not co-exist with the Supreme but has place only among secondaries and even there can exercise no tyranny; this uniqueness is not from outside.

This, then, it is; This and no other; simply what it must be; it has not 'happened' but is what by a necessity prior to all necessities it must be. We cannot think of it as a chance existence; it is not what it chanced to be but what it must be – and yet without a 'Must'.

All the rest waits for the appearing of the king¹¹⁷ to hail him for himself, not a being of accident and happening but authentically king, authentically Principle, The Good authentically, not a being that acts in conformity with goodness – and so, recognizably, a secondary – but the total unity that he is, no moulding upon goodness but the very Good itself.

Even Being is exempt from happening: of course anything happening happens to Being, but Being itself has not happened nor is the manner of its Being a thing of happening, of derivation; it is the very nature of Being to be; how then can we think that this happening can attach to the Transcendent of Being. That in whose power lay the very engendering of Being?

Certainly this Transcendent never happened to be what it is; it is so, just as Being exists in complete identity with its own essential nature and that of Intellectual-Principle; otherwise one might go on to say of Intellectual-Principle that it happened to be what it is, on the mistaken assumption that Intellectual-Principle could be anything different from what in fact is its nature. Certainly that which has never passed outside of its own orbit, unbendingly what it is, its own unchangeably, is that which may most strictly be said to possess its own being: what then are we to say when we mount and contemplate that which stands yet higher; can we conceivably say, 'Thus, as we see it, thus has it happened to be'? Neither thus nor in any mode did it happen to be; there is no happening; there is only a 'Thus and No Otherwise than Thus'. And even 'Thus' is false; it would imply limit, a defined form: to know This is to be able to reject both the 'Thus' and the 'Not-Thus', either of which classes

among Beings to which alone Manner of Being can attach.

The One, therefore, is beyond all things that are 'Thus': standing before the indefinable you may name any of these sequents but you must say 'This is none of them': at most it is to be conceived as the total power towards things, supremely self-concentred, being what it wills to be or rather projecting into existence what it wills, itself higher than all will, will a thing beneath it. In a word it neither willed its own 'Thus' – as something to conform to – nor did any other make it 'Thus'.

10. The upholder of Happening must be asked how the false attribution of happening can ever come about, taking it that it does, and how the happening can ever be denied. If there is to be made an exception, then he must admit that in this case happening does not apply: for if we attribute to chance the Principle which is to eliminate chance from all the rest, how can there ever be anything independent of chance? And this Nature does take away the chanced from the rest, bringing in form and limit and shape. In the case of things thus conformed to reason the cause cannot be identified with chance but must lie in that very reason; chance must be kept for what occurs apart from choice and sequence and is purely concurrent. When we come to the source of all reason, order, and limit, how can we attribute the reality there to chance? Chance is no doubt master of many things but is not master of Intellectual-Principle, of reason, of order, so as to bring them into being. How could chance, recognized as the very opposite of reason, be its Author? And if it does not produce Intellectual-Principle, then certainly not that which precedes and surpasses that Principle. Chance, besides, has no means of producing, has absolutely no being at all in the Eternal.

Since there is nothing before Him who is the First, we must call a halt; there is nothing to say; we may inquire into the origin of his sequents but not of Himself who has no origin.

But perhaps, never having come to be but being as He is, He is still not master of his own essence: not master of his essence but being as He is, not self-originating but acting out of his nature as

He finds it, must He not be of necessity what He is, inhibited from being otherwise?

No: what He is, He is not because He could not be otherwise but because so is best. Not everything has power to move towards the better though nothing is prevented by any external from moving towards the worse. But that the Supreme has not so moved is its own doing: there has been no inhibition; it has not moved, simply because it is That which does not move; in this stability the inability to degenerate is not powerlessness; here permanence is very Act, a self-determination. This absence of declination comports the fullness of power; it is not the yielding of a being held and controlled but the Act of one who is necessity, law, to all.

Does this indicate a Necessity which has brought itself into existence? No: there has been no coming into being in any degree; This is that by which being is brought to all the rest, its sequents. Above all origins, This can owe being neither to an extern nor to itself.

11. But this Unoriginating, what is it?

We can but withdraw, silent, hopeless, and search no further. What can we look for when we have reached the furthest? Every inquiry aims at a first and, that attained, rests.

Besides, we must remember that all questioning deals with the nature of a thing, its quality, its cause or its essential being.¹¹⁸ In this case the being – in so far as we can use the word – is knowable only by its sequents: the question as to cause asks for a principle beyond, but the principle of all has no principle; the question as to quality would be looking for an attribute in that which has none: the question as to nature shows only that we must ask nothing about it but merely take it into the mind if we may, with the knowledge gained that nothing can be permissibly connected with it.

The difficulty this Principle presents to our mind in so far as we

can approach to conception of it may be exhibited thus:

We begin by posing space, a place, a Chaos; into this container, whether conceived in our imagination as created or pre-existent, we introduce God and proceed to inquire: we ask, for example, whence and how He comes to be there: we investigate the presence and quality of this new-comer projected into the midst of things here from some height or depth. But the difficulty disappears if we eliminate all space before we attempt to conceive God: He must not be set in anything either as enthroned in eternal immanence or as having made some entry into things: He is to be conceived as existing alone, in that existence which the necessity of discussion forces us to attribute to Him, with space and all the rest as later than Him – space latest of all. Thus we conceive, as far as we may, the spaceless; we abolish the notion of any environment: we circumscribe Him within no limit; we attribute no extension to Him; He has no quality since no shape, even shape Intellectual; He holds no relationship but exists in and for Himself before anything is.

How can we think any longer of that ‘Thus He happened to be’? How make this one assertion of Him of whom all other assertion can be no more than negation? It is on the contrary nearer the truth to say ‘Thus He has happened not to be’: that contains at least the utter denial of his happening.

12. Yet, is not God what He is? Can He, then, be master of being what He is or master to stand above Being? The mind utterly reluctant returns to its doubt; some further considerations, therefore, must be offered:

In us the individual, viewed as body, is far from reality; by soul which especially constitutes the being we participate in reality, are in some degree real. This is a compound state, a mingling of Reality and Difference, not therefore reality in the strictest sense, not reality pure. Thus far we are not masters of our being; in some sense the reality in us is one thing and we another. We are not

masters of our being; the real in us is the master since that is the principle establishing our characteristic difference; yet we are again in some sense that which is sovran in us and so even on this level might in spite of all be described as self-disposing.

But in That which is wholly what it is – self-existing reality, without distinction between the total thing and its essence – the being is a unit and is sovran over itself; neither the being nor the essence is to be referred to any extern. It is in fact allowed to be self-disposing in virtue of representing the first level of complete reality; certainly there can be no subjection whatever in That to which reality owes its freedom, That in whose nature the conferring of freedom must clearly be vested, pre-eminently to be known as the liberator. The very mention of subjection in such a Principle is barely tolerable.

Still, is not this Principle subject to its essential Being? On the contrary, it is the source of freedom to Being which is later: itself has no Being.

Even if there be Act in the Supreme – an Act with which it is to be identified – this is not enough to set up a duality within it and prevent it being entirely master of that self from which the Act springs; for the Act is not distinct from that self. If we utterly deny Act in it – holding that Act begins with others moving about it – we are all the less able to allow either mastery or subjection in it: even self-mastery is absent here, not that anything else is master over it but that self-mastery begins with Being while the Supreme is to be set in a higher order.

But what can there be higher than that which is its own master?

Where we speak of self-mastery there is a certain duality, Act against essence; from the exercise of the Act arises the conception of the mastering principle – though one identical with the essence – hence arises the separate idea of mastery, and the being concerned is said to possess self-mastery. Where there is no such duality joining to unity but solely a unity pure – either because the Act is

the whole being or because there is no Act at all – then we cannot strictly say that the being has this mastery of self.

13. Our inquiry obliges us to use terms not strictly applicable:¹¹⁹ we insist, once more, that not even for the purpose of forming the concept of the Supreme may we make it a duality; if now we do, it is merely for the sake of conveying conviction, at the cost of verbal accuracy.

If, then, we are to allow Activities in the Supreme and make them depend upon will – and certainly Act cannot There be will-less – and these Activities are to be the very essence, then will and essence in the Supreme must be identical. This admitted, as He willed to be so He is; it is no more true to say that He wills and acts as his nature determines than that his essence is as He wills and acts. Thus He is wholly master of Himself and holds his very being at his will.

Consider also that every being in its pursuit of its good seeks to be that good rather than what it is; it judges itself most truly to be when it partakes of its good: in so far as it thus draws on its good its being is its choice: much more then must the very Principle, The Good, be desirable in itself when any fragment of it is very desirable to the extern and becomes the chosen essence promoting that extern's will and identical with the will that gave the existence.

As long as a thing is apart from its good it seeks outside itself; when it holds its good it accepts itself as it is: and this is no matter of chance; the essence now is not outside of the will; by the good it is determined, by the good it is in self-possession.

If then this Principle is the means of determination to everything else, we see at once that self-possession must belong primally to it, so that through it others in their turn may be self-belonging; what we must call its essence comports its will to possess such a manner of being; we can form no idea of it without including in it the will towards itself as it is. It must be a consistent self willing its being and being what it wills; its will and itself must be one thing, all the

more one from the absence of distinction between a given nature and one which would be preferred. What could The Good have wished to be other than what it is? Suppose it had the choice of being what it preferred, power to alter the nature, it could not prefer to be something else; it could have no fault to find with anything in its nature, as if that nature were imposed by force; The Good is what from always it wished and wishes to be. For the Good is precisely a willing towards itself, towards a good not gained by any wiles or even attracted to it by force of its nature; The Good is what it chose to be and, in fact, there was never anything outside it to which it could be drawn.

It may be added that nothing else contains in its essence the principle of its own satisfaction; there will be inner discord: but this hypostasis of the Good must necessarily have self-option, the will towards the self; if it had not, it could not bring satisfaction to the beings whose contentment demands participation in it or imagination of it.

Once more, we must be patient with language; we are forced for reasons of exposition to apply to the Supreme terms which strictly are ruled out; every-where we must read 'So to speak'. The Good, then, exists; it holds its existence through choice and will, conditions of its very being: yet it cannot be a manifold; therefore the will and the essential being must be taken as one identity; the act of the will must be self-determined and the being self-caused; thus reason shows the Supreme to be its own Author. For if the act of will springs from God Himself and is as it were his operation and the same will is identical with his essence, He must be self-established. He is not, therefore, 'what He has happened to be' but what He has willed to be.

14. Another approach: Everything to which existence may be attributed is either one with its essence or distinct from it.¹²⁰ Thus any given man is distinct from essential man though belonging to the order Man: a soul and a soul's essence are the same – that is, in

the case of soul pure and unmingled; Man as Form is the same as man's essence; where the thing, man, and the essence are different, the particular man may be considered as accidental; but man, the essence, cannot be so; the Form of Man is self-determined. Now if the essence of man is real, not chanced or accidental, how can we think That to be accidental which transcends the Form of Man, author of the Form, source of all being, a principle more nearly simplex than man's being or being of any kind? As we approach the simplex, accident recedes; what is utterly simplex accident never touches at all.

Further, we must remember what has been already said,¹²¹ that where there is true being, where things have been brought to reality by that Principle – and this is true also of whatsoever has this character within the order of sense – all that reality has determined condition in virtue of its origin in the divine. By determined condition I mean its ability to contain, inbound with its essence, the reason of its being as it is, so that, later, an observer can state the use for each of the constituent parts – why the eye, why feet of such and such a kind to such and such a being – and can recognize that the reason for the production of each organ is inherent in that particular being and that the parts exist for each other. Why feet of a certain length? Because another member is as it is: because the face is as it is, therefore the feet are what they are: in a word the mutual determinant is mutual adaptation and the reason of each of the several forms is that such is the plan of man.

Thus the essence and its reason are one and the same. The constituent parts arise from the one source not because that source has so conceived each separately but because it has produced simultaneously the plan of the thing and its existence. This therefore is author at once of the existence of things and of their reasons, both produced at the one stroke. It is in correspondence with the things of process but far more nearly archetypal and authentic and in a closer relation with the Better, their source, than they can be.

Of things carrying their causes within, none arises at hazard or

without purpose; this 'So it happened to be' is applicable to none. All that they have comes from The Good; the Supreme itself, then, as author of reason, of causation, and of causing essence – all certainly lying far outside of chance – must be the Principle and as it were the exemplar of things thus independent of hazard: it is the First, the Authentic, immune from chance, from blind effect and happening: God is cause of Himself; for Himself and of Himself He is what He is, the first self, transcendently The Self.

15. Lovable, very love,¹²² the Supreme is also self-love in that He is lovely no otherwise than from Himself and in Himself. Self-presence can hold only in the identity of associated with associating; since, in the Supreme, associated and associating are one, seeker and sought one – the sought serving as Hypostasis and substrate of the seeker – once more God's being and his seeking are identical: once more, then, the Supreme is the self-producing, sovran of Himself, not coming to be as some extern willed but existing as He wills it.

And when we say that neither does He absorb anything nor anything absorb Him, thus again we are setting Him outside of all happening – not only because we declare Him unique and untouched by all but in another way also. Suppose we found such a nature in ourselves; we are untouched by all that has gathered round us subjecting us to happening and chance; all that accrument was of the servile and lay exposed to chance and has, so to say, attached itself to us by chance: by this new state alone we acquire self-disposal and free act, the freedom of that light which belongs to the order of the good and is good in actuality, greater than anything Intellectual-Principle has to give, an actuality whose advantage over Intellection is no adventitious superiority. When we attain to this state and become This alone, what can we say but that we are more than free, more than self-disposing? And who then could link us to chance, hazard, happening, when thus we are become veritable Life, entered into That which contains no alloy but is purely itself?

Isolate anything else and the being is inadequate; the Supreme in

isolation is still what it was. The First cannot be in the soulless or in an unreasoning life; such a life is too feeble in being; it is reason dissipated, it is indétermination; only in the measure of approach towards reason is there liberation from happening; the rational is above chance. Ascending we come upon the Supreme, not as reason but as reason's better: thus God is far removed from all happening: the root of reason is self-springing.

The Supreme is the Term of all; it is like the principle and ground of some vast tree of rational life; itself unchanging, it gives reasoned being to the growth into which it enters.

16. We maintain, and it is evident truth, that the Supreme is everywhere and yet nowhere;¹²³ keeping this constantly in mind let us see how it bears on our present inquiry.

If God is nowhere, then not anywhere has He 'happened to be'; as also everywhere, He is everywhere in entirety: at once, He is that everywhere and everywise: He is not in the everywhere but is the everywhere as well as the giver to the rest of things of their being in that everywhere. Holding the supreme place – or rather no holder but Himself the Supreme – all lies subject to Him; they have not brought Him to be but happen, all, to Him – or rather they stand there before Him looking upon Him, not He upon them. He is borne, so to speak, to the inmost of Himself in love of that pure radiance which He is, He Himself being that which He loves. That is to say, as self-dwelling Act and in some sense Intellectual-Principle, the most to be loved, He has given Himself existence. Intellectual-Principle is the issue of Act: God therefore is issue of Act, but since no other has generated Him He is what He made Himself: He is not, therefore, 'as He happened to be' but as He acted Himself into being.

Again; if He pre-eminently is because He holds firmly, so to speak, towards Himself, looking towards Himself, so that what we must call his being is this self-looking, He must again, since the word is inevitable, make Himself: thus, not 'as He happens to be' is

He but as He Himself wills to be. Nor is this will a hazard, a something happening; the will adopting the Best is not a thing of chance.

That his being is constituted by this self-originating self-tendance – at once Act and repose – becomes clear if we imagine the contrary; inclining towards something outside of Himself, He would destroy the identity of his being. This self-directed Act is therefore his peculiar being, one with Himself. Thus, He created Himself because his Act was inseparable from Himself. If then this Act never came to be but is eternal – a waking¹²⁴ without an awakener, an eternal wakening and a supra-Intellection – He is as He waked Himself to be. This awakening is before being,¹²⁵ before Intellectual-Principle, before rational life, though He is these; He is thus an Act before Intellectual-Principle and thought and life; these come from Him and no other; his being, then, is a self-presence, issuing from Himself. Thus not ‘as He happened to be’ is He but as He willed to be.

17. Or consider it another way: We hold the universe, with its content entire, to be as all would be if the design of the maker had so willed it, elaborating it with purpose and prevision by reasonings amounting to a Providence. All is always so and all is always so reproduced: therefore the reason-principles of things must lie always within the producing powers in a still more perfect form; these beings of the divine realm must therefore be previous to Providence and to preference; all that exists in the order of being must lie for ever There in their Intellectual mode. If this régime is to be called Providence it must be in the sense that before our universe there exists, not expressed in the outer, the Intellectual-Principle of all the All, its source and archetype.

Now if there is thus an Intellectual-Principle before all things, their founding principle, this cannot be a thing lying subject to chance – multiple, no doubt, but a concordance, ordered so to speak into oneness. Such a multiple – the co-ordination of all particulars and consisting of all the Reason-Principles of the

universe gathered into the closest union – this cannot be a thing of chance, a thing ‘happening so to be’. It must be of a very different nature, of the very contrary nature, separated from the other by all the difference between reason and reasonless chance. And if the Source is precedent even to this, it must be continuous with this reasoned secondary so that the two be correspondent; the secondary must participate in the prior, be an expression of its will, be a power of it: that higher therefore (as above the ordering of reason) is without part or interval (implied by reasoned arrangement), is a one-all Reason-Principle, one member, a One greater than its product, more powerful, having no higher or better. Thus the Supreme can derive neither its being nor the quality of its being. God Himself, therefore, is what He is, self-related, self-tending; otherwise He becomes outward-tending, other-seeking – He who cannot but be wholly self-poised.

18. Seeking Him, seek nothing of Him outside; within is to be sought what follows upon Him; Himself do not attempt. He is, Himself, that outer, He the encompassment and measure of all things; or rather He is within, at the innermost depth; the outer, circling round Him, so to speak, and wholly dependent upon Him, is Reason-Principle and Intellectual-Principle – or becomes Intellectual-Principle by contact with Him and in the degree of that contact and dependence; for from Him it takes the being which makes it Intellectual-Principle.

A circle¹²⁶ related in its path to a centre must be admitted to owe its scope to that centre; it has something of the nature of that centre in that the radial lines converging on that one central point assimilate their impinging ends to that point of convergence and of departure, the dominant of radii and terminals: the terminals are of one nature with the centre, feeble reproductions of it, since the centre is, in a certain sense, the source of terminals and radii impinging at every point upon it; these lines reveal the centre; they are the development of that undeveloped.

In the same way we are to take Intellectual-Principle and Being.

This combined power springs from the Supreme, an outflow and as it were development from That and remaining dependent upon that Intellective nature, showing forth that, so to speak, Intellect-in-Unity which is not Intellectual-Principle since it is no duality. No more than in the circle are the lines or circumference to be identified with that centre which is the source of both: radii and circle are images given forth by indwelling power and, as products of a certain vigour in it, not cut off from it.

Thus the Intellective power circles around the Supreme which stands to it as archetype to image; the archetype is Intellect-in-Unity; the image in its manifold movement round about its prior has produced the multiplicity by which it is constituted Intellectual-Principle: that prior has no movement; it generates Intellectual-Principle by its sheer wealth.

Such a power, author of Intellectual-Principle, author of being – how does it lend itself to chance, to hazard, to any ‘So it happened’?

What is present in Intellectual-Principle is present, though in a far transcendent mode, in the One: so in a light diffused afar from one light shining within itself,¹²⁷ the diffused is vestige, the source is the true light; but Intellectual-Principle, the diffused and image light, is not different in kind from its prior; and it is not a thing of chance but at every point is reason and cause.

The Supreme is cause of the cause: it is cause pre-eminently, cause as containing cause in the deepest and truest mode; for in it lie the Intellective causes which are to be unfolded from it, author as it is not of the chance-made but of what the divine willed: and this willing was not apart from reason,¹²⁸ was not in the realm of hazard and of what happened to present itself but of what must needs be, since hazard is excluded from that realm.

Thus Plato applies to it the words ‘necessary’ and ‘appropriate’¹²⁹ because he wished to establish beyond a doubt that it is far removed from hazard and that what exists is what must

exist: if thus the existence is 'necessary' it does not exist without reason: if its manner of being is the 'appropriate', it is the utterly self-disposing in comparison with its sequents and, before that, in regard to itself: thus it is not 'as it happened to be' but as it willed to be: all this, on the assumption that God wills what should be and that it is impossible to separate right from realization and that this Necessary is not to God an outside thing but is, itself, his first Activity manifesting outwardly in the exactly representative form. Thus we must speak of God since we cannot tell Him as we would.

19. Stirred to the Supreme by what has been told, a man must strive to possess it directly; then he too will see, though still unable to tell it as he would wish.

One seeing That as it really is will lay aside all reasoning upon it and simply state it as the self-existent, such that if it had essence that essence would be subject to it and, so to speak, derived from it; none that has been would dare to talk of its 'happening to be', or indeed be able to utter word. With all his courage he would stand astounded, unable at any venture to say where This might be, with the vision everywhere before the eyes of the soul so that, look where one may, there it is seen unless one deliberately look away, ignoring God, thinking no more upon Him. So we are to understand the Beyond-Essence darkly indicated by the ancients:¹³⁰ it is not merely that He generated Essence but that He is subject neither to Essence nor to Himself; his Essence is not his Principle; He is Principle to Essence and not for Himself did He make it; producing it He left it outside of Himself: He had no need of being, who brought it to be. Thus his making of being is no 'action in accordance with his being'.

20. The difficulty will be raised that God would seem to have existed before thus coming into existence; if He makes Himself, then in regard to the self which He makes He is not yet in being and as maker He exists before this Himself thus made.

The answer is that we utterly must not speak of Him as made but sheerly as maker; the making must be taken as absolved from all else; no new existence is established; the Act here is not directed to an achievement but is God Himself unalloyed: here is no duality but without Essence; on the contrary the Activity is the very reality. To suppose a reality without activity would be to make the Principle of all principles deficient; the supremely complete becomes incomplete. To make the Activity something superadded to the Essence is to shatter the unity. If then Activity is a more perfect thing than Essence and the First is all perfect, then the Activity is the First.

By acting He is at once Activity, and there is no question of 'existing before coming into existence'; when He acted He was not in some state that could be described as 'before existing'. He was already existent entirely.

Now assuredly an Activity not subjected to Essence is utterly free; God's selfhood, then, is of his own Act. If his being has to be ensured by something else, He is no longer the self-existent First: if it be true pure unity. Let no one suspect us of asserting that the first Activity is to say that He is his own container, then He inducts Himself, since from the beginning He caused the being of all that by nature He contains.

If there had been a moment from which He began to be, it would be possible to assert his self-making in the literal sense; but since what He is He is from before eternity, his self-making is to be understood as simultaneous with Himself; the being is one and the same with the making, the eternal 'bringing into existence'.

This is the source also of his self-disposal¹³¹ – strictly applicable if there were a duality, but conveying, in the case of a unity, a disposing without a disposed, an abstract disposing. But how a disposer with nothing to dispose? In that there is here a disposer looking to a prior when there is none: since there is no prior, This is the First – but a First not in order but in sovereignty, in power purely self-controlled. Purely; then nothing can be There that is under any

external disposition; all in God is self-willing. What then is there of his content that is not Himself, what that is not his Act, what not his work? Imagine in Him anything not of his Act and at once his existence ceases to be pure; He is not self-disposing, not all-powerful: in that at least of whose doing He is not master He would be impotent.

21. Could He then have made Himself otherwise than as He did?

If He could we must deny Him the power to produce goodness for He certainly cannot produce evil. Power, There, is no producer of opposites; it is that steadfast constant which is most decidedly power by inability to depart from unity: ability to produce opposites is inability to hold by the perfect good; that self-making must be definite once for all since it is the right: besides, who could upset what is made by the will of God and is itself that will?

By his will – before He existed? Can He exercise will when, so far as existence goes, He is without will? Whence then does He draw that will, seeing that essence, source of will, is inactive in Him?

The will was included in the essence; they were identical: or was there something, this will for instance, not existing in Him? All was will, nothing unwilled in Him. There is then nothing before that will: God and will were primally identical.

God, therefore, is what He willed, is such as He willed; and all that ensued upon that willing was what that definite willing engendered: but it engendered nothing new; all existed from the first.

As for his ‘self-containing’,¹³² this rightly understood can mean only that all the rest is maintained in virtue of Him by means of a certain participation; all traces back to the Supreme; God Himself, self-existing always, needs no containing, no participating; all in Him belongs to Him or rather He needs nothing from them in order to being Himself.

When therefore you seek to state or to conceive Him, put all else aside; abstracting all, keep solely to Him; see that you add nothing; be sure that there is not something which you have failed to abstract from Him in your thoughts. Even you are able to take contact with Something in which there is no more than That Thing itself to affirm and know, Something which lies away above all and is – it alone – veritably free, subject not even to its own law, solely and essentially That One Thing, while all else is thing and something added.

NINTH TRACTATE



ON THE GOOD, OR THE ONE [9]

SUMMARY

This is the first treatise, in chronological order, where Plotinus discusses the nature of the Good, or the One. As is frequently his habit, he leads us up from the consideration of physical phenomena to that of intelligible reality, and finally to the One itself, by starting from the concept of unity, as that which confers being on any given thing (ch. 1–2). The rest of the treatise (ch. 3–11) is a progressive exploration of unity and the One, leading to the powerful mystical passages of ch. 9–11. The soul is urged to look not outwards, but within, to find its first principle. In ch. 8, it is presented as a circle turning about a central point, which is the One. The tractate is a fine example of the blend of rational argument and mystical vision which comprises Plotinus' philosophical method.

1. It is in virtue of unity that beings are beings.[133](#)

This is equally true of things whose existence is primal and of all that are in any degree to be numbered among beings. What could exist at all except as one thing? Deprived of unity, a thing ceases to be what it is called: no army unless as a unity: a chorus, a flock, must be one thing.[134](#) Even house and ship demand unity, one house, one ship; unity gone, neither remains: thus even continuous magnitudes could not exist without an inherent unity; break them apart and their very being is altered in the measure of the breach of unity.

Take plant and animal; the material form stands a unity; fallen

from that into a litter of fragments, the things have lost their being; what was is no longer there; it is replaced by quite other things – as many others, precisely, as possess unity.

Health, similarly, is the condition of a body acting as a co-ordinate unity. Beauty appears when limbs and features are controlled by this principle, unity. Moral excellence is of a soul acting as a concordant total, brought to unity.

Come thus to soul – which brings all to unity, making, moulding,¹³⁵ shaping, ranging to order – there is a temptation to say, ‘Soul is the bestower of unity, soul therefore is the unity.’ But soul bestows other characteristics upon material things and yet remains distinct from its gift: shape, Ideal-Form, and the rest are all distinct from the giving soul: so, clearly, with this gift of unity; soul to make things unities looks out upon the unity just as it makes man by looking upon Man, realizing in the man the unity belonging to Man.

Anything that can be described as a unity is so in the precise degree in which it holds a characteristic being; the less or more the degree of the being, the less or more the unity. Soul, while distinct from unity’s very self, is a thing of the greater unity in proportion as it is of the greater, the authentic, being. Absolute unity it is not: it is soul and one soul, the unity in some sense a concomitant; there are two things, soul and soul’s unity, as there is body with body’s unity. The looser aggregates such as a choir are furthest from unity, the more compact are the nearer; soul is nearer yet but still a participant.

Is soul to be identified with unity on the ground that unless it were one thing it could not be soul? No; unity is equally necessary to every other thing, yet unity stands distinct from them; body and unity are not identical; body, too, is a participant.

Besides, the soul, even the individual soul, is a manifold, though not composed of parts: it has diverse powers – reasoning, desiring, perceiving – all held together by this chain of unity. Itself a unity,

soul confers unity, but also accepts it.

2. It may be suggested that, while in the unities of the partial order the essence and the unity are distinct, yet in collective existence, in Real Being, they are identical, so that when we have grasped Being we hold unity;¹³⁶ Real Being would coincide with Unity. Thus, if Essential Being is the Intellectual-Principle, Unity also is the Intellectual-Principle which is at once Primal Being and Pure Unity, purveying, accordingly, to the rest of things something of Being and something, in proportion, of the unity which is itself.

There is nothing (we may be told) with which the unity would be more plausibly identified than with Being; either it is the same as Being – a man and one man are identical¹³⁷ – or it will correspond to the Number which rules in the realm of the particular; it will be a number applying to a certain unique thing as the number two applies to others.

Now if Number is a thing among things, then clearly so this unity must be; we would have to discover what thing of things it is. If Number is not a thing but an operation of the mind moving out to reckon, then the unity will not be a thing.

We found that anything losing unity loses its being; we are therefore obliged to inquire whether the unity in particulars is identical with the being, and Unity Absolute identical with Collective Being.

Now the being of the particular is a manifold; unity cannot be a manifold; there must therefore be a distinction between Being and Unity; Thus a man is at once a reasoning living being and a total of parts; his variety is held together by his unity; man therefore and unity are different – man a thing of parts against unity partless. Much more must Collective Being, as container of all existence, be a manifold and therefore distinct from the unity in which it is but participant.

Again, Collective Being contains life and intelligence – it is no dead thing – and so, once more, is a manifold.

If Being is identical with Intellectual-Principle, even at that it is a manifold; all the more so when count is taken of the Ideal-Forms in it; for the Idea, particular or collective, is, after all, a numerable agglomeration whose unity is that of a cosmos.

Above all, unity is The First: but Intellectual-Principle, Ideas, and Being, cannot be so; for any member of the realm of Forms is an aggregation, a compound, and therefore – since components must precede their compound – is a later.

Other considerations also go to show that the Intellectual-Principle cannot be the First. Intellect must be about the Intellectual Act: at least in its higher phase, that not concerned with the outer universe, it must be intent upon its Prior; its introversion is a conversion upon the Principle.

Considered as at once Thinker and Object of its Thought, it is dual, not simplex, not The Unity: considered as looking beyond itself, it must look to a better, to a prior: looking simultaneously upon itself and upon its Transcendent, it is, once more, not a First.

There is no other way of stating Intellectual-Principle than as that which, holding itself in the presence of The Good and First and looking towards That, is self-present also, self-knowing and knowing itself as All-Being: thus manifold, it is far from being The Unity.

In sum: The Unity cannot be the total of beings for so its oneness is annulled; it cannot be the Intellectual-Principle, for so it would be that total which the Intellectual-Principle is; nor is it Being, for Being is the total of things.

3. What then must The Unity be, what nature is left for it?

No wonder that to state it is not easy; even Being and Form are not easy, though we have a way, an approach through the Ideas.

The soul or mind reaching towards the formless finds itself incompetent to grasp where nothing bounds it or to take impression where the impinging reality is diffuse; in sheer dread of holding to

nothingness, it slips away. The state is painful; often it seeks relief by retreating from all this vagueness to the region of sense, there to rest as on solid ground,¹³⁸ just as the sight distressed by the minute rests with pleasure on the bold.

Soul must see in its own way; this is by coalescence, unification; but in seeking thus to know the Unity it is prevented by that very unification from recognizing that it has found; it cannot distinguish itself from the object of this intuition. None the less, this is our one resource if our philosophy is to give us knowledge of The Unity.

We are in search of unity; we are to come to know the principle of all, the Good and First; therefore we may not stand away from the realm of Firsts and lie prostrate among the lasts: we must strike for those Firsts, rising from things of sense which are the lasts. Cleared of all evil in our intention towards The Good, we must ascend to the Principle within ourselves; from many, we must become one; only so do we attain to knowledge of that which is Principle and Unity. We shape ourselves into Intellectual-Principle; we make over our soul in trust to Intellectual-Principle and set it firmly in That; thus what That sees the soul will waken to see: it is through the Intellectual-Principle that we have this vision of The Unity; it must be our care to bring over nothing whatever from sense, to allow nothing from that source to enter into Intellectual-Principle: with Intellect pure, and with the summit of Intellect, we are to see the All-Pure.

If the quester has the impression of extension or shape or mass attaching to That Nature he has not been led by Intellectual-Principle which is not of the order to see such things; the activity has been of sense and of the judgement following upon sense: only Intellectual-Principle can inform us of the things of its scope; its competence is upon its priors, its content, and its issue: but even its content is outside of sense; and still purer, still less touched by multiplicity, are its priors, or rather its Prior.

The Unity, then, is not Intellectual-Principle but something higher still: Intellectual-Principle is still a being but that First is no

being but precedent to all Being: it cannot be a being, for a being has what we may call the shape of its reality but The Unity is without shape, even shape Intellectual.

Generative of all, The Unity is none of all; neither thing nor quantity nor quality nor intellect nor soul; not in motion, [139](#) not at rest, not in place, not in time: it is the self-defined, unique in form or, better, formless, existing before Form was, or Movement or Rest, all of which are attachments of Being and make Being the manifold it is.

But how, if not in the movement, can it be otherwise than at rest?

The answer is that movement and rest are states pertaining to Being, which necessarily has one or the other or both. Besides, anything at rest must be so in virtue of Rest as something distinct: Unity at rest becomes the ground of an attribute and at once ceases to be a simplex.

Note, similarly, that when we speak of this First as Cause we are affirming something happening not to it but to us, the fact that we take from this Self-Enclosed: strictly we should put neither a This nor a That to it; we hover, as it were, about it, seeking the statement of an experience of our own, sometimes nearing this Reality, sometimes baffled by the enigma in which it dwells.

4. The main source of the difficulty is that awareness of this Principle comes neither by knowing [140](#) nor by the Intellection that discovers the Intellectual Beings but by a presence overpassing all knowledge. In knowing, soul or mind abandons its unity; it cannot remain a simplex: knowing is taking account of things; that accounting is multiple; the mind thus plunging into number and multiplicity departs from unity.

Our way then takes us beyond knowing; there may be no wandering from unity; knowing and knowable must all be left aside; every object of thought, even the highest, we must pass by, for all that is good [141](#) is later than This and derives from This as

from the sun all the light of the day.

‘Not to be told; not to be written’:¹⁴² in our writing and telling we are but urging towards it: out of discussion we call to vision: to those desiring to see, we point the path; our teaching is of the road and the travelling; the seeing must be the very act of one that has made this choice.

There are those that have not attained to see. The soul has not come to know the splendour There; it has not felt and clutched to itself that love-passion of vision known to the lover come to rest where he loves. Or struck perhaps by that authentic light, all the soul lit by the nearness gained, we have gone weighted from beneath;¹⁴³ the vision is frustrate; we should go without burden and we go carrying that which can but keep us back; we are not yet made over into unity.

From none is that Principle absent and yet from all: present, it remains absent save to those fit to receive, disciplined into some accordance, able to touch it closely by their likeness and by that kindred power within themselves through which, remaining as it was when it came to them from the Supreme, they are enabled to see in so far as God may at all be seen.

Failure to attain may be due to such impediment or to lack of the guiding thought that establishes trust; impediment we must charge against ourselves and strive by entire renunciation to become emancipate; where there is distrust for lack of convincing reason, further considerations may be applied:

5. Those to whom existence comes about by chance and automatic action and is held together by material forces have drifted far from God and from the concept of unity;¹⁴⁴ we are not here addressing them but only such as accept another nature than body and have some conception of soul.

Soul must be sounded to the depths, understood as an emanation from Intellectual-Principle and as holding its value by a Reason-Principle thence infused. Next, this Intellect must be apprehended,

an Intellect other than the reasoning faculty known as the rational principle; with reasoning we are already in the region of separation and movement: our sciences are Reason-Principles lodged in soul or mind, having manifestly acquired their character by the presence in the soul of Intellectual-Principle, source of all knowing.

Thus we come to see Intellectual-Principle almost as an object of sense: it is perceptible as standing above soul, father to soul, and it is one with the Intellectual Cosmos; we must think of it as a quiet, unwavering motion; containing all things and being all things, it is a multiple but at once indivisible and comporting difference. It is not discriminate as are the Reason-Principles, which can in fact be known one by one: yet its content is not a confusion; every item stands forth distinctly, just as in a science the entire content holds as an indivisible and yet each item is a self-standing verity.

Now a plurality thus concentrated like the Intellectual Cosmos is close upon The First – and reason certifies its existence as surely as that of soul – yet, though of higher sovereignty than soul, it is not The First since it is not a unity, not simplex as unity, principle over all multiplicity, must be.

Before it there is That which must transcend the noblest of the things of Being: there must be a prior to this Principle which aiming towards unity is yet not unity but a thing in unity's likeness. From this highest it is not sundered; it too is self-present: so close to the unity, it cannot be articulated: and yet it is a principle which in some measure has dared secession.

That awesome Prior, The Unity, is not a being, for so its unity would be vested in something else: strictly no name is apt to it, but since name it we must there is a certain rough fitness in designating it as unity with the understanding that it is not the unity of some other thing.

Thus it eludes our knowledge, so that the nearer approach to it is through its offspring, Being: we know it as cause of existence to Intellectual-Principle, as fount of all that is best, as the efficacy

which, self-perduring and undiminishing, generates all beings and is not to be counted among these its derivatives, to all of which it must be prior.

This we can but name The Unity, indicating it to each other by a designation that points to the concept of its partlessness while we are in reality striving to bring our own minds to unity. We are not to think of such unity and partlessness as belong to point or monad; the veritable unity is the source of all such quantity which could not exist unless first there existed Being and Being's Prior: we are not, then, to think in the order of point and monad but to use these – in their simplicity and their rejection of magnitude and partition – as symbols for the higher concept.

6. In what sense, then, do we assert this Unity and how is it to be adjusted to our mental processes?

Its oneness must not be belittled to that of monad and point: for these the mind abstracts extension and numerical quantity and rests upon the very minutest possible, ending no doubt in the partless but still in something that began as a partible and is always lodged in something other than itself. The Unity was never in any other¹⁴⁵ and never belonged to the partible: nor is its impartibility that of extreme minuteness; on the contrary it is great beyond anything, great not in extension but in power, sizeless by its very greatness as even its immediate sequents are impartible not in mass but in might. We must therefore take the Unity as infinite not in measureless extension or numerable quantity but in fathomless depths of power.

Think of The One as Mind or as God, you think too meanly; use all the resources of understanding to conceive this Unity and, again, it is more authentically one than God, even though you reach for God's unity beyond the unity the most perfect you can conceive. For This is utterly a self-existent, with no concomitant whatever. This self-sufficing is the essence of its unity. Something there must be supremely adequate, autonomous, all-transcending, most utterly

without need.

Any manifold, anything beneath The Unity, is dependent: combined from various constituents, its essential nature goes in need of unity; but unity cannot need itself; it stands unity accomplished. Again, a manifold depends upon all its factors; and furthermore each of those factors in turn – as necessarily inbound with the rest and not self-standing – sets up a similar need both to its associates and to the total so constituted.

The sovranly self-sufficing principle will be Unity-Absolute, for only in this unity is there a nature above all need whether within itself or in regard to the rest of things. Unity seeks nothing towards its being or its well-being or its safehold upon existence; cause to all, how can it acquire its character outside of itself or know any good outside? The good of its being can be no borrowing: This is The Good. Nor has it station; it needs no standing-ground as if inadequate to its own sustaining; what calls for such underpropping is the soulless, some material mass that must be based or fall. This is base to all, cause of universal existence and of ordered station. All that demands place is in need; a First cannot go in need of its sequents: all need is effort towards a first principle; the First, principle to all, must be utterly without need. If the Unity be seeking, it must inevitably be seeking to be something other than itself; it is seeking its own destroyer. Whatever may be said to be in need is needing a good, a preserver; nothing can be a good to The Unity, therefore.

Neither can it have will to anything; it is a Beyond-Good, not even to itself a good but to such beings only as may be of quality to have part with it. Nor has it Intellection; that would comport diversity: nor Movement; it is prior to Movement as to Intellection.

To what could its Intellection be directed? To itself? But that would imply a previous ignorance; it would be dependent upon that Intellection in order to knowledge of itself; but it is the self-sufficing. Yet this absence of self-knowing, of self-intellection, does not comport ignorance; ignorance is of something outside – a

knower ignorant of a knowable – but in the Solitary there is neither knowing nor anything unknown. Unity, self-present, it has no need of self-intellection: indeed this ‘self-presence’ were better left out, the more surely to preserve the unity; we must eliminate all knowing and all association, all intellection whether internal or external. It is not to be thought of as having but as being Intellection; Intellection does not itself perform the intellectual act but is the cause of the act in something else and cause is not to be identified with caused: most assuredly the cause of all is not a thing within that all.

This Principle is not, therefore, to be identified with the good of which it is the source; it is good in the unique mode of being The Good above all that is good.

7. If the mind reels before something thus alien to all we know, we must take our stand on the things of this realm and strive thence to see. But in the looking beware of throwing outward; this Principle does not lie away somewhere leaving the rest void; to those of power to reach, it is present; to the inapt, absent. In our daily affairs we cannot hold an object in mind if we have given ourselves elsewhere, occupied upon some other matter; that very thing, and nothing else, must be before us to be truly the object of observation. So here also; preoccupied by the impress of something else, we are withheld under that pressure from becoming aware of The Unity; a mind gripped and fastened by some definite thing cannot take the print of the very contrary. As Matter, it is agreed,¹⁴⁶ must be void of quality in order to accept the types of the universe, so and much more must the soul be kept formless if there is to be no infixed impediment to prevent it being brimmed and lit by the Primal Principle.

In sum, we must withdraw from all the extern, pointed wholly inwards; no leaning to the outer; the total of things ignored, first in their relation to us and later in the very idea; the self put out of mind in the contemplation of the Supreme; all the commerce so closely There that, if report were possible, one might become to others reporter of that communion.

Such converse, we may suppose, was that of Minos,¹⁴⁷ thence known as the Familiar of Zeus; and in that memory he established the laws which report it, enlarged to that task by his vision There. Some, on the other hand, there will be to disdain such citizen service,¹⁴⁸ choosing to remain in the higher: these will be those that have seen much.

God – we read¹⁴⁹ – is outside of none, present unperceived to all; we break away from Him, or rather from ourselves; what we turn from we cannot reach; astray ourselves, we cannot go in search of another; a child distraught will not recognize its father; to find ourselves is to know our source.

8. Every soul that knows its history is aware, also, that its movement, unthwarted, is not that of an outgoing line; its natural course may be likened to that in which a circle turns not upon some external but on its own centre, the point to which it owes its rise. The soul's movement will be about its source, to this it will hold, poised intent towards that unity to which all souls should move and the divine souls always move, divine in virtue of that movement; for to be a god is to be integral with the Supreme; what stands away is man still multiple, or beast.

Is then this 'centre' of our souls the Principle for which we are seeking?

We must look yet further: we must admit a Principle in which all these centres coincide: it will be a centre by analogy with the centre of the circle we know. The soul is not a circle in the sense of the geometric figure but in that its primal nature (wholeness) is within it and about it, that it owes its origin to what is whole, and that it will be still more entire when severed from body.

In our present state – part of our being weighed down by the body, as one might have the feet under water with all the rest untouched – we bear ourselves aloft by that intact part and, in that, hold through our own centre to the centre of all the centres, just as the centres of the great circles of a sphere coincide with that of the

sphere to which all belong. Thus we are secure.

If these circles were material and not spiritual, the link with the centres would be local; they would lie round it where it lay at some distant point: since the souls are of the Intellectual, and the Supreme still loftier, we understand that contact is otherwise procured, that is by those powers which connect Intellectual agent with Intellectual object; indeed soul is closer to the Supreme than Intellect to its object – such is its similarity, identity, and the sure link of kindred. Material mass cannot blend into other material mass: un bodied beings are not under this bodily limitation; their separation is solely that of otherness, of differentiation; in the absence of otherness, it is similars mutually present.

Thus the Supreme as containing no otherness is ever present with us; we with it when we put otherness away. It is not that the Supreme reaches out to us seeking our communion: we reach towards the Supreme; it is we that become present. We are always before it: but we do not always look: thus a choir, singing set in due order about the conductor, may turn away from that centre to which all should attend; let it but face aright and it sings with beauty, present effectively. We are ever before the Supreme – cut off is utter dissolution; we can no longer be – but we do not always attend: when we look, our Term is attained; this is rest;¹⁵⁰ this is the end of singing ill; effectively before Him, we lift a choral song full of God.

9. In this choiring, the soul looks upon the wellspring of Life, wellspring also of Intellect, beginning of Being, fount of Good, root of Soul. It is not that these are poured out from the Supreme, lessening it as if it were a thing of mass. At that the emanants would be perishable; but they are eternal; they spring from an eternal principle, which produces them not by its fragmentation but in virtue of its intact identity: therefore they too hold firm; so long as the sun shines, so long there will be light.

We have not been cut away; we are not separate, what though

the body-nature has closed about us to press us to itself; we breathe and hold our ground because the Supreme does not give and pass but gives on for ever, so long as it remains what it is.

Our being is the fuller for our turning Thither; this is our prosperity; to hold aloof is loneliness and lessening. Here is the soul's peace, outside of evil, refuge taken in the place clean of wrong; here it has its Act, its true knowing; here it is immune. Here is living, the true; that of today, all living apart from Him, is but a shadow, a mimicry. Life in the Supreme is the native activity of Intellect; in virtue of that silent converse it brings forth gods, brings forth beauty, brings forth righteousness, brings forth all moral good; for of all these the soul is pregnant when it has been filled with God. This state is its first and its final, because from God it comes, its good lies There, and, once turned to God again, it is what it was. Life here, with the things of earth, is a sinking, a defeat, a failing of the wing.¹⁵¹

That our good is There is shown by the very love inborn with the soul; hence the constant linking of the Love-God with the Psyche in story and picture; the soul, other than God but sprung of Him, must needs love. So long as it is There, it holds the heavenly love; here its love is the baser; There the soul is Aphrodite of the heavens; here, turned harlot, Aphrodite of the public ways: yet the soul is always an Aphrodite. This is the intention of the myth which tells of Aphrodite's birth and Eros born with her.¹⁵²

The soul in its nature loves God and longs to be at one with Him in the noble love of a daughter for a noble father; but coming to human birth and lured by the courtships of this sphere, she takes up with another love, a mortal, leaves her father and falls.

But one day coming to hate her shame, she puts away the evil of earth, once more seeks the father, and finds her peace.¹⁵³

Those to whom all this experience is strange may understand by way of our earthly longings and the joy we have in winning to what we most desire – remembering always that here what we love is

perishable, hurtful, that our loving is of mimicries and turns awry because all was a mistake, our good was not here, this was not what we sought; There only is our veritable love and There we may unite with it, not holding it in some fleshly embrace but possessing it in all its verity. Any that have seen know what I have in mind:154 the soul takes another life as it draws nearer and nearer to God and gains participation in Him; thus restored it feels that the dispenser of true life is There to see, that now we have nothing to look for but, far otherwise, that we must put aside all else and rest in This alone, This become, This alone, all the earthly environment done away, in haste to be free, impatient of any bond holding us to the baser, so that with our being entire we may cling about This, no part in us remaining but through it we have touch with God.

Thus we have all the vision that may be of Him and of ourselves; but it is of a self wrought to splendour, brimmed with the Intellectual light, become that very light, pure, buoyant, unburdened, raised to Godhood or, better, knowing its Godhood, all aflame then – but crushed out once more if it should take up the discarded burden.

10. But how comes the soul not to keep that ground?

Because it has not yet escaped wholly: but there will be the time of vision unbroken, the self hindered no longer by any hindrance of body. Not that those hindrances beset that in us which has veritably seen; it is the other phase of the soul that suffers, and that only when we withdraw from vision and take to knowing by proof, by evidence, by the reasoning processes of the mental habit. Such logic is not to be confounded with that act of ours in the vision; it is not our reason that has seen; it is something greater than reason, reason's Prior, as far above reason as the very object of that thought must be.

In our self-seeing There, the self is seen as belonging to that order, or rather we are merged into that self in us which has the

quality of that order. It is a knowing of the self restored to its purity. No doubt we should not speak of seeing; but we cannot help talking in dualities, seen and seer, instead of, boldly, the achievement of unity. In this seeing, we neither hold an object nor trace distinction; there is no two. The man is changed, no longer himself nor self-belonging; he is merged with the Supreme, sunken into it, one with it: centre coincides with centre, for centres of circles, even here below, are one when they unite, and two when they separate; and it is in this sense that we now (after the vision) speak of the Supreme as separate. This is why the vision baffles telling; we cannot detach the Supreme to state it; if we have seen something thus detached we have failed of the Supreme which is to be known only as one with ourselves.

11. This is the purport of that rule of our Mysteries: 'Nothing Divulged to the Uninitiate': the Supreme is not to be made a common story, the holy things may not be uncovered to the stranger, to any that has not himself attained to see. There were not two; beholder was one with beheld; it was not a vision compassed but a unity apprehended. The man formed by this mingling with the Supreme must – if he only remember – carry its image impressed upon him: he is become the Unity, nothing within him or without inducing any diversity; no movement now, no passion, no outlooking desire, once this ascent is achieved; reasoning is in abeyance and all Intellection and even, to dare the word, the very self: caught away, filled with God, he has in perfect stillness attained isolation; all the being calmed, he turns neither to this side nor to that, not even inwards to himself; utterly resting he has become very rest. He belongs no longer to the order of the beautiful; he has risen beyond beauty; he has overpassed even the choir of the virtues; he is like one who, having penetrated the inner sanctuary, leaves the temple images behind him – though these become once more first objects of regard when he leaves the holies; for There his converse was not with image, not with trace, but with the very Truth in the view of which all the rest is but of secondary concern.

There, indeed, it was scarcely vision, unless of a mode unknown;

it was a going forth from the self, a simplifying, a renunciation, a reach towards contact and at the same time a repose, a meditation towards adjustment. This is the only seeing of what lies within the holies: to look otherwise is to fail.

Things here are signs; they show therefore to the wiser teachers how the supreme God is known; the instructed priest reading the sign may enter the holy place and make real the vision of the inaccessible.

Even those that have never found entry must admit the existence of that invisible; they will know their source and Principle since by principle they see principle and are linked with it, by like they have contact with like and so they grasp all of the divine that lies within the scope of mind. Until the seeing comes they are still craving something, that which only the vision can give; this Term, attained only by those that have overpassed all, is the All-Transcending.

It is not in the soul's nature to touch utter nothingness; the lowest descent is into evil and, so far, into non-being: but to utter nothing, never. When the soul begins again to mount, it comes not to something alien but to its very self; thus detached, it is in nothing but itself; self-gathered it is no longer in the order of being; it is in the Supreme.

There is thus a converse in virtue of which the essential man outgrows Being, becomes identical with the Transcendent of Being.¹⁵⁵ The self thus lifted, we are in the likeness of the Supreme: if from that heightened self we pass still higher – image to archetype – we have won the Term of all our journeying. Fallen back again, we waken the virtue within until we know ourselves all order once more; once more we are lightened of the burden and move by virtue towards Intellectual-Principle and through the Wisdom in That to the Supreme.

This is the life of gods and of the godlike and blessed among men, liberation from the alien that besets us here, a life taking no pleasure in the things of earth, the passing of solitary to solitary.

APPENDIX I



CONCORDANCE OF THE SYSTEMATIC AND CHRONOLOGICAL ORDERS OF THE TRACTATES

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B. SUPPLEMENTARY BIBLIOGRAPHY

Introductory Note

The following list contains only a selection of recent works (mainly books, but some articles) likely to be of interest to the reader of this translation. First, however, a few prefatory remarks may be in order. MacKenna did not have the benefit of the excellent text of Henry and Schwyzer (though B. S. Page was able to use their first volume, comprising *Enneads* I–III, published in 1951, in his revision of the translation), nor yet of the *Lexicon Plotinianum* of Sleeman and Pollet. The Henry and Schwyzer text is now complete (since 1973), and based on it, and occasionally improving on it, there is now the valuable *Loeb Classical Library* edition of A. H. Armstrong, one of the greatest of contemporary Plotinian scholars, in seven volumes (of which the last two, comprising *Ennead* VI, only appeared in 1988). Most recently (also in 1988) we have seen the first volume of a most ambitious French project, edited by Pierre Hadot, which envisages translations, with commentary, of all the tractates in turn, Hadot himself inaugurating the series with a magnificent edition of *Enn.* VI. 7. In addition to these basic aids, many useful studies of Plotinus' thought have appeared in the last twenty-five years, such as those of Rist, Blumenthal, Trouillard, O'Daly, and most recently Emilsson, as well as the collected essays of Armstrong, Rist and Cilento, all of which deepen our understanding of his philosophy, or various aspects of it. Another welcome development of the last decade or so has been the appearance of a number of detailed commentaries on individual treatises, which is the sort of treatment Plotinus has always deserved, but has been so long denied, in particular those of Beierwaltes, Atkinson, Bertier *et al.*, Wolters, and that of Hadot mentioned above. The proceedings of conferences organized by the Fondation Hardt, the CNRS in Paris, and the Accademia dei Lincei in Rome have also contributed much useful material.

Comprehensive and up-to-date details of contemporary Plotinian scholarship may be found in the bibliographies of H. J. Blumenthal (1951–71), and K. Corrigan–P. O’Cléirigh (1971–86) in *Aufstieg und Niedergang der römischen Welt*, Teil II, Band 36:1, Berlin, 1987.

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¹ This account is based closely on that of E. R. Dodds in his memoir of MacKenna, prefixed to his edition of the *Journal and Letters of Stephen MacKenna*, Constable, London, 1936, which constitutes the only available source for his life.

- 3 Not included in the present edition. The reference is to the *Historia Philosophiae Graecae et Romanae ex fontium locis contexta*, by H. Ritter and L. Preller, Gotha, 1864, from which MacKenna translated the Plotinian passages.
- 4 Thomas Taylor, the eighteenth-century English Platonist, who produced a translation of five tractates of Plotinus in 1794. MacKenna is being a little less than fair to him here.
- 5 MacKenna's term for his 1908 sample translation on I. 6, *On Beauty* (see above, p. xv).
- 6 *Plotinus* I (Loeb Classical Library), Harwood and London, 1966.

2 He is not thinking here. The order of the *Enneads* is due to Porphyry, not to Plotinus – but the observation retains a certain validity, none the less.

7 A reference, in fact, to Plato's *Symposium*, 211a8 and d8–e2.

8 Which, partly for this reason, I have decided to omit from this edition, as explained in the Preface (p. cxxvii).

9 'Memoir', p. 81.

²⁰ Plotin, *Texte et Traduction*, Notice to IV. 7, p. 179.

²¹ A. H. Armstrong, *The Greek Philosophical Background of the Psychology of St Thomas*, Aquinas Paper no. 19 (Blackfriars), Oxford, 1952, p. 12.

²² V. Cilento, 'La contemplazione secondo Plotino', in *La Parola del Passato*, 1950, p. 206.

²³ Ibid., p. 198.

²⁴ See G. Quispel, *Die Gnosis als Weltreligion*, Zürich, 1951.

25 IV. 8, 4, 31.

26 Acts xvii. 32.

27 The account of this synthesis given by E. Bréhier, *La Philosophie de Plotin*, p. 23 and pp. 182–7, and in the *Notices* of his edition of the *Enneads* is generally accepted by critics and is likely to remain standard. See also Zeller, *Die Philosophie der Griechen*, iii. 2⁴ (1903), p. 473 (objective and subjective aspect); O. Becker, *Plotin und das Problem der geistigen Aneignung*, 1940; P. O. Kristeller, *Der Begriff der Seele in der Ethik des Plotins*, 1929, p. 3; H.-R. Schwyzer, 'Die zweifache Sicht in der Philosophie Plotins', in *Museum Helveticum*, i, 1944, pp. 87–99, and art. 'Plotinos', in *Real-Encycl.* 21, 1951, cols. 548–50; M. de Gandillac, *La Sagesse de Plotin*, chap. viii.

28 E. Bréhier, *La Philosophie de Plotin*, p. 185.

29 Two allusions, in the third person, in I. 6, 7, 2 and VI. 9, 4, 16, and probably the opening sentence of IV. 8, in the first person. Cf. pp. 1–li, liii.

30 Cf. P. Henry, ‘La dernière parole de Plotin’, in *Studi Classici e Orientali*, vol ii, Pisa, 1953, pp. 113–30.

31 Porphyry, *Vita Plotini*, 2, 26.

32 For example, II. 9, 1, 5.

33 *Rep.* vi. 509b.

34 *Parm.* 137–42.

- 35 On the whole of what follows see the important article by E. R. Dodds, 'The Parmenides of Plato and the origin of the Neoplatonic One', in *Class. Quart.* xx, 1928, pp. 129–42, the additions and corrections supplied by H.-R. Schwyzler, art. 'Plotinos', in *Real-Encycl.* 21, 1951, cols. 553–4, and the valuable observations of E. Bréhier in the *Notices* to the treatises V. 1 (p. 13), V. 3 (pp. 46–47), V. 5 (p. 88), VI. 4–5 (pp. 166–8), VI. 7 (pp. 62–65).
- 36 *Vita*, 25, 32.
- 37 Notably, next to Eusebius of Caesarea, by Basil and Augustine, Cyril and Theodoret.
- 38 V. 1, 8, 10 and 23–27.
- 39 V. 1, 8, 1–8.

- 40 V. 4, 1, 8; VI. 7, 38, 1; cf. *Parm.* 141e 12.
- 41 V. 1, 8, 8 = *Rep.* vi. 509b 9.
- 42 V. 4, 1, 9–10 = *Parm.* 142a 3–4.
- 43 V. 5, 6, 12; V. 3, 14, 2.
- 44 V. 5, 10, 16 = *Farm.* 139b 3.
- 45 V. 5, 11, 3 = *Parm.* 137d 3–8.
- 46 VI. 6, 13, 52 = *Parm.* 144e 5.
- 47 V. 1, 8, 26 = *Parm.* 155e 5.
- 48 II. 2, 3, 20 = *Parm.* 145e 7.
- 49 VI. 2, 22, 4 = *Parm.* 144e 4.
- 50 VI. 9, 6, 1–9.
- 51 V. 1, 9, 7–9.
- 52 Diels-Kranz, *Vorsok.*⁶ 28B3; V. 1, 8, 17.
- 53 V.9, 3–5.
- 54 V. 8, 24; cf. *Rep.* vi. 507b and 509b.
- 55 39e; cf. III. 9, 1, 5 and V. 9, 9, 7.

77 Cf. *supra*, section II, p. lv.

78 'Quelle oder Tradition?' In *Sources*, p. 329: 'Eine Stoisierung Plotins wäre eine Modernisierung, vor der man sich hüten muss... Für Plotin gilt, dass, je weiter wir hinaufsteigen, die Dynamis desto konzentrierter wird... Für die Stoiker dagegen und wiederum für uns Moderne ist die Dynamis in der Welt ausgebreitet... Wenn wir hinter der plotinischen Dynamis die stoische sehen, haben wir Plotin schon verfälscht.'

- 56 Cf. E. Bréhier, *Histoire de la philosophie*, t. i, 1928, p. 456; H.-R. Schwyzer, *Real-Encycl.* 21, 1951, col. 555; P. Courcelle, 'Travaux néoplatoniciens', in the *Actes du Congrès Budé 1953 de Tours et de Poitiers*, Paris, 1954, p. 228.
- 57 *Metaph.* A7, 1072b 20–22.
- 58 *Ibid.* 9, 1074b 34.
- 59 Cf. A. H. Armstrong, *Introduction*, pp. 123–5; H.-R. Schwyzer, *Real-Encycl.* 21, col. 564, 16–17.
- 60 *The Philosophy of Plotinus*, vol. ii, p. 204, p. 82 n. 3, and p. 115.

- 61 For the next four sections, III–VI, of this Introduction, I rely heavily on the valuable contributions of my colleagues in *Les Sources de Plotin* (*Entretiens* V, Fondation Hardt), Vandœuvres-Genève, 1960, quoted here as *Sources*.
- 62 *Vita Plotini*, 14, 4–7, Armstrong’s translation, *Plotinus Selections*, London, 1953, p. 51.
- 63 Hierocles, *On Providence*, in Photius, *Bibl.*, codex 214, P.G. 103, 705d. Cf. Dodds, in *Sources*, P.25.
- 64 Cf. E. Bréhier, *Notice* on IV. 7, pp. 179–81; R. Harder, *Plotins Schriften*, I b, p. 383.

- 65 P. Henry, 'Une comparaison chez Aristote (*De an.* I' 2, 427a 10–14), Alexandre (*De an.*, pp. 61, 1–63, 13) et Plotin (IV. 7, 6, 15–19)', in *Sources*, pp. 429–44. Whereas Alexander, whom Plotinus undoubtedly follows here, discusses the unity of the subject of sense perceptions in terms of circle and centre (κέντρον), Plotinus, like Aristotle, thinks of a line with an indivisible point (στιγμή) as centre (μέσον). Moreover, the whole Aristotelian tradition is bodily transposed into characteristic Plotinian 'Platonism'.
- 66 Ibid.
- 67 'The Background of the Doctrine "That the Intelligibles are not outside the Intellect"', in *Sources*, pp. 392–413.
- 68 *De opif. mundi* 20.
- 69 *Vita Plotmi* 18, 8–19.
- 70 *Didaskalikos* 10, pp. 164–5 Hermann, p. 57 Louis: ἐπεὶ δὲ ὁ πρῶτος νοῦς κάλλιστος, δεῖ καὶ κάλλιστον αὐτῷ νοητὸν ὑποκεῖσθαι οὐδὲν δὲ αὐτοῦ κάλλιον ἑαντὸν ἂν οὔν καὶ τὰ ἑαυτοῦ νοήματα ἀεὶ νοοίη, καὶ αὕτη ἡ ἐνέργεια αὐτοῦ ἰδέα ὑπάρχει.
- 71 Armstrong, in *Sources*, pp. 403–4 and also pp. 406–11 where he refers to Alexander. *De an.*, pp. 87, 23–88, 5 Bruns and *Mantissa*, pp. 108, 7–9, 16–19, 109, 23–110, 3 and to pp. 112, 18–113, 2 where with reference to Aristotle's νοῦς we find an activity *ἀνεν ὀργάνων* as in *Enn.* I. 4, 16, 20–29.

- 72 And yet his One is not unconscious; he is ὑπερυόησις (VI. 8, 16, 32) or νόησις ἐτέξως ἢ κατὰ τὴν νοῦ νόησιν (V. 4, 2, 18–19).
- 73 V. 4 (7), 2, 40; IV. 4 (28) 4, 18.
- 74 Cf. H.-R. Schwyzer, “Bewusst” und “Unbewusst” bei Plotin’, in *Sources*, pp. 343–78.
- 75 Cf. VI. g, 11, 5–25. See section vu, *infra*.
- 76 ‘Plotin zwischen Plato und Stoa’, in *Sources*, pp. 65–86. Theiler’s major work is still, in this connexion, *Die Vorbereitung des Neuplatonismus*, Berlin, 1930, especially the first two chapters.

- 79 Cf. the fundamental work of R. E. Witt, *Albinus and the History of Middle Platonism*, Cambridge, 1937. Also C. Andresen, 'Justin und der mittlere Platonismus', in *Zeitschr. f. neutest. wiss.* 44 (1952–53), pp. 157–95; H. Dörrie, 'Die Frage nach dem Transzendenten im Mittelplatonismus', in *Sources*, pp. 193–223.
- 80 *Vita Plotini* 14, 10–12. The same Porphyry, in Eusebius, *Hist. Eccl.* vi. 19. 8, p. 560, 11–17 Schwartz, enumerates the philosophers that Origen the Christian studied; some names occur in both lists, Plato and Ammonius, as one would expect, but also Cronius and Numenius. He also mentions Longinus, with whom Plotinus was in touch. Perhaps Cronius and Numenius were already studied in the school of Ammonius Saccas.
- 81 Dörrie, *Sources*, p. 197, calls him a Platonist.

- 82 The most important fragments have come down to us through Eusebius, *Praep. Ev.* xi and xv, and have been collected by J. Baudry, Paris (Coll. Budé), 1931. Others, short but significant, are in Proclus.
- 83 ‘Didaskalikos’, in *Platonis Dialog*, ed. Hermann, t. vi, Leipzig, 1873, pp. 153–89. Re-edited under the title ‘Epitomé’ by Louis, Paris (Coll. Budé), 1945.
- 84 Frag. 9, Baudry, pp. 31–3 (Eus. *Praep. Ev.* xv. 13).
- 85 Frag. 7, Baudry, p. 28 (*Praep. Ev.* xv. 9. 14) ὁ μὲν γάρ (sc. Πλάτων) φησι νοῦν ἄνεν ψυχῆς ἀδύνατον εἶναι συνίσταθαι κτλ.
- 86 In *Tim.* 26c, i, p. 305, 6 f. Diehl.

- 87 Cf. IV. 8, 6, 18–23: a strange and apparently illogical dilemma (ἐἴτε... ἐἴτε) which stresses in the first clause the eternity of matter (ἀεὶ ἡ τῆς ὕλης φύσις) and in the second its necessary derivation from the preceding causes (ἡκολούθησεν ἐξ ἀνάγκης ἡ γένεσις αὐτῆς τοῖς πρὸ αὐτῆς αἰτίοις).
- 88 Eudorus of Alexandria (c. 25 bc) seems to have professed this opinion.
- 89 Frag. 4, Baudry, pp. 14–17 (*Praep. Ev.* xv. 6). See also Proclus, *In Tim.* 30a, i, p. 391. 10 f. Diehl. Plutarch held the same view. Cf. Proclus, *In Tim.* i, p. 381; ii, p. 153.
- 90 For instance II. i, 4, 25 (μήποτε ἄρξασθαι); V. 8, 12, 19–26 (temporal expressions like ἀεὶ ἥν καὶ ἔσται cannot be avoided). It is helpful to read the lengthy Arabic version, *Theol.* i, 44–58 (English translation opposite IV. 8, 2, Henry–Schwyzer), which explicitly stresses the timelessness of the creative process; there is no close textual parallel, but it admirably expresses the thought of Plotinus and presumably of Plato.
- 91 Frag. 4.
- 92 Cf. II. 9, 4, 15–16 εἰ διανοαῖ ἐποίει καὶ μὴ ἐν τῇ φύσει ἦν τὸ ποιεῖν... πῶς ἂν κόσμον τόνδε ἐποίησεν; ‘Reflection’; is denied, although the discussion is about the soul, not even about the intellect. In V. 8, 12, 21 ὥς ποτὲ βονλενσαμένου τοῦ ποιοῦντος, both ‘time’ and ‘deliberation’ are denied to the creator, and the created image is also eternal.
- 93 Cf. II. o, 4, 6 ἡμεῖς δὲ οὐ νεῦσιν φάμεν τὴν ποιοῦσαν (sc. ψυχὴν), ἀλλὰ μή νεῦσιν.
- 94 Cf. *infra*, section vi, p. lxxi.

- 95 I nevertheless feel bound to remind the scholarly reader that a *Pinax* of codex *Paris, gr.* 1962 has Ἀλβίνου τῶν Γαίου σχολίων, and, owing to the confusion of two Greek uncials, the text of Priscianus quotes this work under a somewhat similar title, or at least gives a description of it, as ‘Labini (AA- for AA-) ex Gaii scholis *exemplaribus* Platoniorum dogmata’.
- 96 Apuleius, *De Plat, et eius dogmate* 5, p. 66. 17–18 Goldbacker: Initia rerum tria esse arbitratur Plato: deum et materiam, rerumque formas quas ideas vocat. Compare Aetius, *Placita* (Diels, *Dox.*, p. 309) Πλάτων τριχῶς τὸ αἴτιον φησὶ γὰρ ὕφ οὗ (god), ἐξ οὗ (matter), πρᾶς ὃ (idea) with Seneca, Ep. 65 *ex quo, a quo*, in quo, *ad quod*, propter quod; also Hippolytus, *Philosoph.* 19. 1 (Diels, *Dox.*, p. 567): Πλάτων ἀρχὰς εἶναι τοῦ παντὸς θένον καὶ ὕλην καὶ παράδειγμα. Another line of Platonic interpretation, perhaps ultimately going back to Xenocrates and Speusippus, tends to reduce the multiplicity of first principles of the universe to one only – a very distant (and hypothetical) preparation for the Plotinian doctrine of the One, of which the Gaius–Albinus–Apuleius school has no inkling. Among the ‘Unitarians’ might be mentioned Dercyllides (after 50 bc), Eudorus of Alexandria (c. 25 bc), and probably Severus who is explicitly quoted by Porphyry, *Vita* 14, among ‘the sources’ of Plotinus; this ‘monist’ line of thought probably originates in the Neopythagorean comments (quoted by Eudorus) on an absolute One, from which derive the first monad and its opposite, the ‘indefinite dyad’; this expression is twice found in Plotinus, V. 4 (7), 2, 7–8 and V. 1 (10), 5, 6–19; cf. II. 4 (12), 2, 8 ff.
- 97 I seem to find these categories latent but operative in the opening lines of the important treatise V. 2; notice 1, 3–4 the opposition of Identity and Difference in that of ἀπλοῦ and διπλῆς or of ἐνταύτῳ and ποικιλία and more clearly 1, 11–12 where στάσις appears explicitly and κίνησις implicitly in θέα.

98 *Sources*, p. 405. See *supra*, section III, p. lvii (where Aristotle is discussed).

99 R. E. Witt, *Albinus*, lists half a dozen of these amazing ‘silences’.

100 *Didaskalikos* 10, pp. 164–5 Hermann, pp. 55–65 Louis.

101 The distinction between ούράυος and ὑπερονόυος reappears more clearly in *Did.* 28, p. 181, 37 Hermann, p. 139, 1 Louis.

- 126 Typical of his philosophical and ‘proud’ outlook are his *ultima verba*, in *Vita Plotini* 2, 26–27 where the active ἀνάγειν must be stressed, and the authentic reading τὸ ἐν ὑμῖν(not νῦν) ἡμῖν must be accepted against all editors (including Henry–Schwyzer) and translators. Cf. P. Henry, ‘La dernière parole de Plotin’, in *Studi Classici e Orientali* ii, Pisa, 1953, pp. 113–30.
- 127 De natura hominis, 2, p. 69 Matthaei (P.G. 40, 537; in Telfer’s translation, London, 1955, pp. 261–2).
- 128 Cf. H. Dórríe, *Porphyrios’ ‘Symmikta Zetemata’* (Zetemata 20), Miinchen, 1959.
- 129 *Vita Plotini* 17, 1–2.
- 130 Ibid. 3, 44–5.
- 131 Ibid. 3, 41–2.
- 132 Ibid. 17, 4–5. It is typical of this period that Trypho, the correspondent of Amelius, probably from Athens, is called by Porphyry ‘Stoic and Platonist’.

- 102 The nearest approach to a semblance of mysticism is perhaps to be found in the other propaedeutic work of Albinus, the *Prologos* (*Platonis Dialogi*, vi, pp. 147–51 Hermann), in which (chapter 6, p. 150, 16–17) he enjoins students of Plato to become ‘contemplators’ (θεατάς) and gain the vision of their own souls, of things divine, of the gods themselves, and of ‘the highest intellect’ (τοῦ καλλίστου νοῦ).
- 103 ‘Eine neue Schrift Plotins’, in *Hermes* lxxi, 1936, pp. 1–10.
- 104 Cf. H.-C. Puech, ‘Plotin et les gnostiques’, in *Sources*, pp. 161–174, whom we closely follow here, observes in the course of the discussion on his paper, p. 181: ‘Il résume, avec une perspicacité, une sûreté parfaite, ce qu’est en soi la Gnose, l’attitude typique, générale, de tout gnostique.’
- 105 *Vita Plotini* 16, 1–3.

- 106 Ibid., 16, 5–7 ἀποκαλύψεις τῆς προφέροντες Ζωροάστρον καὶ Ζωστριανῷ καὶ Νικοθέον καὶ Ἀλλογενοῦς καὶ Μέσσον (with the better manuscripts and the Coptic codex, and not Μέσσον with all the editors and translators) καὶ ἄλλων τοιούτων.
- 107 Puech, *Sources*, p. 173; cf. J. Doresse, *Coptic Studies in Honour of W. E. Crum*, pp. 255–63.
- 108 Cf. C. Schmidt, *Unbekanntes altgnostisches Werk*, Leipzig, 1905, p. 352, 9. English translation by Charles Baynes, p. 136.
- 109 II. 9, 6, 1–2 τὰς δὲ ἄλλας ὑποστάσεις τί χρὴ λέγειν ἃς εἰσάγουσι, παρρηκῆσεις καὶ ἀντιτύπων καὶ καὶ ἡετανοίας; cf. Schmidt, pp. 361, 38–362, 3; Baynes, p. 180.
- 110 Vita 16, 1–2 γέγονασι δὲ κατ’ αὐτὸν τῶν χριστιανῶν πολλοὶ μὲν καὶ ἄλλοι αἰρετικοὶ δὲ ἐκ τῆς παλαιᾶς Φιλοσοφίας ἀνηγμένοι, οἱ περὶ κ.τ.λ. MacKenna translates: ‘Many Christians of this period – amongst them sectaries who had abandoned the old philosophy, men of the schools of Adelphius and Aquilinus – had possessed themselves of works by, &c.’

- 111 For instance Irenaeus, *Adv. Haer.* i. 29–30; Epiphanius, *Panairion*, 39–40.
- 112 II. 9, 6, 5–12, especially 10 τὰ μὲν αὐτοῖς παρὰ τοῦ Πλάτωνος εἴληπται and in more detailed fashion, *ibid.* 6, 37–42.
- 113 Puech, *Sources*, p. 179.
- 114 11.9, 10, 3.
- 115 Puech, *Sources*, p. 184.

- 116 *Tim.* 92c. Cf., for instance, against the Gnostics, II. 9, 17, 48 τῷ δὲ πάντι καλῶ ὄντι κ.τ.λ..
- 117 II. 9, 1 and 2; 6, 1–2.
- 118 Correct title given by Porphyry to V. 1. Cf. II. 9, 1, 20 εἰ πλείω τῶν τριῶν τούτων. The answer is no, II. 9, 2, 1.
- 119 V. 1, 11, 5–6.
- 120 Cf. *infra*, section vi, pp. lxxii–lxxiii.
- 121 II. 9, 6, 15 ff.
- 122 II. 9, 1, 24, f.
- 123 II. 9, I, 26 οὐδὲ ἐπινοεῖν τὸν μὲν τινα νοῦν ἐν ἡσυχίᾳ τινι, τὸν δὲ οἶον κινούμενον. The very style – ἐπι-νοεῖν (‘excogitate’), τινά, τινί, οἶον shows how distasteful all this pseudo-philosophical rigmarole is to the author. And yet in III, 9, 1 we encounter a somewhat similar interpretation of *Tim.* 39e.
- 124 I. 6, 8, 22; VI. 9, 11, 50.
- 125 II. 9, 13, 18–20.

133 Ibid. 14, 16.

134 After Schwyzer's careful sifting and appraisal of the evidence on Ammonius (Pauly-Wissowa, *Real-Encycl.*, 21, cols. 475–S2) and after Dodds's critical and judicious paper on both these authors (*Sources*, pp. 3–32) and the exciting Vandœuvres discussion which followed (pp. 33–61; see also p. 178), nothing much can be added. I shall try to sum up the main results of this teamwork led by Professor Dodds.

135 E. A. Leemans, *Studie over den wijsgeer Numenius van Apamea met uitgave der fragmenten* (Mém. de l'Acad. roy. de Belgique, Classe des lettres, xxxvii, 2), Bruzelles, 1937.

136 Frag. 1 Leemans, pp. 113–15 (Eusebius, *Praep. Ev.* xiv. 5).

137 Testim. 4 Leemans, p. 85.

138 In Stob. i. 374, 21 Wachsmuth.

139 In *Remp.* ii, p. 96, 11 Kroll.

140 Frag. 1 Leemans, p. 115, 5 (Eusebius, *Praep. Ev.* xiv. 5, 7, p. 270, 11 Mras).

141 Cf. Dodds, *Sources*, pp. 17–18, who collects all these expressions from frag. 11 Leemans, p. 131, 9, 11, 14, 15 (Eusebius, *Praep. Ev.* xi. 22 Mras, p. 49, 3, 4, 6, 7). Dodds, *ibid.*, p. 21, compares also νοῦς as νομοθέτης or νόμος of V. 9, 5, 28 with frag. 22 Leemans, p. 139, 8 (*Praep.* xi. 18, 11 Mras, p. 42, 20) where νομοθέτης is also applied to the second God, and νοῦς as ἐνέργεια ἐν διεξόδῳ of III. 8, 9, 33 with the 'Intellect sent ἐν διεξόδῳ to all of us who are fit for communion' (frag. 21 Leemans, p. 128, 20; *Praep. Ev.* xi. 18, 9 Mras, p. 41, 17).

142 A. J. Festugière, 'La Révélation d'Hermès Trismégiste', t. iv, *Le Dieu inconnu*, Paris, 1954, p. 131.

143 A. H. Armstrong, *Architecture of the Intelligible Universe in the Philosophy of Plotinus*, Cambridge, 1940, p. 73.

- 144 Testim. 33 Leemans, p. 97, 26–7 (Iamblichus, *De an.* in Stobaeus, i, 365 ff.).
- 145 Dodds, *Sources*, p. 23, refers here to *Enn.* V. 8, 4, 10: ‘While some one manner of being is dominant (ἐξέχει) in each, all are mirrored in every other’ (MacKenna).
- 146 Cf. E. R. Dodds, *Proclus, The Elements of Theology*, Oxford, 1933, p. 254; P. Hadot, ‘Être, Vie, Pensée chez Plotin et avant Plotin’, in *Sources*, pp. 107–57 (especially pp. 108–9) and his introduction and running commentary on Marius Victorinus, *Traité théologique sur la Trinité*, Paris, 2 vols., 1960.
- 147 Dodds, *Proclus*, *ibid.*, p. 214, quotes as the earliest passage *Sap.* vii. 27 μιά δὲ νᾶται καὶ μὲν οὐσα ἐν αὐτῇ τῇ πάντα καινίζει.
- 148 IV. 8 (6), 6, 10 μένονς ἀεὶ τοῦ προτέρου ἐν πῶ οὐκ εἰς ἕδρα. Cf. V. 2 (II), 2, 1–2. IV. 8 (The Soul’s Descent into Body) and V. 2 (The Origin and Order of the Beings following on the First) both describe the mechanism of emanation, the one in the lower stages, the other in the higher stages. It is significant that in both treatises the principle of ‘undiminished giving’ is stressed; both allude to *Tim.* 42e in the same words.
- 149 Frag. 23 Leemans, p. 139, 15–16 (*Praep.* xi. 18, 15, p. 43, 1–2) τὰ δὲ θεῖα ἔστιν οἷα μεταδοθέντα, ἐνθενδ’ ἐκεῖθι γεγεννημένα ἐνθενδε τε οὐκ ἀπελήλυθε
- 150 Cf. Dodds, *Sources*, p. 22.
- 151 Testim. 34 Leemans, p. 98, 8–9 (Stob. i, p. 458, 3); Ἐνωσω μὲν οὖν καὶ ταῦτοητα ἀδιὰκριτον τῆς ψυχῆς τὰς ἑαντῶν ἀρχὰς πρεοδεῖν Νονμήνιος

- 152 Testim. 33 Leemans, p. 97, 24–5 (Stob. i, p. 458, 3 f.). Iamblichus goes on to say that this is ‘undoubtedly’ the opinion of Numenius and that the opinion of Plotinus is not quite the same, οὐ πάντη δέ; ὁμολογούμενως Πωτίνος
- 153 III. 4, 3, 22 ἔσμεν ἑκαστοκτος κόσμος νοητός.
- 154 V. I, 10, 5–6. 10 “Ὡςπερ δὲ ἐν τῇ φύσει τριττὰ ταῦτά ἐστι τὰ εἰρημένα, οὕτω χρή νομίζειν καὶ παρ’ ἡμῶν ταῦτα εἶναι.
- 155 Among the Christian mystics none seems to me more faithful to this fundamental Plotinian principle than the fourteenth-century Flemish author, John Ruysbroeck. Cf. my essay in *Recherches de Science Religieuse*, xl, 1952, pp. 335–60; xli, 1953, pp. 51–7.
- 156 Testim. 24 Leemans, p. 88, 18–26 (Proclus, *In Tim.* 28c, i, pp. 303, 27–304, 7 Diehl). Cf. frag. 20 Leemans, p. 137, 19 f. (Eusebius, *Praep. Ev.* xi. 18, 1, p. 40, 13 f. Mras).
- 157 Testim. 25 Leemans, p. 88, 28–31 (Proclus, *In Tim.*, p. 103, 28–32).
- 158 III. 9, 1, 23–9.

159 III. 9 (13), 1, 7. Cf. V. 4 (7), 2, 11–19; V. 6 (24), 2, 4–7.

160 II. 9 (33), 6, 19–24. Cf. II. 9, i, 27. The Numenian and Gnostic interpretations are strikingly similar. Might not Numenius have been in touch at Rome with Valentinus or the Valentinian School? Cf. *Sources*, p. 178.

161 Cf. *Sources*, p. 47.

162 Dodds, in *Sources*, pp. 18–19.

163 Dodds, in *Sources*, pp. 14–15, commenting on frag. 25.

- 164 Frag. 25 Leemans, p. 88, 28–31 (Proclus, *In Tim.* iii, p. 103, 28–32)
Νονμήνιος δὲ τὸν μὲν πρῶτον (ςς. νοῦν) κατὰ τὸ ὅ ἐστιν ζῶον τάττει καὶ
φησιν ἐν προσχρήσει τοῦ δευτέρου νοεῖν, τὸν δὲ δεύτερον κατὰ τὸν
νοῦν, καὶ τοῦτον αὖ ἐν προσχρήσει τοῦ τρίτου δημιουργεῖν, τὸν τρίτου
δημιουργεῖν, τὸν δὲ τρίτον κατὰ τὸ διανοοῦμε-νον.
- 165 Cf. Dodds, in *Sources*, pp. 20 and 61.
- 166 W. Theiler, ‘Plotin und die antike Philosophie’, in *Mus. Helv.* i, 1944, p. 215.
- 167 H. Dörrie, ‘Ammonios, der Lehrer Plotins’, in *Hermes*, lxxxiii, 1955, pp. 439–
78. On at least one point, the interpretation of ἐνθουσιάζειν Dörrie, *Sources*,
p. 44, concurred in Dodds’s criticism of his views.
- 168 In Photius, *Bibl.*, codex 214, P. G. 103, 705d.
- 169 *De nat. horn.* 2. Cf. Schwyzer, *Real-Encycl.* 21, 1951, cols. 477–9.
- 170 *Plotinus of Ammonius Saccas*, Leiden, 1941. Cf. Schwyzer, *ibid.*, col. 480.

181 VI. 9, 2, 35 εἰς ἑαυτὸν γὰρ ἐπιστρέφων εἰς ἀρχὴν ἐπιστρέφει. On the various uses of ‘conversion’ and all the references see P. Aubin, ‘L’ Image dans l’œuvre de Plotin’, in *Rech. Setene. Relig.* xli, 1953. [PP. 373–7](#)

182 Cf. I. 1, 1, 23.

183 There is no ἐπιτροφή on the part of the One (*contra*, Aubin, *ibid.*, [p. 376](#)). Two passages, V, 1, 6, 18 and 7, 5 seem at first sight to presuppose it.

171 W. Theiler, 'Plotin zwischen Plato und Stoa', in *Sources*, pp. 67–9. H.-R. Schwyzer, *ibid.*, p. 89, agrees.

172 In *Plat. Gorg.* L. 2, p. 240. 20 Norvin: οὐ τοπικῶς, ἀλλὰ διὰ τῆς ζῳῆς

173 Note particularly: εἰς εμαυτὸν ἐκ τοῦ σώματος... τῶν μὲν ἄλλων ἔξω
ἐμαυτοῦ δὲ εἰσω... ὑπὲρ πᾶν... ἰδρύσας... ἀπορω πῶς... καταβαίνω. Cf. I.
6, 8, 4–5.

174 *Paed.* iii. 1.

175 *Confess.* VII. x. 16.

176 Henry, *Plotin et l'Occident*, p. 112.

177 Arnou, *Le Désir de Dieu*, pp. 191–7.

178 'In humano procul dubio animo idem est summum quod intimum... per
ments excessum supra sive intra nosmetipsos in divinorum contemplationem
rapimur.' *Bern. mai.* iv. 23 = P.L. 196, 167.

179 *Le Désir de Dieu*, p. 202.

180 See above, p. xlvi.

184 VI. 9, 11, 6: μὴ ἐωραμένον, ἀλλ. ἠγνωμένον.

185 VI. 9, 10, 11–12: ὁ Ψ ΕΤαι μᾶλλον δὲ συνέο αι

186 V. 3, 17, 34: Ἐθάψασθαὶ Ὡτὸς Ἐτείνον Καὶ αὐτὸς ἀό αὐτὸ θεάσασθαί. The same immediacy is affirmed in non-metaphorical language at VI. 9, 11, 31 ἀρχὴ ἀρχὴν ὁρᾷ. We find here one of the origins of the thesis of Christian theology on the ‘visio Dei per essentiam’.

187 *Sympos.* 210e 4.

188 The text which is nearest to this idea is V. 5, 8, 1–9.

- 189 V. I, 6, 9–11: θεὸν αὐτὸν ἐπικαλέσαμέ νοις οὐ λόγῳ γεγωνῶ, ἀλλὰ τῇ Ψυχῇ ἐκτεínaσιν ἐαντοὺς εἰς εὐχὴν πρὸς ἐκείνον.
- 190 *Confess*, vn. x. 16, ‘intravi in intima mea duce te, et potui quoniam factus es adiutor meus’; cf. *Enn.* I. 6, 9.
- 191 Cf. the excellent article of I. von Ivanka, ‘Dunkelheit’, in *Reallexicon f. Ant. u. Christ*, iv. Stuttgart, 1959, cols. 350–8.
- 192 We may observe that Augustine, who is more Pauline than Plotinian when it comes to describing man divided against himself, ignores almost completely the negative theology and mysticism. This is another sign of his independence and originality.

193 Cf. V. 1, 10, 5 ff.

¹ As is now shown by R. Harder, *Plotins Schriften*, Vc, Hamburg, 1958, pp. 119–20.

- 2 For example: W. R. Inge, *The Philosophy of Plotinus*, 3rd éd., London, 1929; R. Arnou, *Le Désir de Dieu dans la philosophie de Plotin*, Paris, 1921; E. Bréhier, *La Philosophie de Plotin*, Paris, 1951; A. H. Armstrong, *The Architecture of the Intelligible Universe in the Philosophy of Plotinus*, Cambridge, 1940; *An Introduction to Ancient Philosophy*, London, 1947; H.-R. Schwyzer, art. 'Plotinos', in Pauly-Wissowa-Kroll, *Realencyclopädie der class. Altertumswissenschaft*, xxi, 1951, cols. 471–592; M. de Gandillac, *La Sagesse de Plotin*, Paris, 1952; P. Courcelle, 'Travaux néoplatoniciens', in the *Actes du Congrès Budé 1953 de Tours et de Poitiers*, Paris, 1954, pp. 227–54; J- Trouillard, *La Purification plotinienne. La Procession plotinienne*, 2 vols., Paris, 1955. I cannot stress enough what my narrative owes to the works of my collaborators and friends, A. H. Armstrong and H.-R. Schwyzer, especially in connexion with the difficult problem of Plotinus' sources. My debt extends far beyond the explicit references.
- 3 The risk is less acute than it would otherwise have been, since my friend B. S. Page has been good enough to translate and revise the text of this Introduction.

- 16 II. 4, 4, 8; III. 8, 11, 36. This phrase is not found in Plato, who speaks however of ‘the intelligible place’ (*Rep.* 509d, 517b) and perhaps of ‘the intelligible god’ (*Tim.* 92c).
- 17 IV. 8, 1, 49; V. 1, 4, 1; V. 3, 16, 8–10.
- 18 VI. 5, 2, 8–16; cf. Plato, *Tim.* 27b and *Rep.* 509d.
- 19 V. 1, 7, 48.

⁴ Armstrong, *Introduction*, p. 29.

⁵ IV. 7, 1, 22–5; cf. Plato, *Alcib.* i. 130c; Aristotle, *Nic. Ethic.* x. 7, 1178a 2–3.

⁶ Cf. C. **Tresmontant**, *Essai sur la pensée hébraïque*, Paris, 1953, pp. 96–7; *A Study of Hebrew Thought* (English transl.), New York, 1960, pp. 118–19.

⁷ Cf. IV. 8, 1, 17 sqq.

8 These expressions are taken from Jean Trouillard, 'L'Impeccabilité de l'esprit selon Plotin', in *Revue de l'Histoire des Religions*, 1953, pp. 19–28.

9 The 'daring', τόλμα, mentioned at V. 1, 1, 4, comes neither from Socrates nor from Plato, though it is Greek. For parallels see our critical edition, Henry–Schwyzer, *Plotini opera*, ii, Paris, 1957.

10 Ibid.

11 Trouillard, *Revue de l'Histoire des Religions*, pp. 19–28.

12 Ibid.

13 On salvation, cf. *infra*, section v, pp. lxx–lxxiv.

14 Among the *Dialogues* those most frequently cited are first the *Timaeus*, and then the *Republic*, the *Phaedo*, the *Phaedrus*, the *Symposium*, the *Theaetetus*, the *Philebus*, the *Sophist*, the *Parmenides*. There are few references to the works of Plato's youth in which he sets problems rather than solves them, and fewer still to the *Laws*. Cf. *infra*, section vi, p. lxxv, the 'Plato dimidiatus' of Theiler.

15 Cf., for example, IV. 8, 7, 27–28.

- 10 See on this the valuable discussion of Paul Henry, 'La liberté chez Plotin', in *Revue Néoscholastique de Philosophie* 8 (1931), and John Rist, *Plotinus: The Road to Reality*, [ch. 10](#). As Rist remarks (p. 137): 'Freedom, then, for Plotinus is not simply equivalent to the power of choice. Rather it is freedom from that necessity of choice which the passions impose. The soul that hesitates between good and evil is not free, nor is such a choice godlike. What is godlike is the desire for truth and achievement of it, and this is a power available to the purified soul.'
- 11 For a good recent discussion, see Kevin Corrigan, 'Is there more than one generation of Matter in the *Enneads*?', *Phronesis* 31 (1986), [pp. 167–81](#).

- 12 Notably by H.-R. Schwyzer, 'Zu Plotins Deutung der sogenannten platonischen Materie', in *Zetesis* (Festschrift De Stryker), Antwerp/Utrecht, 1973, pp. 266–80.

- 1 More precisely, Plotinus envisages a double activity of each level of being: one activity that is internal to it and one that goes out from it. This concept is well developed in, for instance, V.4[7],2.

- 2 A certain overlap, and tension, ensues between the concept of this second god, who is an active intellect, and Soul, since the rational aspect of Soul is hardly distinguishable from Intellect. This will be discussed below.
- 3 By John Bussanich, in an important article, 'Plotinus on the Inner Life of the One', in *Ancient Philosophy* 7 (1987), pp. 163–89 (cf. p. 183).

⁴ Cf. John M. Rist, 'The Indefinite Dyad and Intelligible Matter in Plotinus', *Classical Quarterly* n.s. 12 (1962), pp. 99–107.

⁵ There is now a good edition of it, with commentary, in French, *Plotin: Traite sur les Nombres*, J. Bertier *et al.* (eds.), Paris, 1980.

- 6 On this question see the useful article of Henry Blumenthal, 'Nous and Soul in Plotinus: Problems of Demarcation', in *Platino e il Neoplatonismo in Oriente e in Occidente*, Accademia nazionale dei Lincei, Rome, 1974.

7 For a good discussion of Plotinus' doctrine of the embodied soul, see H. Blumenthal, *Plotinus' Psychology*, The Hague, 1971.

⁸ See on this G. J. P. O'Daly, *Plotinus' Psychology of the Self*, Shannon, 1973.

⁹ In *Les Sources de Plotin* (Entretiens Fondation Hardt V), Vandoeuvres/Geneva, 1960, pp. 385–6.

¹ Amelius Gentilianus, from Etruria, Plotinus' senior pupil (cf. ch. 3, 7, 10, 17, 18, 20).

- 2 A close associate of Plotinus (cf. ch. 7 below). He actually produced an edition of Plotinus' works, of which nothing survives except a mysterious reference in the manuscripts of the *Enneads* at IV. 4, 29 (q.v.).
- 3 Plotinus appears to have suffered from a kind of leprosy.
- 4 Or (with a better text): 'Strive to give back the Divine in yourselves...'

- 5 c. AD 175–242 Apparently self-taught, and wrote nothing. It is impossible to recover what he taught, but he was obviously a charismatic personality. He may at one time have been a christian, if we can believe the church historian eusebius (*Hist. Ecd.* vi, 19. 7).
- 6 This Origen is not to be confused with the Christian Origen, who was also, however, at an earlier period a pupil of Ammonius.

7 These first words are of course omitted and the Ennead reference is added.

8 Or, more probably, ‘to hear the boy’s lessons again and again’.

- 9 In this famous remark, Plotinus is probably only claiming that the divinities worshipped in religious ceremonies are lower daemons, to whom the philosopher is superior.
- 10 Joint Emperor with Valerian, AD 253–260; sole Emperor, 260–268.

11 That is to say, probably, the constitution set out in Plato's *Laws*.

12 Of these authors, Severus, Gaius and Atticus were Middle Platonist commentators on Plato of the second century AD; Numenius and Cronius were Neopythagoreans (in fact a type of Platonist) of the same period; Alexander of Aphrodisias, Aspasius and Adrastus were Aristotelian commentators, also of the same period. Of these, only the works of Alexander survive, though there are substantial fragments of Numenius. These last two philosophers are the two most important influences on Plotinus.

13 For Longinus, see below, ch. 19.

14 Homer, *Iliad* 8, 282.

15 Cf. *Enn.* II. 3: 'Are the Stars Causes?'

16 These were Gnostics, and most probably Naasenes or Sethians, but of a fairly sophisticated type. The collection of Gnostic books found at Nag Hammadi in Upper Egypt in 1945 includes 'Revelations' attributed to Allogenes (the 'Foreigner' or 'Alien', a title of Seth), Zostrianus, Mesus, and possibly Zoroaster.

17 We cannot properly evaluate the substance of such charges, since only fragments of Numenius' works remain, but he does not seem to have postulated a One above Being and Intellect, or system of hypostases as clearly demarcated as those of Plotinus.

22 All these are Neopythagorean philosophers of the first two centuries AD.

23 Or better, '*On the ideas*'. Possibly a reference to *Enn.* VI. 7, Plotinus' most extended treatment of the structure of the intelligible world.

- 18 Lived c. AD 213–272. Like Plotinus, he had been a pupil of Ammonius, and was the chief Platonic philosopher in Athens in the mid third century, in which capacity he taught Porphyry there before he enrolled with Plotinus. He ended his life as chief minister to Queen Zenobia of Palmyra, being executed by the Emperor Aurelian after her defeat in 272. Plotinus, as we have seen (above, ch. 14), regarded him as ‘a man of letters, but in no sense a philosopher’.
- 19 Probably the treatise which Porphyry has divided into three, and placed as *Erm.* IV. 3–5.
- 20 Possibly *Enn.* VI. 1–3, the treatise on the Categories, also divided by Porphyry into three (not included in this edition).

21 It is not quite clear when these two are envisaged as flourishing, though Eubulus at least was a contemporary, as he corresponded with Plotinus (ch. 15). If Eubulus was *diadochos*, then Longinus himself cannot have been. The title was attached to the holders of the chairs of philosophy in Athens established by Marcus Aurelius in AD 176.

24 I.e. Porphyry, cf. ch. 17 above.

25 Hesiod, *Theogony* 35.

26 Herodotus, Book I 47.

27 I.e. 'of the thick hair'.

28 *Symp.* 210–11 (Diotima’s Speech).

29 Andronicus of Rhodes (first century BC) made the definitive edition of the 'esoteric' works of Aristotle, on which modern editions are based.

30 Of these Summaries and Developments nothing certain survives, unless in fact some of what passes as the text of Plotinus is Porphyry's own contribution.

- 1 This edition is based on the fourth edition, revised, of 1969, which embodies a good many corrections to MacKenna's original text, mainly by B. S. Page.
- 2 Where necessary, either because he has misinterpreted the Greek, or because he has translated an inferior text, I have introduced a correction in a footnote, rather than rewrite the text. MacKenna was a stickler for prose rhythm, and I would not wish to fall foul of his ghost.

3 Though it is precisely these topics which are tending to attract the attention of such Classical philosophers of the 'modern' school as have given Plotinus any thought. A product of recent philosophical interest in Plotinus is Eyjolfur Emilsson's book, *Plotinus on Sense-Perception*, Cambridge, 1988, which makes good use of *Enn.* IV. 5 and other similar tractates.

4 In the selection of notes, I have generally confined myself to passages where Plotinus' train of thought would be obscure without a knowledge of what doctrine or passage of a previous author (Plato, Aristotle, or the Stoics, but occasionally a Presocratic) he has in mind. There are many other passages (well identified in the apparatus of Henry and Schwyzer's big edition) where he is in fact referring to or paraphrasing a predecessor (Plato in particular) which I have passed over in silence, since the understanding of the passage does not depend on picking up the reference.

All references to Plato simply quote the dialogue in question, using the generally accepted abbreviations, together with the Stephanus page reference, with or without line numbers of the Oxford Classical Text edition, depending on the particularity of the reference.

5 Such as that of John M. Rist, *Plotinus: The Road to Reality*, R. T. Wallis's *Neoplatonism*, or A. H. Armstrong's chapter on Plotinus in *The Cambridge History of Later Greek and Early Medieval Philosophy*.

6 Reproduced also in Appendix I, pp. 550–51.

- 1 This tractate takes its start from Aristotle's discussion of the relation of soul to body in *De Anima*, I 4, 408b1–29.

² Cf. Arist, *Met.* VIII 3, 1043b3.

³ Cf. *Tim.* 36e2.

⁴ This comparison comes from Arist. *De An.* II 1, which Plotinus is using as a basis for the rest of this chapter, though his theory of the soul is very different from Aristotle's view of the soul as the immanent form of the body.

⁵ Cf. *Akib.* I 130A-C.

⁶ This is Stoic theory, cf. *S VF* 3. 459.

7 That is, at a lower stage than this. Plotinus' doctrine of the 'We' (the Ego) is perhaps his most distinctive contribution to psychology.

8 A reference here to *Rep.* IX 590A, and 588A.

9 This is one way Plotinus has of referring to the One, though the term can also be used to refer to Intellect.

10 A reference to the description of the construction of the soul in *Tim.* 35A.

- 11 Cf. *Theaet.* 197D, the comparison of the mind to an aviary, where the birds are there, but not always available to be caught.
- 12 Cf. *Rep.* 518E.

- 13 A reference to the transmigration of souls into animal bodies, a doctrine which Plotinus accepted.
- 14 That is, the punishments after death described in the myths of the *Phaedo*, *Gorgias* and *Republic X*.

15 *Rep.* X611D–612A.

16 An exegesis of a peculiar passage in Homer, *Odyssey* XI 601–2, where a distinction (probably interpolated) is made between the shade of Hercules in Hades and Hercules himself, in Olympus.

17 Or, assuming a question-mark here, 'Will (the soul) then move?' – a return to the question posed at the outset of the tractate. The answer is that it will, but its movement is *intellectual*.

18 The text being quoted here is *Theaet.* 176AB, from which the tractate takes its start. 'God' or 'the Divine' throughout this tractate actually seems to refer to Intellect, rather than the One.

19 Cf. Arist., *Eth. Nic.* X 8, 1178b8–18.

20 The description of the so-called ‘civic’ level of virtue is based on *Rep.* IV 427E–434D. Plotinus will contrast it with the purificatory level of virtue, conceived of as being described in the *Phaedo*, 69 BC (cf. ch. 3 below).

21 Another reference to *Theaet.* 176AB.

23 An allusion to the procession of gods and their followers in *Phaedr.* 246Eff.

24 *Oikeiopragia*, actually a reference to the definition of Justice in the *Republic* as 'doing one's own work', but here transposed to a higher plane.

- 25 Actually a reference to the Stoic doctrine of the ‘mutual implication’ (*antakolouthia*) of the virtues.
- 26 MacKenna’s translation of *phronesis* is misleading here. Plotinus is actually referring to *practical* wisdom, as being the sort of virtue that is likely to be superseded.

- 27 This tractate follows chronologically immediately on the previous one, and may even originally have been part of it.
- 28 *Phaedr.* 248d1–4. ‘All or most things’ refers to the Forms, glimpsed on the heavenly ride.

29 Cf. the description of the method of dialectic in *Rep.* VII 523E; 'the Term attained' is the vision of the Good.

- 30 This is a description of the ascent to the vision of Absolute Beauty presented in *Symp.* 210Aff.
- 31 Cf. *Phaedr.* 246c1 (the perfect soul is 'winged').
- 32 This description of dialectic is based on *Rep.* VII 531C-535A, *Soph.* 253CD, and the description of the method of division in *Phaedr.* 265D-266A.

33 Cf. *Phaedr.* 248b6.

34 This puts Aristotelian and Stoic logic in its place. These logical systems deal with words and propositions and their relationships, and are thus merely preliminary to Platonic dialectic, which deals with the structure of reality.

35 *Philebus* 58d5–7.

36 This comparison derives from *Rep.* VII 522c1–6.

- 37 It should be noted that the Greek *eudaimonia* means something more objective than what we normally mean by happiness; 'well-being' would catch the sense better.
- 38 *To eu zên*; this is Aristotle's position, as set out in *Eth. Nic.* I 8. Aristotle's doctrine in the *Ethics* forms the basis for much of this tractate.
- 39 Cf. Arist., *Eth. Nic.* X 6, 1176a31.

40 The doctrine of Hedonism, as propounded *e.g.* by Socrates' contemporary Aristippus.

41 *Ataraxia*, the aim of Epicureanism.

42 As does Arist., *Eth. Nic.* X 8, 1178b28.

43 I.e. the Stoics, to a critique of whom Plotinus now turns.

44 Plotinus now concludes the survey of previous opinions, and begins his own analysis of the question.

⁴⁵ Cf. Arist., *Eth. Nic.* 1 10, 1100a8, and I 11, 1108a8. Plotinus is taking a Stoic line.

46 By *pais* here Plotinus probably means 'slave'. This would be a more trivial matter than losing a child.

47 I.e. suicide, cf. *Enn.* I. 9.

48 A further reference to Priam, cf. *Iliad* 22. 65.

49 The Stoics, with whom he is now disputing.

- 50 Actually a quotation from Parmenides, Fr. B3 Diels-Kranz, but interpreted by Plotinus to fit his metaphysical scheme.
- 51 A reference to Aristotle's assertion that all intellectual activity is attended by *phantasia* (*De Anima* III 7). The view of the status of self-consciousness expressed in the rest of the chapter is distinctive of Plotinus.

52 That is, the vision of the Good (*megiston mathema* here is a ref. to *Rep.* VI 505A).

53 A bronze bull devised as a torture chamber by Phalaris, the semi-legendary tyrant of Acragas in Sicily in the sixth century BC. Victims were enclosed in the bull, which was then heated until red-hot. It became a favourite *exemplum* for Stoic philosophers (e.g. *SVF* III 154).

54 A composite reference to *Symp.* 212a1 and *Theaet.* 176b1.

- 55 A reference to *Symp.* 211c3. Diotima's speech in the *Symposium* lies at the back of much of this tractate, as does the central myth of the *Phaedrus*.
- 56 This is in fact a Stoic definition of beauty (*SVF* III 279, 472), but neither Plato nor Aristotle would have dissented from it.
- 57 A reference to the opinions of Thrasymachus in *Rep.* I 348C.

58 This remarkable statement may be an adaptation to Platonic principles of the Stoic exaltation of 'pure' fire to the position of a creative element in the universe.

59 Actually a quotation from the lost *Melanippe* of Euripides (Fr. 486 Nauck), already applied to Justice by Aristotle at *Eth. Nic.* V 3, 1129b28–29.

60 Cf. *Symp.* 210BC.

61 Actually a reference to *Phaed.* 69c, where Plato refers to ‘those who established the mysteries’.

62 Cf. the discussion of the ‘purificatory’ level of virtue in *Enn.* I. 2, 3ff.

64 A reference to *Phaedr.* 247b5–6.

65 A reference to the myth of Narcissus, drowning through falling in love with his own reflection in a pool, but here allegorized, interestingly, as the soul falling in love with its reflection in Matter.

66 A reference to *Iliad* 2. 140, with which Plotinus connects the homeward strivings of Odysseus (cf. *Od.* 9.20ff. and 10. 483–4), testimony to the allegorizing of the travels and homecoming of Odysseus as a sort of Pilgrim's Progress. The Fatherland for Plotinus is, of course, the intelligible world.

67 Cf. *Phaedr.* 252d7.

68 Cf. *Phaedr.* 254b7.

69 A reference to the Sun Simile of *Rep.* VI 508–9, but probably also to the culmination of the Allegory of the Cave at the beginning of Book VII, where the eyes finally become accustomed to the sunlight.

70 Cf. *Rep.* VII 517b5.

71 This is Aristotle's definition of the Good.

72 Quoting *Phaedr.* 248a1.

- 73 A reference to a notable passage from the Platonic *Epistle* II (not in fact by Plato, but revered as a proof-text by later Platonists), 312e1–4. It seems to present a three-layered system of metaphysical reality, which Plotinus equates with One, Intellect, and Soul, and connects with the first three hypotheses of Plato's *Parmenides*.
- 74 A reference to *Sophist* 250ff., and the account of the 'greatest genera', which are *other than* Being, and so not-being in that sense.

76 A quotation of *Theaet.* 176A, of which the next two chapters are largely an exegesis.

77 A quotation of *Tim.* 47e5–48a1.

78 A reference to *Statesman* 273b5 and d4.

79 *Tim.* 41b2–4.

80 Plotinus here uses a well-known Aristotelian image (from *De Anima* II 1, 412b12), but for a quite un-Aristotelian purpose.

81 This is actually Aristotelian doctrine (cf. *Physics* 19).

82 A reference to *Statesman* 273d6–e1, where Plato speaks of the ‘bottomless sea of unlikeness’. For the imagery of mud, cf. *Phaedo* 69c6 (the image is originally Orphic).

83 Quoted from *Rep.* VII 534c7–d1.

84 *Phaedr.* 246b7–c1.

85 There seems to be a reference here to the myth of Resource and Poverty at *Symp.* 203Bff. Plotinus uses this myth extensively in *Enn.* III. 5.

86 Actually a quotation from Sophocles, *Oedipus at Colonus*, 54.

87 *Enn.* II. 4 [12], a much earlier tractate.

88 This is said by a later writer, Michael Psellus, to be a quotation from the *Chaldaean Oracles*, a theosophical compilation of the second century AD. If so, it is the only such reference in Plotinus (though a few other Chaldaean words and phrases may be discerned in his writings).

⁸⁹ Cf. *Enn.* I. 4, 7–8.

¹ That is to say, those who believe in astrology, which was the vast majority of ordinary people. For a good survey of the astrological lore referred to in the first six chapters, see *Astrology and Religion among the Greeks and Romans*, by Franz Cumont, London, 1912.

² *Enn.* III. 1, 5 (not included in this edition).

3 Saturn, for instance, was thought by astrologers to be a 'cold' planet.

4 Cf. the story of Plotinus' identifying of a thief in *Life*, ch. 11.

5 A principle dear to the Stoics, who in turn inherited it from Heraclitus (Fr. 93 D–K). It is, however, adopted and amplified by later Platonists. The doctrine that the gods 'sow' the earth with symbols is a basis for the theory of 'the Great Chain of Being', and becomes a basic principle of theurgy also. The portrayal, just below, of the universe as a single living being derives from the *Timaeus* (esp. 30D–31A), but was a doctrine taken up by the Stoics.

⁶ A reference, perhaps, to the early philosopher Anaximander's concept of Dike ('cosmic justice'), cf. Fr. 1 D – K.

- 7 Probable reference to Enn.I. 8[51], the immediately preceding treatise in Porphyry's chronological list.
- 8 A reference to the Myth of Er in *Rep.* X, 616C.
- 9 *Tim.* 69CD.
- 10 This question is the topic of what is chronologically the next tractate, I. I. In these four latest tractates, I. 8, II. 3, I. I, and I. 7, Plotinus is addressing the same complex of problems, mademore immediate, perhaps, by his approaching death.
- 10a A reference to *Rep.* X, 617e3.
- 11 A composite reminiscence of *Theaet.* 176AB and *Pkaedo* 67C.

12 *Tim.* 47e5

13 *Symp.* 202DE, though Plato refers there to Eros, not to the Universe.

14 A principle taken from Aristotle, *Physics* B 2, 194b13.

15 Plotinus begins here a reference to the *Phaedrus* (246Cff.), which continues with the imagery of the charioteer and horses.

16 A quotation ultimately of Heraclitus, Fr. 11 D-K, but borrowed directly from Plato's *Critias*, 109C1.

17 *Phaedr.* 246E.

18 This image recalls that at the end of *Enn.* I. 4, 'singing without the lyre'.

19 Or simply, 'by those who review it (sc. virtue)'. I am not sure what MacKenna had in mind by the 'Recompensera'.

20 Again, the basic principle of Stoic determinism.

21 Again, a reference to *Rep.* X 617DE. Cf. above, ch. 9. This was regarded by later Platonists as Plato's definitive statement on the question of fate and free will, and it is profoundly problematical, as Plotinus' exegesis here indicates. Clotho, Lachesis and tropos, referred to below, are the traditional three Fates.

22 Another reference to the *Phaedrus* myth (248a1–3).

23 A reference forward to *Enn.* I. I. It is an abiding concern of Plotinus' to preserve one level of soul as 'above' admixture with the composite, the living body (see Intro p. xcvi).

24 A reference back to the beginning of ch. 13.

25 An interestingly 'Homeric/Hesiodic' view of human development, rather inconsistent with Plotinus' overall view of the eternity of the universe.

26 A much more positive view of artistic creation than that taken by Plato in *Rep.* Book II.

27 The Stoics.

28 This can refer to both Aristotelians and Platonists, but only Platonists believe in intelligible Matter as well. Characteristically, Plotinus begins his enquiry from this higher Matter.

29 Probably a reference to the earlier treatise V. 9 [5].

30 A reference to Plato's theory of vision in the *Tim.* (45B).

31 A reference to the Otherness of *Soph.* 254D (cf. 'Movement' just below). Plotinus uses these 'greatest genera' of Being set out in the *Sophist* as his 'categories' of the intelligible world, cf. *Enn.* V. 1, 4, and particularly VI. 2 (not included in this edition). In this case, Otherness and Motion serve as the basis for intelligible Matter, and of the first 'moment' in the hypostasis of Intellect (cf. also V. 4, 2).

32 Plotinus now turns to consider physical matter. The following exposition, including the critique of the Presocratics in ch. 7, is entirely Aristotelian in doctrine and spirit (cf. *Ar. Met.* XII 1–2, *Phys.* I 4, *Met.* I 7).

³⁴ Cf. Ar. *Phys.* IV 214a13, where Aristotle is actually referring to Plato.

³⁵ Again, a reference to Plato's doctrine, as reported by Aristotle (*Phys.* I 4, 187a17, *Met.* I 7, 988a26).

36 *Tim.* 52b2 again, cf. ch. 10 above.

37 *Ta alla*, ‘the others’. Plotinus probably has in mind Plato’s term for what is opposed to the One in the hypotheses of the second part of the *Parmenides*.

38 I.e. Aristotle, cf. *Phys.* I 9, 192aff.

39 Cf. Arist., *Met.* VII 5, 1030b30–31 (a favourite Aristotelian example).

40 This identification of Matter with the Unlimited or the IndefiniteDyad is important, as it is the common denominator linking physical and intelligible Matter.

⁴¹ An Aristotelian distinction, cf. *Phys.* III 3, 204a23ff.

- 42 This serves to connect Matter with the initial ‘moment’ of Intellect, characterized by *heterotes* ‘otherness’. Cf. ch. 15 above.
- 43 *Penia* ‘poverty’, with a glance at the parable of Poverty and Resource in *Symp.* 203Bff.

⁴⁴ I.e. in *Enn.* V. 5, esp. ch 13, which is the immediately preceding portion of the large treatise of which II. 9 is the last part.

45 This seems to be a reference, not so much to Gnostics, as to the Neopythagorean Numenius, a philosopher who had a considerable influence on Plotinus, and who made this distinction between his First and Second Gods (cf. Fr. 15 Des Places). It is possible, of course, that certain Gnostics held a similar doctrine.

46 This may be a barb aimed at Plotinus' own pupil Amelius, who certainly made a similar distinction, based on an interpretation of *Tim.* 39E (Proel. *In Tim.* III 103, 18ff. Diehl).

47 It is a basic principle of Plotinus' that the highest element of the human soul always remains 'above', cf. IV. 3, 12, VI. 4, 14, IV. 8, 8.

⁴⁸ A reference to *Phaedr.* 246C, but misrepresented by the Gnostics as referring to the World Soul, whereas Plato uses the phrase with reference to human souls.

- 49 The expression 'new earth' is to be found in the *Untitled Text* of the Bruce Codex (ch. 12), referring to the New Jerusalem. Cf. also the Land of Air, ch. 20. On the identification of these Gnostics, see H. C. Puech, 'Plotin et les Gnostiques', in *Entretiens Fondation Hards*, V (1957).
- 50 *Paroikesis*, *antitypos*, *metanoia* are all part of the jargon of various Gnostic treatises in the Nag Hammadi corpus. All these terms are actually to be found in the *Untitled Text* of the Bruce Codex, as characteristics of the Land of Air, but *metanoia*, at least, is a common term for Sophia's repentance in many texts.
- 51 I.e. that in *Rep.* VII 514Aff. The 'rivers in the Underworld' mentioned just below is a reference to the myth of the *Phaedo* (111Dff.).

53 A reference to *Tim.* 37c6–7.

54 Cf. the more extensive discussion of this theme in III. 2, 8 and 15.

55 A reference to the basic Gnostic myth of the fall of Sophia, and the consequent creation of the Demiurge, cf. Irenaeus, *Adv. Haer.* I 4–5.

- 56 On this term see [ch. 5](#), n. 49 above. ‘New earth’ and ‘far country’ seem to be synonymous for the Gnostics.
- 57 *Ennoema*, a term presented as Gnostic jargon by Hippolytus, *Ref.* VI 38, 5. *Ennoia*, on the other hand, is a term widely used in Gnostic texts, including the *Untitled Text*, e.g. ch. 7.
- 58 A characteristic of the Demiurgic creation in most Gnostic systems, cf. Irenaeus, *Adv. Haer.* I 29, and Clement of Alexandria, *Stromateis*, IV 13, 89.

59 The primacy of Fire may be derived from the primacy of the Light-Spark in the creation of the universe, e.g. *Untitled Text*, ch. 2, and *Paraphrase of Shem*, passim.

60 These spheres were conceived of as each ruled by an Archon, who barred the way to the soul of the Gnostic striving towards its true home in the Pleroma.

61 Actually a quotation from Pindar, *Ol.* I 48.

⁶² Cf. the later tractate II. 3, particularly ch. 7–8 and 10–15.

⁶³ The Gnostics made extensive use of magical names and sounds.

64 This is a reference to the licentious practices of certain antinomian Gnostic sects.

65 MacKenna misinterprets this reference. Plotinus is simply referring to ch. 9 above.

66 As for instance at *Phaedo* 66B.

67 *Tim.* 29e 1–2.

68 Another polemical reference to the licentiousness of certain Gnostics. It is not necessary to suppose that the Gnostics in Plotinus' circle approved of such practices.

69 A quotation of *Phaedr.* 246b6.

1 A gibe at Atomists and Epicureans.

- 2 The latter doctrine here is that of the Gnostics (cf. *Enn.* II. 9); the former is probably that of the Aristotelians, who seem in later times to have taken the position that divine providence did not extend to the sublunary regions.
- 3 A statement of Plotinus' position on a controversial issue in Platonism, whether the Universe had a temporal beginning, as *appeared* to be the doctrine of Plato's *Timaeus*. Most later Platonists declined to take the *Timaeus* account literally. Plotinus objects to the idea of Intellect (or God) first planning, and then creating. All is eternal and simultaneous with God (cf. *Enn.* V. 8, 7).
- 4 For a more elaborate analysis of the structure of the intelligible cosmos, cf. *Enn.* VI. 7, 1–15.

⁵ Cf. *Tim.* 48a2.

⁶ Cf. *Laws* X 903 BC, part of Plato's most important discussion of Providence.

7 For another notable prosopopoeia of this sort, cf. the speech of Nature in *Enn.* III 8, 4.

8 *Theaet.* 176 A, a favourite text of Plotinus' cf. I. 2, 1; I. 4, 16.

9 Actually a quotation of *Rep.* X 617 e 4–5 (the speech of Lachesis to the souls).

10 Plotinus does accept the Platonic doctrine of punishment after death, as portrayed in the myths of the *Gorgias*, *Phaedo* or *Republic*.

- 11 A version of the saying that 'God helps those who help themselves.' This criticism of the popular belief in the efficacy of prayer may include the Christians, but is of much wider application.

12 Not really a reference to the Socratic paradox that 'no one does wrong willingly', so much as to the consequences of Stoic determinism.

13 That is to say, we must take account of reincarnation.

14 This example is taken from *Laws* IX 872E. ‘Adrasteia’, just below, is a reference to *Phaedr.* 248 c 2.

15 Again, a quotation of *Theaet.* 176 c 5.

16 The image of life as a play is a favourite in the Cynic-Stoic tradition, cf. Teles, 16, 4 Hense, and Marcus Aurelius, *Medit.* XII 36, but Plotinus uses it with a distinctive twist: the part of us in the play is not the whole of us, but only the outer, lower man.

17 A good example of Plotinus' 'dynamic' imagery. The image of the play has progressively developed throughout this tractate, until we now have this thoroughly avant-garde suggestion, instanced in no ancient drama that we know of. And the image continues to develop in ch. 17 and 18.

18 This picture of the Logos engendering war doubtless owes something to the central theme of Heraclitus' philosophy, though transposed to fit a Platonist world-view.

19 Or rather, 'the reason-principle does not provide that *we* excuse them'. This is an important, Stoic, justification for punishment.

- 20 Or possibly, emending *lithos* (stone) to *Linos*, the great mythical singer, 'not when each individual is a Linus'. This would be more in accord with Plotinus' general line of argument in the treatise.
- 21 'Almost' (*hoion*), because there was in fact no beginning.
- 22 This seems to be a reference to *Tim.* 41D, but what Plotinus has in mind here is not clear. Perhaps that the soul can be manifested, in various embodiments, at various different levels (of rationality?).

23 The tractate ends with a question, but this is only the result of Porphyry's division. The question is taken up at the beginning of III. 3.

24 Here a military image makes its appearance which then becomes 'dynamic', like that of the play. It may owe something to Aristotle (*Met.* XII 1075 a 13 ff), and to the Pseudo-Aristotelian *De Mundo* (399b 3ff), where the image is elaborated considerably.

25 A reference to *Phaedr.* 246 e 4, where the 'mighty general' is Zeus.

- 26 We see from this that the basic division in Plotinus' psychology is not between matter and form, but rather between the higher and lower self, only the former of which can truly exercise freedom, cf. e.g. *Enn.* I. 1, 10, II. 9, 2.
- 27 *Tim.* 42 c 3.

28 This sharp demarcation between the spheres of Providence and Fate (in distinction from Stoicism) is inherited by Plotinus from Middle Platonism, cf. Pseudo-Plutarch, *De Fato*, ch. 9, Apuleius, *De Platone*, I 12.

29 Idomeneus of Crete, though a frequent visitor to Menelaus' palace, did not seduce Helen, cf. Homer, *Iliad* 3, 230–3.

30 A reference to *Tim.* 47c5–48a1.

31 Actually a quotation of a line of the poet Simonides, as quoted in Plato's *Protagoras* 341 e 3.

32 This is a topic he returns to in II. 3 [52], 7.

33 A reference to *Tim.* 31c and 32c, where Plato sets out the (mathematical) proportions holding the four elements of the physical cosmos together. Plotinus is transposing this concept somewhat.

³⁴ The image of the universe as a tree, so strikingly elaborated here, is to be found briefly in the earlier treatise IV. 4 [28], 11.

35 The title, literally ‘concerning the daemon allotted to us’, contains a reference to *Phaedo* 107D.

36 Cf. V. 2, 1.

37 This and the following quotation are from *Phaedr.* 246B.

38 Again, a reference to *Theaet.* 176AB.

39 Plotinus here accepts the possibility of metempsychosis into animals, and even plants, a Platonic doctrine (cf. *Phaedo* 81F–82B, *Rep.* X 620, *Tim.* 91–92), which Porphyry and later Platonists declined to take literally.

40 MacKenna has misinterpreted this elliptical passage. It must mean: 'Who, then, becomes a spirit? He who is on that level here and now. And who becomes a god? Again, he who is one now.' The line of thought has been: your future level of existence is determined by your level of life in this incarnation. On that principle, though, one would not actually be a daemon or a god in this incarnation, but rather 'daemonic' or 'divine'.

41 Again, a reference to *Phaedo* 107D.

42 *Rep.* X 617E.

44 *Rep.* X 620 DE.

45 A reference to the whole 'choice of lives' in *Rep.* X 617E–620D.

46 *Tim.* 90A.

47 Cf. *Rep.* X 620 DE.

48 This more probably refers to the daemon. 'It (the daemon) cannot cease to be characteristic of the level at which it is.'

49 A reference to *Tim.* 43A–44B.

50 Cf. *Phaedo* 107 DE.

51 Plotinus now raises the awkward problem (which he does not really solve) of what happens to the presiding daemon between lives, and when one moves up or down the scale of being.

52 In Plotinus' mind here is the passage *Tim.* 41D–42D, where the Demiurge allots the souls before birth each to a star (or possibly planet), to which they may return if they win through the disturbances of life.

53 Cf. *Tim.* 38C–40B.

54 I.e. ‘that which has come to be divided among bodies’, *Tim.* 35A.

55 *Rep.* X 616C. Plotinus here ends the treatise with a fine ‘dynamic image’, a development of the traditional image of life as a voyage.

56 This refers to the myth of the birth of Eros from Poverty (*Penia*) and Resourcefulness (*Poros*) in the *Symp.* 203 Bff. The tractate is in fact largely an exegesis of this myth (especially from ch. 5 onwards) to an extent unusual with Plotinus.

- 57 Actually a reference to the Pythagorean Table of Opposites. What is ordered or limited is in the *systoichia* (column) of the Good. The impulse to beauty is an impulse towards cosmic order.
- 58 A quotation of *Symp.* 206c 4–5.

59 This and the following phrase are taken from the *Phaedr.* 242d9 and 265c 2–3, Plotinus also has the *Phaedr.* myth in mind in his exegesis.

60 *Symp.* 203 BC.

- 61 As expounded by Pausanias, earlier in the *Symposium* (180D). Plotinus makes use of this distinction to ground his distinction between a higher Soul, which remains transcendent, and a lower one, which enters into the physical world.
- 62 A concession to traditional mythology. In Hesiod, *Theog.* 188ff., Aphrodite is born from the foam generated when Ouranos' genitals are cast into the sea by Kronos, when he castrates him.

- 63 This seems almost a breach of Plotinus' system of three hypostases, but in fact Eros is just an aspect of soul. The second soul, or nature (also with its Eros) is more nearly another hypostasis.
- 64 A characteristically wild Greek etymology – *eros* from (*h*) *orasis* (Plotinus would not have pronounced the *h*).

- 65 The personal *eros* seems here to be identified with the guardian daemon, cf. III. 4.
- 66 A reference to the unity of individual souls in the All-Soul, which yet maintains them all distinct, cf. IV. 3, 8; VI. 4, 14.

67 A criticism of such an interpretation as is found in Plutarch's *On Isis and Osiris* (374DE), where Eros is identified with the physical universe, as product of Form (*Poros*) and Matter (*Penia*).

68 *Tim.* 3468.

68a *Phaedr.* 265C2.

69 *Symp.* 203d 1–2.

70 That is, daemons. The distinction between Gods and daemons is an old subject of discussion in Platonism, going back to Xenocrates.

71 This term is used by Numenius to describe the cosmos (Frs 11; 21 Des Places).

72 Plotinus makes use of the concept of intelligible matter elsewhere, in II. 4, but in a quite different sense. Here it is postulated as a kind of prefiguration of matter at the intelligible level, as a cause of declination of some entities, and is identified with Poverty at its archetypal level.

73 A reference to *Phaedr.* 240 d1.

74 An example borrowed from Aristotle, e.g. Met. V 30, 1025 a 32.

75 *Symp.* 203b 5–6.

76 246 e 4.

77 A reference to *Epistle* II 312 E, a key passage for Neoplatonists.

78 30 d 1–2. This conjunction of proof-texts is a good example of Plotinus' (and later Platonist) exegetical methods.

- 79 The priests and theologians cannot be identified, but the giving of the name 'Hera' to the planet Venus is attested in the pseudo-Aristotelian *De Mundo* (first century AD?), 392 a 26, and 'Timaeus Locrus' 96E (also probably first century ad).
- 80 Plotinus now embarks on an important theoretical analysis of myth, illustrating it by reference to the present one.

81 This is a very obscure passage, but probably means: 'what is turned towards itself (reading *hautō*, not *auto*, as does Ficino) is Form, remaining alone in itself, when it also desires to receive (something other than itself), this causes it to be Matter to what comes upon it.' The contrast here is between the self-related and other-related aspects of Soul.

82 Plotinus begins from a critique of the Stoic theory of perception, according to which the soul is physically affected by external impressions.

83 A Platonic doctrine going back to Xenocrates, that the soul is a self-moving number.

83a Cf. *Phaedo* 93E.

84 A rejection of the materialist theory of the early Stoics.

85 For a more extended discussion of memory, see *Enn.* IV. 3, 26–31, which actually follows this chronologically (no. 27).

86 *Oikeioseis* and *allotrioseis* Stoic terms.

87 The Stoic definition (*SVF* III 386).

88 Again, Stoic doctrine (*SVF* II458).

89 There is a reference here, and in the case of ‘separation’ just below, to *Phaedo* 67C.

- 90 This is one of Plotinus' few references to the later Platonist doctrine of the pneumatic Vehicle' of the soul. Cf. the next treatise, IV. 3, 15.
- 91 The first section of the treatise (ch. 1–5) has dealt with Soul; we now turn to another incorporeal entity, Matter (ch. 6–19).
- 92 Platonists and Aristotelians agreed that Matter was incorporeal (though earlier Platonists preferred the formulation 'neither composed nor incomposed, but potentially body'); the Stoics held Matter to be unqualified body, subject to every sort of affection (cf. *SVF* II 309, 482).

93 A reference to *Soph.* 256 DE.

94 Cf. *Tim.* 50 C.

95 An Aristotelian doctrine, cf. *De Gen. et Corr.* A 7, 323 b 6ff. Plotinus has this passage in mind throughout the present chapter.

96 Cf. *Tim.* 50 B.

97 *Tim.* 50 C, of which this chapter is an exegesis.

98 A reference to the whole passage *Tim.* 47E-53C, of which the next two chapters are an exegesis.

99 *Tim.* 52 D.

100 That is to say, as one body entering another, which would be absurd.

101 This must refer not to Stoics, but to Stoicizing Platonists. Perhaps Plotinus has been reading a commentary by Severus on the *Timaeus*.

102 *Tim.* 49 E.

103 *Tim.* 49 A.

104 Again, *Tim.* 49 E.

105 *Tim* 52 A-B.

106 Plotinus turns now to an exegesis of the myth of Poverty and Resource in *Symp.* 203 Bff. Cf. III. 5, 6–9, where however, Poverty is presented as intelligible Matter.

- 107 For this distinction between the mode of receptivity of Soul and Matter, cf. *Enn.* II. 4, 11. The reference to Matter just below as the ‘Place of all things’ is to *Tim.* 52 B.
- 108 Presumably, the essential living being of the *Timaeus*.
- 109 The reference is to *Tim.* 50 D and 51 A, as in the case of ‘Recipient’ and ‘Nurse’ below.

- 110 A necessary postulate to suit Plotinus' doctrine (Plato's image of the 'motherhood' of Matter is a slight embarrassment to him). This was a view current in Greece in Classical times (most notably expressed, perhaps, by Apollo in Aeschylus' *Eumenides*, 658–661), and was essentially accepted by Aristotle (*De Gen. An.* A 20, 729 a ioff.), whatever may be the case about Plato.
- 111 This could be misleading, better 'the Sages of old'. This allegorization of the ithyphallic Hermes as the Logos is a traditional Stoic one, that of the 'All-Mother' (Cybele or Rhea) and her eunuchs may be Plotinus' own.

- 112 A reference to *Tim.* 37 D, part of the basic Platonic text on Time and Eternity, 37D-38B, which is the foundation for Plotinus' doctrine in this treatise.
- 113 The Pythagoreans, cf. Arist. *Physics* IV 10, 218b1–2.
- 114 *Tim.* 37 d 3.

115 A reference to *Tim.* 37 d 6.

116 Plotinus presents here all the ‘greatest kinds’ of *Soph.* 254 DE, which are for him the ‘categories’ of the intelligible world, cf. *Enn.* V. I, 4, and VI. 2, *passim* (not included in the present edition).

118 That is, Intellect. Plotinus is capable of referring to either the One or Intellect as God, in different contexts.

120 *Tim.* 29 e 1.

121 An assertion of the non-literal interpretation of the description of the temporal creation of the world in the *Timaeus*. The physical universe is only 'created' in the sense of being dependent for its existence on an external cause.

122 The definitions of Time which follow cover, broadly, the Old Academy, the Pythagoreans, Aristotle, Stoics and Epicureans. Plotinus is not concerned to be too specific. For the doxographic part of the next few chapters (7–10), he is making use of Aristotle's discussion in *Physics* IV 10–14, though finally criticizing Aristotle as well (in ch. 9). For the Stoic theory of Time see *SVF* II 509–514.

123 He turns now to a critique of Aristotle himself. Aristotle uses both these formulations in *Phys.* IV 11 and 12.

124 Cf. Arist., *Phys.* IV 4, 219 b 2–3.

125 A hint of what is in fact Plotinus' own doctrine.

126 The Epicurean formulation, cf. Usener, *Epicurea*. Fr. 294.

- 127 A reference to *Iliad* 16, 112–113, borrowed from Plato's use of it in *Rep.* VIII, 545 DE.
- 128 For this concept of the 'fall' of the Soul, with which the genesis of Time is here connected, cf. *Enn.* V.I, 1.

129 The quotations here and just below are from *Tim.* 38 B–39 B.

130 Cf. *Epin.* 978D.

131 What follows is an interpretation of *Tim.* 37B–39C.

- 132 This quaint notion of ‘play’ may be a reference to certain passages of Plato’s *Laws* (IV 712B, VII 803 CD). It indicates, not that Plotinus is not being serious, but that he recognizes that his suggestion is somewhat paradoxical.
- 133 This term Vision translates *theoria*, presented by Aristotle in *Nicom. Ethics*, Book X as the highest activity of man. It is this concept that Plotinus is developing further here.

134 As by the Stoics, cf. *SVF* II 1016.

135 The personification of Nature here is remarkable, almost making another hypostasis of it, distinct from its 'mother', the All-Soul.

136 Or better, 'the process of coming-to-be'.

137 Or, reading *paigniō*, 'in toy form, which...' Plotinus seems to have in mind frivolous art-forms which derive their technique from serious ones, such as the manufacture of mechanical toys.

139 As opposed to the 'play' with which we started. We have now reached the level of intellectual reality.

140 A reference to Parmenides, Fr. 3 D-K.

141 The portrayal here of the emergence of Intellect as a 'fall', like the 'fall' of Soul from intellect (e.g. III. 7, II), is unique for Plotinus.

142 This translates *dynamis*, which has this meaning, but also that of ‘potency’, and Plotinus has in mind both meanings. Cf *Enn.* IV. 8, 6, 11.

143 For other instances of ‘spring’ imagery, cf. *Enn.* VI. 7, 12; VI. 8, 14. For instances of ‘tree’ or ‘plant’ imagery (just below), cf. III. 3, 7; IV. 3, 4; IV. 4, 1.

144 For the concept of intelligible Matter, cf. *Enn.* III. 4, 3–5.

145 A reference to *Phaedr.* 250C.

146 MacKenna here makes a gallant effort to render Plotinus' pun on *koros*, 'youth', and *koros*, 'abundance'.

- 1 The famous Delphic maxim, 'Know thyself', inscribed on the facade of the temple of Apollo at Delphi.
- 2 *Philebus* 30A. These opponents would seem to be Platonists, though with leanings towards Stoicism. This first 'difficulty' (*aporia*) about the soul covers the first eight chapters of the book.
- 3 *Phaedr.* 246B.

4 A reference to *Tim.* 35A.

5 A fine dynamic image is developed here, to illustrate the mutual relations of souls.

- 6 This has a bearing on the question on whether Plotinus believed in forms of individuals, as discussed in *Enn.* V. 7, and would seem to support the view that he did.
- 7 Probably a reference back to *Enn.* VI. 4–5 [22–23].

8 Cf. *Tim.* 41 D, referred to again in the next chapter.

9 *Philebus* 30 AB. This takes up the first problem passage referred to in ch. 1; the discussion of the *Phaedrus* passage follows.

10 This refers to what follows the previous passage in the *Phaedrus* myth 246BC.

11 A reference to *Tim.* 90CD.

- 12 A reference to the doctrine of cosmic sympathy, originally Stoic, but adopted by Platonists, according to which an event in any part of the universe has some effect on every other part.
- 13 A reference to *Rep.* X 620 A.

14 We now begin the second *aporia*, which concerns the mode in which the soul may be said to be in the body. This continues through ch. 18. For a good background to this and subsequent parts of this treatise, see H. J. Blumenthal, *Plotinus' Psychology*, The Hague, 1971.

- 15 A rare reference by Plotinus to the later Platonist doctrine of the ‘pneumatic vehicle’ of the soul, which the soul assumes on entering the physical cosmos, prior to entering a body.
- 16 Note the implicit rejection of the literal interpretation of the *Timaeus* account.

17 Plotinus does not always take such a low (properly Platonic) view of artistic creation. Cf. the mention of Pheidias in V. 8, 1.

18 This could be just a general reference to those who first built temples and shrines, but it may contain a more specific reference to the later theurgic practice of ‘animating’ statues, as prescribed in the *Chaldaean Oracles* (and indeed as practised by Egyptian magicians and Hermetists).

19 Cf. *Enn.* III. 8, 1–5.

20 A reference to the Orphic myth of the entrapment and tearing to pieces of the child Dionysus by the Titans, cf. O. Kern, *Orphicorum Fragmenta*, 209.

21 A reference to the distinctive doctrine of Plotinus that some part of our soul does not descend into the body, but ‘remains above’.

22 An adaptation of *Symp.* 191b5

23 This chapter becomes an exegesis of the myth of *Rep.* X (617Bff.), to which there are a series of references below. Cf. *Enn.* III. 4, 5.

- 24 A reference to the story of Prometheus and Pandora in Hesiod, *Work and Days*, 60–89 (cf. also *Theog.* 521–8, for the binding and loosing of Prometheus). This ‘cosmic’ interpretation of the myth seems to be Plotinus’ own.
- 25 Another reference to the ‘astral body’ or ‘vehicle’ of the soul, cf. ch. 9 above, and ch. 17 below. Plotinus gives here a survey of the various theories for the differences between human lives, a subject much discussed in later Platonism.

26 This chapter touches on the subject matter of much of *Enn.* III. 2–3

27 Cf. ch. 9 and 15 above, and notes.

28 We here begin a striking 'dynamic image', involving first the emanation of light, then a storm at sea, then the bonds of sorcery.

- 29 That is, *logismos*, ‘calculation’. This is a mental process not required at the level of Intellect, since all is together and simultaneous there.
- 30 This chapter opens a new topic, which continues through ch. 24, the parts of the soul in the body, and the departure of soul from body. It is largely an exegesis of *Tim.* 35A, which takes ‘that which becomes divisible in bodies’ as the sense-perceptive soul, or phase of soul.

31 This is a question on which Plato bequeathed confusion to his successors. In the *Timaeus*, at least, definite parts of the body seem to be assigned as ‘places’ for the three parts of the (tripartite) Soul. Aristotle criticized this concept in the *De Anima*, and Plotinus would agree with him. His discussion here is based on that of Alexander of Aphrodisias in his *De Anima*, ch. 13–15. The discussion of this problem takes up the next four chapters (20–23).

32 A suggestion made by Aristotle at *De Anima* II 1, 413 a 9.

- 34 Plato did indeed situate the reasoning faculty in the head (*Tim.* 44 DE), but the scientific reasons given here by Plotinus are due to the discoveries of the great Hellenistic doctors Herophilus and Erasistratus. Aristotle, the Stoics and the Epicureans had all wrongly situated the governing faculty in the heart.
- 35 An important statement of the intermediary status of the *phantasia*, or imaging faculty. This has significance for the next *aporia*.

- 36 We now enter upon the third *aporia*, a long one, stretching to IV. 4, 17, which involves a protracted analysis of memory and imagination, as necessary elements in the survival of any personal identity of the disembodied soul.
- 36a Cf. *Phaedo* 81BC.

37 Plotinus seems here to be referring to discussions of previous Platonists, since he has not written about memory before – unless he is referring to oral discussions.

- 38 This is MacKenna's translation of Plotinus' term *to synamphoteron*, the 'both-together' of soul and body, which makes up the living thing (*zōon*). Cf. *Enn.* I 1.
- 39 The comparison is taken from Aristotle, *De Anima* A 4, 408b 13.

40 A criticism of at least the *primitive* Stoic theory of sense-perception and memory (cf. *SVF* I 4844 II 343). It was significantly refined, however, by Chrysippus.

- 41 That is to say, the lower soul acquired by the divine soul in its descent through the heavenly spheres, more or less identical with the 'vehicle' mentioned above, in ch. 9, 15, and 17.
- 42 A reference to Homer, *Od.* XI 601ff., where the shade of Heracles is said to be in Hades, but he himself in Olympus among the gods. Cf. the later *Enn.* I. 1, 12, 31ff.
- 43 The reference seems actually to be to the other souls of the heroes in the Underworld scene.

⁴⁴ For this theory of consciousness, cf. the early treatise, IV. 8, 8 and the late one, I. 4, 9–10.

45 A reference to *Phaedr.* 249D.

- 46 Porphyry seems actually to have divided this treatise in the middle of a sentence. The subject here of *ti oun erei* seems to be ‘the other man’ of the end of 3, 32, and not the Soul, though Porphyry presumably intends to obscure this.
- 47 The problem of how the Soul, when operating in the Intelligible realm, can be differentiated from the intellect, constitutes a problem for Plotinus, which is aired here.

48 Another portrayal of the 'fall' of the Soul,(cf. the earlier V. 1, 1). It is only at this stage, as we have seen, that memory can arise.

- 49 Such speculation about mutual recognition by souls in astral bodies may seem bizarre, but is raising a serious philosophical point about the survival of the personality.
- 50 We pass now to a discussion of memory in the higher levels of soul, those of the heavenly bodies and the earth, continuing through IV. 4, 17.

51 That is, the hypostasis Soul in its transcendent aspect. Cf. below, ch. 10.

52 Plotinus here embarks on an interesting discussion of consciousness, in connection with disproving the necessity for memory in the case of the astral intelligences.

53 A reference to *Philebus* 30 D. Zeus is here again the All-Soul.

54 A most interesting statement of the dual interpretation of the Demiurge of the *Timaeus*, perhaps owing something to Numenius' distinction of his second God as 'double' (Fr. 16 & 21, Des Places).

55 A further objection from those who believe that the World-Soul must employ calculation, refuted in the following chapters.

56 We now turn from what is *above* calculation and reasoning (and thus memory and imagination) to a principle, Nature (*physis*), that is *below* it, the lowest aspect of the All-Soul. Nature here, as elsewhere in Plotinus (e.g. V. 2, 1; III. 8, 4), becomes almost another hypostasis.

57 Exceptionally, this is a reference to Aristotle (*Phys.* IV 12, 221a, 18 and 28 – 30).

58 At the end of ch. 11, above.

- 59 This political analogy is taken from both *Rep.* VIII 557 Aff., and Arist., *Politics* IV. 1205 a 25ff. (on the 'mid-type' of constitution). Plotinus' political views no doubt accord with this, however, Platonopolis would not have been a democracy.
- 60 We turn now to the question of the experience and activities of the embodied soul, a topic that continues through ch. 29. He begins by rejecting the notion of body as a completely passive entity, opposed to soul.

61 This definition of pleasure and pain is an interesting development of Plato's definitions in *Philebus* 31 D and *Tim.* 64 D, which involve simply the disturbing or restoration of harmony in the organism.

62 Another interesting passage on the status of 'Nature' (*physis*) as a sort of sub-hypostasis developing from Soul. Cf. ch. 13 above.

63 These speculations as to the nature and seat of desire lead him to consider the case of plants (which have no higher soul), and then, more significantly, Earth, as the mother of plants and animals.

64 Cf. *Tim.* 34B and 40C.

65 Plotinus turns now to the question of the conditions of sense-perception in general.

66 In *Enn.* IV. 5 (not included in this edition).

67 Plotinus raises this question in connection with Plato's assertion in *Tim.* 33 BC that the universe does not need sense organs.

- 69 That stones grow in the earth was widely believed in ancient times, cf. Strabo, *Geog.* V 2, 6 and VII 5, 8.
- 70 For Hestia as the earth, cf. *Phaedr.* 247A. The identification of Demeter with the earth is very widespread. Here they are interestingly differentiated.

71 A reference back to ch. 18.

⁷² This probably refers back to the early treatise IV. 9 [8], but the question was also discussed at the outset of the present treatise (IV. 3, 1–8).

- 73 There is a curious note here in the manuscripts, telling us that Eustochius (Plotinus' doctor), in his edition of Plotinus, ended the second book of *Problems of the Soul* here, and began the third book with the next chapter. This is the only evidence we have of this lost edition of Eustochius', and it is most interesting. It tells us something also about Porphyry's editorial methods.
- 74 It is not quite clear whether Plotinus includes himself here, or simply means 'we Greeks', but saluting the sun was a respectably Platonic form of worship.
- 75 Plotinus now turns to a topic he will deal with again in *Enn.* II. 3, [52], 'Are the Stars Causes?', since it arises out of the question of their having memory. This topic continues through ch. 39. He is more sympathetic to astrological theory here than he is later, but he is still concerned to maintain that the heavenly bodies do not affect human affairs intentionally.

76 A reference to *Tim.* 30D-31A, a starting-point for Plotinus' doctrine of cosmic sympathy.

77 Note the imagery of the dance. Cf. II. 9, 7, III. 2, 16, and VI. 9, 8. This celebration of balletic dancing is striking, and suggests close acquaintance with the art on Plotinus' part.

78 Cf. II. 3, 4, where he is much more hostile to these astrological theories.

79 Or rather, ‘but the servant’s aspiration to the Good (taking *orexis tou agathou* in this way) is directed to the same end as that of his master’s.’

80 A basic philosophical proposition, going back to Plato, *Soph.* 247DE and 248C.

81 This is actually a quotation of *Rep* X 617 e 3. 'Virtue has no master', quoted Plotinus also at II. 3, 9, 17 and VI. 8, 5, 31.

- 82 Discussion of the action of the stars leads him now to a discussion of the efficacy of magic, which topic rounds off this part of the treatise (ch. 40–45). For Plotinus, magic is a natural product of cosmic sympathy. Nature, indeed, is the primary magician.
- 83 Actually a reference to the Love and Strife of Empedocles (e.g. Fr. B 17, 19–20 D–F).

84 This refers back to the problem raised originally in ch. 6, but picked up again in ch. 30, indicative of the complex threads that connect the various *aporiai* raised in this long tractate.

- 85 Cf. Porphyry's story, in the *Life*, ch. 10, of Plotinus beating off an attack by the magician Olym-pius.
- 86 *Ah.* 1, 132a5, where, however, Plato is actually adapting *Iliad* 2. 547. Plotinus in turn adapts the quotation to refer to the physical world in general.

87 A reference to *Laws* I 644 DE.

88 A quotation of a famous passage of Euripides, *Troades* 887–8.

89 This final passage of the treatise has an analogy in its subject-matter, no doubt intended by Plotinus, to the eschatological myths with which Plato ends a number of his dialogues, the *Phaedo*, the *Gorgias*, and the *Republic*, which concern the fate of the soul. The appendix *On Sight*, placed by Porphyry as IV. 5, is omitted from this edition.

- 90 A unique testimony by Plotinus to his own experience, forming the starting-point for this enquiry.
- 91 Frs. B 60, B 84a and b D–K.

92 Fr.B 115, 13–14 D–K.

93 A whole series of passages are envisaged here; *Phaedo* 67D; *Cratylus* 400C; *Phaedo* 62B; *Rep.* VII 514 A, 515 C, 517 B; *Phaedr.* 246 C, 247 D, 249 A; *Rep.* X 619 D; *Tim.* 34 B. The admission that Plato seems to vacillate in his attitude to the descent of the soul is interesting, and reflects a tension in Plotinus' own attitude.

94 A reference to *Tim.* 33c 6–7.

95 *Phaedr.* 246 c 1–2.

[96](#) *Tim.* 38c 7–8.

[97](#) References to *Phaedo* 65a 10 and 66c 2–3.

- 98 A reference to *Phaedr.* 246C. The Allegory of the Cave in *Rep.* VII is also present in the references to the 'fetters'. Note that the 'fall' of the soul is presented here as an impulse towards individuality. Cf. *Enn.* V. 1.
- 99 *Tim.* 41 D. In Plato, however, there is no second *mixing-bowl*, just a second mixing.

100 For the seed simile, cf. III. 7, 11, where, however, the implications are somewhat different. Here Plotinus is in a distinctly world-affirming mood.

- 101 Plotinus here introduces, rather defiantly, one of his most distinctive psychological doctrines, that one element even of the human soul remains 'above'.
- 102 MacKenna here has glossed gracefully over a baffling piece of text, but makes reasonable sense. Involved here is a reference to Arist., *Phys.* II 199b28–29, 'Art does not deliberate.'

- 1 Translating *tolma*, 'audacity', a Neopythagorean term for the indefinite Dyad, which 'dared' to proceed forth from the One. For Plotinus, this remains an aspect both of Intellect's proceeding from the One, and of the Soul's from Intellect. Cf. III. 7, 11 (on the origin of Time).

- 2 The verb here is really future, ‘*will* more amply exhibit’. However, no such treatise appears to have been composed.
- 3 A reference to *Phaedr.* 245 c 9.

- 4 We have here a striking Plotinian dynamic image, probably describing an actual 'spiritual exercise' to be performed.
- 5 Homer, at *Iliad* 20, 65, referring to Hades.
- 6 Heraclitus, Fr. B 96 D–K.

- 7 Plotinus here makes use of the originally Stoic distinction (cf. *SVF* II 135) between *logos prophorikos*, ‘uttered reasoning’ and *logos endiathetos*, ‘reason stored within’ (sc. the mind), here applying it to the relation between Soul and Intellect.

- 8 Borrowing Plato's fanciful etymology of Kronos at *Cratylus* 396B. Cf. III 5, 2.
- 9 A paraphrase of *Tim.* 37E–38B, where Plato is seeking to describe the condition of eternity.

- 10 Here Plotinus introduces a set of ‘categories’ of the intelligible realm derived from the *Soph.* 254 Dff., the most complete exposition of which is to be found in *Enn.* VI. 2 (not included in this edition).
- 11 For the Dyad, cf. V. 4, 2; VI. 7, 16–17; V. 3, 11, and the discussion of ‘intelligible matter’ in II. 4, 1–5.

- 12 A good passage illustrating the Plotinian conception of prayer, though the motif is probahly borrowed from the beginning of the philosophical exposition in the *Tim.* 27C. Cf. V. 8, 9, 13ff; and the abortive exhortation to the Muses at III. 7, 11, 6ff.
- 13 A notorious crux. MacKenna follows the interpretation which envisages the One's self-intention, the possible alternative (if the *auto* is taken as non-reflexive) is that what proceeds from the One reverts towards it, an interpretation supported by V. 2, 1, 10ff.

14 For this theory of the double activity of things, cf. V. 4, 2, 27ff.

15 An important principle of Plotinus' metaphysics, cf. V. 2, 1; V. 4, 1.

- 16 Once again (cf. n. 13 above) there is a controversy about a pronoun (*auto*), whether it is reflexive or not. This *could* mean ‘in its reversion to it’.
- 17 MacKenna has probably rendered this correctly. There need not actually be a corruption here, simply Plotinian shorthand.

- 18 Using the same etymology of Kronos as *koros nou* as in ch. 4 above (cf. n. 8).
- 19 *Tim.* 39 E.
- 20 Perhaps in II. 4 [12], where he discusses that ‘nature’ which mingles with Matter.
- 21 A reference to *Ep.* II 312E, a key passage, for the Neoplatonists, expounding Plato’s metaphysics. This quotation begins a series of ‘proof-texts’, designed to show that Plotinus’ doctrine is solidly based on that of Plato himself.

22 Cf. *Ep.* VI, 323 D.

23 Cf. *Tim.* 34B, 41D.

24 Cf. *Rep.* VI, 509 B.

25 Fr. B 3 D–K. This is followed by references to Fr. B 8, 26 and 43. The relation here envisaged between Parmenides in his Poem and Plato's *Parmenides* is interesting.

26 Fr. B 12 3 D–K.

27 Cf. Fr. A 1 D–K = Diogenes Laertius IX 8.

28 Fr. B 26, 5–6 D–K.

29 A criticism of Aristotle's doctrine in *Met.* XII.

30 That is, the One. Pythagoras is here honoured as the originator of the Platonist tradition.

31 *Rep.* IX 589 A. Cf. *Enn.* I. 1, 10, 15.

32 *Tim.* 36 E.

33 MacKenna (following Vitringa) incorrectly sees here a reference to the *Phaedrus* myth (there is no mention of a charioteer in the text); the reference is actually to *Tim.* 90 a 5. Read: 'and of ourselves he said, *hiding his meaning* (reading *epikruptōn*), that the soul is "on the tap of the head".'

34 Probably a reference to *Phaedo* 67 CD. Cf. *Enn.* III. 6, 5.

35 For the imagery of the centre and radii of a circle, cf. *Enn.* VI. 5, 5, and VI. 8, 18.

36 Cf. *Parm.* 160 b 2–3, the conclusion of the Fifth Hypothesis.

- 37 For this quasi-hypostasis of Nature, cf. *Enn.* III. 8, 1–5 and IV. 4, 18–20.
- 38 Cf. III. 4 [15], 1–2, and IV. 4 [28], 22.
- 39 Plotinus uses the term *tolmeros*, a reference to the *tolma* (‘audacity’) of the soul, cf. V. 1, 1.

40 Or rather: 'supposing it to say whether Socrates is good'.

- 41 Cf. *Enn.* I. 1, [53] 1–7, composed in the same period as the present tractate. We must read, however, with ‘for this is *not* the We’ (inserting *mē* before *hēmeis*).
- 42 *Choristos*, a reference to the ‘separable Intellect’ of Arist., *De Anima* III 5, which Plotinus in turn connects with *Philebus* 28C, where Intellect is called ‘king of heaven and earth’.

⁴³ A Chaldaean turn of phrase (*Or. Chald.* Fr. 3, 1 Des Places). The reference to the Soul's being winged, just below, is an allusion to *Phaedr.* 246 Aff.

44 That is to say, *dianoia* etymologized as from *dia nou*, ‘through Intellect’.

45 I.e., in this case, the One. The connection of knowledge of God with knowledge of self goes back to *Alc.* I 133 C, and is widespread in the Platonic tradition.

46 Probably (reading *kata* for *kai*) ‘by, or *through*, his powers’, the best that intellectual knowledge can do in regard to God.

47 Cf. III. 8, 1–7 for the doctrine that all action is in fact contemplation, in a weaker and more diffuse mode.

48 A reference to *Tim.* 52C.

49 Cf. *Phaedo*. 66C.

50 *Epaphē*. Plotinus here uses for the One's apprehension of itself a term he uses elsewhere for our apprehension of it, cf. VI. 7, 36; VI. 9, 9.

- 51 Borrowing here a phrase used at *Tim.* 42E of the Demiurge to refer to the One.
- 52 Further light-imagery here, referring to the One. Cf. ch. 8 above, where it was used in connection with Intellect and its relation to Soul.

53 An elaborated reference to *Rep.* VI 509 B.

54 Etymologizing the *syn* – in *synaisthesis*.

55 A reference to *Parm.* 142A.

- 56 A reference to *Parm.* 144E, where the subject of the Second Hypothesis is thus described.
- 57 Actually a quotation of Anaxagoras, Fr. B1 D–K, which Plotinus uses repeatedly to characterize the world of Forms, cf. I. 1, 8, 1; III. 6, 6, 23; IV. 4, 11, 27; V. 9, 6, 3 and 8; and below, ch. 17, 10.

58 This points up the contrast between the two senses of *dynamis* in Plotinus, 'potentiality' (in the case of Matter), and 'potency' (in the case of the One).

59 Or Difference and Sameness, two of the Platonic 'categories' from *Soph.* 254 Eff.

60 Life seems here to take on something of the role of an essential aspect or 'moment' of the hypostasis of Nous which it is given by Porphyry and later Neoplatonists. Cf. III. 6, 6; III. 8, 8; VI. 7, 15.

- 61 Plotinus ends the tractate on a lyrical note, born of his own experience, but even so, dependent on such Platonic passages as *Symp.* 210E and *Ep.* VII 341CD.
- 62 This reference is mysterious, but sounds like an allusion to magical or theurgic ritual, where the God appears in a blaze of light.

63 The mention of ‘secondaries’ and ‘tertiaries’ here is probably a reference to *Ep.* II 312 E.

64 A composite reference to *Parm.* 142A and *Rep.* VI 509 B.

65 A reference to *Phaedr.* 245D.

66 For the doctrine that the divinity is ungrudging, cf. *Phaedr.* 247 A and *Tim.* 29E.

- 67 Cf. Arist., *Met.* XIII 7, 1081a 13–15. This identification of the preliminary phase of Intellect with the Indefinite Dyad is most interesting. Cf. *Enn.* V. 1, 5, and II. 4, 1–5.
- 68 In this early tractate, Plotinus does not seem to have refined his terminology in relation to the One to the degree that he did later. He is prepared here to speak of its ‘self-intellection’, albeit with qualifications.
- 69 A quotation of *Tim.* 42E 5–6.
- 70 Again, a quotation of *Tim.* 42E 5–6.

71 Plotinus here makes use of Aristotle's doctrine of the identity of Intellect with its objects, cf. *De An.* III 4, 430a 2–5; III 7, 431b 17.

72 This is to be taken as following on directly from the end of *Enn.* V. 8. We are turning from a study of intelligible beauty to that of intelligible truth.

73 The Stoic *lekta*. It would seem that Plotinus is here criticizing directly the position of Longinus (cf. *Life*, 18 and 20), since Longinus held that the Forms were *lekta* (Syr. *In Met.* 105, 25).

74 MacKenna's attempt to render Plotinus' etymologizing of *doxa*, 'belief' or 'opinion' as from *dechesthai*, 'to receive'.

- 75 Plotinus here makes use of a distinction between the essence and the quality of a thing set out by Plato in *Ep.* VII 342 Eff.
- 76 MacKenna does not quite catch the paradoxicality of this image: Plotinus presents the 'pediment' (Intellect), as dependent on the 'statue', as it were (the One).

77 For Zeus as the World-Soul, see V. 8, 10–13 (earlier in the present treatise), and III. 5, 2.

78 A reference back to III. 8, 10–11.

79 Plotinus feels it necessary to remind us that the title ‘The One’ for the first principle does not imply that it is a number of any kind.

80 A reference forward to *Enn.* VI. 6 [34], not included in this edition. See Intro., p. cxxvii.

81 *Hestia* here being etymologized as from *histasthai*, to ‘stand’, ‘be at rest’.
Plotinus’ speculations here are very much in the tradition of Plato’s in the *Cratylus* (cf. 401 CD).

82 *Rep.* VI 509B.

83 For this Pythagorean etymology of Apollo, cf. Plut. *Is. et Os.* 381F, *De E.* 393C.

84 Homer, e.g. *Iliad*. VII 421–2.

85 The placelessness of the One is argued for at *Parm.* 138B, in the first Hypothesis.

- 86 Cf. *Tim.* 36E, where it is described how the World-Soul encompasses the cosmos from without.
- 87 MacKenna gets the implication wrong here. Plotinus means that the Intellect intelligizing (*nous noon*), cannot attain to full knowledge of the One. Translate: 'Otherwise, you will be just Intellect intelligizing' Intellect can only comprehend the One with 'that in it which is not Intellect' (cf. below).
- 88 For the epithets of the One which follow, cf. III. 8, 9 (from the earlier part of this treatise).

91 The paradox that the Good is not good was partly anticipated by Numenius' distinction between the Good as first principle and the second god, or Demiurge, who is merely good (Fr. 20 Des Places).

92 This short tractate has, more even than usual, the form of a dialogue of Plotinus with himself. It is possible that the discussion was provoked by Amelius, who is reported by Syrianus (*In Met.* 147, 1ff) to have believed in an infinity of Forms, though not precisely in Forms of individuals.

93 A reference to the Stoic doctrine of cyclical destructions and renewals of the universe, which was adopted by some Platonists, and which Plotinus is prepared to consider.

94 There is a reference back here to the end of *Enn.* III. 8, which is actually the preceding section of this treatise.

95 The Olympian Zeus of Pheidias had become an *exemplum* in the cause of upgrading the status of the artist already by Cicero's time (cf. *Orator* 2. 8. 9). Plotinus is here tacitly demurring from Plato's view of art as 'copy of a copy' in *Rep.* X.

96 Like Narcissus, seeing his reflection in a pool, and plunging in after it.

97 Cf. *Symp.* 210 BC.

98 See the discussion of Nature and its relation to Soul in III. 8, 1–4 (the earlier part of this treatise).

99 A reference to *Symp.* 218 e 5.

100 A reference to the *Phaedrus* myth (247 Aff).

- 101 For this remarkable description of the intelligible world (continuing into ch. 4), cf. *Enn.* VI. 7, 11–15. Particularly notable are the concepts that everything in this world is represented there in a ‘noetic’ form, and that everything is in everything.
- 102 An Homeric expression to describe the life of the Gods (e.g. *Iliad* VI 138).
- 103 Proverbial for penetrating vision.

104 For Justice (Dike) as ‘assessor’ of Zeus, cf. Hesiod, *Works and Days*, 256 ff; Sophocles, *OC* 1381–2.

105 A reference to *Phaedo* III a 3.

105a *Phaedr.* 247 d7–e1.

106 A loose paraphrase of *Phaedr.* 247 D.

107 Better, 'as painted images'. There is a literary reference here to Alcibiades' description of Socrates in *Symp.* 215B and 216E.

108 Plotinus is here reflecting rather the popular Greek view of Egyptian hieroglyphs than any firsthand knowledge of their nature.

109 For this argument against demiurgic planning, cf. *Enn.* VI. 7, 1–2.

110 An unusually positive evaluation of Matter.

111 *Phaedr.* 246C.

112 By Aristotle, *Phys.* 1 5, 188 a 27–30.

113 *Tim.* 37 CD.

114 A preliminary criticism of the Gnostics, against whom the last part of the treatise (II. 9) will be directed.

115 A notable example of a Plotinian 'dynamic image', or spiritual exercise.

- 117 A reference to his doctrine that some part of the human soul remains 'Above'.
- 118 A reference to the myth of Poros and Penia in the *Symposium* (particularly *Symp.* 203 b 5).

119 There is here envisaged, I think, a spiritual exercise.

- 120 An allegorization of Kronos, who devoured his offspring, which continues until the end of the tractate, bringing in both Zeus and Ouranos. For this presentation of the three gods as the three hypostases, cf. *Enn.* III. 5, 2.
- 121 Probably again an attack on Gnostics.

122 Soul, which was Zeus, now becomes Aphrodite (as in *Enn.* III. 5).

123 *Noetos topos*, a reference to *Rep.* VII 517B. The question at the end of this chapter leads directly to the first chapter of V. 5.

124 The three levels of men portrayed here are usually taken to refer to the Epicureans, Stoics, and Platonists respectively. This is probably true, but Plotinus may also have in mind the Gnostic distinction between sarkic (body-loving), psychic and pneumatic man.

- 125 For the imagery of return to one's native land, taken from Homer (cf. *Iliad* 2, 140, though the concept derives from the *Odyssey*), Cf. 1, 6, 8.
- 126 The ascent portrayed in *Symp.* 210 BC.

127 A reference to *Philebus* 64C.

128 A reference to the Stoics (cf. *SVE* I 374, 377, (Ariston) II 835–7, 839).

129 Again, the Stoics cf. *SVF* II 88.

130 Cf. *Tim.* 28C.

131 Condition, nature, soul (*hexis, physis, psyche*), are successive stages of existence in Stoic doctrine (cf: *SVF* II 1013).

- 132 Parmenides, FR. B 3 D–K, combined, just below, with Arist, *De An.* III 4, 430c 3–4, and Heraclitus Fr. B 101.
- 133 That is, on the Platonic doctrine of *anamnesis*, cf. *Phaedo* 72E.
- 134 A reference to the ideal Bed of *Rep.* X 597C, but in support of a different view of the artist's position.
- 135 The phrase is borrowed from Anaxagoras, Fr. BI D–K. Plotinus is very fond of it (cf. I. 1, 8, 8; III. 6, 6, 23; IV. 2, 2, 24; IV. 4, 11, 27, etc.) primarily as a characterization of intellect.

136 The Stoics are again being referred to (cf. *SVF* II 743).

137 Probably a reference here to Epicurean criticism of Plato's creation story, cf. Cicero *ND* 18, 19.

138 This passage incorporates Plotinus' understanding of the Platonist doctrine that the Forms are thoughts of God.

139 A reference to the etymology of Kronos (allegorized as intellect) as *koros nou*.

140 *Tim.* 39E.

141 This is normal later Platonist doctrine, whatever about the views of Plato himself.

142 The fullest exposition of Plotinus' doctrine of categories in the intelligible realm is to be found in *Enn.* VI. 2 (not included in this edition, see Intro, p. cxxvii).

143 Plotinus here raises the question of ideas of individuals, but does not decide t. He deals with the question in more detail later, in V. 7 [18].

144 Perhaps a reference forward to V. 4 [7], but this is a question Plotinus refers to again and again.

145 This reference to 'Absolute Soul' (*autopsyche*) in intellect as Life is an interesting adumbration of the later (at least Porphyrian) postulation of Life as a component of the hypostasis of *Nous*, as middle term of the triad Being–Life–Mind.

- 1 A reference to the famous description of the formation of Soul at *Tim* 35 A, from which this treatise takes its start.

2 The circular motion of the universe is thus presented as an attempt to imitate the omnipresence of intelligible Being.

- 3 This is an important passage for the understanding of Plotinus' views on the mode of transcendence and immanence of higher principles. In effect, Being is not separate from the world, but yet it is not in the world so much as the world is in it.
- 4 Possibly a reference to Gnostic doctrine. The doctrine of Souls as *bolai*, 'rays', is attributed to Gnostics by Hippolytus, *Ref.* 117, 16ff. Wend.
- 5 A statement of the principle of 'receptivity', that an entity receives from above just so much of the potency of a higher power as it is capable of. Cf. *Enn.* IV. 8, 6, 16–18.

⁶ This problem is addressed again at the beginning of *Enn.* IV. 3 [27]. The distinction is made, puzzlingly, by Plato, at *Tim.* 41D.

7 A juxtaposition of two passages of Parmenides. Frs. B 8, 25 and 8, 5.

8 A reference to *Tim.* 35A 2–3.

9 A reference to *Parm.* 144B 3–4.

- 10 The reference may be to the early tractate IV. 9 [8]: ‘Whether all Souls are One’ (not included in this edition). The general question of the relation of individual souls to the All-Soul is the subject of the first *aporia* of the later treatise IV. 3–5.

- 11 There follow here two notable ‘dynamic images’ or ‘thought-experiments’ designed to illustrate the mode of extension of incorporeal entities.

- 12 Possibly a reference to IV. 7 [a], 'On the Immortality of the Soul' (not included in this edition).
- 13 The principle of receptivity again, cf. n. 5 to ch. 3 above.
- 14 A reference to the famous passage of *Ep.* II, 312E, but perhaps also to *Tim.* 41A.
- 15 MacKenna's trans, here obscures the fact that Plotinus is referring to the 'category' of Otherness or Difference (*heterotes*). Better: 'The Different is after all included in Being, and Difference belongs to it.'
- 16 For the image of sound and ear, Cf. *Enn.* III. 8, 9.

- 17 As does Plotinus himself, in fact, in *Enn.* IV. 5, 3 (not included in this edition).
- 18 Plotinus might have been more pleased with the idea of radio or television waves, present ubiquitously, but dependent on a suitable receptive apparatus.

19 Referring back to the question raised at the beginning of the tractate.

20 This fascinating passage has relevance both to the question of Ideas of individuals, raised in *Enn.* V. 7 [18], and to that of the true centre of the personality, dealt with in the late tractate, *Enn.* I 1 [53].

21 The imagery here owes something to *Tim.* 43 BC, but also perhaps something to Plotinus' perceptions of contemporary politics.

22 As described e.g. in the *Phaedrus* myth, 248C–249B. Plotinus is concerned not to be at odds with Platonic doctrine, Cf. *Enn.* V. 1, 8. Here he wants to maintain his position that some part of our souls remains ‘Above’.

23 Cf. *Phaedr.* 248E 6.

24 The verb here used, *ekthrosko*, ‘leap forth’, is distinctly Chaldaean (Frs. 35. 1: 37, 3; 42, 1 DP), and many constitute one of Plotinus’ rare Chaldaeanisms.

- 25 An allusion to the etymology of Hades as *aides*, ‘unseen’ (Cf. *Crat.* 403 A).
- 26 *Eidolon*, an illusion to the famous passage of *Odyssey* XI, 602–4, where Heracles’ *eidolon* is specified to be in Hades, while he himself is on Olympus (referred to again by Plotinus at *Enn.* I. 1, 12, 28ff).
- 27 This leads directly on to the beginning of VI. 5.

28 An application of the Stoic doctrine of the *koine ennoia*, or ‘common notion’.

- 29 This passage is based on Plato's contrast between Being and Becoming in *Tim.* 27D-28A and 52AB. The reference to plausibility below derives from *Tim.* 29BC.
- 30 Or simply starting-point (*arche*). Plotinus is borrowing an Aristotelian principle (e.g. *De An.* I 1, 402b24–6, *Met.* XIII 4, 1078b24–5) that the enquiry into essence is the starting-point of argument.

31 I.e., in VI. 4, 3, 7, and VI. 9, 18ff.

- 32 Another instance of the value derived by Plotinus from the mysterious passage in *Ep.* II, 312E (cf. VI. 4, 11, 9, above).
- 33 The image of the radii and centre of a circle is popular with Plotinus, cf. VI 9, 8; V. 1, 11; VI. 8, 18, and I. 7, 1 (not included in this edition).
- 34 A reference to *Parm.* 131B9, joined to the *homou panta* of Anaxagoras, Fr. 1 (rendered here by MacKenna as ‘a unit-plurality’).

35 An interesting allegorization of Homer's description of Athena's checking of Achilles by pulling his hair (*Iliad* I 194–200).

36 A reference to *Parm.* 130B 2.

³⁷ This decision is doubtless influenced by *Tim.* 51B.

³⁸ Cf. *Parm.* 131A.

39 The Pythagoreans, while the following reference is to Xenocrates (Fr. 60 Heinze) – though his definition appears to have been, rather, ‘a self-moving number’.

40 A reference to the myth of Resource and Poverty in *Symp.* 203 CD. Cf. *Enn.* III. 5.

41 Heraclitus, Fr. 113 D–K.

⁴² Cf. *Tim.* 37D. For the contrast between Time and Eternity, see *Enn.* III. 7 [45].

⁴³ For this First Matter, cf. Aristotle, *Met.* V 4, 1015a 7ff; IX 7, 1049a 24ff.

- 44 This description of the noetic world as *aennaos apeiria* connects it interestingly with the Pythagorean second principle, the Unlimited, to which in one aspect Plotinus' Nous corresponds, cf. *Enn.* II. 4, 15, and V. 7 1, 25ff.
- 45 Homer. *Od.* 17, 486 (already quoted by Plato, *Rep.* II 381 D). The verb actually means 'visit' or 'frequent', but Plotinus is indulging in word-play just below with *epistrephe*, so MacKenna's rendering is justified.

⁴⁶ A reference in particular to *Tim.* 45B, but more generally to 41DE, where the Demiurge ‘sows’ the Souls into the physical cosmos.

47 Borrowed from Aristotle, *Met.* VIII 4, 1044b 14 and *An. Post.* II 2, 90a 15.

⁴⁸ A reference to *Tim.* 27D-28A.

⁴⁹ Cf. *Alc.* I 129E-130A; *Phaed.* 79C.

50 Cf. Arist., *Met.* VII 5, 1030b 18.

⁵¹ Cf. n. 49 above.

- 52 The identity of these levels of Man is somewhat obscure, but probably correspond to the Form of Man, the *logos* of Man at the level of Soul, and the individual man.
- 53 Cf. *Symp.* 202DE, and *Tim.* 90A.
- 54 Cf. *Tim.* 42C 3–4. Plotinus, unlike his successors, took literally Plato's descriptions of transmigration into animal bodies.

- 55 For the image of the dancer, cf. *Enn.* IV. 4, 33; for the doctrine propounded here, cf. IV. 3, 12, 37ff.
- 56 Plotinus now turns from the original *aporia* about sense-organs to one that has arisen along the way, the status of irrational animals and inanimate objects. This continues through ch. 15.

- 57 A reference to the argumentation of the second hypothesis of the *Parmenides*, 142E–143A.
- 58 Or ‘entire living thing’, a reference to *Tim.* 31b1.

59 A formulation borrowed from Aristotle (cf. *Met.* XII 9, 1075a, 1ff.).

60 Cf. *Epin.* 981BC and 984BC.

61 *Panteles*, a reference to *Tim.* 31b1 (cf. above, ch. 8).

62 An allusion to the fanciful etymological connection between *zeō*, ‘boil’ or ‘seethe’, and *zôê*, ‘life’, cf. *Ar. De An.* A 2, 405B 28.

- 63 A reference to *Tim.* 35A, where Soul is said to be composed as a third thing out the Same and the Other, but here applied by Plotinus to Intellect.
- 64 The 'Meadow of Truth' is taken from *Phaedr.* 248B, while the concept of 'wandering' (*plane*) is taken from *Parm.* 136E, here applied to the inner life of intellect.

- 65 A 'One-Many', as is the subject of the second hypothesis of the *Parmenides*, cf. esp. 144e 5.
- 66 Actually a reference to the *Philia*, 'friendship' of Empedocles, cf. Fr. 17.7 and 26, 5 D–K. In V. 1, 9, however, we find Friendship described as The One.

- 67 *Rep.* VI 509a3, but Plotinus etymologizes *agathoeides* as ‘having the Good in its Forms (*eide*)’ whereas the word means simply ‘good-like’.
- 68 MacKenna here misses the contrast made between The One as the Good’ (neuter), and intellect as ‘good’ (masc.), which was the contrast made also by Numeniu between his First and Second Gods (cf. Fr. 16 Des Places).
- 69 We turn now from the analysis of the realm of Intellect to the consideration of its sources, which is the topic of the second, longer part of the tractate.

70 For other descriptions of this pre-intellectual self-awareness of the One, cf. V. 1, 7, V. 4, 2.

71 Cf. *Rep.* VI 509B, the 'Sun Simile'.

- 72 An Aristotelian principle, cf. *Met.* IX 8, 1049b 2ff. Plotinus' point, however, is that there is something which transcends actuality.
- 73 For this theory of a first, 'undetermined', stage of Intellect, cf. *Enn.* II. 4, 5, 33ff.

74 Or more exactly, 'Form of the primal Forms', borrowing a formulation used by Aristotle to describe Intellect (*De An.* III 8, 432a2).

75 A reference to, and adaptation of, the Pythagorean Table of Opposites.

76 This term, like ‘goods’, just below, is taken from *Phaedr.* 251B–D. Imagery from this part of the *Phaedrus* indeed pervades this chapter.

77 Taken from *Phaedr.* 245c 9.

78 An adumbration here of the later (Porphyrian) triad of Existence, Life and Intellect.

79 This introduces an analysis of the concept of Good which continues through ch. 30.

80 *Philebus* 21D–22A, 61B and D.

81 A reference forward to ch. 32ff.

82 A phrase borrowed from the *Philebus* (20d1, 54C10, 60b4).

83 A thought taken from Aristotle. *EN*. X 3, 1174a 6–8.

84 Cf. *Philebus* 22A. The influence of the *Philebus* in this passage is pervasive.

85 *Symp.* 203b5. ‘To banquet and feast’ is from *Phaedr.* 247a8, and ‘the Father smiled’ is found at Homer, *Iliad*, V 426 and XV 47.

86 *Philebus* 64B and 64E–65A.

87 MacKenna puts this in in deference to a conjecture (which is unnecessary) by Sleeman and Cilento, of *psychen* for *physin*.

88 Here, and in reference to lack of shape below, there is a reminiscence of *Parm.* 137D.

89 That is to say, Moderation, or Self-Control.

90 Yet another reference to Anaxagoras' *homou panta* ('all things together'), a favourite designation of Plotinus' for the noetic world.

91 This chapter is of particular importance for Plotinus' doctrine of the soul's cognizance of the One.

92 A phrase borrowed from *Symp.* 203b5.

93 MacKenna here reads the passive *noeitai* for the *noei* of the mss. If one preserves the active, the sense must be 'nor does the soul even know the fact that it does not know'.

94 *Rep.* VI 505a2.

- 95 Plotinus here refers to the three methods of arriving at a concept of the deity, already set out by Alcinous in his *Didaskalikos* (p. 165, 5ff. Hermann), *analogia*, *aphaïresis* ('removal of attributes'), and *anagogê* ('ascent'). He adds a fourth in 'understanding of its subsequents'.
- 96 What follows is a critique of Aristotle's doctrine as expressed in *Met.* XII 9 (and then 7), but also of much of the Platonist tradition previous to Plotinus.

- 97 A reference to *Rep.* VI 509b9, but, as is well known, Plato does not say this, but rather that the Good is 'above *Being*'. However, the belief that Plato also meant that the Good was above intellect is attested already in Middle Platonic and Neopythagorean sources.
- 98 Possibly a criticism of Numenius whose First God, though an Intellect, is 'at rest' (Fr. 15 Des Places).
- 99 A principle taken from the first hypothesis of the *Parmenides* (141e 9–10).

101 A reference to *Soph.* 249a1–2, though with a twist unintended by Plato, who did not envisage an entity in ‘august repose’ above Being.

102 A reference to his own mystical experiences.

103 Cf. *Enn.* III. 8, 9, 3–4; VI. 9, 5, 16; and earlier in this present tractate, ch.17.

104 A reference to the conclusion of the first hypothesis of the *Parmenides* (142a3–4).

105 *Ep. II 312E* – a favourite text of Plotinus quoted or referred to by him at least eleven times (e.g. *V. 1, 8, 1–4*).

- 106 Plotinus wants here to distinguish two senses of *dynamis*, 'power' (in his present sense), and 'potentiality', two senses which he does sometimes conflate when speaking of the One (e.g. III. 8, 10, 1; V. 1, 7, 9ff.).
- 107 A distinction taken from Aristotle, *EN*. III 1 and III 3.

108 Cf. Arist., *EN.* III 3–4, 1111a 25-b10, but Plotinus has plainly been reading Alexander of Aphrodisias as well (*De Fato* 14, *Quaest. Mor* 29).

109 'Freedom' here translates *autexousion*, an originally Stoic term.

110 An application of the Socratic principle that no one does evil voluntarily.

111 Actually a quotation of the famous tag from *Rep.* X 617e3: 'Virtue owns no master'. Better, then: 'our virtue to "own no master".'

112 A reference back to ch. 1, 32ff.

114 An echo of *Rep.* VII 519d1.

115 The exact provenance of this ‘rash thinking’ (or rather ‘line of argument’) is unclear, but it serves to provoke the guiding idea of the rest of the treatise, that the One is free of constraints, internal or external.

116 Cf. Aristotle’s characterization of Chance at *Phys.* II 6, 198a9–10. Aristotle’s whole discussion in *Phys.* II 6 is relevant to the present argument, as is that in *Met.* XI 8.

117 A reference to *Ep.* II 312E.

118 A formulation borrowed from Aristotle, *An. Post.* II 1, 89b24ff.

119 At the beginning and the end of this chapter, Plotinus makes important statements about the difficulty of describing or referring to the One. 'Essence', 'Nature', 'Activity', 'Will', all must be understood with a *hoion*, 'so to speak'.

120 A principle taken from Aristotle, cf. *Met.* VIII 3, 1043b2ff.

121 Cf. *Enn.* VI. 7, 1–2.

122 Cf. *Phaedr.* 250e1.

123 The theme of *Enn.* VI. 4–5.

124 This concept, *egrêgorsis*, is borrowed from Arist., *Met.* XII 7, 1072b17.

125 A reference to *Rep.* VI 509B.

126 For the image of circle and centre, cf. *Enn.* VI. 5, 5, and note there.

127 For the light imagery here, cf. *Enn.* V. 1, 6, 28ff.

128 Cf. *Philebus* 28D.

129 An interesting interpretation of *Polit.* 284E, where Plato is simply listing ‘the necessary’ and ‘the appropriate’ or ‘right moment’ (*kairos*), as examples of one type of measurement. Plotinus here applies these epithets to the One.

130 A reference to *Rep.* VI 509b9.

131 Literally: 'being "ruler of himself" ', a verbal reminiscence of *Gorg.* 491D.

132 A reference back to the middle of the previous chapter.

133 A widely accepted principle, but most clearly stated first by Arist., *Met.* X 2, 1054a13ff.

134 These examples of the various types of unity, discrete, continuous, and unitary, are of Stoic origin, cf. *SVF* II 366–8.

135 A reference to *Epin.* 981B.

136 A further reference to Arist., *Met.* X 2, 1054a13ff. (cf. n. 133 above).

137 A reference to Arist., *Met.* IV 2, 1003b25ff. More literally: ‘as “man” and “*one* man” are the same thing’.

138 A glancing reference here to *Phaedr.* 246c3.

- 139 These negations are taken from the first hypothesis of the *Parmenides* (138b5–6, motion and rest; 139b3, place; 141a5, time), rounded off with a phrase from the *Symposium* (211b1).
- 140 Cf. *Parm.* 142a2–3, the end of the first hypothesis.

- 141 MacKenna is less than accurate here. Better: 'every object of contemplation, even the beautiful (*kalm*), we must pass by, for all that is *beautiful* is later than this.'
- 142 A reference to *Ep.* VII, 341c5.
- 143 *Opisthobarês*, a remarkable word, of 'Chaldaean' provenance (cf. *Or. Chald.* Fr. 155 Des Places).
- 144 A reference to Epicureans and Stoics.

145 A reference to *Farm.* 138a2-3.

146 Cf. *Tim.* 50DE. Also Stoic doctrine, cf. *SVF* I 85.

147 Cf. Homer, *Odyssey* XIX 178–9, and Plato, *Minos* 319Bff.

148 A reference to *Rep.* VII 519D.

149 Possibly a reference to *Parm.* 138e4.

150 A reference to *Rep.* VII 532e3, where what is being described is the culmination of the dialectical process.

151 Cf. *Phaedr.* 246c2 and 248c9.

152 This passage refers, first, to *Symp.* 180DE (the two Aphrodites) and then *Symp.* 203C (birth of Eros).

153 There is something curiously Gnostic about this allegory. Cf. *The Hymn of the Pearl*.

154 This phrase is repeated from *Enn. I.* 6[I], 7, 2, a tractate composed not long before this one.

155 A reference to *Rep.* VI 509b9.